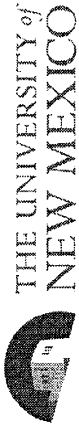


Board of Regents' Meeting
June 11, 2013
9:00 a.m.
Student Union Building Ballroom C



BOARD OF REGENTS' CALENDAR 2013 – 2014 (current 6.11.2013)

| Regents' Board & Committee Meetings (location & time) | 2013 Jan. | 2013 Feb. | 2013 Mar. | 2013 Apr. | 2013 May | 2013 June | 2013 July | 2013 Aug. | 2013 Sept. | 2013 Oct. | 2013 Nov. | 2013 Dec. | 2013 Jan. | 2013 Feb. | 2013 Mar. | 2013 Apr. | 2013 May | 2014 | Key Leadership | Key Staff |
|--|-----------------------------|-----------|---------------|-------------------|-----------------------------|-----------------|-----------|------------------|----------------------------|---------------|---------------|------------------|---------------|-----------|-----------|-----------|----------|------|--|---------------------|
| Board of Regents' Meeting 9:00 a.m. – 1:00 p.m. SUB C | CANCELED | Feb. 11 | Mar. 11 | Apr. 9 | May 14 | June 11 | July 9 | Aug. 13 | Sept. 10 | Oct. 8 | Nov. 12 | Dec. 10 | Jan. 14 | | | | | | Regent President Jack Fortner, President Robert Frank | Ellen Wenzel |
| Agenda Items Due: | Jan. 29 | Feb. 27 | Mar. 27 | | May 1 | May 29 | June 26 | July 31 | Aug. 28 | Sept. 25 | Oct. 30 | Nov. 27 | Jan. 2 | | | | | | | |
| Academic/Student Affairs & Research Committee 1:00 p.m.-4:00 p.m. Scholes Hall, Roberts Room | Jan. 9 | Feb. 6 | Mar. 6 | April 8 9am | May 9 9am | June 6 1pm | June 1 | Aug. 1 1pm | Sept. 5 1pm | Oct. 3 1pm | Nov. 7 1pm | Dec. 5 1pm | Jan. 2 1pm | | | | | | Regent Bradley Hosmer, Provost Chaouki Abdallah | Melissa Vargas |
| Agenda Items Due: | Jan. 2 | Jan. 28 | Feb. 25 | Mar. 25 | Apr. 22 | May 27 | | July 29 | Aug. 26 | Sept. 23 | Oct. 28 | Nov. 25 | Dec. 30 | | | | | | Regent Gene Gallegos, Manu Patel, Dir. Internal Audit | Amy O'Donnell |
| Audit Committee 8:30 a.m. – 12:00 p.m. Scholes Hall, Roberts Room | | | Mar. 8 1pm | April 18 Spec. | | June 20 | | | Sept. tbd. | Oct. 17 | | | | Feb. 20 | | | May 15 | | | |
| Agenda Items Due: | | | Mar. 1 | April 5 | May 3 | June 7 | | Aug. 2 | Sept. 6 | Oct. 4 | Nov. 1 | Dec. 6 | Jan. 13 | | | | | | Regent James Koch; EVP David W. Harris | Cenissa Martinez |
| Finance & Facilities Committee (1 st Friday) 9:00 a.m. – 12:00 p.m. Scholes Hall, Roberts Room | CANCELED | Feb. 7 | Mar. 1 | April 5 | May 3 | June 7 | | Aug. 2 | Sept. 6 | Oct. 4 | Nov. 1 | Dec. 6 | Jan. 13 | | | | | | | |
| Agenda Items Due: | | Jan. 25 | Feb. 20 | Mar. 22 | Apr. 22 | May 24 | | July 19 | Aug. 23 | Sept. 20 | Oct. 25 | Nov. 22 | | | | | | | | |
| Health Sciences Board 2:00 p.m. (1 st Friday) HSC Domenici Center, Rm 3010 | Jan. 4 | Jan. 31 | Mar. 1 | Apr. 8 | May 3 | June 7 | | Aug. 2 | Sept. 6 | Oct. 4 | Nov. 1 | Dec. 6 (ten.) | | | | | | | Regent Suzanne Quillen; Chancellor Paul Roth | Patrice Martin |
| Agenda Items Due: | Dec. 20 | Jan. 18 | Feb. 15 | Mar. 15 | Apr. 19 | May 17 | | July 19 | Aug. 16 | Sept. 13 | Oct. 18 | | | | | | | | | |
| ADVISORS' SCHEDULE | ALUMNI PARENT RETIREE | | | | ALUMNI PARENT RETIREE | FOUN- DATION | | ALUMNI PARENT | RETIREE FOUN- DATION | | | FOUN- DATION | | | | | | | | |
| NOTES: Agendas posted 72 hours prior to meeting | | | | | | | | | | | | | | | | | | | | |

