



Broker-to-Broker Transfer of Securities

In order to transfer securities from the donor's broker to the Foundation's broker, the donor should provide his/her broker a letter containing the following information:

1. Name of security and number of shares to be transferred
2. Donor's account number from which the shares will be transferred
3. Foundation's account into which the shares are to be transferred – Morgan Stanley Smith Barney Account #234-021171-242 (DTC#0015) for "the account of the UNM Foundation, Inc."
4. Name, address and phone number of the UNM Foundation's broker –
Morgan Stanley Smith Barney
Attn: Evan Long
6565 Americas Parkway NE
Suite 400
Albuquerque, NM 87110
(505) 889-2861
5. Please email Finance@unmfund.org the following information:
 - a. Stock shares and stock name
 - b. UNM Foundation fund name or number, if known
 - c. Date transfer to be completed

If there are any questions, please contact:

- Monica Peck, Associate Managing Director Gift & Treasury 505-313-7632
- Nadina Paisano, Chief Financial Officer 505-313-7625
- Fax (505) 843-9035.

Acknowledgement of the gift will be sent to the donor for income tax purposes. Pursuant to IRS guidelines, the value of the gift is based on the average of the high and low price of the stock on the date the stock is received at Morgan Stanley.