

Board of Regents' Meeting
December 13 , 2011
9:00 a.m.
Student Union Building Ballroom C

Tab 1

AGENDA OF THE MEETING OF THE REGENTS OF THE UNIVERSITY OF NEW MEXICO

December 13, 2011

9:00 a.m. – 11:30 a.m. 1:00 p.m. – 3:00 p.m. Student Union Ballroom C

Regents Executive Session/Luncheon, 11:30 a.m. – 1:00 p.m. Cherry Silver Room

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Audit Committee, Regent Gene Gallegos, Chair, No Report

- X. Public Comment
- XI. Vote to close the meeting and to proceed into Executive Session.
- XII. Executive Session will be held from 11:30 a.m. – 1:00 p.m. in the Cherry Silver Room
 - A. Discussion and determination where appropriate of threatened or pending litigation Pursuant to Section 10-15-1.H (7) NMSA (1978).
 - B. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2), NMSA (1978)
 - C. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1 H (8) NMSA (1978).
- XIII. D. Vote to re-open meeting.
 - E. Certification that only those matters described in Agenda Item XI. were discussed in Executive Session and if necessary, final action with regard to those matters will be taken in Open Session.
- XIV. Adjournment

File: BOR Agenda 12.13.2011

Tab 2

The University of New Mexico
Board of Regents' Meeting
November 15, 2011
Student Union Building Ballroom C
Meeting Minutes

Members present: President Jack L. Fortner, Vice President Don L. Chalmers, Secretary Treasurer Carolyn J. Abeita, J.E. "Gene" Gallegos, Bradley C. Hosmer, Jacob P. Wellman (Quorum).

Member unable to attend: James H. Koch.

Administration present: President David J. Schmidly, Executive Vice President David Harris, Chancellor Paul Roth, Interim Provost Chauoki Abdallah, Vice President Josephine De Leon (Equity & Inclusion) Vice President Julia Fulghum (R&D), Vice President Helen Gonzales (Human Resources), Vice President Paul Krebs (Athletics), Vice President Ava Lovell (Controller), Vice President Eliseo Torres (Student Affairs), Interim University Counsel, Lee Peifer.

Regents' Advisors present: President-elect Amy Neel (Faculty Senate), President Mary Clark (Staff Council), President Katie Richardson (GPSA), President Jaymie Roybal (ASUNM), Chair Gary Gordon (UNM Foundation), President Maria Probasco (UNM Parent Association), President Scott Obenshain (UNM Retiree Association).

Regent Fortner called the meeting to order at 9:10 a.m.

I. Confirmation of a Quorum; Adoption of the Agenda, Regent Fortner

Motion to adopt the agenda passed without dissent (1st Chalmers, 2nd Abeita).

- **Item VIII. was removed from the agenda.**

II. Approval of Summarized Minutes of the October 11, 2011 BOR Meeting, Regent Fortner

Motion to approve the minutes as written passed without dissent (1st Gallegos, 2nd Abeita).

III. President's Administrative Report, President David Schmidly (report in BOR E-Book)

President Schmidly noted that, after giving his report, he would be traveling to Santa Fe to appear before the State Board of Finance.

- **The report to the Board of Finance today will be presented to the BOR at their next meeting.**

President Schmidly's report focused on progress made on the Regents' goals for the President.

- **President Schmidly's December report will focus heavily on plans for the development of an Honors College. He will also report on the status of conference realignments and the implications for the Mountain West Conference.**
- **President Schmidly will report to the Audit Committee regarding challenges facing UNM-Gallup.**

Dr. Schmidly recognized the #1 ranked Men's Soccer team and Coach Fishbein.

President Schmidly congratulated the Office of Equity and Inclusion, under the direction of Josie De Leon, on being awarded a four-year, \$600 thousand Lumina Latino Student Success Grant from the Lumina Foundation.

He reported he had met with protesters from the (un)Occupy Albuquerque movement. He commended the UNM Police, and the work of Lee Peifer with the ACLU in working out when the protesters can be on campus. He stated that, along with promoting free speech and assembly, he has a duty to protect the University and its students, faculty and staff.

Regent Chalmers asked about the \$2,000 increase in each student athlete scholarship mandated by the NCAA. President Schmidly said that scholarship amounts had not been addressed by the

NCAA since 1971 and the costs of attending school have obviously increased tremendously since then. Since student athletes are rarely able to attend school, participate in their sports and hold a job, this increase will help offset the rise in costs. The increase is scheduled to start next fiscal year. The \$250 to \$300 thousand dollars will have to be built into our budget this, and coming, years.

IV. Comments from Regents (none)

V. Public Comment, specific to agenda items (none)

VI. Comments from Regents' Advisors (reports received are included in BOR E-Book)

Faculty Senate, Amy Neel, President-elect, for President Timothy Ross. Dr. Neel reported that the Faculty Disciplinary Policy has been vetted by Main and North Campus deans.

- **The Faculty Disciplinary Policy will be presented at the December meeting of the ASAR (Academic/Student Affairs & Research) Committee.**

The senate continues to work on Endowed Chairs, career paths for lecturers and possible reorganization of the Faculty Senate, among several other initiatives.

Staff Council, Mary Clark, President. Ms. Clark said the most important issue for staff at this time is the ERB (Educational Retirement Board) plan design changes being considered.

GPSA, Katie Richardson, President. Ms. Richardson reported on a resolution passed by a special meeting of GPSA in support of the (un)Occupy Albuquerque movement. She announced the establishment of the Graduate Scholarship Fund. She used the Anthropology Department to illustrate the need to develop additional funding for graduate students.

ASUNM, Jaymie Roybal, President. Ms. Roybal gave an update on the Bike Share program. She also presented three resolutions passed by ASUNM.

Regent Wellman congratulated Ms. Richardson and Ms. Roybal on all the new programs promoted by student government.

In response to Regent Gallegos Ms. Roybal said that SHAC (Student Health and Counseling) is currently housed separately from Johnson Gym. The ASUNM Resolution supports a facility combining SHAC and a recreation center to promote student wellness.

UNM Alumni Association, Waneta Tuttle, President. (no report)

UNM Foundation, Gary Gordon, Chair. Mr. Gordon reported on the meeting of the Board of Trustees of the Foundation. He said the first quarter of fiscal year 2012 was down compared to FY11; the Foundation's portfolio was down about 7%.

- **The first meeting of the Philanthropy Committee, appointed by Regent Fortner, will be December 9, 2011.**

Mr. Gordon assured Regent Wellman that the Performance Measure should average down over the course of the year.

UNM Parent Association, Maria Probasco, President. Ms. Probasco mentioned that the 2011 Official Holiday Ornament is available. Proceeds from sales of the ornament support student scholarships like the twenty six undergrad and four graduate student scholarships awarded this year.

Retiree Association, Scott Obenshain, President. Dr. Obenshain seconded Staff Council's concern with ERB plan design changes. The benefits committee has rejected proposals one through six and offered a seventh option that would reach 80% solvency by 2030. A special concern is the continuation of COLAs (Cost of Living Adjustment). The association is sponsoring a Medicare information session for retirees 65 and over.

VII. Presidential Search Update, Helen Gonzales, Vice President, Human Resources

Ms. Gonzales said the search was launched in early summer 2011. A position description was crafted after meetings with groups across campus. A presidential search firm was selected and that firm has been helping identify potential candidates from across the country. The search committee has met twice, with a third meeting this afternoon (November 15). They have been reviewing candidates and hope to begin identifying semi-finalists. A large number of presidential searches are underway across the country, so we are pursuing an aggressive timeline. We hope to bring finalists to campus before winter break. The search committee will select a list of semi-finalists to present to the BOR. The BOR will select the finalists and their names will be announced publicly. They could be here as early as December 8th to begin interviews with the community. We want the interviews to be completed by graduation.

Regent Wellman asked about the structure for constituent groups to participate in the interviews. Ms. Gonzales said they expect each finalist to be here for a full day and there will be a number of individual meetings throughout the day for the different constituency groups to have an opportunity to meet the finalists.

Regent Chalmers pointed out that finals will be given the week after the campus visits and students would be heavily involved in studies that week.

- **Ms. Gonzales said that concern will be addressed in the search committee meeting and a schedule worked out with GPSA and ASUNM that will accommodate the largest number of students.**

VIII. Approval of the Pricing Resolution establishing the exact principal amount setting interest rates and approving related comments for The Regents of the University of New Mexico Subordinate Lien System Refunding Revenue Bonds Series 2011.

- **Item VIII removed from the agenda.**

VII. Regent Committee Reports (reports in BOR E-Book)

Academic/Student Affairs & Research Committee, Regent Bradley Hosmer, Chair

Consent Item:

- A. Approval of Posthumous Degree for Rose Marie Fuchs

Motion to approve the Posthumous Degree passed without dissent (1st Hosmer, 2nd Abeita).

Action Items:

- B. Approval of Special Education Graduate Certificate Program, Richard Howell, Dean, College of Education

Motion to approve the Special Education Graduate Certificate Program passed without dissent (1st Hosmer, 2nd Abeita).

Dr. Howell said this is a Transcribed Graduate Certificate and it would be the only program in Applied Behavior Analysis in the state. It is the only scientifically proven method of intervention for students and children with Autism Spectrum Disorder. The program will require no additional resources.

Information Item:

- C. Approval of ASA Committee Work Plan (document included in BOR E Book.)

Finance and Facilities Committee, Regent Don L. Chalmers, Chair

Consent Items:

- A. Disposition of Surplus Property for Main Campus on list dated 10/14/2011
- B. Contract Approval:
 - a) UNMH-Zimmer
- C. Approval of Capital Projects
 - a) Clinical and Translational Science Center, 3rd Floor Lab Renovation, Phase 2
(addressed by Regent Abeita in the HSC Board report.)
 - b) HSC Classroom Upgrades
 - c) KNME & Domenici Hall Electrical Upgrades to 12.47 KV
 - d) Physics and Astronomy Electrical Upgrade to 12.47
- D. Approval of University Business Policies and Procedures (UBPP) for Main, Branch Campuses and HSC for FY 2011 (approval of report)
- E. Approval of Refunding of UNM-Valencia Series 1998 and 2002 Bonds
- F. Approval of Fiscal Watch Report and Monthly Consolidated Financial Report
- G. Approval of Appointments to UNM Labor Management Relations Board: Jeffrey Landers, one year term, Carol Oppenheimer, one year re-appointment

Motion to approve the Consent Agenda Items A, B, C, D, E, F & G passed without dissent (1st Chalmers, 2nd Fortner).

Alice Whitney, Executive Director, UNM-Valencia, told Regent Gallegos the cost of refinancing would be about \$85 thousand. Regent Chalmers said the savings of \$50 thousand a year for six years is net of refinancing costs; underwriting, legal, everything.

Information Items:

- H. Contract Information
 - a) UNMH-Stericycle
 - b) UNMH-CDWG
 - c) UNMH-Insight Construction
 - d) Department of Pediatrics-Broad Institute
 - e) Office of Capital Projects/Chemistry Dept.-VWR International
- I. IT Email, Messaging & Calendaring Evaluation Team Recommendations, Moira Gerety, Deputy CIO

Although an RFP (Request for Proposal) was developed to identify a vendor for one email, message and calendaring system for use campus wide, the RFP was not awarded. It did, however, provide a framework for evaluating different approaches. A hybrid solution (cloud/premises) was determined to be the best solution. The Microsoft Exchange and O365 offering allows use of both cloud and premises and it allows seamless management of the two. The cloud is free and premise is also free because the University already purchases licenses for Microsoft Productivity Suite. Student email will be moved to the cloud in Spring 2012. Main Campus faculty and staff would be migrated in Fall 2012. As security concerns

are better understood and addressed, more users could be migrated to the cloud in ensuing years.

- **A cost/benefit report will be provided in January to show how recurring costs will be reduced with this project.**

Ms. Gerety assured Regent Wellman that integration with smart phones and other mobile devices is a high priority of the project.

Health Sciences Board, Regent Carolyn J. Abeita, Chair

Consent Items:

- A. Approval of Clinical and Translational Science Center, 3rd Floor Lab Renovation, Phase 2 (see also F&F Agenda Item C)
- B. Approval of Capital Project: HSC Classroom Upgrades (see also F&F Agenda Item C)
- C. Approval of Appointment of Frederick C. Sherman, MD, to Carrie Tingley Hospital Board
- D. Approval of UNMH Contracts: 1) Zimmer, 2) Stericycle

Motion to approve Consent Agenda Items A, B, C & D passed without dissent (1st Abeita, 2nd Chalmers).

Dr. Roth gave a brief definition of Translational Sciences: This is a new initiative out of the National Institutes of Health which is an attempt to address more applied and relevant research, ultimately to practice. There are four steps: identify discoveries in the laboratory, test research in a controlled environment. It translates early discoveries into potential applications. Then it goes into practice in the larger community. Then we retest the hypothesis in larger populations, make modifications, and the cycle begins again. So it's taking what is found in early phases in laboratories and translates that into eventual applied practice in the medical community.

Regent Chalmers pointed out that in 2010 UNM HSC was awarded a five year \$22.9 million Clinical and Translational Science Award by the National Institutes of Health. Dr. Roth noted that we were one of fewer than fifty eight centers in the US that have achieved this level of recognition. It opens the door for other grants; we've received nearly \$33 million in additional grant support.

- **The HSC Board of Directors will present an update to the BOR in December on activities taken since the inception of that Board of Directors and recommendations for the future.**

Audit Committee, Regent J.E. Gene Gallegos, Chair

The audit was approved by Moss Adams. The committee approved four audits, two of HSC and two Main Campus.

X. Public Comment (none)

XI. Vote to close the meeting and to proceed into Executive Session

Motion to proceed into Executive Session passed without dissent at 10:50 a.m. (1st Abeita, 2nd Gallegos).

XII. Executive Session 10:51 a.m. – noon

- A. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H (7) NMSA (1978).
- B. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2) NMSA (1978).
- C. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H (8) NMSA (1978).

XIII. D. Vote to Re-open the meeting.

Motion to return to open session passed without dissent at 12:01 p.m. (1st Fortner, 2nd Chalmers).

- E. Certification that only the matters described in Agenda item XII. were discussed in Executive Session and, if necessary, final action with regard to those matters will be taken in Open Session.

Motion to certify that no action taken in executive session passed without dissent (1st Abeita, 2nd Chalmers).

XIV. Adjournment

Motion to adjourn the meeting passed at 12:02 p.m. (1st Abeita, 2nd Chalmers).

Regent Jack L. Fortner
President, Board of Regents

Regent Carolyn J. Abeita
Secretary/Treasurer, Board of Regents

Tab 3

[Archives](#)[Media Info](#)[News Releases](#)[UNM Today Print](#)

UNM's Shepherd Named U.S. Professor of the Year

NOVEMBER 17, 2011 | By SARI KROSINSKY



Ursula Shepherd was named one of four U.S. Professors of the Year.

Ursula Shepherd has a passion for helping students not only to succeed academically, but also to believe in themselves and seize opportunities they never thought they'd have. Because of that passion, Associate Professor Shepherd, University Honors Program, University of New Mexico, is one of only four U.S. Professors of the Year.

Sponsored by the Council for Advancement and Support of Education and The Carnegie Foundation for the Advancement of Teaching, the award is the only national program to recognize excellence in undergraduate teaching and mentoring.

Shepherd is the recipient of numerous awards, including the UNM Presidential Teaching Fellowship, the university's highest honor for teaching. Her students also attract many accolades, including Goldwater and National Science Foundation fellowships.

She wrote in a statement for the award that while challenges like low graduation rates and students' workloads outside school "can be frustrating, I teach here because here I can make a difference." Her students' successes keep her motivated.

"I believe every student who passes through my classroom has the ability to be outstanding. It's my job to help each of them find what they are passionate about and to show them that it is possible," she said.

As New Mexico's flagship university, UNM strives to stretch limited resources to provide an academic environment where research and education can thrive. "I am extremely lucky to be able to work in a situation that allows me to teach in small seminar settings and allows both me and my students to have all the advantages of this large research institution," Shepherd said.

UNM President David J. Schmidly wrote in his nomination letter, "Of particular note is Dr. Shepherd's enthusiasm to engage her students in research. She has scholarly publications in refereed journals, many of them co-authored with her undergraduate students. She has expectations of success for all her students and they rise to meet those expectations."

Shepherd uses highly interactive teaching methods, including guided discussion with full participation and frequent field research. She often teaches both lecture and lab to better integrate the two. She designs "each course in ways that make students responsible for the learning we are undertaking," she said.

"If students are to love what they are doing, they must have the space to ask the questions that excite them and to learn that the things they have read in their texts and been asked to memorize are hard won by real people, and sometimes may even be wrong."

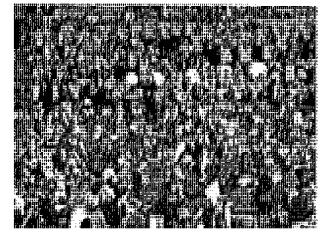
Learning this way can foster a lifelong passion. "One student wanted to find out what the bioluminescent animals were and whether they were signaling to each other or might be trying to avoid predators. After lots of thought, she came up with a novel approach using the light on her digital watch to 'talk to' animals she captured. She showed that they responded to these signals. She is now a behavioral ecologist," Shepherd said.

As well as teaching in the [University Honors Program](#), Shepherd has taught in the [Department of Biology](#) and was program coordinator and faculty mentor for NSF's Experiences for Undergraduates Program at [Sevilleta Long Term Ecological Research](#) station. Her service to the community includes mentoring faculty as well as students and teaching in a pro-

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Today at UNM

[Reception for Marcia Glenn 1:00 PM to 3:00 PM -](#)

[Maxwell Museum presents: "Lucy" 10:00 AM to 4:00 PM - Maxwell Museum](#)

[Maxwell Museum presents: "People of the Southwest" 10:00 AM to 4:00 PM - Maxwell Museum](#)

[Dead Leg by Richard Deacon 10:00 AM to 4:00 PM -](#)

[Sinners and Saints 15th-19th Century Paintings 10:00 AM to 4:00 PM - Raymond Jonson Gallery](#)

[An Inquisitive Eye, Seeing Into Prints 10:00 AM to 4:00 PM - Clinton Adams Gallery](#)

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gram designed to improve science instruction in middle and high schools. She received a doctorate and bachelor's degree in biology from UNM and master's and bachelor's degrees in social sciences from the University of the Pacific.

The [U.S. Professors of the Year](#) program salutes the most outstanding undergraduate faculty in the country, who excel in teaching and positively influence the lives and careers of students. The awards focus attention on models of excellence in undergraduate teaching.

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Tab 4

Materials will be provided at the BOR meeting.

Tab 5



GPSA @ UNM
Graduate And Professional Student Association

Resolution Against Increased Student Fees

UNM GPSA Council

LSCR #FR-11-013

Adopted by Council: November 19, 2011

WHEREAS the University of New Mexico Graduate and Professional Student Association (GPSA) strives to identify, promote, and support the interests and concerns important to the welfare and academic development of the more than 6,000 UNM graduate and professional students;

WHEREAS GPSA supports full transparency in every budgetary process, especially where UNM spends fees paid by students;

WHEREAS mandatory student fees have increased at the University of New Mexico by over 110% since 2003; students presently pay over \$1100 per student per school year, and more than \$11 million is generated every year in mandatory student fees;

WHEREAS building a new recreation center will require at least a \$52 million revenue bond;

WHEREAS the bond would be paid with student fee money over the next 30 years with a fee increase of at least \$200 per student per year;

WHEREAS the economic situation in New Mexico is making it difficult for students to afford a higher education and increasing student fees will make attending the university more difficult especially for first-generation and underrepresented students;

WHEREAS the funding for such an initiative would require state support through the passage of a bond initiative;

WHEREAS the failure of the New Mexico Bond Question D (2010) in support of higher educational and special school capital improvement and acquisition (\$155,567,824) and the Albuquerque Gross Receipts Tax Revenue Bond (2011) to finance certain capital projects: Paseo Del Norte & I-25 interchange improvements and a Multi-Sports Complex (\$50,000,000) indicate taxpayers' unwillingness to fund such initiatives;

WHEREAS the lottery scholarship, on which many undergraduate students rely, does not cover any student fees;

WHEREAS Associate Students of the University of New Mexico (ASUNM) conducted a ballot referendum in Spring 2010 where 67% of voters rejected paying for the new recreation center with student fees.

THEREFORE BE IT RESOLVED that GPSA condemns any decision by the administration to increase student fees to pay for a new recreation center.



THEREFORE BE IT FURTHER RESOLVED that GPSA requests that a feasibility study and a financial model for refurbishing the current center or building a new center be proposed that funds the center without student fees by March 10, 2012;

THEREFORE BE IT FURTHER RESOLVED that if neither of the above can be realized by March, that a student-wide election (both undergraduates and graduates) should be conducted to ensure the student voice is heard;

THEREFORE BE IT ULTIMATELY RESOLVED that a copy of this resolution be electronically forwarded within five business days of its enactment to: GPSA President Katie Richardson, ASUNM President Jaymie Roybal, ASUNM Vice President Adrian Cortinas, GPSA Elections Chair Nas Manole, ASUNM Elections Commission Chair Claire Mize, UNM President David Schmidly, University Planning Officer Mary Kenney, Director of the Real Estate Department Kim Murphy, Daily Lobo News Editor Chelsea Erven, Vice President of Student Affairs Eliseo Torres, Associate Vice President of Student Life Walt Miller, Associate Vice President for Student Services Tim Gutierrez, Director of Recreational Services Jim Todd, Associate Vice President of Institutional Support Service Chris Vallejos, and UNM Board of Regents.



THE UNIVERSITY of FOUNDATION NEW MEXICO

UNM Foundation, Inc.
UNM Regent's Advisor Report
December 13, 2011

CHANGING WORLDS The Campaign for UNM

Changing Worlds: The Campaign for UNM – an eight-year, \$675 million campaign, is making excellent progress towards campaign goal. The Campaign began in 2006 and is planned to conclude in 2014, complementing UNM's 125th Anniversary.

To date, nearly 50,000 donors have given over \$425 million in support of the University's mission.

Specific fundraising highlights since the last meeting:

School of Medicine received a \$140,000 gift to the Dr. Steven Weiss Emergency Medicine Research Fund from the George and Lena Valente.

College of Nursing received a gift totaling over \$65,000 for a memorial student scholarship.

The Robert Wood Johnson Foundation donated \$68,000 to the American Economic Association for their Summer Institute.

College of Fine Arts received a \$550,000 gift from the John Robb Musical Trust to further inspiring commitment to education and to advance the understanding of music of the southwest.

College of Engineering received a \$1,000,000 gift for an Endowed Professorship in Computer Science.

A regional campaign event is planned for Connecticut in May 2012. This event will be hosted by a donor of the University and will engage and steward major gift donors in this regional area.

The *New Mexico Business Weekly* continues to feature UNM donor stories in upcoming editions. We hope you have a chance to read the articles as they serve as testament to the great impact donors have on advancing faculty, students, research and the University forward.

The first meeting of the Philanthropy Study Committee was held on December 9. Please see the attached timeline and agenda for the Committee.



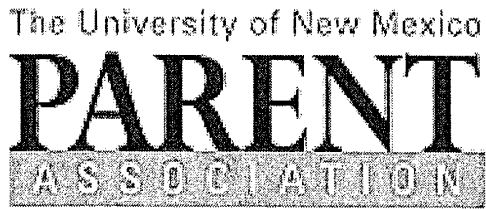
Philanthropy Study Committee:

Membership and Charge

Draft Timeline & Agendas

<p>Invitation to Serve</p>	<p>Invitation Letter send by Regent Fortner October 2011</p>		
<p>C h a r g e</p>	<p>Evaluate and report on how to maximize private funding support for UNM over the long term.</p>		
<p>P a r t i c i p a n t s</p>	<p>Co-Chair - Jack Fortner, President - UNM Board of Regents Co-Chair - Gary Gordon Chair, UNM Foundation Board of Trustees</p> <p>UNM Board of Regent Representatives Lt. General Bradley Hosmer, USAF (Ret.) - Regent Jacob Wellman - Student Regent</p> <p>UNMF Board of Trustees Representatives Gerald Landgraf - Chair, Finance Committee Anne Yegge - Past Chair</p> <p>UNM Deans Richard Howell - Dean, College of Education Mark Peceny - Dean, College of Arts and Sciences</p> <p>UNM Staff Andrew Cullen - Associate Vice President, Planning, Budget and Analysis</p> <p>HSC Representatives Nancy Ridenour - Dean, College of Nursing Ava Lovell - Vice President of Finance, HSC</p> <p>UNM Faculty Senate Representative Tim Ross - President</p> <p>UNM Foundation Henry Nemcik - President and CEO</p>		
<p>P r o c e s s</p>			
	<p>BENCHMARKING The Committee will benchmark other institutions, both peer and aspirational, and evaluate the size and scope of the peers' development operations and funding mechanisms</p>	<p>EVALUATING Drawing from the evaluation, the Committee will evaluate UNM's philanthropic effort, encompassing staffing, funding, and design of philanthropic efforts going forward.</p>	<p>REPORTING A report with recommendations will be presented to the UNM Board of Regents and the UNM Foundation Board of Trustees by June 30, 2012.</p>

Philanthropy Study Committee PROPOSED MEETING AGENDAS					
Initial Meeting Friday, December 9, 2011 9:00-12:00 Location: TVC Conference Room	Welcome Introductions Jack Fortner- Review of charge Henry Nemcik- Brief history of Foundations & Philanthropy at UNM	Presentation: “Philanthropy in the US at Public Institutions” David Bass, Director, Foundation Programs, Association of Governing Boards (AGB)	Presentation: “Philanthropy at University of Florida” Paul Robell, Assistant to the President, Philanthropy and Donor Relations, University of Florida	Discussion: Factors for success Questions to be answered through our process	Discussion: Consensus on process, aspirational/ peer institutions and committee timeline
January 2012 9:00-12:00 Location: TVC Conference Room	Welcome Henry Nemcik- Presentation of finalized timeline	Presentation: Foundation executive from aspirational/ peer institution	Discussion: “University Fundraising Components” Cara Quackenbush, Senior Analyst and Program Manager - Eduventures, Inc.	Discussion: Introduction to foundation study data	Factors for success: The future of foundation staffing
February 2012 9:00-12:00 Location: TVC Conference Room	Welcome	Presentation: Two foundation executives from aspirational/ peer institutions	Discussion: Review of Foundation Study Data	Discussion: Factors for success- Sources of foundation funding	Factors for success: The future of foundation funding
March 2012 9:00-12:00 Location: TVC Conference Room	Welcome	Processing Discussion: Themes, insights, conclusions, & points for reporting. Note: Report drafts to be exchanged via e-mail			
April 2012	Final Report presented to UNM Regents & UNMF Board of Trustees				



**PARENT ASSOCIATION REPORT TO THE BOARD OF REGENTS
BY: PRESIDENT, MARIA PROBASCO
December 13, 2011**

Parent Association Recommendations to the Budget Process and the Tuition and Fee Team: I met with AVP Andrew Cullen on two different occasions on the Parent Association's input regarding the 2012/2013 Budget and recommendations to the Tuition and Fee Team. I presented the attached document at the November 22, 2011, Tuition and Fee Team's meeting. We understand that some of the listed items belong to other budget management teams and are not specific to the Tuition and Fee Team, however, we felt we needed to submit budget items with emphasis on student success.