AGENDA OF THE MEETING OF THE REGENTS OF THE UNIVERSITY OF NEW MEXICO

June 11, 2013

9:00 a.m. noon --1:00 p.m. – 3:00 p.m. Student Union Ballroom C

Regents Executive Session/Luncheon, 1:00 p.m. Cherry Silver Room

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- I. Confirmation of a Quorum: Adoption of the Agenda, *Regent President Jack L. Fortner* 1
- II. Approval of Summarized Minutes of the May 14, 2013 Board of Regent’s Meeting2
- III. President’s Administrative Report, *President Robert G. Frank* 3

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Public Comment, specific to agenda items

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- VEBA Update, *Michael Duran, Chief Operations Officer, Human Resources* 4

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 - 2. Architect Selection for Science and Mathematics Learning Center (SMLC) Phase 2.
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- 6. Approval of Resolution to Reallocate UNM 2007 Bond Proceeds 11
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 - 1. Information Technologies-Advanced Presentation Systems
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- 2. Request for Approval for UNMH Contracts with Covidien, Healthcare Laundry, Inc., United Parcel Service, City of Albuquerque, and Ricoh USA, Inc. 17
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- Angi Gonzales-Carver, President, UNM Parent Association
- William Miller, President, UNM Retiree Association
- Amy Neel, President, Faculty Senate
- Gene Henley, President, Staff Council
- Priscilla Poliana, President, GPSA
- Gary Gordon, President, UNM Foundation (report)**
- Isaac Romero, President, ASUNM

VI. Public Comment

VII. Vote to close the meeting and to proceed into Executive Session.

VIII. Executive Session:

- A. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2), NMSA (1978)
- B. Discussion and determination where appropriate of threatened or pending litigation Pursuant to Section 10-15-1.H (7) NMSA (1978).

IX. Vote to re-open meeting.

- C. Certification that only those matters described in Agenda Item X. were discussed in Executive Session and if necessary, final action with regard to those matters will be taken in Open Session.

X. Adjournment

*BOR Agenda 6.11.2013 **

The University of New Mexico
Board of Regents' Meeting
May 14, 2013
Student Union Building Ballroom C
Meeting Minutes

Members present: President Jack L. Fortner, J.E. Gene Gallegos, Bradley C. Hosmer, Conrad D. James, James H. Koch, Suzanne Quillen, Heidi Overton (Quorum).

Administration present: President Robert Frank, Provost Chaouki Abdallah, Executive Vice President David Harris, Chancellor Paul Roth, Vice President Josephine de Leon (Equity and Inclusion), Vice President Paul Krebs (Athletics), Sr. Executive Officer Ava Lovell (Finance and Administration), Vice President Eliseo Torres (Student Affairs), Interim Vice President Jewel Washington (Human Resources), University Counsel Elsa Cole.

Regents' Advisors present: President Amy Neel (Faculty Senate), President Mary Clark (Staff Council), President Caroline Muraida (ASUNM), President Marisa Silva (GPSA), President William Miller (Retiree Association), Duffy Swan (Alumni Association).