Internal Audit Request: Last week I requested that an internal audit be conducted by the Internal Audit Department. I believe this is the right time for an Audit as my second consecutive term as President will end in May 2012, and also many of our founding members and current Board of Directors will also be getting off the Board. Our desire is to have an Audit completed prior to the new Board taking over June 2012, so the new Board can start their term knowing that an Internal Audit was conducted and deficiencies (if any) have been identified and have been corrected before their term begins.

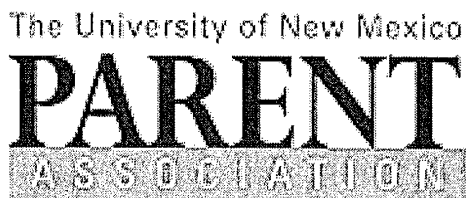
Nominating Committee will be accepting applications and/or letters of interest for vacant positions on the UNM Parent Association Board of Directors. Applications and/or letters of interest and nominations will be accepted from eligible members. Persons nominated must be willing to serve if slated and elected. The Nominating Committee will review all applicants and recommend one person for each vacancy (the "slate") prior to the Board's spring meeting, when the election will occur. The newly elected officers will serve a two-year term beginning June 1, 2012. Additionally, several committee chair positions will become available as well due to term limits. Any member interested in serving as the Chair of a Standing Committee should make their interest known to the Association's President. The Association's Bylaws are online at: <http://parentassociation.unm.edu/> for eligibility requirements, Board Officer position descriptions, and Standard Committee descriptions.

Legislative Initiatives: I met with Mark Saavedra on November 7th, regarding the UNM legislative initiatives and in particular the Tuition Tax Credit for the upcoming Legislative Session. The Parent Association under the leadership of parent Dr. Angi Gonzales-Carver will be going to the Capitol on UNM Day on January 31, 2012. Our hope is to have as many parents as possible join us as we promote the permanent elimination of the Tuition Tax Credit and UNM's Legislative Agenda.

2011 Holiday Ornament: There is still time to invest in the 2011 Official Holiday Ornament highlighting Zimmerman Library. These are available at the UNM Bookstores or they can be ordered on line at: <http://bookstore.unm.edu/c-234-ornaments.aspx> The proceeds from the 2011 Holiday Ornament supports the UNM-Parent Association's Scholarship Fund. Also, due to popular demand we ordered additional 200 each of the 2008 (University House) and the 2010 (Alumni Chapel).

Upcoming Events/Activities:

- UNM Day in Santa Fe – January 31,2012
- Parent Day at the Pit and Silent Auction – February 11, 2012



Stay Connected!

DATE: November 22, 2011

SUBJECT: Parent Association Recommendation to the Tuition and Fee Team

The Parent Association contends that parental concerns should be shared by all administrators, staff, and faculty throughout the University. We believe that we should all be striving toward the goal of providing a quality education that is completed in a timely manner.

In order to have a clear picture and understanding of the Tuition and Fee structure, the University of New Mexico and/or the Tuition and Fee Team needs to establish the following:

1. What is the cost of educating a student at the University of New Mexico?
2. What is the quality of education that students receive at UNM? How does their degree measure-up nationally and internationally?
3. Conduct a cause and effect analysis of retaining and graduating students. Currently 13% of students graduate in 4 years and 46% graduate in 5-6 years.

Items to consider for student success:

Accessibility:

1. Are students prepared to attend and succeed in Higher Education?
2. Does the minimum admissions requirement of a 2.3 GPA need to be raised?

Affordability:

1. Can students afford the tuition, student fees, books, housing, cost of living, health insurance and other miscellaneous expenses in the State of New Mexico which is considered a poor state?
2. UNM should not be comparing itself to peer institutions to drive its tuition and student fee structure but should focus on affordability with serious consideration of per capita income in New Mexico.
3. Should the University add a budget line item for Needs Based Aid to students?

Accountability:

1. Is the institution running its business more efficiently and effectively using the available technological advantages?
2. Has the University made the necessary adjustments in its service structure?
3. Is there duplication of services and redundancy?
4. Are there enough full time faculty to support the academic mission in light of student increases the past 10 years? (Last year's cost containment report noted that the number of full time faculty has decreased where as student enrollment has increased in the same period.)
5. Is there a need for post tenure review? Surveys indicate that faculty as mentors and faculty engaged with their students have a tremendous impact in student success.
6. How should the University invest in Advisement? Although there has been progress during the past three years in student advisement, the University should continue evaluating and improving this critical service to students.

The Academic Mission and Research should be driving the University's budget and not the budget driving the mission. Once the academic and research priorities are established, the budget can be developed around the University's values and priorities. This will also enhance the overall effectiveness of the institution.

Submitted by:

Maria I. Probasco, President
UNM Parent Association

**UNIVERSITY OF NEW MEXICO
ALUMNI ASSOCIATION
BOARD OF REGENTS REPORT
December 13, 2011**

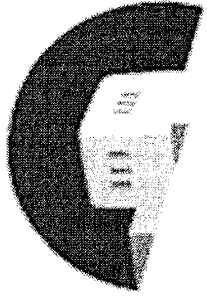
Vision (Why we exist): *The Alumni Association is a vital partner in the continued excellence of the University of New Mexico through the significant engagement of alumni.*

Mission (What we are striving for): *To serve as a bridge between alumni and the university ensuring the continued success of the university and enriching the lives of alumni.*

<p>Strengthen collaboration with campus constituents to meet the needs of UNM.</p>	<p>UNM's Office of the President, Government Relations and the Alumni Association's Lobos for Legislation Committee hosted a reception for the Legislative Finance Committee (LFC) on December 6th in Santa Fe at the Rio Chama Steakhouse. Over 75 people attended the reception including the following legislators: Senators: John Arthur Smith, Sue Wilson Beffort, Mary Kay Papen, John Sapien, Carroll Leavell, Steven Neville and Representatives: Luciano "Lucky" Varela, Rhonda King, Larry Larranaga, Henry Kiki Saavedra, Don Tripp and James White. In addition, David Abbey, Director of the LFC, Charles Sallee, Higher Ed Department Chair and Tracy Hartzler-Toon, Higher Education analyst were in attendance.</p> <p>The Alumni Association's annual Legislative Appreciation Reception will be on Monday, January 30th at La Fonda Hotel in Santa Fe from 6:00 to 7:30 p.m. We hope all the Regents and Regent Advisors will mark their calendars to attend.</p>
<p>Foster enduring involvement with, pride in and commitment to UNM, its colleges, schools and programs.</p>	<p>UNM Press and the UNM Alumni Association have joined forces to offer a book sale featuring 25% off UNM Press titles, with 10% benefiting the association. Book titles include areas on Art & Photography, History, New Mexico, Biographies, Children's books, Cook books, Nature, American Indian, Fiction and poetry, and Travel. Holiday shopping is available at http://issuu.com/unm-alumni-association/docs/holiday_2011.</p>
<p>Engage students in ways that will develop lifetime ties to UNM.</p>	<p>Trailblazers presented Student Ambassador Programs: A Hidden Resource at this year's New Mexico Association of Student Affairs Professionals (NMASAP) Conference held on November 10th & 11th at the SUB.</p> <p>The Young Alumni group will introduce Fall Grads to the many programs and services provided by the Alumni Association by hosting a Welcome New Grad Wine & Cheese reception on December 15th from 5:30-7:00 p.m. at Hodgkin Hall.</p> <p>The Alumni Association will hand out a UNM Alumni portfolio/notebook as this year's gift to all graduating seniors on Tuesday, December 13th and Thursday, December 15th in the SUB Atrium from 11 a.m.-1 p.m. They will also receive an Alumni pin, information on the Association's services and affinity programs, and register for a mini-diploma on-line. The Association expects to mail over 500 mini-diplomas.</p>

<p>Encourage community service and leadership among alumni and students.</p>	<p>UNM Young Alumni hosted a Halloween Costume drive for Cuidando los Ninos and collected enough costumes for each of the children at the center. Art supplies were also collected during the social event at the Apothecary Lounge.</p> <p>In December Young Alums collected Toys for Tots during Hanging of the Greens at the UNM Alumni Memorial Chapel.</p> <p>The UNM Young Alumni Board is looking for new members to serve and help the chapter build community through social networking, community service and professional development events. Membership to the Young Alumni Association is open to all alumni who graduated within the past 15 years.</p>
<p>Communicate effectively with our diverse group of alumni.</p>	<p>The Alumni Association has redesigned its bimonthly e-newsletter, The Howler. The reinstated newsletter will be e-mailed this week with updates on alumni and university-wide events and information. The new format is designed to be more engaging and interactive.</p> <p>The 2012 Alumni Directory project is near completion. To date, over 24,000 alumni have updated their information.</p>
<p>Enhance services and benefits for alumni.</p>	<p>Community Receptions for UNM's Presidential Candidates are being held at Hodgkin Hall from 5:00-6:15 p.m. One more is scheduled for tonight.</p> <p>Alumni Association Chapters will be hosting various events for their regional alumni during the holiday season.</p>

Tab 6



THE UNIVERSITY of
NEW MEXICO

Presentation to
UNM Board of Regents

December 13, 2011

Presented by:

Overview

- ❖ **Your Service Teams**
- ❖ **Auditors' Role**
- ❖ **Summary of Audits**
- ❖ **Required Communications**
- ❖ **Other Communications**

Your Service Teams

Moss Adams	
Wayne Brown	Client Service Partner
Brandon Fryar	Engagement Review Partner – Main and Hospitals
KPMG	
Cynthia Reinhart	Managing Partner – Component Units
John Kennedy	Engagement Review Partner, Component Units

Auditors' Role

Roles of Moss Adams and KPMG

- ❖ Moss Adams is responsible for the financial statement audit of main campus and branches, health sciences center, hospitals, other clinical operations and the UNM Foundation
- ❖ Moss Adams also responsible for entity-wide Single Audit
- ❖ KPMG is responsible for the financial statement audit of 8 component units (all but the UNM Foundation)
- ❖ Moss Adams' reports on financial statements and internal controls refers to portions of UNM Audited by KPMG
- ❖ **Financial Statement Audits** – Audits performed in accordance with:
 - ◆ General Accepted Auditing Standards
 - ◆ Government Auditing Standards
 - ◆ New Mexico State Auditor Rule 2.2.2 NMAC
- ❖ **Federal Grant Compliance Audit** – audits of federal grants performed in accordance with OMB Circular A-133

Summary of Audits – Financial Statements

Financial Statement Opinions

- ◆ Unqualified Opinions on:
 - ◆ Government-wide Financial Statements
 - ◆ All Hospitals
 - ◆ All Component Units, except Lobo club

Summary of Audits – Internal Controls

Internal Control Reports

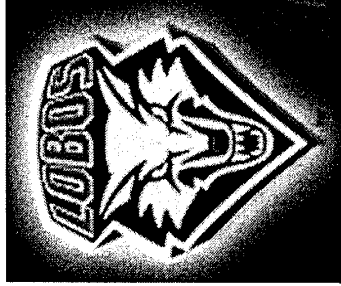
- ◆ No reported material weaknesses or material non-compliance
- ◆ Reported 2 “Other Matters”
- ◆ **A-133 (Single Audit) Reports**
 - ◆ Unqualified A-133 Compliance opinion
 - ◆ No material weaknesses
 - ◆ No findings of non-compliance
- ◆ 4 Material weaknesses, and 1 significant deficiency reported on Lobo Club-Component Unit

Other Communications

- ❖ Quality accounting personnel in place – appropriate involvement and oversight by management in the financial reporting process
- ❖ Performed procedures, in accordance with SAS 99, to address potential fraud, none noted
- ❖ No disagreements with management
- ❖ No consultation with other independent accountants
- ❖ No major issues discussed with management prior to retention as auditors
- ❖ No difficulties encountered in performing the audit
- ❖ No internal control material weaknesses identified
- ❖ No instances of noncompliance material to the financial statements identified
- ❖ In our professional judgment,
 - ◆ Moss Adams had no relationships with UNM, UNMH, UNM Behavioral Operations or the UNM Foundation that would impair independence during the year ended June 30, 2011
 - ◆ KPMG had no relationships with any of the other UNM component units that would impair independence during the year ended June 30, 2011

Closing

❖ Thank you



Tab 7

Health Sciences Board, Regent Carolyn J. Abeita, Chair

Action Items:

- A. Health Sciences Board of Directors Report to the UNM Board of Regents..... 7

Note: HSC Report posted on <http://regents.unm.edu/>

Tab 8

The UNM School of Medicine Faculty seeks approval from the HSC Board of Directors of the following granting of degrees to students, contingent upon satisfactory completion of their respective academic requirements:

Biomedical Sciences Graduate Program

I move approval by the faculty of the School of Medicine in granting of a Doctor of Philosophy in Biomedical Sciences to the students listed below contingent upon satisfactory completion of the academic requirements for this degree.

Agola, Jacob
Fajardo, Alexandra
Kaini, Ramesh
Riddle, Melissa
Scaling, Allison
Pesko, Kendra
Mara-Koosham, Gopi

I move approval by the faculty of the School of Medicine in granting of a Master of Science degree in Biomedical Sciences to the students listed below contingent upon satisfactory completion of the academic requirements for this degree.

Manzanilla, Elaine
Williams, David B.
Dowling, J. Andrew
Menicucci, Steven
Flores, Sonya

I move approval by the faculty of the School of Medicine in granting of a Master of Science degree in Biomedical Sciences, Clinical Research List Concentration to the students listed below contingent upon satisfactory completion of the academic requirements for this degree.

Duncan, Andrea
Guarnero, Peter

Dental Hygiene Program

I move approval of the faculty of the School of Medicine in granting of a Bachelor of Science degree in Dental Hygiene to the following student below contingent upon satisfactory completion of the academic requirements for this degree.

Valerie Sharp Mulligan

Physical Therapy Program

I move approval by the faculty of the School of Medicine in granting of a Doctor of Physical Therapy in Orthopaedics to the students listed below contingent upon satisfactory completion of the academic requirements for this degree.

Anna J. Keyser

Masters of Public Health

I move approval by the faculty of the School of Medicine in granting of a Master of Public Health to the students listed below contingent upon satisfactory completion of the academic requirements for this degree.

Lisa M. Astuto
Kimberely M. Espinoza
Gabriela Keener
Gabriela P. Rodrigues

Radiologic Sciences

I move approval of the faculty of the School of Medicine, in granting a Bachelor of Science Degree in Radiologic Sciences to these students listed below contingent upon satisfactory completion of the academic requirements for this degree.

Virginia R. Herrera
Kathy Roberts
Deanna Naranjo
Judy Paiz
Monica Arias
Therese Anne Groom

Physician Assistant Program

I move approval by the faculty of the School of Medicine in granting of a Bachelor of Science degree in Physician Assistant Studies to the students listed below contingent upon satisfactory completion of the academic requirements for this degree.

Shirlee James-Johnson
Gretchen Bailey
Jolene Vigil

Medical Student Affairs

I move approval by the faculty of the School of Medicine in granting of a Doctor of Medicine to the students listed below contingent upon satisfactory completion of the academic requirements for this degree.

Rahman Johnson

Emergency Medical Services

I move approval by the faculty of the School of Medicine in granting of a Bachelor of Science degree in Emergency Medical Services to the students listed below contingent upon satisfactory completion of the academic requirements for this degree.

Alexandria Berridge
Erin Frasier
Juan Martin
Amy Moore

Medical Laboratory Sciences

I move approval by the faculty of the School of Medicine in granting of a Bachelor of Science degree in Medical Laboratory Sciences to the students listed below contingent upon satisfactory completion of the academic requirements for this degree.

Brianna Bodo
Alicia Espinosa
Vincent Huynh
Samantha McIntyre
Stella Parker
Erika Sizemore
Vicky Tsui
Marla Walker
John Wilmsen
Leah Martinez
Deanna Uranga

Occupational Therapy Program

I move approval by the faculty of the School of Medicine in granting of a Masters degree in Occupational Therapy to the students listed below contingent upon satisfactory completion of the academic requirements for this degree.

Alexa Allen
Laura Carlise
Christina Czemske
Katie Elson-Romero
Raquel Guerrero
Clara Holguin
Autumn Latham
Robin Leinwand
Bethany Luke
Marianna McFadden
Heather Park
Suzanne Perea
Rosemary Reyes
Erin Schellinger
Alex Schema
Jessica Searer
Angela Shackford
Joan Turietta-Alarcon
Kimberly Warner

Tab 9

On 21 November, 2011 The College of Nursing faculty voted to approve the graduation of the following Fall 2011 students listed below contingent upon satisfactory completion of the academic requirements for this degree:

PhD

Gloria Browning

MSN

Helen Alarid
Alysia Christensen
Marion Deming
Lucas Gonzales
Geraldine Guerr-Sandoval
Marcia Hall
Ann Hardy
Joseph Hendrick
Margaret Hurst
Keyna Kester
Sandra Minter
Angela Ortiz
James C. Vigil

BSN

Maria Anderson
Amanda Arellano
Elizabeth Aronson
Erin Baragiola
Petra Bergenthal
Janaska Bigley
Jonica Castillo
Briana Castillo
Kristin Chambers
Cheryl Christopherson
Clay Church
Amanda Estes
Jocelyn Gilsdorf
Shelly Gonzales
Elizabeth Hawthorne
Brittney Irons
Manijeh Khavari
Paul Koury

Ashley LaBree
Valerie Mackey
Alison Marshall
Sara Martin
Lucas Miltenberger
Desiree Montoya
Jennifer Newcomer
Cecilia Ojeda
Chelsea Palmer
Lesleigh Perez
Jessamy Phillips
Monica Phillips
Leticia Rivas
Andrea Robles
Thomas Roha
Jeanne Ruff
Emily Schroeder
Nicole Smith
Rebecca Smith-Sealy
Jesse Stubbs
Kasey Swiontek
Caitlin Toledo
Suzanne Tolliver
Julie Torres
Mari Upshaw
Chung Yi

RN to BSN

Carlota Baca
Cynthia Bency-Witkin
Shawn Bowman
Carlos Bueno
Carey Carter
Rebecca Casalino
Maryam Chundoff
Becky Gonzales
Alton Grant
Margaret Hendrix
Jessica James
Jolly Joseph
Keely Justice
Sandra Kirchner
Pamela Medina

Allison Montano
David Muniz
Pamela Phillips
Julie Pickering
Karen Piel
Cecelia Samora
Amber Sweetser
Jesse White
Callie Whittington
Eleonora Yarotski
Lori Yonas

Tab 10



OFFICE OF THE DEAN

Donald A. Godwin, Ph.D.

Interim Dean and Associate Professor of Pharmacy

UNM Health Sciences Center

Good Morning,

Printed below is a list of our December graduates.

Doctor of Pharmacy

Anna Padilla

M.S. in Pharmaceutical Sciences

Yang Cheng

Akshay Kharat

Yuebin Zhao

Please let me know if you require further information.

A handwritten signature in black ink, appearing to read "Donald A. Godwin", with a long horizontal flourish extending to the right.

Donald A. Godwin

Interim Dean

Tab 11

**REQUEST FOR CAPITAL PROJECT APPROVAL for
DOMENICI ELECTRICAL UPGRADES TO 12.47KV
UNIVERSITY OF NEW MEXICO
November 22, 2011**

Note: Approved as a consent agenda item to UNM Board of Regents at UNM Finance & Facilities meeting November 03, 2011 pending approval by HSC Board of Directors.

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project Approval is requested for the Domenici Hall Electrical Upgrades to 12.47kV.

PROJECT DESCRIPTION:

Convert Pete and Nancy Domenici Hall from PNM's electrical service to UNM's electrical service.

PROJECT RATIONALE:

The Domenici Hall facility is presently served from the very end of a PNM overhead line which experiences problems several times per year that interrupts research and creates patient scheduling issues. Converting the facility's electrical service from PNM to the UNM electrical system will increase reliability of the facility's electrical service and reduce utility costs.

FUNDING:

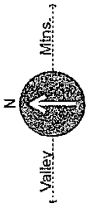
The total estimated Project Budget is \$500,000:

- \$500,000 is funded from Physical Plant Department Capital Reserves with an eight year payback @ \$62,000 per year from utility saving after the upgrade.

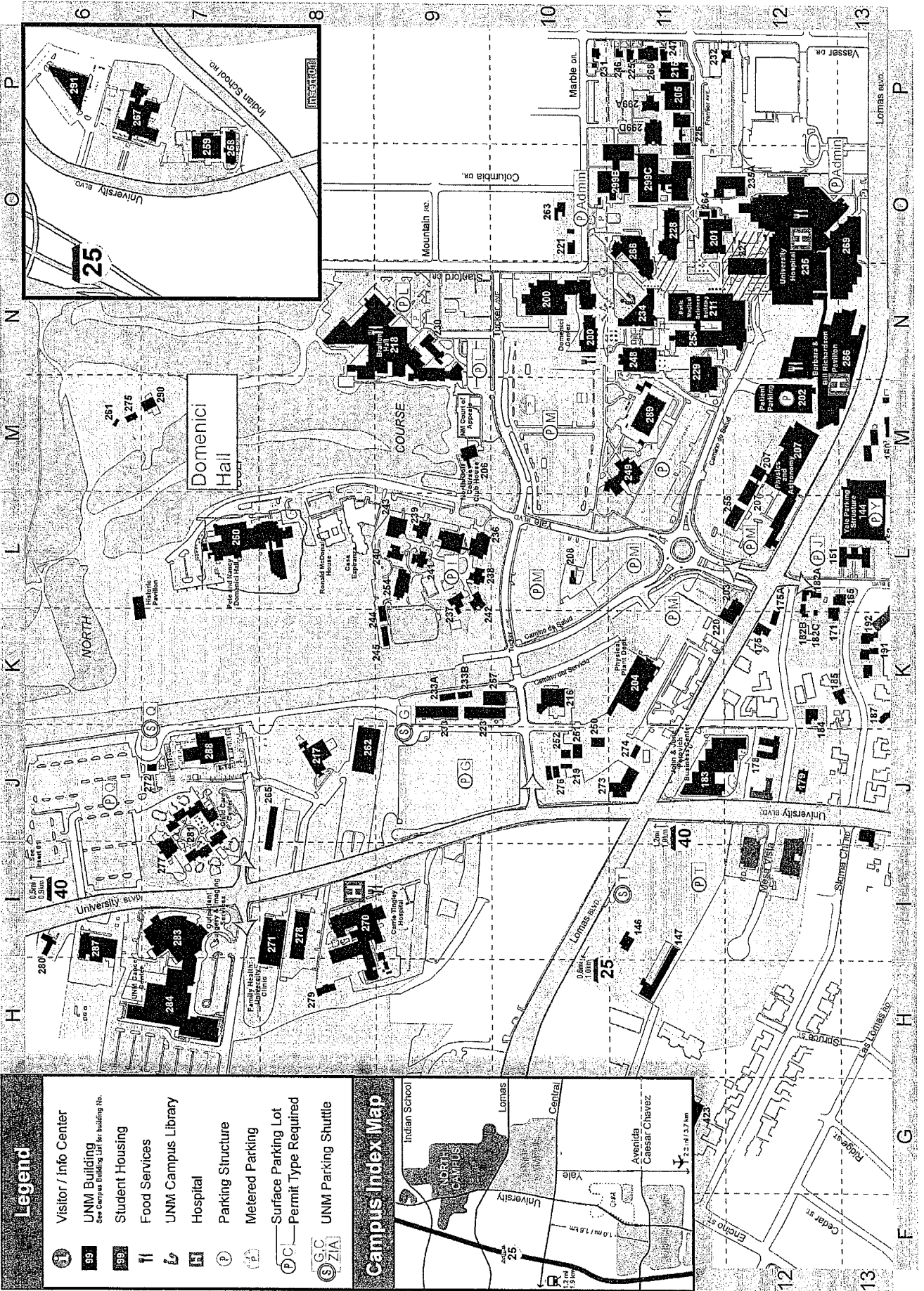


NORTH CAMPUS MAP

Planning & Campus Development :: Space Management Office :: spacemng@unm.edu :: UPDATED: 01-28-2011



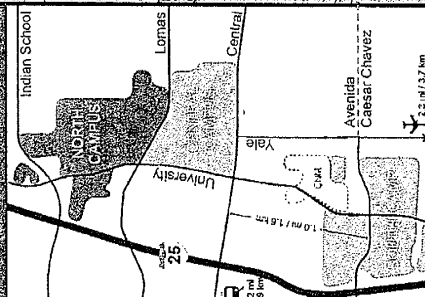
SCALE
500 ft



Legend

- Visitor / Info Center
- UNM Building
See Campus Building List for building no.
- Student Housing
- Food Services
- UNM Campus Library
- Hospital
- Parking Structure
- Metered Parking
- Surface Parking Lot
- Permit Type Required
- UNM Parking Shuttle

Campus Index Map



**REQUEST FOR CAPITAL PROJECT APPROVAL for
DOMENICI ELECTRICAL UPGRADES TO 12.47KV
UNIVERSITY OF NEW MEXICO
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FUNDING:

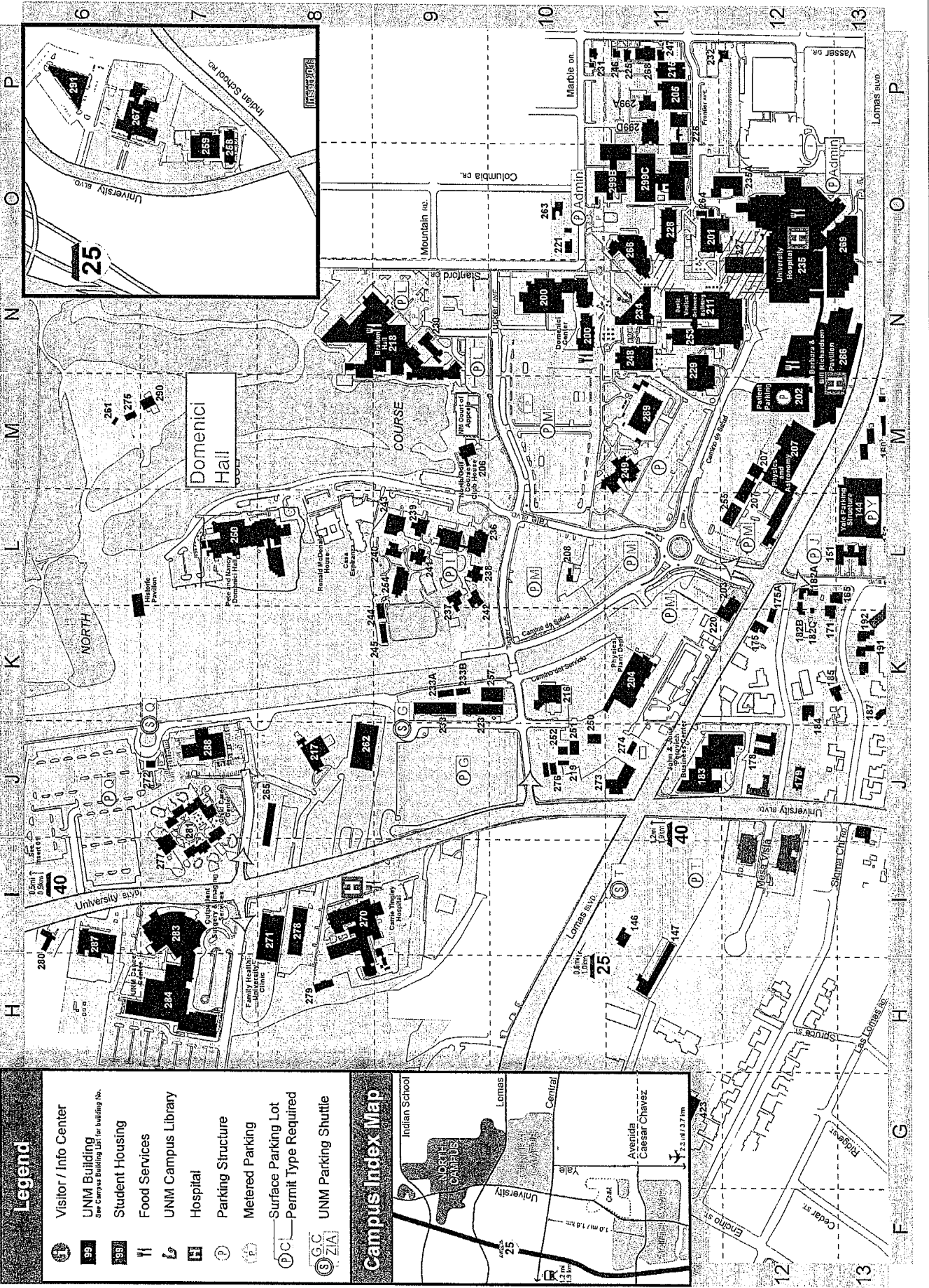
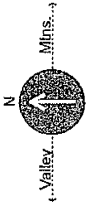
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NORTH CAMPUS MAP

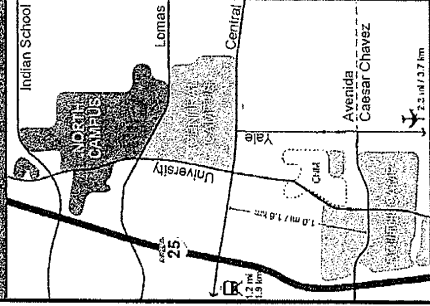
Planning & Campus Development :: Space Management Office :: spacemng@unm.edu :: UPDATED: 01-28-2011



Legend

- Visitor / Info Center
- UNM Building
See Campus Building List for building No.
- Student Housing
- Food Services
- UNM Campus Library
- Hospital
- Parking Structure
- Metered Parking
- Surface Parking Lot
Permit Type Required
- UNM Parking Shuttle

Campus Index Map



Tab 12



THE UNIVERSITY OF NEW MEXICO ♦ HEALTH SCIENCES CENTER

UNM HOSPITALS

**REQUEST FOR CAPITAL PROJECT APPROVAL for
UNM Hospitals, Replace environmental control heating at University Psychiatric Center (UPC)
UNIVERSITY OF NEW MEXICO
December 13, 2011**

REQUESTED ACTION:

As required by Section 7.12 of the Board of Regents Policy Manual and the NM Commission on Higher Education, capital project approval is requested for the **UNM Hospital, UPC Boiler Replacement**

PROJECT DESCRIPTION:

The scope of this project includes:

Engineer a new hot water system for environmental control heating at the University Psychiatric Center (UPC). The intent will be to decommission and remove a total of 3 boilers, 2 in the UPC Main building and 1 in the UPC Annex, and provide a new steam to hot water heat exchanger.

Work will include extending a 6" steam line and a 3" condensate line from the UNM Hospital Central Plant to the UPC Annex. In the UPC Annex there will also be a duplex heat exchanger system with a condensate pump installed. Additionally, there will be a 4" steam line and a 2" condensate line that will be extended from UPC Annex mechanical room to the UPC Main basement and a second duplex heat exchanger system with a condensate pump will be installed in the UPC Main basement mechanical room.

Finally, taps will be provided for future conversion of the gas fired domestic hot water system to be switched to a steam to hot water system at a later date.

PROJECT RATIONALE:

The intent of the project is to eliminate the two Kewanee boilers (UPC Main) and the single Laars boiler (UPC Annex). These boilers are over 36 years old and have exceeded their normal life and need to be replaced. The new design will provide a more reliable and efficient system. Consumption of natural gas will be reduced by approximately 70% which will result in a significant saving. This work will also provide for the UPC Annex to have a redundant system for the heating hot water.

FUNDING:

The total project budget is \$550,000 funded by UHMH Capital Fund.



THE UNIVERSITY OF NEW MEXICO ♦ HEALTH SCIENCES CENTER

UNM HOSPITALS

**REQUEST FOR CAPITAL PROJECT APPROVAL for
UNM Hospitals, Replace Emergency Power Transfer Switches – UNM Hospitals Central Plant
(UNMH) and University Psychiatric Center (UPC)
UNIVERSITY OF NEW MEXICO
December 13, 2011**

REQUESTED ACTION:

As required by Section 7.12 of the Board of Regents Policy Manual and the NM Commission on Higher Education, capital project approval is requested for the **UNM Hospitals, Replace Emergency Power Transfer Switches – UNM Hospitals Central Plant (UNMH) and University Psychiatric Center (UPC)**

PROJECT DESCRIPTION:

This project includes the demo and removal of 5 existing normal to emergency power transfer switches and the installation of five new Asco transfer switches. One at UPC and four at UNMH Central Plant

PROJECT RATIONALE:

The normal to emergency power transfer switch is a critical component of our electrical distribution system for patient care. In the event of a normal power loss, the transfer switch provides for an automated transfer of power from the normal power source (PNM) to the emergency generators (UNMH) and back again after restoration of normal power.

The five Transfer switches that need to be replaced are twenty years old. We have experienced performance inconsistencies during generator load tests. Technical support for these older switches is also limited.

FUNDING:

The total project budget is \$400,000 funded by UHMH Capital Fund.

Tab 13



THE UNIVERSITY OF NEW MEXICO ♦ HEALTH SCIENCES CENTER

UNM HOSPITALS

October 17, 2011

The Board of Trustees of the University of New Mexico Hospitals, Jerry Geist, Chair, respectfully requests that the appointment of Debbie Johnson to the University of New Mexico Hospital Board of Trustees be approved by the HSC Board and the University Board of Regents.

Deborah (Debbie) Johnson
Executive Director, RIESTER
1120 Pennsylvania NE, Albuquerque NM 87110
505-260-3320

Deborah Johnson is former Chairman & CEO of Rick Johnson & Company, Inc. in Albuquerque. RJC was one of the southwest region's largest ad agencies for over 30 years, and won over 1500 advertising excellence and business management awards worldwide. The Anderson Schools of Management at UNM recently named the Rick & Debbie Johnson Marketing Center in their honor.

She is currently an Executive Director at RIESTER, a large regional communications firm with offices in Phoenix, Los Angeles, Salt Lake City and Albuquerque.

Leadership Positions:

- Chairman of the Board, Affiliated Advertising Agencies International (World's Largest Professional Agency Organization - First Woman in 55 Year history), 1993
- Federal Reserve Board of Kansas City, New Mexico Member, Economic Advisory Council, 2004 - Present
- Chairman of the Board, Albuquerque Economic Development, 2002 - 2004 (first woman)
- Chairman of the Board, UNM Anderson Schools of Management, 1999 (first woman)
- Chairman of the Board, Central NM Susan G. Komen Foundation, 2001 (first woman)
- Chairman of the Board, Better Business Bureau, 1999 (first corporate woman)
- Chairman National Education Conference, 1995

Corporate Boards:

- Director, Los Alamos National Bank (only bank in America to have received the National Malcolm Baldrige Quality Award) since 2001. Chairman Strategic Planning Committee

Advisory Boards:

- Director, Albuquerque Economic Development, Since 1994
- Director, Association of Commerce & Industry, 1999 - 2001; 2004 - 2007
- Director, Quality New Mexico, 1996 - 1999 (Charter Board, Senate Appointee)
- Director, St. Joseph Hospital Foundation, 1999 - 2003
- Director, New Mexico Press Women, 1984 - 1986
- Director, Albuquerque Sports Council, 1996 - 1997
- Director, Magnifico Arts Festival, 1989 - 1993 (Charter Board)
- Gubernatorial Appointee, Governor's Business Executives for Education, 1995 - 1996
- Gubernatorial Appointee, Governor's Business Advisory Council, 1997
- Charter Member, Albuquerque Arts Alive, 1998
- Charter Member, United Way Women's Leadership Council, 2003 - 2005
- Gubernatorial Appointee, Governor's Workforce Oversight Committee, 2006 - 2007
- Director, Greater Albuquerque Chamber of Commerce, 2010 - 2013

Awards/Honors:

- Working Woman Magazine, "Top 500 Woman Owned U.S. Businesses" June, 2001
- Advertising Age Magazine, "Top U.S. Agencies", 2005
- New Mexico Advertising Federation, Silver Medal, 1994
- National Association of Female Executives, Female Executive of the Year, 1994
- New Mexico Business Journal, "State's Most Influential", 1998

Awards/Honors, continued:

- New Mexico Business Weekly, "Top 100 Power Brokers", 1996 - 2006
- New Mexico Business Journal, Private 100, "Most Admired" Company, Finalist 3 Years
- University of New Mexico, Distinguished Journalism Graduate, 1995
- YWCA, "Woman On The Move", 1996
- Worldwide Partners, Emory Business Management Award, 1990
- NM Women's Magazine, "Top Ten Smart, Savvy, Successful", 1998; "Top 25 Women in Business", 2000 & 2001
- YWCA, Vanguard Award, 1995
- University of New Mexico ZIA Award, 1999
- TANM, "Tourism Professional of the Year", 2000
- NM Commission on the Status of Women, "Visions" Award, 1995
- Chamber of Commerce, Maxie Anderson Small Business Award, 1999
- National Jewish Hospital, "Spirit of Achievement", 2002
- YWCA, "New Mexican of Vision", 2004
- NM Business Weekly, "Woman of Influence", 2006
- Tourism Association of NM, Hall of Fame Inductee, 2010
- Association of Fundraising Professionals, Outstanding Leader in Philanthropy, 2010

Education, Other Experience:

- BA, University of New Mexico, Speech and English Education, 1973
- Master's Equivalent, University of New Mexico, Journalism, 1976
- Associated Press, Writer, 1975
- Albuquerque Public Schools, High School Teacher, 1974 - 1979
- Executive Women International, Local Chapter Charter Member, 1985
- National Association of Women Business Owners, Leadership Charter Member, 1989
- Editor, Women In Business Column, Albuquerque Journal Business Outlook, 1990
- Talk Show Host & Creator, KBTK-AM Radio Women's Forum, 2001 - 2003; KKOB-AM Radio "Women's Room", 2005 - Present

Strengths/Expertise:

- Marketing and Advertising
- Public Relations and Corporate Communications
- Strategic Planning
- Presentations, Speeches, Training
- Research Analysis & Application

Tab 14



The University of New Mexico
Purchasing Department
MSC01 1240
1 University of New Mexico
Albuquerque, NM 87131-0001

MEMORANDUM

To: David Harris, Executive Vice President for Administration
From: Bruce Cherrin, Chief Procurement Officer *BC*
Subject: Contract Approval
Date: November 28, 2011

The following is being submitted for approval per Regent Policy 7.4. This will need to proceed to the full Board of Regents.

University of New Mexico Hospital

1. Dekker/Perich/Sabatini, Ltd.

Source of Funds: UNM Hospitals Capital Budget

Description:

Request to move to Phase III of the existing consulting services agreement for planning services for the UNM Health Sciences Center Master Plan. The original agreement was for Dekker/Perich/Sabatini (DPS) to develop a refined scope of work to address a more detailed planning effort for the UNM HSC Campus Master Plan to include project management, existing conditions documentation, site precept/guiding principles development, concept development and an approved master plan for the UNM Hospitals north campus corridor. Agreement was approved by the Regents on December 10, 2009.

This request will be for the planning and programming/design of a new addition to UNM Hospital in the lands west of University Boulevard along Camino de Salud. This facility is to be Phase I of the implementation of the Adult Acute Care Hospital identified in the HSC Master plan dated July 1, 2010. The original intent of the master plan was to build the new hospital as a single project. Due to capacity issues in the current hospital, a three phase approach is being taken. This project will consist of a new 100 bed hospital located by the Outpatient Surgery and Imaging Services (OSIS) building and Cancer Center. There will be a connection to the OSIS via an underground tunnel. This project will include adding six additional operating rooms for utility and operational support. Half of the 100 beds will be used for rehabilitation services and long term acute care beds. This facility will also house clinical and faculty office space for both the Eye Clinic and the CardioVascular Clinic.

Since opening the Pavilion in 2007, an additional 62 beds were opened in the old main hospital. There is no longer any additional space that could be renovated to create more inpatient beds. UNM Hospital currently has 306 adult

beds and consistently runs at 95% occupancy rate. The hospital is experiencing a significant increase in neurosciences and surgical oncology services patients. The emergency department at any given time can be holding 10 to 30 patients waiting for an inpatient bed. The average wait time for a patient in the emergency department for an inpatient bed is 24 to 36 hours.

In planning for the 100 bed hospital, consideration was taken of the new 68 bed Sandoval Regional Medical Center scheduled to open in the spring of 2012. There is enough demand within the health system to accommodate patients in both sites. The hospital will be moving forward with an external strategic planning consultant to validate the demand for services and program planning. Additional information regarding funding and feasibility will be brought forward.

Process:

RFP # 112038 was issued January 11, 2008 for master planning services that range from design, programming, and creation of construction documents through construction management. Dekker, Perich, Sabatini (DPS) is the selected Contractor through the RFP process for the UNM Master Plan. The original bid and award was designed to have one architecture firm provide services from original master planning through building design and support services. The University reserved the right to extend the contract at each defined phase to assure that it had control of the project and be able to rebid the project in the event that the architecture firm did not provide adequate services. To date, DPS has met or surpassed all requirements of the proposal and the contract. It is time to move to the next phase and therefore management is requesting an amendment of the existing consulting services agreement for planning services for the UNM Health Sciences Center Master Plan for design of the new phase I of the adult hospital rebuild. Once construction costs are known, the cost of renovation will be brought back to Regents for Renovation Project approval.

Cost: See table below:

<u>Service Fee Breakdown</u>	<u>Pre-Phase</u>	<u>Phase I</u>	<u>Phase II</u>	<u>Phase III</u>	<u>Total</u>	<u>% age</u>
Schematic Design	\$ 832,775	\$ -	\$ -	\$ -	\$ 832,775	15.0%
Hospital Programming	300,000	-	-	-	300,000	5.4%
Clinic Programming	83,780	-	-	-	83,780	1.5%
Design Development	-	1,495,550	-	-	1,495,550	26.9%
Low Voltage Design	-	110,000	-	-	110,000	2.0%
Construction Documents	-	-	1,495,550	-	1,495,550	26.9%
Bidding & Negotiation	-	-	-	249,260	249,260	4.5%
Construction Administration	-	-	-	997,035	997,035	17.9%
	\$1,216,555	\$1,605,550	\$1,495,550	\$1,246,295	\$5,563,950	100.0%

Each phase will require written notice to proceed. Costs above do not include NM Gross Receipts tax or other outside consultant services and fees that could amount to \$300,000 if the hospital elects to authorize them. The estimate of the Maximum Allowable Construction Cost (MACC) is \$84 million and this represents a fee rate of 6.5%. This would be a fixed fee regardless of increase of project cost within current scope.

University of New Mexico

2. HSC Office of Research – Agilent Technologies

The Health Sciences Center Office of Research is purchasing an approximately \$2 million customized BioCel 1200 Platform from Agilent Technologies for the new Center for Molecular Discovery. The BioCel System for the University of New Mexico’s Compound Library Preparation and Spotting System offers a flexible and scalable platform that will meet current automation requirements as well as future automation needs. The System will also allow an interface between Agilent and non-Agilent systems to perform integrated tasks.

The BioCel System is capable of automating simple single-process to complex multi-process applications in the following applications: compound management and microplate replication, high throughput screening and secondary assays in drug discovery research, and nucleic acid sample preparation in genomic laboratories. The use of Agilent Automation Solutions' powerful software will ensure the throughput, reliability and consistency of the system.

Source of Funding: The funding source for these services comes from a National Institutes of Health grant

Total cost: \$2,040,472.03

Tab 15




University Services

University Services Business Operations	UNM Surplus Property
UNM Copy Center	UnivServ Shipping and Receiving
UNM Mailing Systems	UNM Inventory Control
UNM Records Management	Chem Stores/CRLS
http://www.unm.edu/~univserv/	Copier Management Program

Date: November 11, 2011

To: Bruce Cherrin, Chief Procurement Officer
Purchasing Department

From: Debra L. Fondino 
Associate Director, University Services

Subject: Equipment Disposition – November 2011

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition Detail list for the month of November, 2011.

Consistent with UNM Board of Regents Policy 7.9 and the Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and Surplus Property Act.

Surplus Property Department
Disposition Detail List
as of 11-11-11

UNM#	Department surplus equipment	Description	Manufacturer	Model#	Serial#	Year	Acquisition Cost	NBV	Method Of Disposal Desc	Disposal Date
(1) 265739	Cancer Research Treatment Ctr	CR SCANNER	UNKNOWN/OTHER	GCS 3000 LASER		2004	\$ 107,177.00		0 Too Costly to Repair	11/07/2011
(1) 252250	Cancer Research Treatment Ctr	CR SCANNER SYSTEM	PACKARD	BioScience	5000XL	2001	\$ 82,275.00		0 Too Costly to Repair	11/07/2011
(2) 257652	Pathology Department	ROBOT	UNKNOWN	RoboSeq 4204	1659	2002	\$ 69,432.00		0 Too Costly to Repair	10/28/2011
(3) 209916	LosAlamos Branch	TELEPHONE SYSTEM	FUJITSU	F96005	UPGRADE	1996	\$ 57,292.00		0 Obsolete	11/11/2011
(4) 240480	SOE Mechanical Engineering	PROTOTYPING EQUIPMENT	BLUEOVEN	FDM1650	6735 - Stratasy	2000	\$ 50,317.00		0 Obsolete	11/07/2011
(5) 265233	IT Computing Platforms	COMPUTER FILE SERVER	IBM	Pseries 615	10188EC	2004	\$ 24,419.00		0 Too Costly to Repair	11/11/2011
(6) 226296	Chemistry Department	WASHER LAB GLASSWARE	FORMA SCIENTIFIC	8890	1117300481	1997	\$ 20,112.00		0 Obsolete	11/07/2011
(6) 192546	Chemistry Department	SPECTROMETER	MATTSON INSTRUMENT	2020	901033G	1991	\$ 19,929.00		0 Obsolete	11/07/2011

Total Disposition Items: 8

Disposition value: 430,953.00

Disposition bookvalue: 0.00

November 11, 2011

Additional Notes to Surplus listings dated 11/11/11:

1 –Assets# 265739 and #252250 are a Microarray scanner and system with an external laser from the UNM Cancer Center; both are possibly inoperable after several years of not being in use. The microarray scanner would require a *minimum* of \$6,000 in service contract or repair costs to be used. However, considering that the entire system is now obsolete and old technology, the Cancer Center will not repair it and be able to put it to use.

2 –Asset# 257652, a Biotech Robotic Sequencer used in the Pathology Lab, failed and could not be repaired. The original manufacturer had been bought out by another company and the system software was no longer being supported. This made the equipment no longer useable for research; therefore, the equipment was sent to Surplus Property.

3 –Asset# 209916, a 1996 phone system from Los Alamos, became obsolete when the Los Alamos campus underwent significant IT infrastructure improvements.

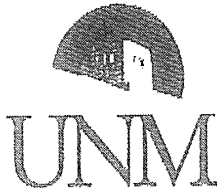
4 –Asset# 240480: Mechanical Engineering attempted to repair and update this prototype 3D Printer in July of this year. When the manufacturer was contacted, ME was informed that because the equipment is older, the manufacturer would no longer offer material or support for the system as of the end of this year. This renders the equipment as obsolete.

5 –Asset# 265233, an IBM computer file server from IT Computing Platforms, was showing signs of hardware failure. Rather than repair the equipment and continue with a higher expense to maintain it, the services were moved to newer servers with better performance and lower maintenance costs. The server was decommissioned.

6 – Assets# 226296 and # 192546, a 1997 Lab Glassware Washer and a 1991 Spectrometer used in the Chemical Biology lab, are now obsolete. The Washer was not meeting the required standards for the labs and a replacement was ordered. The Spectrometer is no longer supported by the manufacturer and the lab has no further need for the instrument. Since it has not been used for more than two years, Chemistry felt it pertinent to surplus the old equipment to free up space for new projects.

Note: Any item of value in this list will be re-sold through all means available, i.e.: Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to re-invest in the mission of the requesting department.

Tab 16



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Purchasing Department
MSC01 1240
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University of New Mexico

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Source of Funding: The funding source for these services comes from a National Institutes of Health grant

Total cost: \$2,040,472.03

Tab 17



Office of Capital Projects

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEMS TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: November 18, 2011
TO: David W. Harris, EVP for Administration, COO & CFO
FROM: Vahid Staples, Budget Officer, Office of Planning, Budget & Analysis
RE: Requested Approvals

RECOMMENDED ACTION:

Recommend to the Board of Regents Finance and Facilities Committee the following:

1. Request for Capital Project Approval for Collaborative Teaching and Learning Building
2. Request for Capital Project Approval for UNM Hospitals Replace Environmental Control Heating at University Psychiatric Center

Please see attached summaries and drawings.

cc: Chris Vallejos, Associate VP, Institutional Support Services
Mary Kenney, Robert Doran - PCD
W. Turner, R. Henrard, C. Martinez, C. Carian, T. Sanchez – OCP
Diane Gwinn, Academic Operations Officer, College of Education
Gary Smith, Director, Off Support Effective Teaching
Melissa Vargas, Chief of Staff, Provost's Office
Erin Doles, UNM Hospital Administrator for Professional Services & Support
Mark Kistner, Executive Director, UNM Hospital Facility Services

**REQUEST FOR CAPITAL PROJECT APPROVAL for
COLLABORATIVE TEACHING AND LEARNING BUILDING
UNIVERSITY OF NEW MEXICO
December 13, 2011**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the Collaborative Teaching and Learning Building to be located on the Main UNM Campus.

PROJECT DESCRIPTION:

The project will consist of a new state-of the-art interdisciplinary classroom building which will be located adjacent to Travelstead Hall on main campus. The classroom building will be 3 stories with approximately 26,000 gsf and will include shared-use classrooms, a prototype “sand box” classroom that will be used for teaching as well as for faculty development, small group study rooms, student gathering areas, and academic support space. The project will achieve LEED “Silver” Certification at a minimum.

PROJECT RATIONALE:

The Collaborative Teaching and Learning Building will provide new pedagogical learning environments that faculty from across the university (multi-disciplinary) can use for instruction and demonstration purposes. The innovative learning environments will be technology enabled with multiple projection systems, be correctly sized to address teaching styles, and have flexible seating for lecture format as well as for small group project sessions. The building would be used primarily for instruction and instructional support so creating a robust infrastructure that allows for changes in teaching style and technology is critical.

FUNDING:

The total estimated Project Budget is \$9,000,000

- \$6,000,000 is funded from 2008 State of New Mexico General Obligation Bond Proceeds
- \$3,000,000 is funded from 2007 UNM System Revenue Bond Proceeds