Regent Fortner called the meeting to order at 9:02 a.m.

I. Confirmation of a Quorum; Adoption of the Agenda, Regent Fortner

Motion to approve the Agenda passed unanimously (1st Gallegos, 2nd Overton).

II. Approval of Summarized Minutes of the April 9, 2013 BOR meeting, Regent Fortner

Motion to approve the minutes of the April 9, 2013 meeting passed unanimously (1st Gallegos, 2nd Hosmer).

III. President's Administrative Report, Regent Fortner

Motion to approve the adoption of the UNM 2020 Strategic Refresh (7 goals, 27 objectives) and schedule review of Performance Monitoring and Reporting Program for June 11, 2013 passed unanimously (1st Fortner, 2nd Koch).

President Bob Frank introduced Elsa Cole, the new University Counsel. The University is thrilled to have her and is sure she will do great things in the General Counsel role.

President Frank and Steve Sloate gave the presentation on UNM 2020 Strategic Plan. There are four phases to the plan, design the future (vision), target for success (goals & objectives), campaign to win (priorities) and finish with finesse (challenge ourselves). The general picture, goals, tactical planning teams and strategic opportunities were created.

Requesting adoption of the UNM 2020 Strategic Plan (7 goals, 27 objectives) and schedule review of Performance Monitoring and Reporting Program for June 11, 2013.

These goals and objectives will be monitored quarterly to monitor the performance of the University. The Performance Monitoring and Reporting system will allow the Board of Regents to know where the University stands in terms of achieving the objectives.

There were 1,100 participants in live and online sessions that participated in an exercise over several months to define what they want this university to become in the year 2020. The participants have given input on what they would like the university to be in 2020. A quantitative and qualitative descriptor of the UNM desired in 2020 includes; Students, Institutional Culture, Leadership & Governance, Faculty & Staff, Teaching & Learning, Health Sciences, Discovery &

Innovation, Strategic Partnerships, Market Position & Brand, Infrastructure & Financial Performance.

The seven goals for UNM 2020 are to:

1. Become a Destination University
2. Prepare lobos for lifelong Learning
3. Promote Institutional Citizenship
4. Enhance Health and Health Equity in NM
5. Advance Discovery and Innovation
6. Ensure Financial Integrity and Strength
7. Advance and Accelerate Economic Development

The top ranked objectives include:

1. Improving Retention and Graduation
2. Make UNM a great place to be
3. Build Workforce
4. Improve Health Care
5. Reform Allocation Process
6. Increase Grants and Contracts
7. Interdisciplinary Research
8. Opportunities to Serve
9. Compensation Linked to Outcomes

President Frank stated that the entire University is responsible for the UNM 2020 plan implementation and it would roll up to President through his direct reports and through their direct reports and so on.

Regents commended President Frank and consultant Steve Sloate on their efforts with the UNM 2020 strategic plan.

- **Request from Regents to match the goals with the budget so that they are in alignment.**

Comments from Regents

Regent Fortner commented on an Albuquerque Journal Editorial dated May 14, 2013 stating to ask UNM to help with the Albuquerque Police Department Oversight Review. The article mentioned that councilors Brad Winter (Republican) and Rey Garduño (Democrat) are drafting legislation to tap the University of New Mexico to help run a series of town hall meetings on the city's Police Oversight Commission and Independent Review Office. They want a task force to hold the meetings, evaluate the system and make recommendations for revamping the system to the City Council. Regent Koch brought it to his attention stating that he did a great job with the search for the President and suggested that he volunteer. Regent Fortner asked President Frank to keep his eye on this, as he likes the idea of other areas of government asking the University to be involved in leadership roles. Regent Fortner suggested Professor Antonette Sedillo-Lopez or someone on the President Search Committee could chair this effort. It is great that the government looks to UNM for leadership.