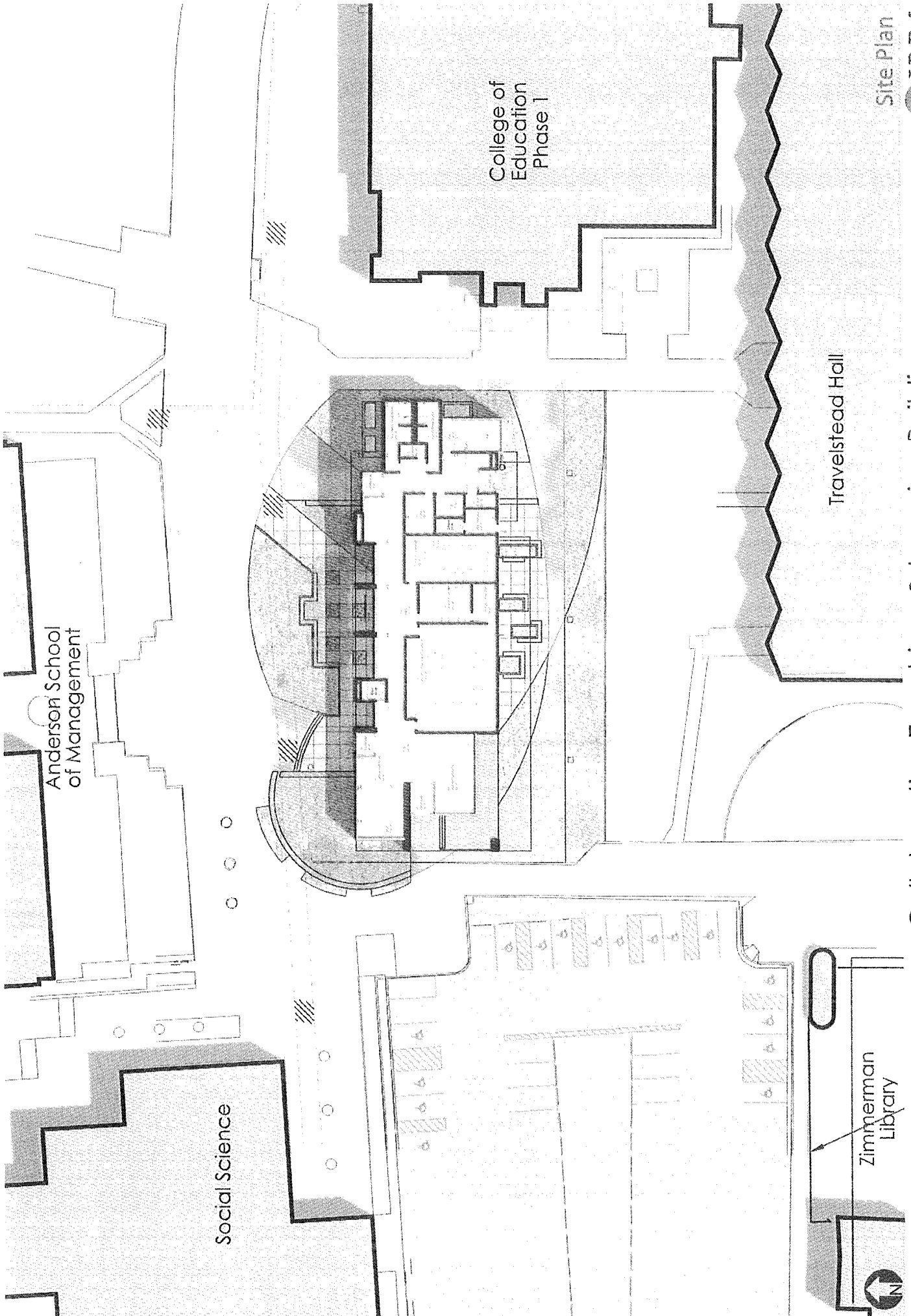


Dekker/Perich/Sabatini

Collaborative Teaching & Learning Building

Northwest Corner





Anderson School
of Management

Social Science

College of
Education
Phase 1

Travelstead Hall

Zimmerman
Library

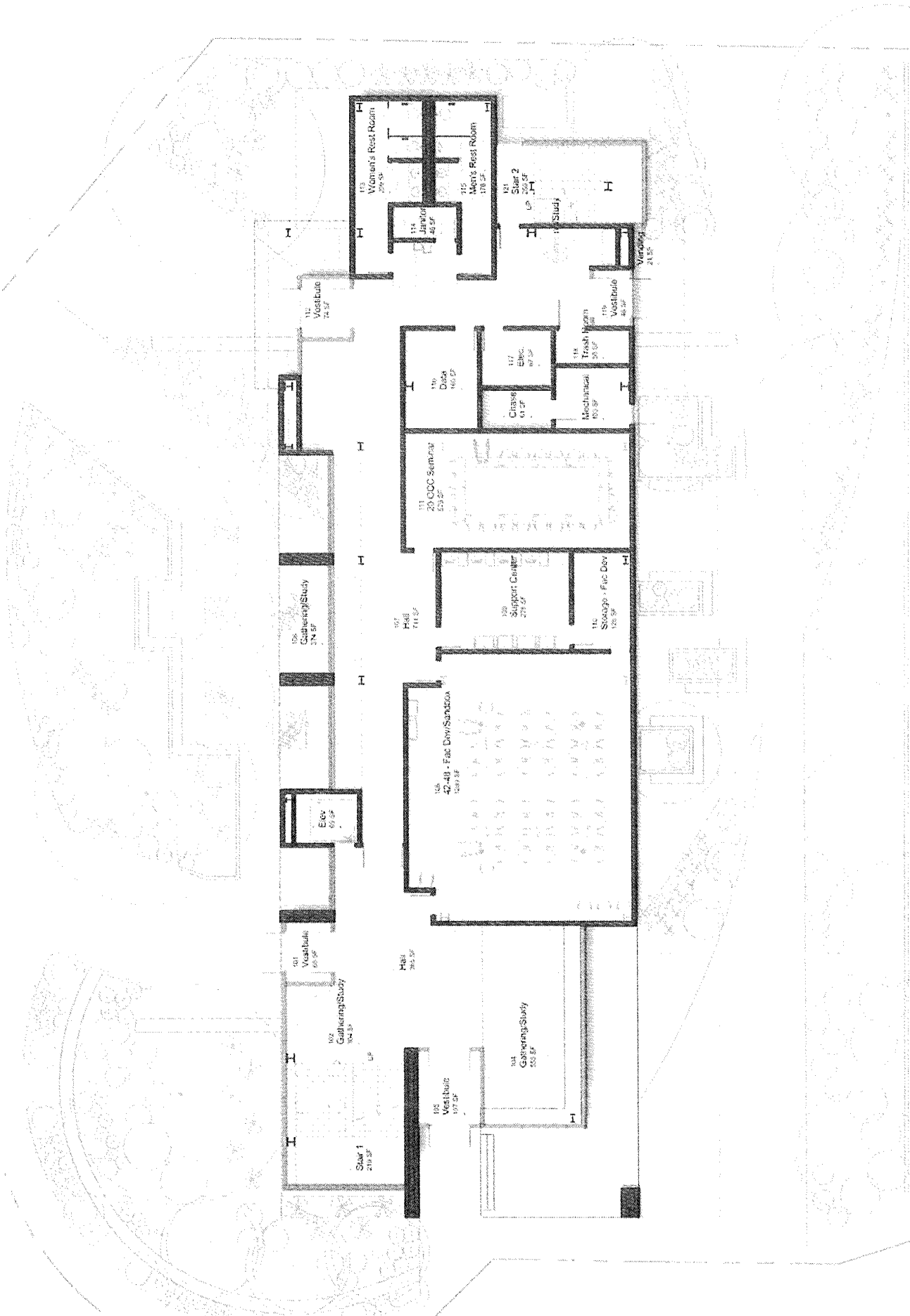
Site Plan



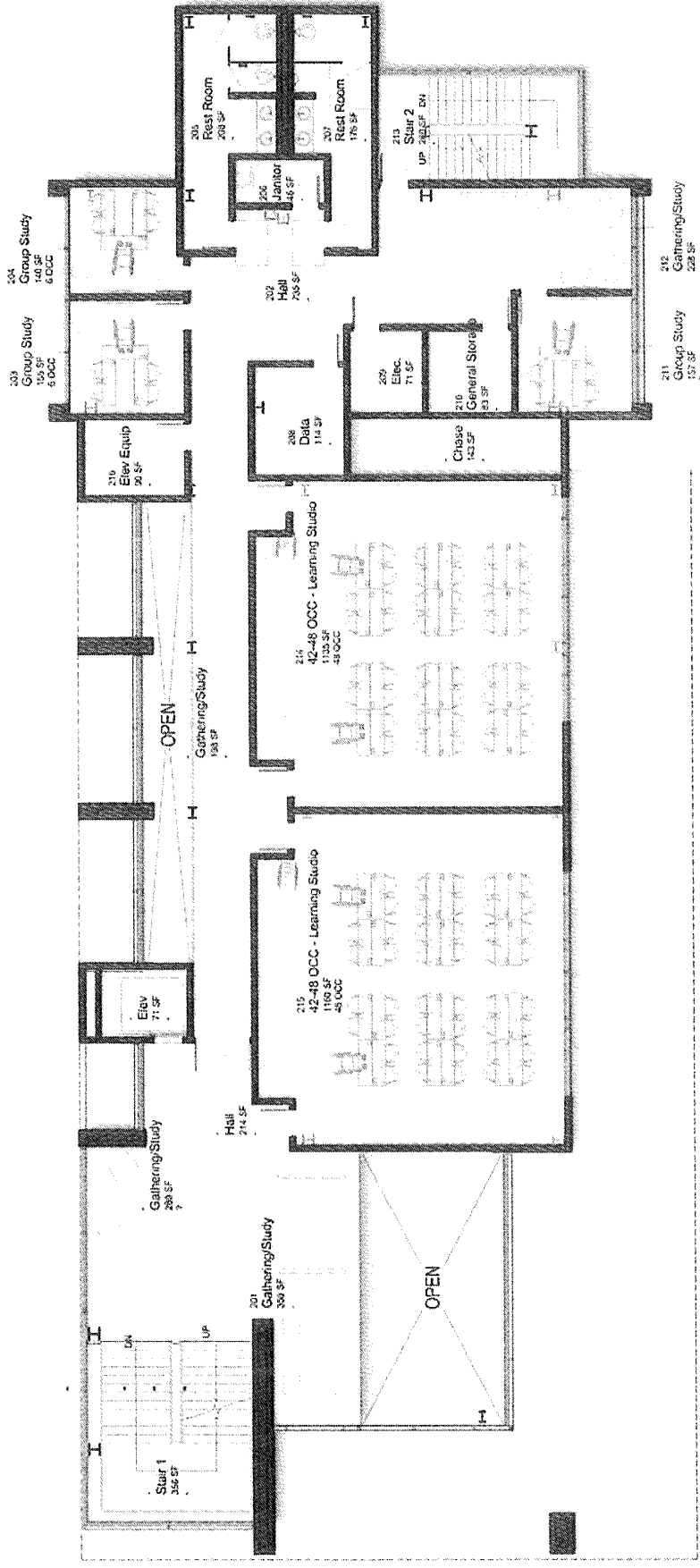
Collaborative Teaching & Learning Building

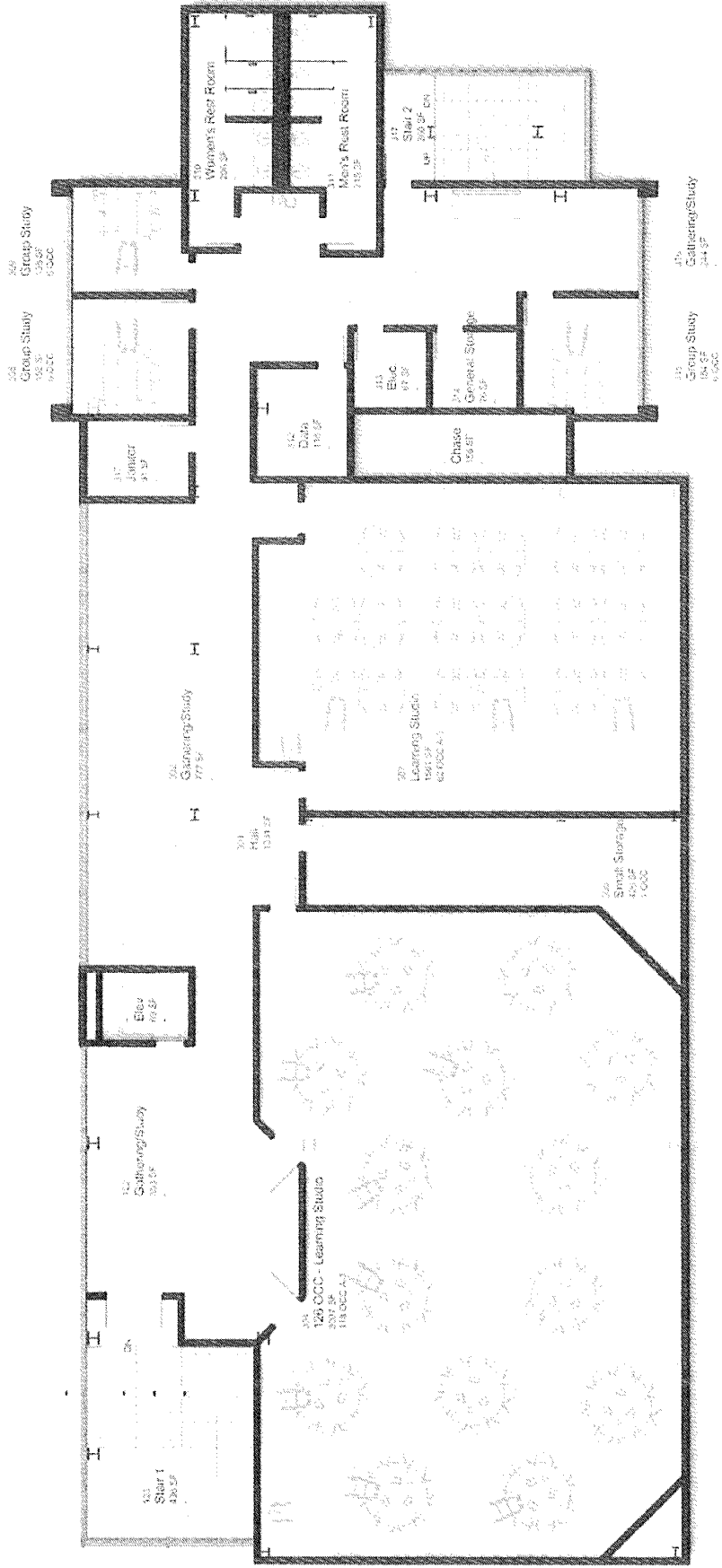
Bekker/Perich/Sabatini





Collaborative Teaching & Learning Building





**REQUEST FOR CAPITAL PROJECT APPROVAL for
UNM HOSPITALS REPLACE ENVIRONMENTAL CONTROL HEATING at
UNIVERSITY PSYCHIATRIC CENTER (UPC)
UNIVERSITY OF NEW MEXICO HOSPITALS
December 13, 2011**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the UNM Hospital, UPC Boiler Replacement.

PROJECT DESCRIPTION:

The scope of this project includes engineering a new hot water system for environmental control heating at the University Psychiatric Center (UPC). The project will decommission and remove a total of 3 boilers, 2 in the UPC Main building and 1 in the UPC Annex, and provide a new steam to hot water heat exchanger.

Work will include extending a 6" steam line and a 3" condensate line from the UNM Hospital Central Plant to the UPC Annex. In the UPC Annex there will also be a duplex heat exchanger system with a condensate pump installed. Additionally, there will be a 4" steam line and a 2" condensate line that will be extended from UPC Annex mechanical room to the UPC Main basement and a second duplex heat exchanger system with a condensate pump will be installed in the UPC Main basement mechanical room.

PROJECT RATIONALE:

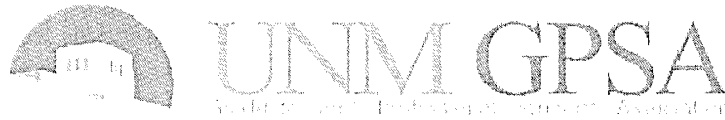
The intent of the project is to eliminate the two boilers in the Main building and the single boiler in the Annex. These boilers are over 36 years old and have exceeded their normal life and need to be replaced. The new design will provide a more reliable and efficient system. Consumption of natural gas will be reduced by approximately 70% which will result in a significant saving. This work will also provide for the UPC Annex to have a redundant system for the heating hot water.

FUNDING:

The total estimated Project Budget is \$550,000:

- \$550,000 is funded from UNM Hospital Capital Initiative Funds

Tab 18



To: Chairman Chalmers, Finance & Facilities Committee
From: Saliha Qasemi, GPSA Grants Chair; Kris Miranda, GPSA Grants Vice Chair; Katie Richardson, GPSA President
Date: 11/30/2011
Re: GPSA GRD Quasi-endowments

Recipients of the Graduate Research & Development (GRD) grant carry out a wide range of research projects, some of which are of direct and obvious benefit to New Mexico through collaboration with state agencies or local non-profits or research institutions. Even those projects that do not directly benefit New Mexico communities or agencies, because of their scope and complexity, speak well of the research encouraged and facilitated by departments and colleges of the University of New Mexico.

It is important that the GRD be sustained throughout the years to come. **We would like the permission to transfer all carry forward balances and excess funds that were not used in this year's GRD grants process back into the quasi-endowment held by UNM Foundation on behalf of GPSA.**

After the fall 2011 GRD cycle we now have \$113,476.85 in the GRD account. This amount is comes from a substantial balance forward from unclaimed grants over the past decade and the fact that GPSA did not run a grants cycle in the first year of GRD funding. The GPSA Grants Committee and the student researchers we serve would be better served if \$106,559.78 of these funds were transferred into the GRD Quasi Endowment.

The GPSA, in conjunction with the Student Government Accounting Office accountant Yvette Hall, attempted to invest \$73,157.37 in the quasi-endowment in fall of 2011, but it came to our attention in the spring of this year that this investment requires Finance & Facilities committee approval. The Student Government Accounting Office is a department within the Division of Student Affairs and is managed by Yvette Hall, Accountant III.

Therefore, we ask that the Board of Regents both approve this one-time transfer and also grant the Student Government Accounting Office permission to make future transfers to GPSA quasi-endowment accounts upon approval of the GPSA leadership to enable GPSA to best steward our grant dollars.

We have attached several research summaries from the most recent group of GRD recipients.

Recent GRD Grant Recipients

Megan Workman

Anthropology

In collaboration with the State Demographics Agency of New Mexico (SDANM), my dissertation project will investigate the demographic characteristics (e.g. socioeconomic status neighborhood of residence) associated with type-II diabetes risk among New Mexican young adults. Research suggests that the demographic characteristics of children influence their physical development, resulting in differences in the ways their bodies function when they grow up (e.g., hormone levels, muscle physiology). One current hypothesis in Biological Anthropology is that childhood demographic characteristics predict levels of metabolism-regulating hormones (e.g., insulin) and muscle physiology later in life, which in turn determine a person's risk of developing type-II diabetes.

My dissertation will compare the hormone levels and muscle physiology of young adults from different demographic backgrounds. I am interested to see if childhood experiences predict the hormone levels and muscle physiology that are associated with type-II diabetes. This is especially interesting because people are often not diagnosed with diabetes until they become obese. My research may suggest that obesity is itself a result of fundamental differences in body functions. If this is the case, and differences in body functions result from childhood experiences, then obesity and diabetes may be preventable from an early age.

Laura McCann

Individual, Family & Community Education

Students in Kindergarten through 4th grade attended the Santa Fe Farmers' Market on Tuesday mornings this fall to participate in the Children's Nutrition Program (CNP) pilot (my research intervention) as a class field trip. At the market, they engaged in an interactive curriculum that explored local foods and how they are grown; they met local farmers and had the opportunity to taste several fruits and vegetables (F&V). They were given wooden tokens to spend at the market on a fruit or vegetable to take home to their family. Teachers were supplied with supplemental curriculum to expand upon the CNP in the classroom. Parent surveys requested feedback on the child's experience at the farmer's market and the food they brought home. Using the WillTry tool, a validated survey that measures children's willingness to try F&V, I conducted pre- and post-test interviews with consented students to determine whether the program had an influence.

Kayce Bell

Biology

The project investigates the evolutionary histories and interaction of climate in a host-parasite system. New Mexico will warm with climate change and chipmunk populations will respond. These responses will expose chipmunks to novel parasites. Determining how easily parasites are able to switch host species has implications for future chipmunk populations and can also inform us about potential host switches from wild populations to humans. My project uses cutting-edge techniques to address questions about coevolution, climate change, and parasites by studying chipmunks and parasitic lice.

Alex Adams

Political Science

This proposed research project looks at the relationship between representation and accountability in United States politics by utilizing survey experiments about hypothetical political scandals. More specifically, I am to further this literature by exploring how voters react to political scandals and more generally whether citizens will vote their representatives out of office when they have tarnished the office and representation of their constituents.

Kevin Michael Hobbs
Earth & Planetary Science

My research focuses on a 10-million-year-long record of Paleogene climate change from the sedimentary rock units of the San Juan Basin in northwestern New Mexico. The early Paleogene period was a time of long-term global warming punctuated by transient (100,000-year scale) intense warming events called “hyperthermals.” The most drastic of these hyperthermals, the Paleocene-Eocene Thermal Maximum (PETM) caused an 8-degree Celsius increase in global average temperatures. The PETM was likely caused by an introduction of carbon dioxide into Earth’s atmosphere similar in rate and volume to recent and predicted future anthropogenic carbon dioxide releases. Since studies of modern climate change are limited in temporal scope, research concerning past periods of Earth history during which rapid global warming occurred are crucial to understanding the long-term effects of climate change on ecosystems and surficial processes.

In order to better understand effects of climate change that is similar to modern climate change, I am investigating the changes in soils, plant communities, and microbial communities during a 10-million-year-long period of Earth history that saw many drastic short-term and long-term climate changes. My research will produce several independent estimates of the atmospheric temperatures, annual precipitation, atmospheric carbon dioxide concentration, and floral community change through the Paleogene. An enhanced understanding of these proxies, the processes that affect them, and the changes they went through during ancient climate change will lead not only to more insightful consideration of the Paleogene history of New Mexico, but also to some predictions of how future climate change will affect the processes, ecosystems, and conditions at the Earth’s surface.

Brenna Greenfield
Psychology

This research will investigate the relationship between racial discrimination and substance use among American Indian college students, as well as the protective effects of cultural factors such as spirituality, using a one-time questionnaire as well as daily diary methods. By considering the impact of racial discrimination, the results from this study can be used to develop interventions to reduce substance use and increase college retention among American Indians.

Elena Windsong
Sociology

My dissertation studies one Albuquerque neighborhood to better understand how people experience their neighborhoods by examining processes of inclusion and exclusion and how boundaries are constructed among residents. The GRD grant allows me to hire a professional agency to complete transcriptions of in-depth interviews.

Alexandra Priewisch
Earth & Planetary Science

I study large-volume travertine deposits in New Mexico and Arizona which represent a rich archive of past climate conditions and a valuable record of past groundwater activity.

Jaelyn deMaria
Communication

Survival of traditional agricultural communities and the physical and cultural health of people who are connected to them are at stake in New Mexico. The regional landscape is a place where opportunities for survival and sustainability lie in the ability of seeds to grow. The Southwest region is a place where heirloom seeds were, and continue to be, passed down from generation to generation and carried across

regional trade routes in natural and organic diffusion processes. The ability and opportunity for traditional seeds to grow has been severely threatened in recent years through land loss, environmental contamination, corporate control of seed patents and the introduction of genetically modified seeds into wide spread agricultural processes.

Through my lived experience and in my research, I realize that issues dealing with land, water and seeds are some of the most urgent in New Mexico communities. These local community issues connect to growing global concerns and activism about corporations disseminating and creating dependence on genetically engineered seeds.

Specifically, I want to know:

(RQ 1) Why and how are New Mexicans claiming space for native seeds?

(RQ 2) What communication strategies and technologies are being used to engage that resistance?

As a photographer and multimedia producer, I am confident that this grant will provide the professional equipment that is necessary to produce high quality images for publication and archival purposes. The work that is produced from this project has potential to provide a model for future public documentary projects that build on and expand upon the idea of community-based research projects.

Tab 19

Supporting documentation for this item will be submitted on or before the day of the Regents' Finance and Facilities Committee meeting.

Tab 20



Office of the Vice President for HSC/UNM Finance
and University Controller
1 University of New Mexico
MSCO1 1300
Albuquerque, NM 87131

MEMORANDUM

DATE: November 22, 2011

TO: David W. Harris
Executive Vice President

FROM: Ava J. Lovell, CPA
Vice President for HSC/UNM Finance
& University Controller

RE: Information Item for Board of Regents' Finance & Facilities Committee Meeting

The Monthly Consolidated Financial Report for the month ended October 31, 2011 will be presented at the December 8, 2011 Finance and Facilities meeting. In order to present the most-timely financial information at this meeting Financial Reports will be distributed at the F&F meeting in lieu of having them included in the bound agenda book.

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the Four month period ended October 31, 2011
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2012 Full Year Operating Budget	FY 2012 Year-to-Date Actual	Fiscal YTD Favrbll/(Unfavrbll) Budget	Actual to Budget Benchmark Rate 33%
Instruction and General				
Tuition and Fees Revenues	155,520,895	84,767,292	(70,753,603)	55%
State/Local Appropriations	245,655,915	80,113,912	(165,542,003)	33%
F & A Revenues	39,500,000	14,550,467	(24,949,533)	37%
Transfers	(56,307,151)	(18,278,813)	38,028,338	32%
Other Revenues	22,505,192	7,599,854	(14,905,338)	34%
Total Instruction and General Revenues	406,874,851	168,752,712	(238,122,139)	41%
Salaries	254,813,079	79,487,760	175,325,319	31%
Benefits	75,065,122	22,154,876	52,910,246	30%
Other Expenses	92,523,914	23,355,464	69,168,450	25%
Total Instruction and General Expenses	422,402,115	124,998,100	297,404,015	30%
Net Instruction and General Revenue/(Expense)	(15,527,264)	43,754,612	59,281,876	
Research				
State/Local Appropriations	9,573,008	3,502,442	(6,070,566)	37%
Transfers	24,411,521	5,684,502	(18,727,019)	23%
Other Revenues	3,775,641	946,480	(2,829,161)	25%
Total Research Revenues	37,760,170	10,133,424	(27,626,746)	27%
Salaries and Benefits	26,788,722	8,013,527	18,775,195	30%
Other Expenses	21,287,670	4,434,853	16,852,817	21%
Total Research Expenses	48,076,392	12,448,380	35,628,012	26%
Net Research Revenue/(Expense)	(10,316,222)	(2,314,956)	8,001,266	
Clinical Operations				
State/Local Appropriations	22,147,400	7,107,167	(15,040,233)	32%
Physician Professional Fee Revenues	100,253,515	34,140,356	(66,113,159)	34%
Hospital Facility Revenues	635,690,439	213,341,761	(422,348,678)	34%
Other Patient Revenues, net of Allowance	86,350,684	28,925,561	(57,425,123)	33%
Mill Levy	90,562,935	30,187,765	(60,375,170)	33%
Investment Income	4,127,881	209,308	(3,918,573)	5%
Gifts	2,425,503	927,064	(1,498,439)	38%
Housestaff Revenues	30,423,113	10,692,553	(19,730,560)	35%
Other Revenues	13,514,329	5,527,742	(7,986,587)	41%
Total Clinical Operations Revenues	985,495,799	331,059,277	(654,436,522)	34%
Salaries and Benefits	546,284,184	180,859,788	365,424,396	33%
Debt Service	7,958,752	2,918,536	5,040,216	37%
Housestaff Expenses	30,451,294	9,990,193	20,461,101	33%
Other Expenses	404,174,207	135,505,199	268,669,008	34%
Total Clinical Operations Expenses	988,868,437	329,273,716	659,594,721	33%
Net Clinical Operations Revenue/(Expense)	(3,372,638)	1,785,561	5,158,199	
Public Service				
State/Local Appropriations	3,526,500	1,178,952	(2,347,548)	33%
Sales and Services Revenues	10,661,189	4,142,547	(6,518,642)	39%
Gifts	7,056,723	3,969,990	(3,086,733)	56%
Transfers	2,332,108	1,640,180	(691,928)	70%
Other Revenues	4,687,356	1,468,128	(3,219,228)	31%
Total Public Service Revenues	28,263,876	12,399,797	(15,864,079)	44%
Salaries and Benefits	15,434,447	4,952,276	10,482,171	32%
Other Expenses	17,763,069	3,577,420	14,185,649	20%
Total Public Service Expenses	33,197,516	8,529,696	24,667,820	26%
Net Public Service Revenue/(Expense)	(4,933,640)	3,870,101	8,803,741	

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the Four month period ended October 31, 2011
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2012 Full Year Operating Budget	FY 2012 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%
Student Aid				
Gifts	2,451,568	1,663,841	(787,727)	68%
State Lottery Scholarship	32,700,000	16,350,000	(16,350,000)	50%
Transfers	13,104,867	5,738,210	(7,366,657)	44%
Other Revenues	552,964	88,287	(464,677)	16%
Total Student Aid Revenues	48,809,399	23,840,338	(24,969,061)	49%
Salaries and Benefits	3,695,995	1,253,133	2,442,862	34%
Other Expenses	54,609,260	24,960,994	29,648,266	46%
Total Student Aid Expenses	58,305,255	26,214,127	32,091,128	45%
Net Student Aid Revenue/(Expense)	(9,495,856)	(2,373,789)	7,122,067	
Student Activities				
Fee Revenues	5,705,246	2,520,666	(3,184,580)	44%
Sales and Services Revenues	1,197,410	582,347	(615,063)	49%
Transfers	756,679	23,597	(733,082)	3%
Other Revenues	151,487	57,605	(93,882)	38%
Total Student Activities Revenues	7,810,822	3,184,215	(4,626,607)	41%
Salaries and Benefits	4,237,719	1,325,022	2,912,697	31%
Other Expenses	3,774,525	1,214,052	2,560,473	32%
Total Student Activities Expenses	8,012,244	2,539,074	5,473,170	32%
Net Student Activities Revenue/(Expense)	(201,422)	645,141	846,563	
Auxiliaries and Athletics				
Branch Campuses Auxiliary Revenues	2,728,296	1,249,120	(1,479,176)	46%
Main Campus Auxiliaries Revenues	52,697,732	20,544,515	(32,153,217)	39%
Athletics Revenues	30,342,530	9,682,732	(20,659,798)	32%
Total Auxiliaries and Athletics Revenues	85,768,558	31,476,367	(54,292,191)	37%
Branch Campuses Auxiliary Expenses	2,736,699	1,029,937	1,706,762	38%
Main Campus Auxiliaries Expenses	53,983,002	18,801,211	35,181,791	35%
Athletics Expenses	30,836,430	11,096,195	19,740,235	36%
Total Auxiliaries and Athletics Expenses	87,556,131	30,927,343	56,628,788	35%
Net Auxiliaries and Athletics Revenue/(Expense)	(1,787,573)	549,024	2,336,597	
Sponsored Programs				
Federal Grants and Contracts Revenues	195,470,345	95,248,355	(100,221,990)	49%
State and Local Grants and Contracts Revenues	37,608,854	8,759,083	(28,849,771)	23%
Non-Governmental Grants and Contracts Revenues	22,642,464	8,072,938	(14,569,526)	36%
Gifts	190,776	79,141	(111,635)	41%
Transfers	1,740,256	2,347,055	606,799	135%
Other Revenues	130,000	-	(130,000)	0%
Total Sponsored Programs Revenues	257,782,695	114,506,572	(143,276,123)	44%
Salaries and Benefits	131,907,274	41,670,276	90,236,998	32%
Other Expenses	125,875,421	72,836,296	53,039,125	58%
Total Sponsored Programs Expenses	257,782,695	114,506,572	143,276,123	44%
Net Sponsored Programs Revenue/(Expense)	-	-	-	
Contingencies				
Total Contingency Revenues	11,048,807	-	11,048,807	0%
Total Contingency Expenses	(8,750,983)	-	(8,750,983)	0%
Net Contingencies Revenue/(Expense)	19,799,790	-	19,799,790	
Net Current Revenue/(Expense)	(25,834,825)	45,915,694	111,350,099	
Beginning Net Assets Unrestricted		301,886,009		
Ending Net Assets Unrestricted		347,801,703		

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2012 Full Year Operating Budget	FY 2012 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%
University of New Mexico - Results of Athletics and Auxiliary Operations				
Results of Athletics Operations:				
Athletics Revenues	33,516,731	10,789,223	(22,727,508)	32%
Athletics Transfers	(3,174,201)	(1,106,491)	2,067,710	35%
Total Athletics Revenues	<u>30,342,530</u>	<u>9,682,732</u>	<u>(20,659,798)</u>	<u>32%</u>
Athletics Expenses				
Salaries and Benefits	13,259,616	4,421,537	8,838,079	33%
Grant-in-Aid	3,837,520	1,613,046	2,224,474	42%
Other Expenses	13,739,294	5,061,612	8,677,682	37%
Total Athletics Expenses	<u>30,836,430</u>	<u>11,096,195</u>	<u>19,740,235</u>	<u>36%</u>
Net Athletics Revenue/(Expense)	<u>(493,900)</u>	<u>(1,413,463)</u>	<u>(919,563)</u>	
Results of Auxiliary Operations:				
VP for Institutional Support Services				
Bookstore Revenues	18,287,250	8,858,915	(9,428,335)	48%
Bookstore Transfers	(1,390,000)	(1,116,669)	273,331	80%
Total Bookstore Revenues	<u>16,897,250</u>	<u>7,742,246</u>	<u>(9,155,004)</u>	<u>46%</u>
Total Bookstore Expenses	<u>17,613,020</u>	<u>7,644,976</u>	<u>9,968,044</u>	<u>43%</u>
Net Bookstore Revenue/(Expense)	<u>(715,770)</u>	<u>97,270</u>	<u>813,040</u>	
Public Events Revenues	4,722,820	644,690	(4,078,130)	14%
Public Events Transfers	149,730	49,912	(99,818)	33%
Total Public Events Revenues	<u>4,872,550</u>	<u>694,602</u>	<u>(4,177,948)</u>	<u>14%</u>
Total Public Events Expenses	<u>4,872,550</u>	<u>1,010,703</u>	<u>3,861,847</u>	<u>21%</u>
Net Public Events Revenue/(Expense)	<u>-</u>	<u>(316,101)</u>	<u>(316,101)</u>	
Golf Courses Revenues	2,211,054	865,903	(1,345,151)	39%
Golf Courses Transfers	(39,252)	(13,064)	26,188	33%
Total Golf Courses Revenues	<u>2,171,802</u>	<u>852,819</u>	<u>(1,318,983)</u>	<u>39%</u>
Total Golf Courses Expenses	<u>2,171,802</u>	<u>897,430</u>	<u>1,274,372</u>	<u>41%</u>
Net Golf Courses Revenue/(Expense)	<u>-</u>	<u>(44,611)</u>	<u>(44,611)</u>	
Parking and Transportation Revenues	7,796,976	4,150,841	(3,646,135)	53%
Parking and Trans Transfers	(2,448,807)	(943,361)	1,505,446	39%
Total Parking and Trans Revenues	<u>5,348,169</u>	<u>3,207,480</u>	<u>(2,140,689)</u>	<u>60%</u>
Total Parking and Trans Expenses	<u>5,548,169</u>	<u>1,754,074</u>	<u>3,794,095</u>	<u>32%</u>
Net Parking and Trans Revenue/(Expense)	<u>(200,000)</u>	<u>1,453,406</u>	<u>1,653,406</u>	
Ticketing Services Revenues	526,000	341,239	(184,761)	65%
Ticketing Services Transfers	348	25,116	24,768	7217%
Total Ticketing Services Revenues	<u>526,348</u>	<u>366,355</u>	<u>(159,993)</u>	<u>70%</u>
Total Ticketing Services Expenses	<u>526,348</u>	<u>298,504</u>	<u>227,844</u>	<u>57%</u>
Net Ticketing Services Revenue/(Expense)	<u>-</u>	<u>67,851</u>	<u>67,851</u>	
Faculty Club Revenues	50,000	13,873	(36,127)	28%
Faculty Club Expenses	50,000	19,568	30,432	39%
Net Faculty Club Revenue/(Expense)	<u>-</u>	<u>(5,695)</u>	<u>(5,695)</u>	
Young Ranch Revenues	55,536	-	(55,536)	0%
Young Ranch Expenses	55,536	17,403	38,133	31%
Net Young Ranch Revenue/(Expense)	<u>-</u>	<u>(17,403)</u>	<u>(17,403)</u>	
Taos & Lawrence Ranch Revenues	50,740	-	(50,740)	0%
Taos & Lawrence Ranch Expenses	50,740	10,413	40,327	21%
Net Taos & Lawrence Ranch Revenue/(Expense)	<u>-</u>	<u>(10,413)</u>	<u>(10,413)</u>	
Total VP for Institutional Support Services Revenues	<u>29,972,395</u>	<u>12,877,375</u>	<u>(17,095,020)</u>	<u>43%</u>
Total VP for Institutional Support Services Expenses	<u>30,888,165</u>	<u>11,653,071</u>	<u>19,235,094</u>	<u>38%</u>
Net VP for Institutional Support Services Revenue/(Expense)	<u>(915,770)</u>	<u>1,224,304</u>	<u>2,140,074</u>	

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2012 Full Year Operating Budget	FY 2012 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%
VP for Student Affairs				
AVP Ops/Student Life Revenues	2,345,426	1,006,337	(1,339,089)	43%
AVP Ops/Student Life Transfers	(522,506)	(843,392)	(320,886)	161%
Total AVP Ops/Student Life Revenues	1,822,920	162,945	(1,659,975)	9%
Total AVP Ops/Student Life Expenses	2,082,420	721,941	1,360,479	35%
Net AVP Ops/Student Life Revenue/(Expense)	(259,500)	(558,996)	(299,496)	
Housing and Food Service Revenues	15,189,800	5,134,591	(10,055,209)	34%
Housing Transfers	(3,813,600)	(1,571,200)	2,242,400	41%
Total Housing and Food Service Revenues	11,376,200	3,563,391	(7,812,809)	31%
Total Housing and Food Service Expenses	11,376,200	3,254,671	8,121,529	29%
Net Housing and Food Service Revenue/(Expense)	-	308,720	308,720	
Student Health Center Revenues	7,034,342	3,063,570	(3,970,772)	44%
Student Health Center Expenses	7,134,342	2,165,341	4,969,001	30%
Net Student Health Center Revenue/(Expense)	(100,000)	898,229	998,229	
Student Union Revenues	2,181,266	801,415	(1,379,851)	37%
Student Union Expenses	2,181,266	852,407	1,328,859	39%
Net Student Union Revenue/(Expense)	-	(50,992)	(50,992)	
Lobo Cash Revenues	54,498	40,440	(14,058)	74%
Lobo Cash Expenses	54,498	39,948	(14,550)	73%
Net Lobo Cash Revenue/(Expense)	-	492	492	
Total VP for Student Affairs Revenues	22,469,226	7,631,761	(14,837,465)	34%
Total VP for Student Affairs Expenses	22,828,726	7,034,308	15,794,418	31%
Net VP for Student Affairs Revenue/(Expense)	(359,500)	597,453	956,953	
Provost and Other Units				
CE Conference Ctr Revenues	246,000	43,776	(202,224)	18%
CE Conference Ctr Transfers	(67,389)	-	67,389	0%
Total CE Conference Ctr Revenues	178,611	43,776	(134,835)	25%
Total CE Conference Ctr Expenses	178,611	88,845	89,766	50%
Net CE Conference Ctr Revenue/(Expense)	-	(45,069)	(45,069)	
Art Museum Revenues	-	5,021	5,021	N/A
Art Museum Expenses	-	-	-	N/A
Net Art Museum Revenue/(Expense)	-	5,021	5,021	
Maxwell Museum Revenues	25,000	11,247	(13,753)	45%
Maxwell Museum Expenses	25,000	2,605	22,395	10%
Net Maxwell Museum Revenue/(Expense)	-	8,642	8,642	
Other Revenues	52,500	(24,665)	(77,165)	-47%
Other Expenses	62,500	22,382	40,118	36%
Net Other Revenue/(Expense)	(10,000)	(47,047)	(37,047)	
Total Provost and Other Units Revenues	256,111	35,379	(220,732)	14%
Total Provost and Other Units Expenses	266,111	113,832	152,279	43%
Net Provost and Other Units Revenue/(Expense)	(10,000)	(78,453)	(68,453)	
Auxiliary Totals				
Total Auxiliary & Concessions Revenues	52,697,732	20,544,515	(32,153,217)	39%
Total Auxiliary & Concessions Expenses	53,983,002	18,801,211	35,181,791	35%
Net Auxiliary Revenue/(Expense)	(1,285,270)	1,743,304	3,028,574	
Net Athletics Revenue/(Expense)	(493,900)	(1,413,463)	(919,563)	
Net Auxiliary and Athletics Revenue/(Expense)	(1,779,170)	329,841	2,109,011	
Net Branch Campuses Aux Revenue/(Expense)	(8,403)	219,183	227,586	
Net All Auxiliary and Athletics Revenue/(Expense)	(1,787,573)	549,024	2,336,597	

UNM Debt Service Schedule

UNM Bond Issue	Placed or Interest Rate Issued	Original Issue Amount	Outstanding Principal Balance on July 1, 2011	Principal Payments due on July 1, 2011	Interest Payments due on July 1, 2011	Interest Payments due on July 1, 2012	Principal & Interest due on July 1, 2012
Sub Lien System Imp Revenue Bonds Series 2007 A&B: Interest Range 4.096% to 5.28% Final Maturity Year 2036	Fixed Rate	\$136,710,000	\$135,080,000	\$1,695,000	\$3,353,477	\$3,353,477	\$8,401,954
Sub Lien System Imp Revenue Bonds Series 2005: Interest Range 3.0% to 5.0% Final Maturity Year 2035	Fixed Rate	\$125,575,000	\$120,255,000	\$1,925,000	\$2,759,914	\$2,759,914	\$7,444,828
⁽¹⁾ Hospital Mortgage Revenue Bonds Series 2004: Interest Range 2.0% to 5.0% Final Maturity Year 2031	Fixed Rate	\$192,250,000	\$174,435,000	\$4,790,000 (\$2,365,000 paid 7/1/2011) (\$2,425,000 due 1/1/2012)	\$4,167,650 (due 1/1/2012)	\$4,119,659 (due 7/1/2012)	\$13,077,309
Sub Lien System Rfdg Revenue Bonds Series 2003 A: Interest Range 2.0% to 5.25% Final Maturity Year 2018	Fixed Rate	\$21,660,000	\$10,585,000	\$1,025,000	\$277,856	\$277,856	\$1,580,712
Sub Lien System Rfdg Revenue Bonds Series 2003 B&C: Interest Range 1.35% to 5.625% Final Maturity Years B 2024 & C 2033	Fixed Rate	\$11,805,000	\$10,035,000	\$260,000	\$244,717	\$244,717	\$749,434
Sub Lien Sys Rfdg & Imp Revenue Bonds Series 2002 A: Interest Range 2.5% to 5.25% Final Maturity Year 2032	Fixed Rate	\$58,860,000	\$45,525,000	\$2,155,000	\$1,160,482	\$1,160,482	\$4,475,964
Sub Lien Sys Rfdg Revenue Bonds ⁽²⁾ Series 2002 B: Synthetic Fixed Int. Rate 3.83% Final Maturity Year 2026	Variable Rate	\$25,475,000	\$24,890,000	\$1,370,000	\$476,643	\$476,643	\$2,323,286
Sub Lien System Rfdg Revenue Bonds ⁽³⁾ Series 2002 C: Synthetic Fixed Int. Rate 3.94% Final Maturity Year 2030	Variable Rate	\$37,840,000	\$36,390,000	\$365,000	\$716,883	\$716,883	\$1,798,766
Sub Lien System Imp Revenue Bonds Series 2001: 1/2 True Variable Rate ⁽⁴⁾ Series 2001: 1/2 Synthetic Fixed Interest at 4.16% and 4.19% Final Maturity Year 2026	Variable Rate	\$52,625,000	\$39,185,000	\$1,915,000	\$783,700	\$783,700	\$3,482,400
Sub Lien System Imp Revenue Bonds Series 2000: Interest Range 4.65% to 6.35% Final Maturity Year 2019	Fixed Rate	\$53,231,671	\$3,304,714	\$546,584	\$284,208	\$284,208	\$1,115,000
System Revenue Rfdg Bonds Series 1992 A: Interest Range 5.6% to 6.25% Final Maturity Year 2021	Fixed Rate	\$36,790,000	\$20,830,000	\$1,630,000	\$626,938	\$626,938	\$2,883,876
Grand Total		\$752,821,671	\$620,614,714	\$17,676,584	\$14,852,468	\$14,804,477	\$47,333,529

Note: See attached matrix for funding sources.

(1) Source: UNM Hospital - Both UNM Hospital Principal and interest payments are made on July 1st and January 1st.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays.

It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

FY12 UNM Debt Service - Source of Funds

As of October 31, 2011

	Series 2007A&B	Series 2005	Series 2004	Series 2003B&C	Series 2003A	Series 2002C	Series 2002B	Series 2002A	Series 2001	Series 2000A&B	Series 1992A
Student Fees- Facility	X	X			X	X	X	X			X
Student Fees - IT		X						X			
Capitalized Interest	X										
Parking Services	X	X				X		X			X
UNM Hospital			X		X		X				X
Bookstore					X	X					X
Housing & Dining Services					X	X		X			
Building R&R					X		X	X			X
Real Estate Department	X			X			X	X			
Physical Plant Department	X	X						X	X		
Telecommunications		X						X			
Athletics	X										
Information & Technology Funds		X				X					
KNME											X
Popejoy Hall						X					
MTTC Bldg.							X				
Opto Bldg (CHTM Res Park)							X				
CRTC							X				
Continuing Education							X				
Equipment R&R		X									
Golf Course - North & South						X					
HSC	X							X			
UNM Development Revenues	X										
Interest on Reserve Funds					X	X	X	X			

MAIN CAMPUS

Organization Level 3	(A)					(B)					(B/A)	
	Pooled Revenues	Transfers	Other Revenues	Total Revenues	Faculty Salaries	Staff Salaries	Student Salaries	Benefits	Other Expenditures	Total Expenditures		Net Revenues/ (Expenditures)
Instruction, Academic and Student Support Services												
AAC UJM West and Branch Initiatives	779,849	0	34,681	814,531	87,694	66,411	2,700	41,663	102,841	301,309	513,222	36.99%
ABA Provost Administrative Units	13,088,126	68,867	101,802	13,258,794	521,145	1,283,403	418,197	53,436	(562,469)	1,713,692	11,546,092	12.92%
ABB University College	3,480,554	28,790	214	3,509,558	488,225	472,060	50,045	1,213	212,138	1,223,681	2,285,877	34.87%
ABC School of Public Administration	983,373	950	13	984,336	245,900	43,159	14,018	0	30,518	333,576	650,660	33.90%
ABD VP for Equity & Inclusion	1,032,175	122,100	4	1,154,279	64,663	146,580	52,343	0	22,852	286,427	867,852	24.81%
ABE VP Division of Enrollment Mgmt	5,825,945	(294,442)	38,340	5,569,843	49,324	1,437,177	56,164	0	388,365	1,932,030	3,637,813	34.69%
ABG College of Fine Arts	10,092,607	(33,600)	41,331	10,100,438	1,983,257	717,563	265,853	135,893	461,332	3,563,867	6,536,551	35.28%
ABH College of Arts Sciences	57,328,114	(56,732)	14,697	57,286,080	10,104,644	2,402,868	3,074,498	1,225,901	868,312	17,676,222	33,609,858	34.47%
ABI Anderson Schools of Management	8,915,709	21,563	5,881	8,943,153	2,194,307	506,426	237,228	161,333	353,178	3,452,472	5,490,680	38.60%
ABI College of Education	12,048,927	7,514	3,665	12,860,106	2,747,582	839,223	336,240	130,697	297,075	4,350,797	8,509,309	33.83%
ABK School of Engineering	13,786,882	(14,003)	6,174	13,779,053	2,919,205	728,206	320,492	105,682	340,499	4,414,083	9,364,969	32.03%
ABL School of Law	8,653,663	(60,350)	8,273	8,601,586	1,492,041	655,232	68,355	364	516,406	2,642,398	5,959,188	30.72%
ABM School of Architecture Planning	3,103,775	0	14,361	3,118,136	772,267	149,743	77,140	14,264	81,529	1,094,942	2,023,194	35.12%
ABN University Libraries	12,985,691	28,150	159,524	13,213,365	781,179	1,311,820	153,448	0	2,148,686	4,365,133	8,818,232	33.26%
ABO Continuing Education	1,121,104	(76,104)	15,489	1,060,489	550,914	449,741	34,041	134,514	408,983	1,578,193	(517,704)	148.82%
ABP Extended University	9,266,668	(716,172)	1,322	8,551,818	93,523	1,071,133	113,450	113,223	259,681	1,691,020	6,900,797	13.31%
ABQ VP Research & Economic Development	90,993	0	0	90,993	0	17,575	128	0	16,947	34,650	56,343	38.08%
ACA VP Student Affairs Administration	1,379,202	(70,872)	49,505	1,357,835	54,028	239,681	17,771	0	127,524	449,094	908,831	33.07%
ACB VP Student Affairs Inpatient Dept	664,263	13	881	665,147	0	208,376	16,172	14,306	42,970	281,823	383,324	42.37%
ACC Associate VP Student Services	1,623,673	8,613	31,584	1,663,870	2,018	420,072	77,828	12,392	136,493	645,803	1,018,068	38.99%
ACD Associate VP Student Life	815,970	106,929	10,860	933,759	1,596	256,532	2,618	0	83,295	354,041	579,718	37.92%
Sub-Total	161,867,252	(927,696)	578,603	161,518,159	25,073,562	13,422,981	5,388,737	2,144,871	6,348,134	52,378,285	109,139,874	37.43%
University Support Services												
AAA President Admin Inpatient Office	4,931,518	(1,456)	90	4,930,150	182,250	998,332	20,049	1,630	234,014	1,436,275	3,493,875	29.13%
ADA EVP Administration	8,895,256	147,600	823,987	9,866,843	5,685	1,707,285	31,492	5,000	333,549	2,083,011	7,783,832	21.11%
ADD Controller	6,848,957	1,211,750	398,586	8,459,293	0	2,386,434	50,405	80,275	228,566	2,745,691	5,713,612	32.46%
ADF Human Resources	1,765,160	0	67,350	1,832,450	0	503,106	9,475	0	74,184	586,765	1,245,685	32.02%
ADG VP Institutional Support Services	34,352,502	3,978	54,186	34,410,665	0	3,285,147	10,307	907,736	7,305,913	11,508,103	22,901,562	33.45%
ADI Government & Community Relations	161,053	0	0	161,053	0	53,503	0	0	297	53,600	107,253	33.41%
ADJ Information Technologies	8,163,667	194,893	4,068	8,362,628	0	205,370	8,770	0	2,655,466	2,869,605	5,483,023	34.36%
AEA VP Institutional Advancement	0	1,200,000	0	1,200,000	0	267,392	10,883	0	130,779	408,854	791,145	34.07%
Sub-Total	65,118,053	2,746,763	1,348,267	69,213,082	187,935	9,406,569	141,182	994,641	10,962,767	21,693,095	47,519,988	31.34%
Non-Operational Monitoring Activity	(133,521,792)	(18,580,433)	2,603,738	(149,498,487)	0	0	0	0	(379,447)	(379,447)	(149,119,040)	
Fringe Benefit Pool	41,628,142	(34,856)	0	41,593,284	28,950	0	0	12,586,126	0	12,625,076	28,968,207	
Sub-Total	(91,893,650)	(18,615,291)	2,603,738	(107,905,204)	28,950	0	0	12,586,126	(379,447)	12,245,629	(120,150,833)	
TOTAL MAIN CAMPUS	135,091,655	(16,796,225)	4,530,608	122,826,037	25,290,447	22,829,550	5,529,919	15,735,639	16,931,454	86,317,009	36,509,029	

INTERCOLLEGIATE ATHLETICS

Organization Level 3	(A)				(B)				Net Revenues/ (Expenditures)	Burn Rate		
	Pooled Revenues	Transfers	Other Revenues	Total Revenues	Faculty Salaries	Staff Salaries	Student Salaries	Benefits			Other Expenditures	Total Expenditures
ADC Intercollegiate Athletics	692,772	75,000	0	767,772	0	0	0	0	256,401	406,632	361,140	52.96%
TOTAL ATHLETICS	692,772	75,000	0	767,772	0	0	0	0	256,401	406,632	361,140	52.96%

BRANCH CAMPUSES

AGA Gallup Branch	2,620,881	(685,335)	45,025	1,980,571	1,397,925	982,844	34,619	9,862	801,470	3,226,720	(1,246,149)	162.92%
AGB Los Alamos Branch	485,454	(41,866)	45,477	489,064	361,830	303,653	5,046	12,013	168,404	870,948	(381,864)	178.08%
AGC Taos Branch	827,070	(89,684)	87,227	824,612	593,157	493,007	16,706	2,944	450,056	1,555,870	(731,268)	188.68%
AGD Valencia County Branch	1,333,767	(706,512)	54,063	689,318	729,129	775,606	55,579	3,365	594,419	2,153,058	(1,468,779)	313.08%
Sub-Total	5,267,171	(1,525,397)	241,792	3,983,566	3,102,042	2,555,111	111,952	28,163	2,014,349	7,811,637	(3,828,071)	196.10%
Fringe Benefit Pool	5,599,530	(53,585)	0	5,545,945	0	0	0	1,433,915	1	1,433,916	4,112,029	
Sub-Total	5,599,530	(53,585)	0	5,545,945	0	0	0	1,433,915	1	1,433,916	4,112,029	
TOTAL BRANCH CAMPUSES	10,866,701	(1,578,982)	241,792	9,529,511	3,102,042	2,555,111	111,952	1,462,099	2,014,349	9,245,553	283,958	

HEALTH SCIENCES CENTER

Organization Level 3	(A)				(B)				Net Revenues/ (Expenditures)	Burn Rate		
	Pooled Revenues	Transfers	Other Revenues	Total Revenues	Faculty Salaries	Staff Salaries	Student Salaries	Benefits			Other Expenditures	Total Expenditures
AFA VP RSC Administration	19,649,761	(2,829,230)	85,510	16,906,041	296,649	2,738,753	7,733	8,227	1,902,064	4,953,426	11,952,615	29.30%
AFB HS Library and Informatics Center	5,155,890	79,577	11,906	5,247,373	325,403	701,308	18,924	6,754	675,101	1,725,390	3,521,983	32.88%
AFC School of Medicine	44,989,522	(2,308,712)	19,556	42,700,366	7,539,528	4,459,325	276,237	68,718	864,243	13,209,051	29,492,315	30.93%
AFD College of Nursing	6,236,148	250,694	650	6,539,492	1,334,680	456,524	66,959	93,156	163,484	2,116,715	4,412,777	32.42%
AFE College of Pharmacy	5,813,416	(179,695)	1,522	5,635,243	1,192,103	327,413	124,083	103,732	293,063	2,040,394	3,594,849	36.21%
AFT HSC VP Research	19,349	(9,569)	0	9,780	0	0	0	0	0	0	9,780	0.00%
Sub-Total	81,866,086	(4,956,935)	119,144	77,028,295	10,688,163	8,683,323	493,936	280,589	3,897,965	24,043,976	52,984,319	31.21%
Non-Operational Monitoring Activity	(67,521,524)	4,980,361	2,708,311	(60,832,852)	0	0	0	0	255,296	255,296	(60,088,148)	
Fringe Benefit Pool	(8,435,981)	(2,033)	0	(8,438,014)	0	53,087	0	4,676,550	0	4,729,637	13,704,311	
Sub-Total	(49,085,543)	4,978,328	2,708,311	(41,398,904)	0	53,087	0	4,676,550	255,296	4,984,933	(46,383,837)	
TOTAL HEALTH SCIENCES CENTER	32,780,543	21,393	2,827,455	35,629,391	10,688,163	8,736,410	493,936	4,957,139	4,153,261	29,028,909	6,600,482	
GRAND TOTAL	179,431,672	(18,278,613)	7,599,854	168,752,712	39,080,651	34,271,301	6,135,807	22,154,876	23,355,464	124,998,100	43,754,612	

Organization Level 3	FY 2012 YTD Faculty Compensation		FY 2012 YTD Staff Compensation		Ga Ta Ra Pa Salaries	Housestaff Salaries	Student Employment	Workstudy	FY 2012 YTD Student Compensation		FY 2012 YTD Total Compensation	% of FY 2012 YTD Total	FY 2012 Full Year Compensation Original Budget	Benchmark (33%) FY 2012 YTD Total Compensation to FY 2011 Full Year Compensation Actual at 9/30/2011	FY 2012 YTD Total Compensation to FY 2011 Full Year Actual
	Compensation	Staff Compensation	Compensation	Staff Compensation					Student Compensation	Student Compensation					
Instruction, Academic and Student Support Services (Main & Branches)															
Anderson Schools of Management ASM	2,260,590	531,349	208,664	-	51,717	-	592	260,973	3,052,912	4.04%	9,987,892	30.57%	10,045,999	30.39%	
Assoc. VP Student Life (ACD)	3,521	1,846,841	25,409	-	467,085	-	10,891	503,085	2,853,347	3.11%	8,587,275	27.41%	7,145,048	32.94%	
Associate VP Student Services	6,477	668,683	12,146	-	263,198	-	8,935	284,279	959,438	1.27%	2,810,889	34.13%	2,772,115	34.61%	
College of Arts Sciences A.S.	10,421,729	2,808,259	3,061,149	83,114	138,387	-	30,365	3,313,015	16,544,003	21.87%	49,752,576	33.25%	52,594,774	31.45%	
College of Education COE	2,800,150	996,025	347,241	-	33,172	-	364,645	4,180,921	4,180,921	5.63%	13,177,929	31.73%	13,416,036	31.16%	
College of Fine Arts CFA	2,066,517	759,408	241,222	-	64,749	-	320,095	3,146,020	3,146,020	4.16%	9,417,977	33.40%	10,490,847	29.99%	
Continuing Education Cont. Ed	556,996	511,450	15,107	-	19,352	-	34,041	1,102,486	1,102,486	1.46%	4,324,954	25.49%	3,175,936	34.71%	
Extended University Ext. Univ	93,523	1,071,133	34,147	-	72,781	-	113,460	1,278,117	1,278,117	1.69%	3,924,315	32.57%	3,778,547	33.83%	
Gallup Branch	1,401,897	1,016,202	2,498	-	17,101	-	37,348	2,454,447	2,454,447	3.24%	8,945,880	27.44%	8,277,530	29.65%	
LosAlamos Branch	382,030	320,216	999	-	2,206	-	5,048	707,294	707,294	0.93%	2,316,325	30.54%	2,217,812	31.89%	
Provost Administrative Units	521,845	2,041,563	412,932	9,917	115,629	-	585,420	3,128,829	3,128,829	4.14%	10,972,513	28.52%	9,527,450	32.84%	
School of Architecture Planning	806,973	160,722	68,369	-	14,173	-	82,078	1,048,774	1,048,774	1.39%	3,267,451	32.10%	3,300,954	31.77%	
School of Engineering	3,121,548	822,282	388,345	-	100,645	-	493,349	4,437,178	4,437,178	5.87%	14,585,526	30.42%	14,048,748	31.58%	
School of Law LAW	1,522,436	903,354	69,508	-	69,508	-	74,728	2,500,519	2,500,519	3.17%	8,761,176	28.57%	7,963,278	31.32%	
School of Public Administration	245,980	43,159	15,652	-	1,610	-	18,088	307,228	307,228	0.41%	989,463	31.05%	996,793	30.82%	
Taos Branch	596,800	527,182	37,110	-	9,362	-	20,888	1,163,032	1,163,032	1.52%	3,861,780	29.94%	3,892,538	31.23%	
University College UC	513,725	472,060	3,696	-	5,617	-	50,251	1,036,036	1,036,036	1.37%	3,348,120	30.94%	2,979,722	34.77%	
University Libraries	896,522	1,346,828	63,945	-	115,875	-	221,515	2,464,865	2,464,865	3.26%	8,086,871	30.48%	7,820,947	31.52%	
UNM West and Branch Initiatives	87,694	66,411	2,700	-	-	-	2,700	156,805	156,805	0.21%	472,575	33.18%	462,192	33.93%	
Valencia County Branch	729,707	861,743	10,360	-	31,071	-	66,967	1,648,437	1,648,437	2.18%	6,185,673	26.65%	5,123,738	32.17%	
VP Division of Enrollment Mgmt	49,324	1,454,403	3,696	-	67,321	-	94,905	1,598,631	1,598,631	2.11%	5,032,445	31.77%	4,952,334	32.28%	
VP for Equity & Inclusion	64,663	157,908	38,877	23,709	8,429	-	736	284,312	284,312	0.39%	703,121	41.86%	1,602,515	18.37%	
VP Student Affairs Administration	64,747	246,761	4,373	-	15,908	-	2,436	1,618,172	1,618,172	2.14%	4,918,047	32.90%	4,498,778	35.97%	
VP Student Affairs Inbound Dept ID	29,494	1,401,544	1,334	-	109,642	-	116,348	1,547,385	1,547,385	2.05%	5,063,107	30.56%	1,101,482	30.34%	
Sub-Total Instruction, Academic and Student Support Services (Main & Branches)	29,644,232	22,183,880	5,015,054	120,073	1,820,807	120,073	7,220,301	59,053,413	59,053,413	78.06%	190,610,051	30.98%	185,867,864	31.77%	
University Support Services (Main & Branches)															
Controller	-	2,526,793	2,400	-	49,121	-	53,760	2,580,553	2,580,553	3.41%	7,862,217	32.82%	8,445,373	30.56%	
EVF Administration	34,635	1,782,238	-	-	30,291	-	31,492	1,849,365	1,849,365	2.44%	6,018,994	30.71%	5,804,475	31.64%	
Government & Community Relations	-	54,946	-	-	5,359	-	5,359	60,205	60,205	0.08%	186,247	32.33%	196,802	30.59%	
Human Resources HR	-	503,106	2,400	-	6,722	-	353	512,581	512,581	0.68%	1,562,461	32.81%	1,700,418	30.14%	
Information Technology Services	-	205,370	-	-	7,920	-	8,770	214,140	214,140	0.28%	743,642	28.80%	491,026	43.61%	
President Admin Indpt. Office	182,250	1,073,173	4,500	-	14,115	-	20,049	1,275,472	1,275,472	1.69%	4,264,623	29.91%	3,953,139	31.94%	
VP Institutional Adv College	-	267,392	-	-	9,113	-	10,683	278,075	278,075	0.37%	898,845	30.94%	959,714	28.97%	
VP Institutional Support Services	4,200	5,685,340	-	-	248,714	-	254,833	6,144,373	6,144,373	8.12%	19,883,051	30.90%	18,365,449	33.45%	
Sub-Total University Support Services (Main & Branches)	221,085	12,293,257	9,300	-	371,356	-	394,422	12,913,764	12,913,764	17.07%	41,420,080	31.18%	39,956,396	32.32%	
Intercollegiate Athletics															
Intercollegiate Athletics	2,426	3,575,711	47,569	-	58,122	-	107,742	3,685,878	3,685,878	4.87%	10,255,548	35.94%	10,870,090	33.91%	
Sub-Total Intercollegiate Athletics	2,426	3,575,711	47,569	-	58,122	-	107,742	3,685,878	3,685,878	4.87%	10,255,548	35.94%	10,870,090	33.91%	
TOTAL Compensation (Main, Branches and Athletics)	29,867,743	38,062,848	5,071,923	120,073	2,250,285	120,073	7,722,464	75,653,055	75,653,055	100.00%	242,285,679	31.22%	236,694,350	31.96%	

Organization Level 3	FY 2012 YTD Faculty Compensation		FY 2012 YTD Staff Compensation		Ga Ta Ra Pa Salaries	Housestaff Salaries	Student Employment	Workstudy	FY 2012 YTD Student Compensation	FY 2012 YTD Total Compensation	% of FY 2012 YTD Total Compensation	Benchmark (33% FY 2012 YTD Total Compensation to FY 2012 Total Budget)		FY 2011 Full Year Compensation Actual at 6/30/2011	FY 2012 YTD Total Compensation to FY 2011 Full Year Actual
	Compensation	Staff Compensation	Compensation	Staff Compensation								FY 2012 Full Year Compensation Original Budget	FY 2012 Full Year Compensation Budget		
Health Sciences Center	1,350,740	486,378	62,511	-	5,056	1,391	68,958		1,906,076	2.38%	6,396,915	29.80%	5,631,022	33.85%	
College of Nursing	1,304,517	775,792	105,535	29,787	20,696	1,911	157,839		2,238,148	2.80%	8,023,615	27.69%	6,459,718	34.65%	
HS Library and Informatics Center	325,303	797,276	-	-	15,545	1,380	16,925		1,139,504	1.43%	3,676,144	31.00%	3,690,691	30.88%	
School of Medicine	44,389,849	16,376,802	278,984	9,055,848	276,971	10,261	9,622,074		70,398,725	88.04%	208,119,428	33.82%	199,712,330	35.25%	
VP HSC Administration	548,884	3,059,016	14,280	10,493	7,983	-	32,756		3,640,656	4.55%	9,640,089	37.77%	10,198,510	35.70%	
HSC VP Research	135,292	480,637	-	-	19,017	936	19,953		640,082	0.80%	2,512,878	25.47%	1,659,079	38.68%	
	48,058,585	21,976,101	461,320	9,096,128	345,178	15,879	9,918,505		79,953,191	100.00%	238,369,059	33.54%	227,351,350	35.17%	
Sponsored Programs	11,417,973	14,143,237	5,227,257	1,947,273	948,637	930,608	9,053,775		34,614,985		120,503,033		107,363,232		
TOTAL Compensation (Main, Branches, Athletics, HSC and Sponsored Programs)	89,344,301	74,182,186	10,760,500	11,163,474	3,544,100	1,226,670	26,694,744		190,221,231		601,157,781		571,408,933		



Department of Planning, Budget & Analysis

MEMORANDUM

TO: Members of the Board of Regents' Finance & Facilities Committee

THRU: David J. Schmidly, President
David W. Harris, EVP for Administration, COO and CFO

FROM: Andrew Cullen, Associate VP, Office of Planning, Budget & Analysis

DATE: December 8, 2011

SUBJECT: FY 2012/2013 Budget Development Update

UNM administrators, faculty, staff and students have been meeting for several weeks gathering data and formulating strategies that will influence the administration's recommendation to the Regents on a tuition and fee proposal for FY 2012/13. This ongoing effort is being guided by the Strategic Budget Leadership Team (SBLT), with input from academic and student leaders and analyses developed by the President's Strategic Advisory Team (PSAT) and the newly formed Tuition and Fee Team (TFT). The following information represents a summary of ideas and projections developed to date and will warrant further discussion with all university stakeholders and, most importantly, the full Board of Regents.

Revenues

At this time, discussions involving revenues have focused on two areas: 1) current sources and uses of tuition & fees and state appropriations, and 2) the effects of the new proposed state funding formula in conjunction with discussions/feedback from the Executive and Legislative branches of State Government.

At the request of student leadership, an analysis was performed to document the sources and uses of Main Campus tuition, fees and state appropriations for the FY12 budget. The analysis is attached for your reference and includes four schedules:

- Main Campus Tuition, Fees and State Appropriation Sources and Uses
 - Instruction and General (I&G) Funds
 - Non I&G Funds
- Main Campus Student Fees
- Student Facility Fees (Construction Projects and Related Debt Service)
- Student Credit Hour Distribution and Tuition/Fee Analysis

The analysis of tuition and fees has revealed a significant shift in the allocation of these resources, most notably from the pooled revenue accounts of the Budget Office to Extended University (EU) through the agreed upon use of a direct tuition capture model that has funded EU since its inception. In short, more students taking courses on-line has had the effect of reducing pooled revenues available for distribution to core academic and administrative functions and increased revenues available to EU to fund its core operations and for distribution back to schools and colleges. The result of this growth in EU has been the ability to support an incentive based funding model to promote the development of additional on-line courses and programs throughout academic affairs.

Regarding the proposed higher education funding formula, its ramifications can be summarized as follows:

- The Secretary of the Higher Education Department (HED) submitted his recommendation for a revised higher education funding formula to the LFC on October 14 as required by law. The basic elements of the proposed mechanism are:
 - Fund student credit hours (SCH) based on those students that complete courses, rather than those enrolled at census date
 - Fund awards (degrees) completed--in all fields
 - Provide additional incentives for awards in STEM and health-related fields
 - Provide additional incentives for awards granted to at-risk students
 - Adjust, on a nonrecurring basis, institutions' utility base budgets
- The HED-recommended level of funding for the elements referenced above generates a workload number of approximately \$32 Million--almost identical to the number recommended by the Formula Task Force (FTF). However, the HED proposal distributes funds differently than the FTF. Under either version, UNM does quite well, with a quarter to a third of the new funds designated for UNM.
- An important feature of the HED budget recommendation, submitted to the LFC on November 1, is that it reduces the base funding for higher education by \$32 million in order to accommodate the new formula funding. In essence, it is a zero-growth budget recommendation.

Fixed Costs

As in previous years, any discussion regarding the expenditures side of the budget must begin with an analysis of fixed cost budgets, namely insurances, fringe benefits and utilities which comprise approximately 21% of the overall Main Campus I&G budget. Specific issues/projections regarding these three budget factors are as follows:

- Insurances: At the present time, the Budget Office is assuming flat budgets for insurances. State Risk Management will provide a preliminary premium estimate to UNM's Safety and Risk Management Department in January 2012, and a final premium calculation is provided in April of each year.
- Fringe Benefits: Health Care and Personal Enrichment Programs

- National trends: Likely increase of approximately 8%, attributable to health care reform and more substantially increased cost of medical care. Estimated I&G increase is approximately \$750K.
- No substantial changes to the design of the health plan. Last year, in response to large cost increases, there was a substantial plan design change intended to keep premium rates low while ensuring there were adequate funds to pay for the increased cost of claims.
- Continued focus on wellness initiatives and additional investments and incentives for employees.
- As a budget reduction measure in FY11, the personal enrichment tuition remission benefit was eliminated, with only health and wellness courses remaining eligible. Staff Council recently passed a resolution requesting reinstatement of this benefit, which would cost the university approximately \$255K to reinstate.
- Utilities: Due to favorable natural gas prices, the university should not anticipate any increase in internal utility rates for FY13; however, up to \$500K may be required to balance the Physical Plant's utility budget for FY13. This shortfall is the result of relying on Federal Stimulus Funding over the last three years to fund utility costs and subsequently limit tuition increases. These one-time funds bolstered year over year ending reserves, which have now also been exhausted. Numerous factors will determine the total projected utility budget for FY13, and the Administration will closely monitor utility costs for the remainder of the fiscal year to limit the need for additional funds.

Compensation

In response to a request from a member of the Board of Regents to analyze a proposed increase of the UNM minimum wage to \$10 per hour, the following facts are offered for consideration. The I&G cost to UNM to raise the minimum wage from \$9 per hour (implemented in January 2009) to \$10 per hour would be approximately \$500K. As the data below details, UNM's minimum wage rate compares favorably to the following governmental entities:

City of Albuquerque	\$7.25	Bernalillo County	\$8.00
City of Santa Fe	\$9.85	City of Las Cruces	\$7.50
State of New Mexico	\$7.50	State of Colorado	\$7.36
State of Texas	\$7.25	State of Utah	\$7.25
State of Arizona	\$7.25	Federal	\$7.25

Strategic Budget Leadership Team

A key function of the SBLT will be to discuss and analyze the information provided from PSAT and the TFT. The SBLT will consider recommendations from these subgroups in conjunction

with UNM's likely state funding appropriation as well as feedback from academic and student leaders and the Board of Regents. A key factor for the SBLT to consider will be Year One of the Provost's Strategic Academic Plan, which is currently being developed and justified within Academic Affairs. Initial discussions with the Provost suggest Year One of the Strategic Plan will call for additional faculty hires, including lecturer positions, and funds to address salary compaction issues within schools and colleges across campus.

President's Strategic Advisory Team

PSAT has been meeting regularly for the past two months, with current discussions focusing on two general themes:

- Identifying efficiencies, process improvements, best practices, and investments that will improve the "student journey" to graduation.
- Identifying additional process improvement opportunities that will
 - Further contain or reduce costs
 - Improve services, and/or
 - Provide resources to reinvest in mission-critical initiatives

At the current time, PSAT members are heavily engaged in the fact-finding and "due diligence" phase of the team's work, with the expectation that an initial report of recommendations will be produced for discussion with the SBLT by December 9.

Tuition and Fee Team

The T&F team's discussions and efforts to date have focused on three topics:

- Refinement of Peer Group membership to include currently listed HED peers and other institutions that UNM competes with for students and faculty, and that have a similar student demographic with regard to minority/majority populations and economic resources available to fund their college education. The following list represents institutions that are recommended to serve as UNM's peers/benchmarks:

Arizona State University	Florida International University
New Mexico State University	Oklahoma State University
Texas A&M University	Texas Tech University
University of Arizona	University of California – Riverside
University of Colorado Boulder	University of Colorado Denver
University of Houston	University of Iowa
University of Kansas	University of Missouri – Columbia
University of Nebraska – Lincoln	University of Nevada – Las Vegas
University of North Texas	University of Oklahoma
University of Texas at Arlington	University of Texas at Austin
University of Texas at El Paso	University of Utah

- Tuition and Fee Comparisons for the above-referenced peer group, but with a focus on affordability, not base tuition and fee rates. Our initial research suggests that although UNM's tuition and fee rates consistently rank among the lowest in relation to our peers, when institutional financial aid is factored into the equation, we are not as affordable as other institutions that have higher base tuition and fee rates. Generally speaking, the reason behind this can be summarized as follows:
 - Most large public universities invest 50% to 60% of their institutional financial aid in need-based aid.
 - UNM currently invests approximately 25% of its institutional financial aid in need-based aid.
 - Put another way, UNM invests a larger portion of its institutional aid on a merit-based platform, in part because of the availability of the lottery scholarship and more recently as a result of the desire to raise the academic standard of our student population through the National Merit Scholarship program.
- Student Fee Review Board (SFRB) policy proposal that effects:
 - Membership of the Board (undergraduate and graduate representation)
 - Supermajority vote
 - Calculation of funding recommendations
 - Recurring/Non-Recurring status of allocations

The SBLT looks forward to providing additional information and budget scenarios to the Finance and Facilities Committee, the Board of Regents, and the campus community at large over the course of the next several months as we look for feedback that will help build consensus towards a final budget recommendation in late March. Thank you for your consideration.

**Main Campus Tuition, Fees and State Appropriation Sources and Uses
Fiscal Year 2012 Original Budget**

	I&G			Non I&G		Grand Total
	Pooled	Non-Pooled	Total	Unrestricted	Capital	
Tuition Revenue	113,434,220	14,927,954	128,362,174	473,000	-	128,835,174
Tuition Allowance (Bad Debt)	(2,420,010)	(59,686)	(2,479,696)	-	-	(2,479,696)
Credit Card Fees	(1,000,000)	-	(1,000,000)	-	-	(1,000,000)
Mandatory Student Fees	-	910,077	910,077	11,491,287	14,501,500	26,902,864
Course Lab Fees	-	4,802,923	4,802,923	43,400	-	4,846,323
Other Student Fees	359,620	5,244,276	5,603,896	2,834,944	-	8,438,840
Budget Office Contingency-Tuition/Fees	-	(7,042,061)	(7,042,061)	(3,351,343)	-	(10,393,404)
Subtotal--Tuition and Fees	110,373,830	18,783,483	129,157,313	11,491,288	14,501,500	155,150,101
State Appropriation	160,203,200	1,454,100	161,657,300	7,051,200	-	168,708,500
Subtotal--State Appropriation	160,203,200	1,454,100	161,657,300	7,051,200	-	168,708,500
Total Tuition and Fees/State Approp.	270,577,030	20,237,583	290,814,613	18,542,488	14,501,500	323,858,601
President Executive						
President Admin Independent Offices	4,600,509	-	4,600,509	-	-	4,600,509
UNM West (Rio Rancho)	111,946	1,273,735	1,385,681	-	-	1,385,681
Total	4,712,456	1,273,735	5,986,191	-	-	5,986,191
Executive VP for Administration						
Controller	6,389,248	-	6,389,248	-	-	6,389,248
EVP Admin Independent Offices	5,835,402	-	5,835,402	34,675	-	5,870,077
Budget Office Contingency	-	(7,042,061)	(7,042,061)	(3,351,343)	-	(10,393,404)
Pending Faculty Positions	1,865,758	-	1,865,758	-	-	1,865,758
Admin Overhead	(5,534,849)	-	(5,534,849)	-	-	(5,534,849)
Insurances	2,577,075	-	2,577,075	-	-	2,577,075
Fringe Benefits *	38,834,018	-	38,834,018	-	-	38,834,018
Utilities	15,778,142	-	15,778,142	-	-	15,778,142
Human Resources	1,646,625	-	1,646,625	-	-	1,646,625
ITS	7,321,669	-	7,321,669	231,160	-	7,552,829
Intercollegiate Athletics Facilities/Ground	646,272	-	646,272	3,999,133	-	4,645,405
VP Institutional Support Services	16,268,585	-	16,268,585	1,091,689	-	17,360,274
Total	91,627,945	(7,042,061)	84,585,884	2,005,314	-	86,591,198
Provost Academic Affairs						
Anderson School of Management	7,782,360	1,619,530	9,401,890	-	-	9,401,890
College of Arts and Sciences	47,420,147	890,377	48,310,524	207,760	-	48,518,284
College of Education	11,898,262	189,540	12,087,802	489,800	-	12,577,602
College of Fine Arts	8,784,739	1,196,357	9,981,096	121,009	-	10,102,105
Continuing Education	-	4,720,997	4,720,997	190,300	-	4,911,297
Extended University	800,311	14,446,582	15,246,893	-	-	15,246,893
Provost Administrative Units	12,815,389	473,891	13,289,280	743,943	-	14,033,223
School of Architecture and Planning	2,802,616	193,149	2,995,765	-	-	2,995,765
School of Engineering	12,571,668	570,700	13,142,368	395,700	-	13,538,068
School of Law	7,997,472	175,100	8,172,572	905,456	-	9,078,028
School of Public Administration	861,992	-	861,992	-	-	861,992
University College	2,267,639	137,274	2,404,913	-	-	2,404,913
University Libraries	11,786,475	675,912	12,462,387	941,400	-	13,403,787
VP Division of Enrollment Management	5,400,450	26,000	5,426,450	181,800	-	5,608,250
VP for Equity and Inclusion	962,894	-	962,894	93,851	-	1,056,745
VP Research and Economic Develop.	84,885	-	84,885	461,240	-	546,125
Academic Affairs Monitoring	(241,616)	-	(241,616)	-	-	(241,616)
Total	133,995,686	25,315,409	159,311,095	4,732,259	-	164,043,354
Student Affairs	3,924,561	690,500	4,615,061	11,804,915	-	16,419,976
VP Institutional Advancement	-	-	-	-	-	-
Total Units	234,260,648	20,237,583	254,498,231	18,542,488	-	273,040,719
Institutional Debt Service	-	-	-	-	14,501,500	14,501,500
Net Transfers	36,316,382	-	36,316,382	-	-	36,316,382
Total Expenditures	270,577,030	20,237,583	290,814,613	18,542,488	14,501,500	323,858,601

* Fringe Benefits include Health Insurance, FICA, ERB (Retirement), Unemployment and Work Compensation.



UNM

OFFICE OF PLANNING,
BUDGET & ANALYSIS

Main Campus Student Fees
Fiscal Year 2012 Original Budget

STUDENT ACTIVITY FEES	BUDGET	TOTAL
Center for Academic Support (CAPS)	199,491.00	
UC Research Svc Learning Prog	34,674.00	
University Library	675,912.00	
SUBTOTAL I&G STUDENT FEES		910,077.00
Office of Internatl Prog & Svc (GAF)	30,500.00	
Equity & Inclusion - LGBTQ Resource Ctr	93,851.00	
Womens Resource Center (GAF)	50,934.00	
Career Services	30,000.00	
Community Learning & Public Service	39,674.00	
American Indian Services (GAF)	77,500.00	
Theatre & Dance	23,600.00	
ASUNM/GPSA Accounting	208,844.00	
ASUNM	674,006.00	
GPSA	268,950.00	
El Centro de la Raza (GAF)	105,500.00	
African American Student Services(GAF)	75,300.00	
Band Administration	73,909.00	
Graduate Student Research	40,000.00	
KUNM	68,700.00	
PNMGC (Graduate Allocation Fund-GAF)	64,443.00	
Institute of Public Law (NMPiRG)	52,242.00	
Student Health Center	4,434,342.00	
Student Union Repair & Replacement	1,750,574.00	
Child Care Center	346,740.00	
IT Fee - student computer pods	231,160.00	
Recreational Services	680,073.00	
Popejoy	93,389.00	
Athletics	1,889,733.00	
SFRB Reserve	52,648.00	
Budget Contingency	34,675.00	
SUBTOTAL CURRENT UNRESTRICTED STUDENT FEES		11,491,287.00
ERP - Banner (Debt Service)	2,622,000.00	
Facility Fee (Debt Service)	11,879,500.00	
SUBTOTAL CAPITAL STUDENT FEES		14,501,500.00
TOTAL MAIN CAMPUS STUDENT FEES		26,902,864.00

**STUDENT FACILITY FEES
CONSTRUCTION PROJECTS AND DEBT SERVICE**

BOND ISSUES & PROJECTS	CONSTRUCTION PROCEEDS	FY 2011/2012 BUDGETED FEES
Campus Way - Finding Signage	500,000.00	33,708.47
Classroom Modernization	2,000,000.00	134,833.87
College of Education (Phase II)	3,000,000.00	202,250.80
Hodgin Hall Renovation	1,500,000.00	101,125.40
Interdisciplinary Film & Digital Media Facility	1,820,000.00	122,698.82
Robert Wood Jonson Health Policy Center	298,112.00	20,097.80
Jonson Gallery Relocation	2,100,000.00	141,575.56
Mitchell Hall Renovation	8,000,000.00	539,335.47
South Campus Student Success Center	777,855.00	52,440.60
Tamarind Institute Renovation	1,500,000.00	101,125.40
Main Campus Student Success & Health Center	100,000.00	6,741.69
Biology Addition - Phase II	2,250,000.00	151,688.10
Interdisciplinary Film & Digital Media Facility (IT Infrastructure)	660,000.00	44,495.18
Chemistry Building Renovation	102,241.00	6,892.77
Engineering- Chair's Office Renovation	100,000.00	6,741.69
Logan Hall Renovation	120,000.00	8,090.03
Physics and Astronomy Lab Renovation	71,792.00	4,840.00
Various Academic Affairs Projects	2,500,000.00	168,542.34
2007 BOND ISSUE	27,400,000.00	1,847,224.00
Architecture & Planning	6,500,000.00	427,359.68
Biology Expansion	5,500,000.00	361,612.03
UNM Business Center (Lobo Center)	9,200,000.00	604,878.31
Centennial Engineering Center	24,550,000.00	1,614,104.62
Castetter Hall Renewal	6,922,187.00	455,117.48
Communications & Journalism Renovation	4,000,000.00	262,990.57
Science & Math Learning Center	14,302,241.00	940,338.63
MTTC Phase II	350,000.00	23,011.67
MTTC Phase III	100,000.00	6,574.76
College of Education (Phase I)	5,000,000.00	328,738.21
Zimmerman Library - Compact Shelving	1,510,000.00	99,278.94
Cancer Research and Treatment Center (CRTC Phase II)	10,000,000.00	657,476.42
Scholes Hall Renovation	1,777,813.00	116,887.01
Chemistry Building Remodel	930,000.00	61,145.31
Chemistry Building Renovation	297,759.00	19,576.95
Arts & Sciences Backfill	470,000.00	30,901.39
2005 BOND ISSUE	91,410,000.00	6,009,992.00
Parking Structure Phase I	1,600,000.00	79,818.00
2003 A BOND ISSUE: REFUNDED FROM THE 1994	1,600,000.00	79,818.00
College of Fine Arts- Library	5,500,000.00	346,971.26
Conrell Utility Project	1,730,119.00	109,145.74
2002 A BOND ISSUE	7,230,119.00	456,117.00
General Classroom Facility (Dane Smith Hall)	3,200,000.00	221,033.82
Johnson Center Renovation	4,600,000.00	317,736.12
Old Bookstore Renovation- CERIA	2,300,000.00	158,868.06
2002 B BOND ISSUE: REFUNDED FROM THE 1996 BONDS	10,100,000.00	697,638.00
Student Union Building - Expand & Renovate	22,061,671.00	1,972,231.43
Child Care Center	4,155,000.00	371,441.57
2002 C BOND ISSUE	26,216,671.00	2,343,673.00
Engineering/Science Center Equipment	4,000,000.00	155,729.00
1992 A BOND ISSUE	4,000,000.00	155,729.00
PROJECTED SURPLUS/DEFICIT		289,309.00
GRAND TOTAL		11,879,500.00

Student Credit Hour Distribution as of October 2011

	Main Campus	Extended University	Total Student Credit Hours	MC %	EU %
FY 2008	563,941	31,902	595,843	94.65%	5.35%
FY 2009	578,616	29,688	608,304	95.12%	4.88%
FY 2010	630,332	36,740	667,072	94.49%	5.51%
FY 2011	651,994	50,075	702,069	92.87%	7.13%
FY 2012	348,135	38,521	386,656	90.04%	9.96%

Source: UNM Bursar's Office
Note: FY 12 information only includes Summer and Fall and EU information includes Abq, West

Tuition and Student Fee Analysis as of October 2011

	FY 2008		FY 2009		FY 2010		FY 2011		FY 2012	
	AMOUNT	%	AMOUNT	%	AMOUNT	%	AMOUNT	%	AMOUNT	%
Main Campus Pooled *	83,485,439.81	73.80%	88,396,690.97	72.12%	98,783,913.09	71.09%	109,469,087.94	69.11%	62,776,573.36	69.09%
Main Campus Depts.	965,754	0.85%	1,158,639.60	0.95%	1,316,982.7	0.95%	2,110,021.65	1.33%	766,328.06	0.84%
Main Campus Mandatory**										
Student Fees	19,168,585	16.94%	21,201,368.82	17.30%	24,093,648.32	17.34%	25,853,666.47	16.32%	14,054,312.87	15.47%
Health Sciences Center ***	4,869,233	4.30%	5,705,274.84	4.66%	6,644,502.64	4.78%	9,207,709.04	5.81%	5,239,960.4	5.77%
Extended University	4,638,778	4.10%	6,098,606.92	4.98%	8,120,955.72	5.84%	11,751,634.7	7.42%	8,018,443.31	8.83%
Total Tuition and Fees	113,127,789.18	100.00%	122,560,581.15	100.00%	138,960,002.47	100.00%	158,392,119.8	100.00%	90,855,618.	100.00%

Source: Banner Finance
Note: FY 12 information only includes Summer and Fall Tuition and Fees. EU information includes Abq, West. Account code 01Y1 (uncollectible tuition) is not included.
*Does not include 01Z0 account code for FY 12 (GASB Entries)
** Includes Capital Mandatory Fees
*** Includes Health Sciences Center Mandatory Student Fees

TAB 10

Information Item 10

State Board of Finance – Administrative and Financial Overview
(David J. Schmidly, President)



Department of Planning, Budget & Analysis

MEMORANDUM

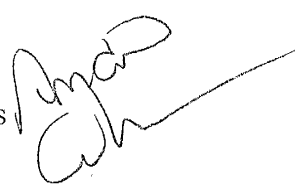
TO: Members of the Board of Regents' Finance & Facilities Committee

THRU: David J. Schmidly, President
David W. Harris, EVP for Administration, COO and CFO

FROM: Andrew Cullen, Associate VP, Office of Planning, Budget & Analysis

DATE: December 8, 2011

SUBJECT: State Board of Finance – Administrative and Financial Overview

A handwritten signature in black ink is located to the right of the 'FROM' line. The signature is cursive and appears to read 'Andrew Cullen'.

At the request of the State Board of Finance (SBOF), UNM Administrators briefed the Board on the University's budget and finances during their September 20, 2011 meeting. Following the presentation, Governor Martinez asked that the administration follow up with additional materials that directly address the following questions:

- Number of Vice Presidents/Associate Vice Presidents compared to five years ago
- Average salaries now and five years ago
- Rationale justifying tuition increases above the level of inflation
- Breakdown of administrative expenses

The attached report addresses these questions and provides additional information/rationale regarding the evolution of the university's organizational structure and various revenue and expenditure comparisons to peer institutions. Thank you for your consideration, and we look forward to briefly reviewing the materials with the committee during the December Finance & Facilities meeting.

Tab 22



MEMORANDUM

TO: State Board of Finance (SBOF)

FROM: David J. Schmidly, President

DATE: October 28, 2011

SUBJECT: Response for Additional Data from the SBOF

Background

As a follow up to the University of New Mexico's financial/budget presentation to the State Board of Finance (SBOF) on September 20, 2011, it is my pleasure to submit the following additional information. In accordance with the Board's request as detailed in the minutes from the SBOF meeting, the following information is provided:

- Number of Vice Presidents/Associate Vice Presidents compared to five years ago
- Average salaries now and five years ago
- Rationale justifying tuition increases above the level of inflation
- Breakdown of administrative expenses

Introduction

Organizational structures of universities typically reflect the mission, scope, and size of the university as well as the magnitude of the budget. No two institutions are typically alike and there are no set standards of organization. One size doesn't fit all when it comes to the administration of universities.

UNM is a large, complex organization that requires a talented administrative team to operate, oversee, and maximize the leverage potential of its resources. Looking at the complexity of UNM, one finds:

- A Main Campus in Albuquerque with four branch campuses and a new satellite campus in Rio Rancho which was created by state statute. Collectively, these sites serve almost 33,000 students, making UNM the largest four-year university in the state.
- UNM has the only schools of medicine, law, and architecture in the state and the medical school conducts activities at 125 different sites throughout New Mexico. In addition, UNM has several museums on the Main Campus, a large art museum in Taos, and a major biological field station near Socorro (the Sevellita).

- UNM enrolls 48% of the state's college students and makes up 42% of the state's entire higher education budget. Additionally, UNM confers more than 80% of the doctorate and professional degrees in the state.
- UNM is the only Research 1 (Very Intensive Research) university in the state--with approximately \$330M in research contracts and awards in 2008-09.
- UNM is a Hispanic Serving Institution with a minority/majority student population in a minority/majority state.
- UNM is a major economic driver in New Mexico--2nd largest employer in the Albuquerque region and 5th largest in the state. For every \$1 the state appropriates to UNM, the University leverages at least \$5, making UNM an excellent investment for New Mexico taxpayers.
- The UNM budget exceeds \$2.0B with approximately 13.5% coming from state appropriations. While it is not a corporation, it has many complex business operations including several supportive components formed under the New Mexico Research Park Act (Science and Technology Corporation; Lobo Energy; and Lobo Development).

To deliver these services, over 7 million square feet of covered space must be maintained by a diverse workforce, including craftsmen and tradesmen, administrative professionals, and other support staff.

Organizational Structure and Historical Context

The current administrative structure evolved over the terms of three Presidents. Recognizing the complexities of operating a Very Intensive Research-Flagship institution with a Medical School, in 2004 President Louis Caldera developed and recommended a management structure calling for the President to serve as CEO, supported by three executive vice presidents:

- Provost to serve as Executive Vice President (EVP) for Academic Affairs
- EVP for Health Sciences responsible for the School of Medicine, Pharmacy, Nursing and UNM Hospitals
- EVP for Administration to serve as Chief Financial Officer and Chief Operating Officer

This administrative structure was presented to the Board of Regents in April, 2004, and approved under policies in place at that time. Subsequently, reorganization of the University's vast health sciences component in December 2010 created the UNM Health System, and a new organizational design that replaced the position title of EVP Health Sciences with the position of Chancellor of Health Sciences, using the title Vice Chancellor for positions reporting directly to the Chancellor.

History, context and organizational structure have always formed the basis of executive positions and compensation on the Main Campus of the University of New Mexico. The University's mission is advanced through the integrated efforts of its academic and business divisions. Within academic affairs, there is an administrative component of tenured faculty with titles such

as Provost, Dean and Department Chair, which are uniformly used and understood in the academic community. These academic titles convey information regarding responsibility, authority and rank. The University's business affairs are carried out under the supervision of a smaller number of individuals who, since the Caldera model was implemented, are assigned titles such as executive vice president, vice president, and associate vice president. These titles are commonly used and recognized in the business community and, as with academic titles, convey information regarding responsibility, authority and rank among those who are familiar with them.

In 2005, Interim President David Harris consolidated administrative services between the Health Sciences Center and Main Campus. This effort addressed a number of overlapping areas including: Accounting and the Controller's Office, Budget and Planning, Security, Legal Services, Risk Management, Human Resources, and Facilities--both Physical Plant Maintenance and Facilities Planning.

Three of the four VPs who serve both the Main Campus and the HSC were promoted from Associate Vice Presidents to VPs by the EVP for Administration in 2007 because of their increased responsibilities as part of the administrative consolidation. At most universities with a medical school these services are duplicated and not shared. UNM actually achieves a savings as a result of this structure.

In 2007, under my leadership, I added two VPs for strategic purposes (strengthen student recruitment/retention and improve recruitment of minority students and faculty) and changed the title of one position (Athletic Director) to that of a VP to fully integrate athletics into the academic mission of the university. The rationale for the new VP positions, as stated above and during my recruitment, was very clear, and I have not wavered in their importance. All of the additions and changes were approved by the Regents in open meetings of the Board, as was the entire organizational chart. All were filled by national searches before, or shortly after, I prepared my first budget at UNM and before the economic recession.

Senior Executives – Executive Vice Presidents and Vice Presidents

- *SBOF Questions/Answers*
 - *Number of Vice Presidents/Associate Vice Presidents compared to five years ago*
 - *Average salaries now and five years ago*

Since the economic recession, which occurred early in my tenure, the cost of Executive Vice Presidents and Vice Presidents has decreased at UNM. As detailed in the chart that follows, in FY2007/2008 the compensation cost for these positions was \$4.3 million--it is now \$3.4 million. Reduction in the overall number of senior administrative positions, with related consolidation of functions and roles, has been progressing through attrition as key executive positions are vacated. There are now 12 individuals (EVP's, VP's) in these positions--not 18 as has been commonly reported--seven on the Main Campus and five at the HSC.

**UNM Executives (EVPs & VPs)
Positions and Salaries**

CHART 1

	FY 2007/2008 Number of Positions	FY 2007/2008 Total Cost	FY 2011/2012 Number of Positions	FY 2011/2012 Total Cost	Savings (%)
UNM Main Campus	10.5	\$2,577,392	7	\$1,864,782	\$712,610 (28%)
UNMHSC	5.5	\$1,729,388	5	\$1,532,906	\$196,482 (11%)
Totals	16	\$4,306,780	12	\$3,397,688	\$909,092 (21%)

Regarding Associate Vice Presidents (AVP's) on Main Campus, the numbers are relatively unchanged since fiscal year 2007/2008. In FY08 there were nine AVP's with a total salary of \$1.235M, compared to FY12 which includes nine AVP's with salaries totaling \$1.272, or an increase of \$37,000. These numbers do not include the HSC, where the vast majority of executives are physician administrators who hold professor titles as well as administrative titles to reflect their responsibilities as administrators.

Occupants of the Executive Vice President, Vice President and Associate Vice President positions are on annual employment contracts, with formal performance reviews and assessment of goal achievement. It is important to note that while serving on annual employment contracts, the individuals in these positions have the highest levels of administrative responsibility at the University. The scope of these positions includes management of the academic and administrative enterprises, and more specifically:

- Managing the legislative and extra-legislative funding of the core mission
- Financing, construction, and maintenance of University facilities
- Stewardship of the University's flagship research responsibilities
- Administration of a comprehensive employee benefits package including a self-funded insurance plan
- Implementation and actualization of the University's equity and inclusion initiatives
- Financial and physical support for our student population
- Hospital administration and the delivery of state-wide health care

When I arrived at UNM, most of the VPs were white males. A snapshot of this group today reveals a diverse group--5 are women and 4 are of Hispanic descent.

Salaries of the VPs are not all paid from formula generated/appropriated state funds (known as I&G funds). Rather, they derive from several funding sources depending on their duties. Examples would include the following:

- VP Athletics paid strictly from self-generated athletic department funds (sales and services and private donations).
- VP Research paid from F&A funds generated by external federal, state and private research funds
- VP Human Resources paid by both Main Campus and the HSC

The total salaries of the VPs amounts to approximately \$3,398M, funded as follows:

- \$1.1 M (32.3%) from Main Campus I&G (0.63% of total MC I&G budget)
- \$770K (22.7%) from HSC (1.23% of total HSC I&G budget)
- \$1.5 M (45.0%) from other sources

Under my tenure, funding to pay the salaries of the VPs decreased by 11.04% (\$390,000) from \$3.788M to \$3.398M.

It also is important to note that UNM upper administrators are not the highest paid UNM employees. Of the highest 250 salaries at UNM, 232 are faculty (almost all from the HSC), 14 are administrators, and 4 are coaches.

Comparison to Our Peer Institutions

Given the University's unique mission as the State's flagship research university, a comparison of the University to its peers is more pertinent than comparison to state government. In the 2010 Integrated Postsecondary Educational Data System (IPEDS) report (<http://nces.ed.gov/ipeds>), UNM reported a substantially lower executive-senior administrator percentage than its peers. The median peer group total reported was 290 individuals, while UNM had 140 individuals in this category. These positions include academic administrators such as deans and provosts, as well as business administrators such as associate vice presidents and directors. UNM upper-level administrative positions are recruited at a national level and the comparable market is other higher education institutions with similar missions: teaching, research, patient care, and community service.

With regard to Vice President and equivalent positions, UNM compensation is below comparable positions at other surveyed institutions, with all but one of the VP positions below the salary averages for UNM's peer institutions.

Tuition & Fee Data and Comparisons

- *SBOF Questions/Answers*
 - *Rationale justifying tuition increases above the level of inflation*

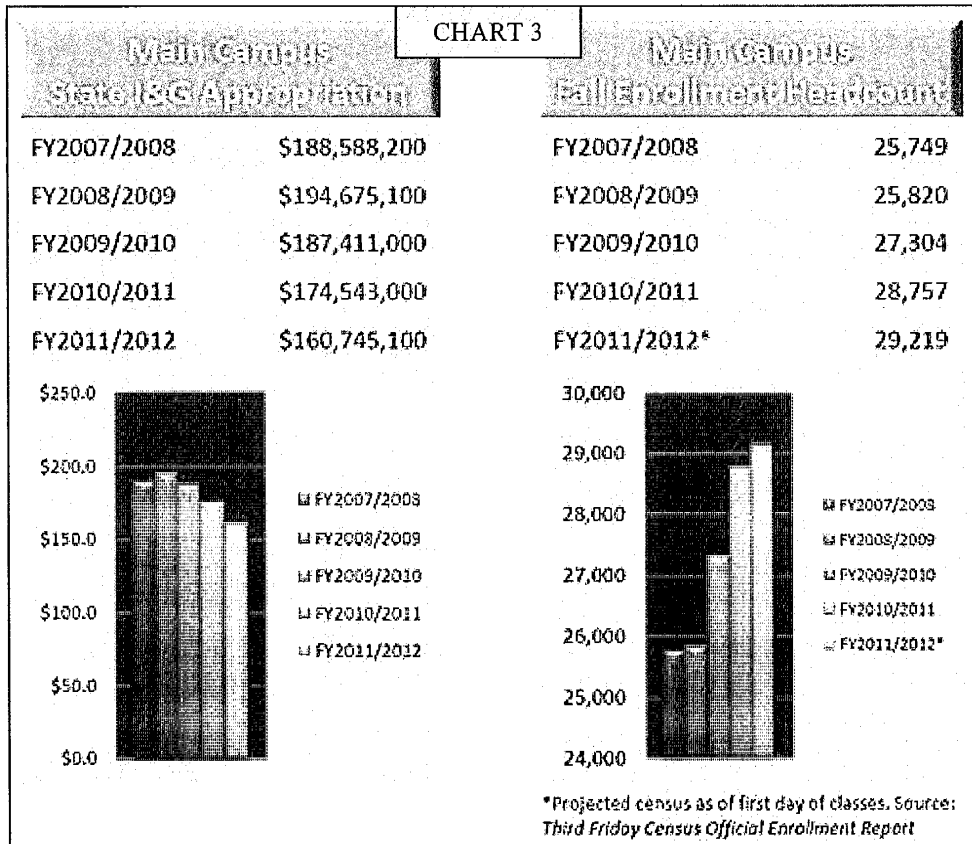
The University of New Mexico continually strives to balance affordability with delivering and advancing its mission of teaching, research, patient care, and community service. The chart below reflects our success in this regard, with UNM's net tuition and fee (T&F) increases, after factoring in the state tuition tax credit and inflation, averaging 1.1% over the last five fiscal years.

Tuition & Fee Analysis CHART 2

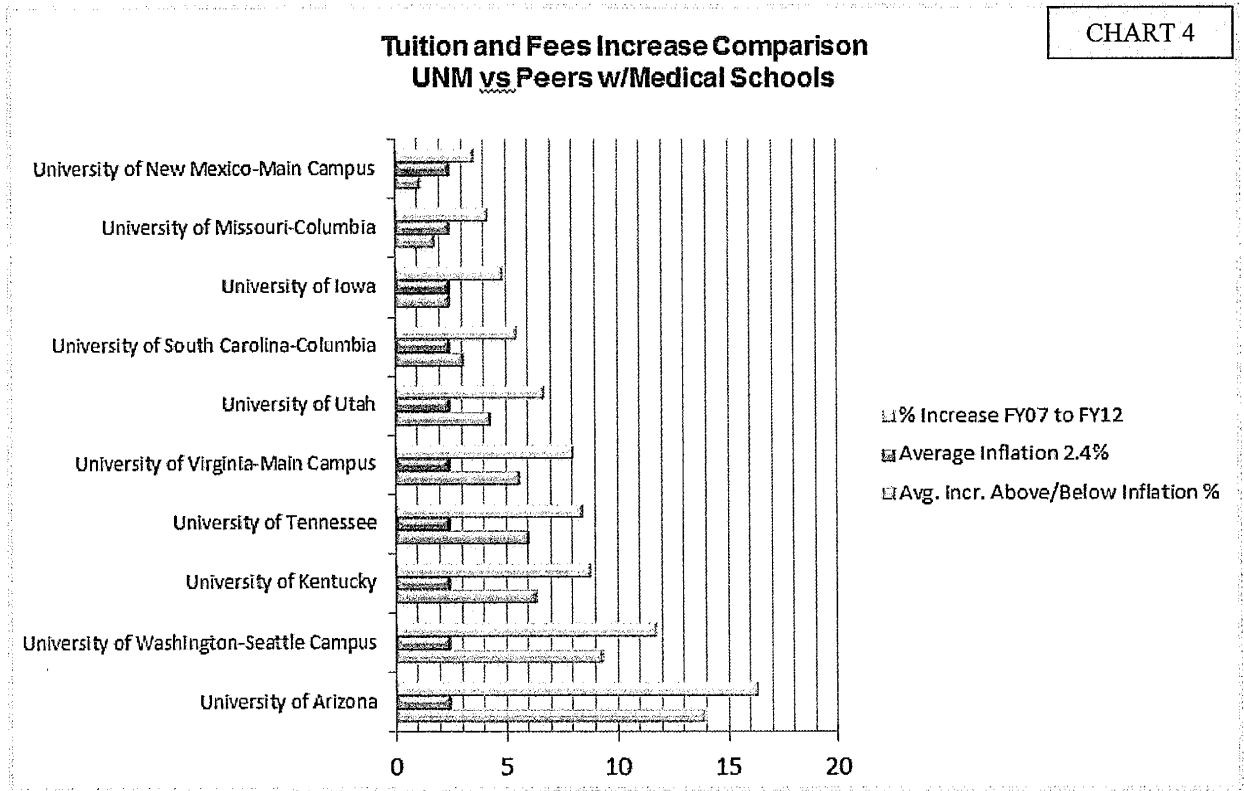
Fiscal Year	Tuition & Fee Increase	Tuition Credit	Net UNM Tuition & Fee Increase	Inflation Percentage	UNM Increase Above/(Below) Inflation %
2007/2008	5.4%	0.0%	5.4%	3.7%	1.7%
2008/2009	5.8%	2.0%	3.8%	1.4%	2.4%
2009/2010	5.5%	2.5%	3.0%	1.0%	2.0%
2010/2011	7.9%	5.0%	2.9%	2.0%	0.9%
2011/2012	5.5%	3.1%	2.4%	3.7% *	(1.3%)
Average	6.0%	2.5%	3.5%	2.4%	1.1%

*Projected Rate of Inflation. Source: Consumer Price Index, published by Bureau of Labor & Statistics

The necessity of these modest increases can best be conveyed when one considers the state appropriation reductions absorbed by UNM over the last four fiscal years while also meeting the demands of record enrollment increases. Since fiscal year 2007/2008, UNM Main Campus has seen its state appropriation decrease by approximately \$28M, or 14.77%, while enrollment has increased 13.48% during this same period of time, from a headcount of 25,749 to 29,219 for the current fiscal year.



These increases gain additional context when compared to tuition and fee increases at UNM's peer institutions. As the following charts detail, UNM's peers have consistently increased tuition and fees at a greater percentage than UNM over the last five years.



**Tuition and Fees Increase Comparison
UNM vs. Peers w/Medical Schools**

CHART 5

Institution	FY07 to FY12	Inflation Percentage	Average Increase Above/Below Inflation %
University of Arizona	16.28%	2.4%	13.88%
University of Washington-Seattle Campus	11.66%	2.4%	9.26%
University of Kentucky	8.72%	2.4%	6.32%
University of Tennessee	8.40%	2.4%	6.00%
University of Virginia-Main Campus	8.00%	2.4%	5.60%
University of Utah	6.69%	2.4%	4.29%
University of South Carolina-Columbia	5.43%	2.4%	3.03%
University of Iowa	4.84%	2.4%	2.44%
University of Missouri-Columbia	4.16%	2.4%	1.76%
University of New Mexico-Main Campus	3.50% *	2.4%	1.10%
Totals	8.02%	2.4%	5.62%

* Calculated after State Tuition Tax Credit
 Source: FY07 - FY11 tuition and fee data obtained from National Center for Education Statistics IPEDS Data Center.

Administrative Expenditures

- *SBOF Questions/Answers*
 - *Breakdown of administrative expenses*

Finally, much has been written about UNM’s administrative expenses and the fact that these funds should more appropriately be applied to the academic mission of the university. In fact this shift has occurred over the course of the last several years with the administration absorbing a larger percentage of the budget reductions through consolidation, attrition and streamlined operations that have had minimal effect on the delivery of services directly supporting the academic mission of the university. Since fiscal year 2007/2008, institutional support, as defined by the Higher Education Department, has declined 1.65%, while budgeted funds for instruction have increased 9.76% during the same time period as shown in the following chart:

UNM Main Campus Unrestricted and Restricted Budgeted Expenditures			
	FY 08	FY 12	% Change FY08 to FY12
Instruction	151.7	166.5	9.76%
Academic Support	31.8	35.2	10.69%
Student Services	17.3	18.6	7.51%
Institutional Support	42.3	41.6	-1.65%
Plant (O&M/Utilities)	34.2	36.5	6.73%
Total	277.3	298.4	7.61%

**Includes reclassification of Safety and Risk Services in accordance with HED guidelines*

When one compares UNM to its peers with medical schools, its Institutional Support expenditures, as referenced in the following charts, are well below the average in administrative expenses.

**Institutional Support Expenditures as a Percentage of Total Operating Expenses
UNM vs Peers w/Medical Schools**

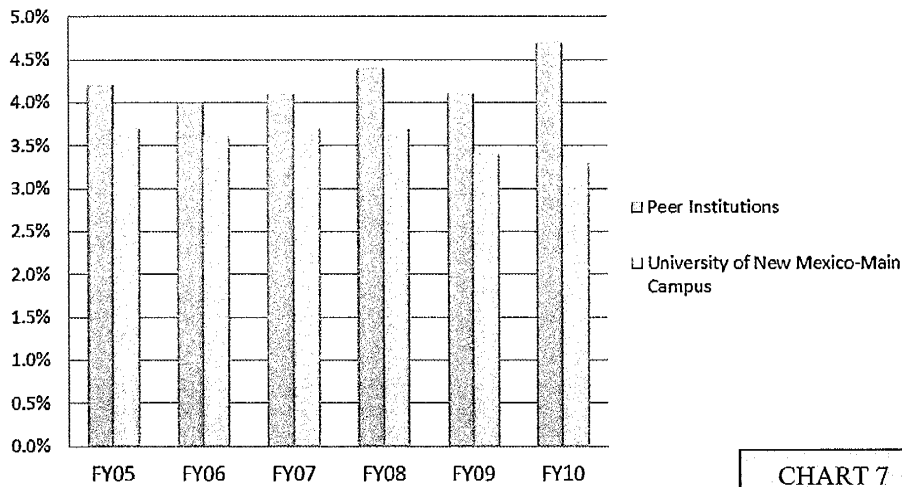


CHART 7

Institutional Support Expenditures as a Percentage of Total Operating Expenses

UNM vs Peers w/Medical Schools

	FY 10	FY 09	FY 08	FY 07	FY 06	FY05
The University of Tennessee	7.0%	6.3%	6.5%	5.8%	5.8%	5.6%
University of Arizona	6.7%	5.9%	6.2%	6.1%	6.4%	5.9%
University of Iowa	4.3%	3.6%	3.7%	3.4%	3.2%	3.5%
University of Kentucky	4.8%	4.0%	3.8%	3.3%	3.1%	3.7%
University of Missouri-Columbia	0.8%	1.1%	1.4%	1.5%	1.6%	3.3%
University of South Carolina-Columbia	5.4%	5.6%	5.9%	5.5%	5.6%	5.4%
University of Utah	4.1%	2.4%	3.3%	3.3%	3.2%	2.8%
University of Virginia-Main Campus	4.8%	4.2%	3.8%	3.7%	3.6%	3.4%
University of Washington-Seattle Campus	4.6%	4.1%	4.6%	4.5%	3.6%	4.0%
PEER AVERAGES	4.7%	4.1%	4.4%	4.1%	4.0%	4.2%
University of New Mexico-Main Campus	3.3%	3.4%	3.7%	3.7%	3.6%	3.7%

Institutional Support includes "expenses for general administrative services, central executive-level activities concerned with management and long range planning, legal and fiscal operations, space management, employee personnel and records, logistical services such as purchasing and printing, and public relations and development."

CHART 8

Summary and Conclusions

In summary, we have the following senior executive positions to oversee the operations of UNM: Executive Vice Presidents (EVPs), Vice Presidents (VPs), and Chancellor/Vice Chancellor. (Please see Organization Chart 9 on page 10.)

5 VPs serve main campus

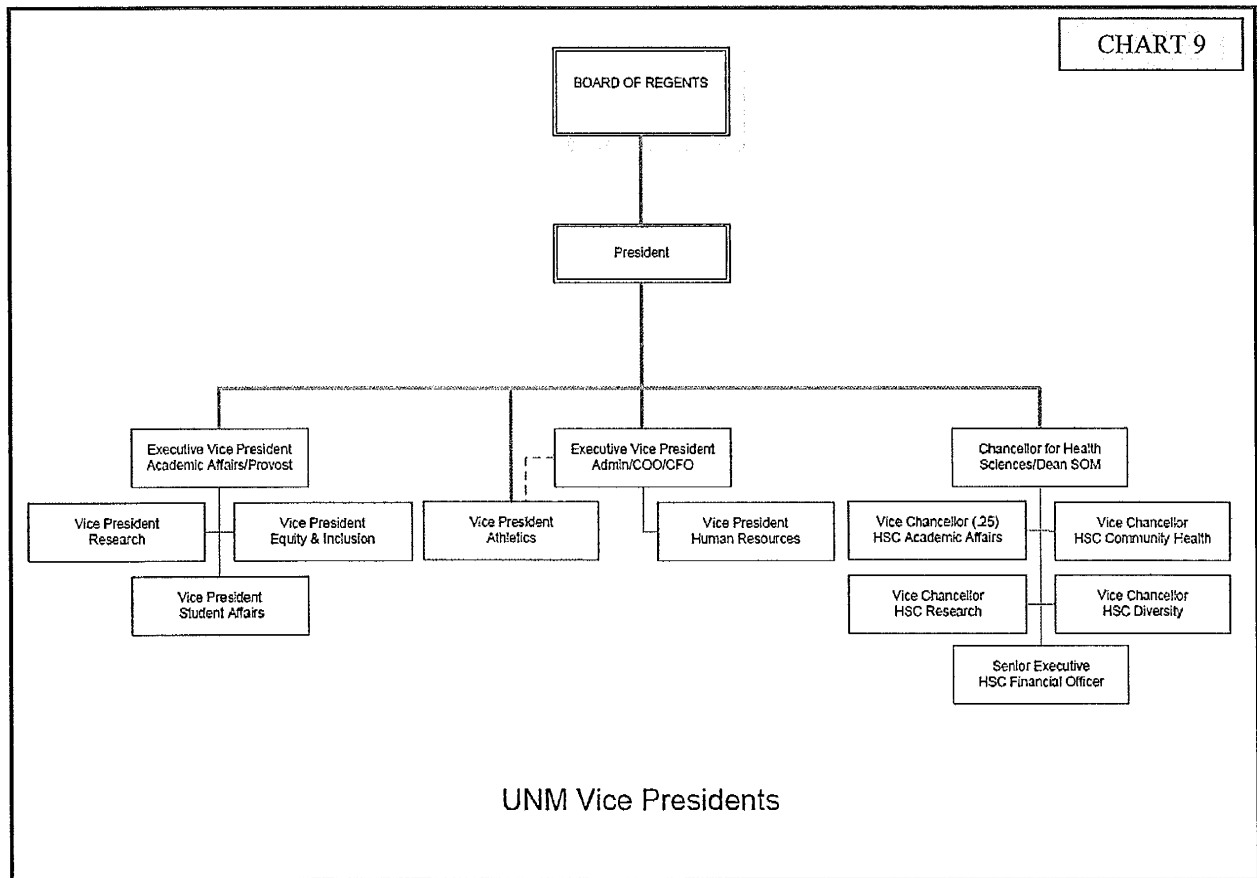
Provost and EVP for Academic Affairs – Chaouki Abdallah

VP Equity & Inclusion – Josephine De Leon
 VP Research – Julia Fulghum
 VP Athletics – Paul Krebs
 VP Student Affairs- Eliseo Torres

2 VPs serve both main campus and HSC
 EVP for Administration and COO & CFO – David W. Harris
 VP Human Resources – Helen Gonzales

6 Chancellor/Vice Chancellors serve HSC
 Chancellor for Health Sciences – Paul Roth
 Vice Chancellor, HSC Academic Affairs – John Trotter (.25 FTE)
 Vice Chancellor, HSC Research – Richard Larson
 Vice Chancellor, Community Health – Arthur Kaufman
 Vice Chancellor, HSC Diversity – Valerie Romero-Leggott
 Executive Financial Officer/HSC- Ava Lovell

For the last three years, the University has cut administrative expenses in all areas. Not only have executive positions been eliminated, but there has been a 9.4% cut in all University budgeted positions.



Other Cost Containment Measures

With regard to managing our financial resources wisely, the Board should also know that UNM has initiated a number of cost containment activities that have allowed us to navigate recent state budget reductions without significant layoffs or mandatory furloughs. These are briefly summarized as follows:

- Energy Conservation Program: Cost avoidance of approximately \$7.0M since May 2008 implementation. These savings are the result of behavioral changes of our faculty and staff, and have been achieved only because of their buy-in and support of energy conservation.
- Self-Insurance Program: Cost avoidance and/or savings exceeding \$10.0M in medical and dental insurance costs.
- Information Technology Re-Engineering: Savings of \$2.5M through reductions in general operating costs, bulk purchases for hardware and software, standardization of equipment (desk top computers, mobile devices and associated maintenance costs), and reductions in salary expense through staff attrition.
- Other cost savings as recommended by the President's Strategic Advisory Team: Cost reductions of approximately \$12.7M, including but not limited to the following:
 - Reduction in I&G support to "non-I&G entities," such as the athletics department, UNM Foundation, Alumni Relations
 - Reduced frequency of office cleaning
 - Dependent benefits audit and evaluation of long-term care insurance
 - Use of copier fleets
 - Renegotiation of licensing agreements (Microsoft, Dell)
 - Elimination of bottle & water cooler water

Closing

We appreciate the opportunity to respond to your questions and thank you for your continued support of the University of New Mexico and its students. The University of New Mexico is committed to running an efficient, streamlined organization that focuses its priorities on student success through investing in its faculty, and in leveraging the talent of its faculty and staff to advance economic development and the well-being of all New Mexico citizens.

Tab 23

**DEGREE/PROGRAM CHANGE
FORM C**

Fields marked with * are required

Name of Initiator: Cindy Chavez

Email: * c2chavez@unm.edu

Date: * 11-09-09

Phone Number: * 505 925-8706

Initiator's Rank / Title*

Chairperson: Valencia County

Branch

Faculty Contact* Michael Ceschiat

Administrative Contact*

Debra Venable

Department* Fine Arts

Division Business, Technology & Fine Arts

Program Associate of Art in Studio Art

Branch Valencia

Proposed effective term:

Semester

Fall ▼

Year

2010 ▼

Course Information

Select Appropriate Program

Undergraduate Degree Program ▼

CIP Code

Name of New or Existing Program

*(NEW) Associate of Arts in Studio Art - Valencia

Catalog Page Number

96

Select Category

Degree ▼

Degree Type

Associate

Select Action

New ▼

Exact Title and Requirements as they should appear in the catalog.

See current catalog for format within the respective college (enter text below or upload a doc/pdf file)

AA Valencia memo of support.doc

Associate of Arts in Studio Art.doc

This Change affects other departmental program/branch campuses

Reason(s) for Request * (enter text below or upload a doc/pdf file)

UNM-Valencia currently has a certificate in Studio Arts and as it stands at this time many student who wish to continue and receive an Associate degree have to do so by pursuing a Liberal Arts Degree.

Statements to address budgetary and Faculty Load Implications and Long-range planning

The budget should not affect faculty load since all courses are already being taught.

*(enter text below or upload a doc/pdf file)

Associate of Arts in Studio Art - 64 credit hours

34 credit hours core curriculum, 30 credit hours in area of study (contains 3 credit fine arts core curriculum)

Semester 1 ENGL 101 (3 credits) *ARTS 121 (3 credits) ARTH 101 (3 credits) Art Studio Core (3 credits see explanation) SOC 101 (3 credits) total 15 credit hours	Semester 3 *ARTS 122 (3 credits) Art Studio Core (3 credits see explanation) Foreign Language Core (3 credits see explanation) Writing and Speaking Core (3 credits see explanation) History Core (3 credits see explanation) total 15 credit hours
Semester 2 ENGL 102 (3 credits) *ARTS 106 (3 credits) *ARTH 201 (3 credits) Philosophy Core (3 credits see explanation) Art Studio Core (3 credits see explanation) Physical & Natural Sciences Core (3 credits see explanation) total 18 credit hours	Semester 4 *ARTH 202 (3 credits) PSY 105 (3 credits) Mathematics Core (3 credits see explanation) Physical & Natural Sciences Core (4 credits see explanation) Art Studio or Fine Arts Core (3 credits see explanation) total 16 credit hours

Explanation of Core Elective Courses

Students must select a Mathematics course from the UNM Core Curriculum (One course chosen from MATH 121, 129, 150, 162, 163, 180, 181, 215, STAT 145)

Students must select one Writing & Speaking course from the UNM Core Curriculum

Students must choose one course from the following list: History HIST 101L, 102L, 161, 162

Students must choose one course from the following list: Philosophy PHIL 101, PHIL 156, or PHIL 245

Students must select two Physical & Natural Science course which must include a lab from the UNM Core Curriculum

Students must select one Foreign Language course from the UNM Core Curriculum

Art Studio Core Elective courses (12 credit hours)

For AASA Concentration in Traditional or Electronic studio pick all electives from one concentration area.

Concentration in Traditional studio:

*ARTS 168: Ceramics I (3)
*ARTS 205: Drawing II (3)
*ARTS 207: Painting I (3)
*ARTS 213: Sculpture I (3)
*ARTS 274: Introduction to Printmaking (3)
ARTS 268: Ceramics II (3)
FA 229: Topics (1-3)

Concentration in Electronic Studio:

*ARTS 130: Introduction to Electronic Art (3)
*ARTS 187: Photography I (3)
ARTS 231: Video Art I (3)
ARTS 289: Digital Imaging Techniques

***Required courses for a BFA**

Department of Art and Art History

Memorandum

To: Amy Neel, Chair, Faculty Senate Curriculum Committee

From: Joyce M. Szabo, Regents' Professor and Interim Chair, Art and Art History

Re: Associate of Arts in Studio Arts at Valencia Campus

Date: May 9, 2011

Having reviewed the documents submitted by Valencia campus pertaining to their formal request for an Associate of Arts in Studio Arts degree, I write in strong support of the degree. We have been working closely with them for years on the approval of their course offerings, and this seems a logical extension that will undoubtedly allow their students to make the transition to four-year institutions more smoothly.

If there are any additional questions that I might answer, please let me know.

Tab 24



November 23, 2011

TO: Academic Student Affairs and Research Committee
FROM: Rick Holmes, Office of the University Secretary
SUBJECT: Fall 2011 Degree Candidates

The Faculty Senate approved the Fall 2011 Degree Candidates at the November 22, 2011 Faculty Senate Meeting.

Included is the list of the Fall 2011 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

Degree Candidate Summary*

Doctoral and MFA Degree	154
Master's Degree	467
Bachelor's Degree	1601
Associate's Degree	70
Total	2292

*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Board of Regents ASAR Committee meeting agenda for consideration.

Thank you.

Attachments

Tab 25

Policy

FACULTY DISCIPLINARY PROCEDURES

1. The University encourages a supportive problem-solving approach to workplace problems, but the University recognizes that misconduct may require disciplinary action. The University normally uses progressive discipline to address possible misconduct. Progressive discipline is intended to be corrective, *not* punitive in nature. It is designed to provide faculty with notice of deficiencies and an opportunity to improve. However, some violations of policies and procedures, or continued negative behavior, may be of such serious nature that suspension without pay or discharge pursuant to *Faculty Handbook* policies may be appropriate.

2. Any member of the faculty, including any serving as an academic administrator, who violates a published University policy may be subject to warning, censure, suspension without pay, or dismissal. Teaching or research assistants in their faculty capacity are considered faculty members for purposes of this Policy.

a) "Warning" means an oral reprimand or expression of disapproval.

b) "Censure" means a written reprimand or expression of disapproval, which should include an explanation of the nature of the misconduct, and the specific action to be taken by the faculty member *and/or* chair to correct the problem, including mentoring, if appropriate, and a statement that further disciplinary action could occur should the problem persists.

c) "Suspension without pay" means disciplinary suspension without regular salary for a stated period of time.

d) "Dismissal" means termination of employment (see *Faculty Handbook* sections B.5.3, B.6.4.3, and B.5.4).

3. The procedures specified in this Policy provide for the consideration and determination of proposed disciplinary actions against faculty members short of dismissal. Consideration and determination of disciplinary actions that may result in a proposed dismissal of a tenured faculty member, or dismissal of an untenured faculty member prior to expiration of his or her contract term, are governed by sections B.5.3, B.6.4.3, or B.5.4, respectively, of the *Faculty Handbook* and are not covered by these procedures. However, cases in which faculty dismissal has been considered pursuant to sections B.5.3, B.6.4.3, or B.5.4, and a lesser sanction is ultimately proposed instead by the administration, shall be handled under this policy, without duplicating steps that have already taken place. In particular, if the chair and dean conclude that suspension without pay is appropriate in a case in which dismissal was considered but rejected, the faculty member is entitled to request a peer hearing as provided below in sections 10 and 11

4. In the case of allegations against a faculty member that appear to be within the scope of another specific University policy that has its own procedures for investigation and resolution (including but not limited to allegations of research misconduct, discrimination, or sexual harassment), the chair or dean shall forward such allegations to the appropriate person or department for handling pursuant to the applicable policy. If such a process requires the chair to make a disciplinary determination after an investigation and recommendation from another University body, this policy will be followed in determining the appropriate discipline. If the other procedure involved a hearing before a faculty committee, any factual determinations will not be subject to reconsideration by faculty peer review under this policy.

5. References to the department chair in this policy also include the program director or associate or vice dean in a non-departmentalized school or college. If allegations are made against a department chair or other administrator, the next higher academic authority shall perform the functions assigned in this Policy to the chair, and the provisions shall be modified as appropriate. Any individual(s) bringing an allegation of faculty misconduct to the chair's attention is protected by, and subject to, the University's policy on reporting misconduct (UBPPM section 2200, Whistleblower Protection and Reporting Suspected Misconduct and Retaliation).

6. In all cases other than those set forth in paragraphs 3 and 4 above, if a member of the faculty is alleged to have violated a policy of the University, the department chair shall provide the faculty member a written notice explaining the nature and specific content of the alleged violation, together with a copy of this policy, and shall discuss the alleged violation with the faculty member. The written notice shall be given to the faculty member within ninety (90) days of the chair learning of the apparent violation of policy. The faculty member may be accompanied by one person in meeting with the chair. The faculty member and the chair shall notify each other at least two working days prior to the scheduled meeting who, if anyone, will be accompanying them at the meeting. The chair should issue a written report within five (5) working days after the meeting summarizing the discussion with the faculty member, keep a copy in the faculty member's file, and send a signed copy to the faculty member. Before, during or after the meeting, the chair may ask the faculty member to respond in writing to the notice and present any relevant written material within a reasonable time specified by the chair. Likewise the faculty member shall be free to submit any materials reasonably desired on his/her own volition, no later than five (5) working days after meeting with the chair unless the chair grants additional time in writing. The matter may be concluded at this point by the mutual consent of all parties.

7. The department chair or the faculty member may initiate conciliation proceedings at any time prior to the chair's decision by contacting the Faculty Dispute Resolution program as provided in Section C345 with notice to the other parties. Conciliation may be undertaken if both parties agree.

8. If a mutually agreeable resolution (with or without conciliation) is not achieved, the department chair shall make a decision in the matter and communicate it to the faculty member in writing within ten (10) working days after meeting with the faculty member or the termination of conciliation efforts if they are unsuccessful, whichever is later. The faculty member shall have ten (10) working days from receipt of the written decision to submit a written request for review by the appropriate dean, who will issue a written decision concerning whether the chair's decision is upheld, modified or reversed. Prior to making a decision, the dean shall meet with the department chair and the faculty member, and their representatives if desired, together or separately, and shall receive and consider any documents the parties wish to submit. Documents shall be submitted within five (5) working days of the faculty member's request for review. If formal conciliation has not been attempted previously, the dean may refer the matter to Faculty Dispute Resolution. The dean will communicate his/her decision to the parties in writing within ten (10) working days after meeting with the faculty member or the termination of conciliation efforts if they are unsuccessful, whichever is later.

9. If the faculty member does not agree with the dean's action, he/she may submit a written request for review by the Provost or Chancellor within five (5) working days of receipt of the dean's decision. The Provost/Chancellor will decide the matter on the record unless he/she determines that it would be helpful to meet with the parties, together or separately. Within ten (10) working days after receipt of the complete record or after meeting with the parties, whichever is later, the Provost/Chancellor shall uphold, modify or reverse the dean's decision by written notice to the parties. The Provost/Chancellor may seek an advisory investigation and opinion from the Faculty Ethics Committee. The decision of the Provost/Chancellor is subject to discretionary review by the President or Board of Regents if requested by the faculty member.

10. If the chair, after meeting with the faculty member and considering all materials submitted pursuant to section 6, proposes to suspend the faculty member without pay, the chair shall meet with the dean to review the matter. If the proposal is supported by the dean after meeting with the chair and the faculty member, the faculty member is entitled to a faculty peer hearing. The faculty member shall send such a request to the Provost/Chancellor within five (5) working days of receipt of the dean's determination.

11. If a faculty peer hearing is requested as provided in this Policy, the chair of the Faculty Ethics Committee will arrange for a hearing before two members of that Committee from outside the faculty member's department, chosen by the Ethics Committee, and one uninvolved department chair from a different school or college chosen by the Provost/Chancellor. The hearing will be held as soon as reasonably possible and shall be conducted according to the University's Dispute Resolution Hearing Procedures. The University Secretary's office shall make arrangements for the hearing. Hearings shall be recorded and shall be private unless both parties agree that the hearing be open. The hearing panel may uphold or reverse the proposal to suspend the faculty member without pay. If the panel's decision is to reverse the proposal, the

panel may direct the chair and dean to impose a lesser disciplinary measure. The panel's decision may be reviewed on the record by the Provost/Chancellor, but the panel's decision shall not be reversed or modified except in the case of clear error, which shall be detailed in writing by the Provost/Chancellor. The decision of the Provost/Chancellor is subject to discretionary review by the President or Board of Regents if requested by the faculty member.

12. The faculty member may bring a complaint before the Committee on Academic Freedom and Tenure (AF&T) if he/she believes the matter or its handling is within the jurisdiction of the Committee. The Committee will determine whether the matter is within its jurisdiction and, if so, shall handle the matter under the Policy on Academic Freedom and Tenure. Normally, review by the AF&T Committee should be sought after the determination by the Provost/Chancellor. If the faculty member pursues the matter before the AF&T Committee, AF&T shall accept the facts as determined by the faculty peer hearing, if one was held.

13. If the final determination is that no misconduct occurred, efforts shall be undertaken to the extent possible and appropriate to fully protect, restore, or maintain the reputation of the faculty member.

14. These procedures do not supersede Appendix VIII to Part B of the *Faculty Handbook*, concerning the Faculty Ethics Committee, and a faculty member who believes that he/she has been improperly accused of unethical behavior may bring the matter to the attention of the Ethics Committee under Appendix VIII after determination by the Provost/Chancellor.

Tab 26

All-

I want to inform you of, and get your responses to, my efforts to get an additional category of faculty approved and added to the Faculty Handbook. I started this process in response to some pretty serious unintended consequences of the decision two years ago to adopt the Presidential Strategic Advisory Team's recommendation that UNM stop making ERB contributions for temporary, part-time employees. Some background: It turns out that for many years UNM had been making ERB contributions for all employees, regardless of status. If those employees ended up not working sufficient hours to be eligible for ERB benefits (i.e., greater than 520 hours or .25 FTE), they simply requested that ERB return their individual contributions, and ERB complied. ERB policies, however, do not allow for the employer's contribution to be returned, so UNM ended up losing its share (11%) of the total ERB contribution. According to our VP for Human Resources, Helen Gonzales, this amounted to a loss of over \$1 million/per year, so the recommendation seemed like a good idea.

There are roughly 4000 part-time employees at UNM, about half of whom are part-time instructors. The large majority of these part-time employees are unaffected by this change. However, this new policy very negatively impacts about 250 part-time faculty who routinely work more than .25 FTE and rely on ERB for their retirement. With this new arrangement, after part-time employees reach 520 hours, they can begin again to contribute to the ERB, but because teaching hours are defined as in-class contact hours, it takes much of the first semester before they can begin contributing. This results in a disruption in their years of service, such that they now have to teach for two years to get one year of retirement credit. Last year, on behalf of her PTI, Deborah Sulsky, the Associate Chair of Mathematics and Statistics, went to Dean Claiborne and eventually to Richard Holder to protest this change and spell out its considerable unintended but hurtful consequences. Math and Stats has about 40 PTI who have been teaching 5 or 6 courses per year for many years. A couple of those faculty were planning to retire last year, but were informed that because of the change in policy, they would not be eligible to retire for two more years and their benefits would be reduced because the first 520 hours of service for those years would not count. Richard Holder took Deborah's concerns to the Provost and President who, reportedly, told Richard and Helen Gonzales to "fix the problem". Well, Richard left before there was resolution, so I inherited the problem as well as the mandate to fix it. The story gets more complicated, so bear with me.

In my discussions with Helen and others, it turns out that there are really two issues. In response to Helen's attempts to explain to ERB why UNM was changing its policy regarding ERB contributions for part-time employees, ERB equated our PTI with APS' cadre of substitute teachers. More specifically, PTI are now identified by ERB as temporary as well as part-time. To be eligible to contribute to the ERB, employees must have an FTE greater than .25 AND cannot be called temporary. Even though we have PTI who regularly teach 5 or 6 courses a semester(!) and have done so consistently for many years, they are still considered temporary and, thus, ineligible. ERB is intransigent on this issue. In order to make these faculty eligible again, we have to give them a title that explicitly distinguishes them from temporary instructors. Unfortunately, none of our existing faculty titles are appropriate. In many departments, e.g, Math and Stats, lecturers have voting rights and the number of courses that they teach is restricted. Converting all of these PTIs to lecturers would add large numbers of voting faculty to

department rosters, increase the teaching costs of many departments, and reduce the number of course offerings - precisely the opposite of what departments want and need right now. As we work to reduce the number of PTIs and increase the number of permanent instructors across departments, increasing the ranks of lecturers may be a long-term solution, but it doesn't solve the immediate problem. A quick look at the other faculty titles will confirm that none is appropriate for various reasons. Now, at this point you may be asking yourself why don't we just wait until these employees accrue 520 hours and then make retroactive contributions? Retroactive contributions to ERB are not allowed. Another question that leaps to mind is, How can these faculty be allowed to make contributions after 520 hours if they are considered temporary? The 520 hours trumps the title and makes them eligible. I'm certainly open to other solutions, but the only one I can come up with to solve this problem relatively quickly is to create a new faculty title: Term Teaching Faculty.

As you may know, creating a new faculty title is not simple. It must be approved by AF&T, be put to a vote by the entire faculty, and then approved by the Board of Regents. Toward that end, I have already run this by the Senate Faculty Operations Committee and won their endorsement, and AF&T unanimously approved it at its meeting last Friday. Before we go any further with this, though, I wanted to run it by you and make sure the Deans are on board. Please let me know if you have any question, suggestions or concerns.

Mike

October 18, 2011

Dear Faculty Colleagues:

One of the duties of the Academic Freedom and Tenure Committee as stated in the *UNM Faculty Handbook* is to review the Policy on Academic Freedom and Tenure from time to time and recommend appropriate revisions. As Senior Vice Provost for Academic Affairs and after consultation with faculty governance leaders and the deans, I have asked the AF&T Committee to review a proposed change in the *Handbook* policy. This change is the addition of a new academic title, Term Teaching Faculty in Part B, Sections 2.3.15 and 3.6.5. The proposed policy revisions are included in this web-based ballot.

These policy revisions have been considered and approved by the Faculty Senate Operations Committee and the AF&T Committee. According to the *Faculty Handbook* all policy revisions must be approved by the University Faculty and subsequently by the Board of Regents. First, it is important that I provide you with the history that led to this proposal.

In an effort to realize substantial cost savings, UNM last year stopped making ERB contributions for all part-time employees working less than .25 FTE. Up to then, UNM required all employees, full-time and part-time, to contribute to the ERB and also made an additional employer contribution of 11% on behalf of each employee. Those employees who ended up not working the required .25 FTE to be eligible for retirement benefits were reimbursed their contributions by the ERB, but UNM's contributions were, by regulation, not returned. This ended up costing UNM over \$1 million per year, which led to the decision to terminate these contributions.

An unintended and unfortunate consequence of that decision was that the ERB now considers our part-time instructors (PTI) to be temporary employees and, therefore, ineligible for ERB benefits, until they work more than .25 FTE or 520 hours per year. After reaching 520 hours, PTI and UNM are again required to make their ERB contributions; however, the PTI lose service credit for the first 0.25 FTE each year. The problem is not alleviated simply by issuing contracts for more than .25 FTE, because the PTI title precludes ERB eligibility until these individuals reach 520 hours. This change has very adversely affected many (roughly 250) of our PTI, who for years have taught several courses per semester and who have been relying on their ERB pensions to retire. At least two PTI who had planned to retire last year were informed at the last minute that they were ineligible for retirement because of the change in policy and many more are now facing a significant loss in benefits.

After exploring a number of possible solutions, including retroactive ERB contributions and trying to get ERB to reclassify our PTI as non-temporary employees, it appears that the only viable way to retain retirement benefits for our PTI is to change their title. One title that accurately describes the work that these faculty do for the University and also satisfies the ERB is Term Teaching Faculty. In line with department/unit bylaws and with consent of the relevant faculty, chairs/directors could offer this title to instructors who would be required to teach more than .25 FTE (one course) per semester.. These faculty would be comparable to research faculty in not having faculty voting rights nor being eligible for tenure. Thank you for considering this proposal.

Sincerely,

Michael Dougher, Ph.D.
Senior Vice Provost for Academic Affairs

Summary report

Lists all the questions in the survey and displays a summary with chart for each question. Text input is not included.

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Report info

Report date:	Tuesday, November 8, 2011 9:39:49 AM MST
Start date:	Friday, October 28, 2011 1:00:00 PM MDT
Stop date:	Monday, November 7, 2011 5:00:00 PM MST
Stored responses:	354
Number of completed responses:	335
Number of invitees:	1862
Invitees that responded:	352
Invitee response rate:	18%

Question 1

Proposal:

Subject to approval by the UNM Faculty and the UNM Board of Regents:

Term Teaching Faculty, to be added as sections 2.3.15 and 3.6.5 added to the UNM Faculty Handbook :

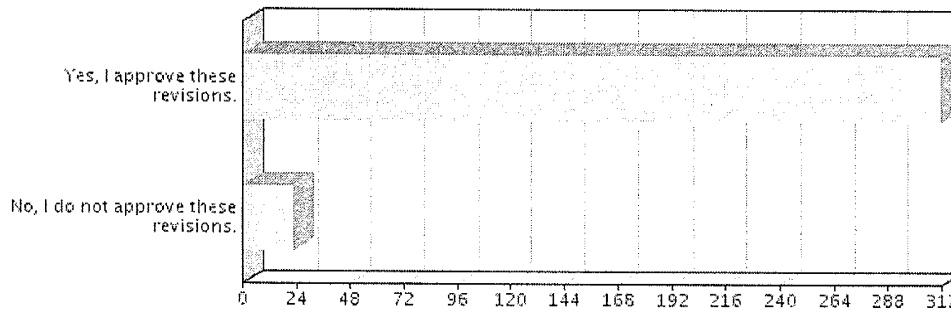
2.3.15 Term Teaching Faculty

This title is used for part-time faculty greater than .25 FTE and who are employed to teach on a non-permanent basis.

3.6.5 Term Teaching Faculty

Term faculty appointments are greater than .25 FTE and faculty in this category are issued contracts for a limited period. It is within the University's discretion whether to renew such contracts, subject to the provisions of this Policy.

Shall the revisions to the UNM Faculty Handbook , Section B , 2.3.15 and 3.6.5 to include the new academic title, Term Teaching Faculty be approved?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Yes, I approve these revisions.	312	88.14%	93.13%
No, I do not approve these revisions.	23	6.5%	6.87%
Sum:	335	94.63%	100%
Not answered:	19	5.37%	-

Total answered: 335