Public Comment, specific to agenda items

Carol Stephens commented on Retiree Health Care Benefits. Ms. Stephens stated that UNM Retirees have earned the right to health insurance and benefits at the same rates offered to UNM employees by fulfilling their commitments to UNM. Retirees are ambassadors to their local community and valuable members of the Lobo Family who continue to play important roles in UNM's success.

Guy Watson commented on Retiree Health Care Benefits and proposed that the Board withhold or freeze the implementation of the Health Care Task Force recommendations for one year so that UNM can

continue to seek a fair solution to the unfunded liability with an expanded committee with more retiree representatives.

Beth Lehman commented on Retiree Health Care Benefits and asked the Board to reconsider their vote on retiree health care and reinstate the Task Force to continue their work on a viable solution.

IV. Approval of FY 2013/2014 UNM Consolidated Budget and FY 2012/2013 Budget Adjustment Request (BAR)

Motion to approve the FY 2013/2014 UNM Consolidated Budget and FY 2012/2013 Budget Adjustment Request (BAR) passed unanimously (1st Gallegos, 2nd James).

The FY2013/2014 Operating and Capital Budget Plans and the FY2012/2013 Budget Adjustment Request (BAR) books were discussed (books are posted in conjunction with the BOR E-Book on the BOR Website).

Requesting approval of the FY 2013/2014 UNM Consolidated Budget and FY 2012/2013 Budget Adjustment Request (BAR). President Frank, Andrew Cullen and Ava Lovell gave the presentation.

President Frank stated that the University must strive to increase its graduation rates. Investments made by the University are critical to performance acceleration including, growing and retaining faculty, recruiting “honors” students and continuing to grow the Honors College, further develop the Global Education Office (GEO), and implement and strengthen student-focused support programs. This budget session was an inclusive and disciplined process that consisted of several committees including the Strategic Budget Leadership Team (SBLT), Tuition and Fee Team, and the Student Fee Review Board (SFRB). We will be held accountable to the Regents, students and citizens of New Mexico.

Andrew Cullen presented the Budget Overview. Of the State General Fund Appropriation for Operations, Higher Education’s share of the total state budget was 14.3% in FY09 and 13.5% in FY14. UNM’s share of the total state budget was 5.5% in FY09 and 5.1% in FY14. UNM’s share of the total Higher Education budget was 38.7% in FY09 and 37.6% in FY14. The Consolidated Revenue Budget for UNM increased 4.8%, was \$2.426 billion in FY13 and \$2.541 billion in FY14. The Regents approved a 3% Faculty Salary Increase, 1% Staff/GA/TA Salary Increase, one-time Staff supplemental pay of \$1000, and full funding for group health insurance. Graduate Resident Tuition Waivers totaled \$340,000. The Voluntary Employee Benefits Association (VEBA) Trust was established. Total cost estimated is \$4.0 million, with \$2.0 million from employee ($\$50,000 \times 0.005\% = \250), and \$2.0 million from employer (\$600,000 I&G). The Education Retirement Plan, employee contributions went from 7.9% to 9.4% FY13 (permanent), will increase from 9.4% to 10.1% in FY14 and 10.1% to 10.7% in FY15. Employer Contributions went from 10.9% to 12.4% (restoration), will increase from 12.4% to 13.15% in FY14 and 13.15% to 13.9% in FY15. Changes occurred in minimum retirement age to 55 years of age and there were changes to the Cost-of-Living Adjustment (COLA). Because of these legislative changes, the Education Retirement fund will be 90% solvent by 2039. Regarding the Lottery Scholarship there is a projected \$16.0 million budget shortfall for FY14. There will be a one-time distribution of \$9.875 million from the tobacco settlement permanent fund, leaving a projected deficit balance of approximately \$6.0 million for the Lottery Scholarship in the upcoming fiscal year. The projected deficit balance is approximately \$6.0M for the upcoming fiscal year. The Senate Finance Committee kept \$521 million in reserves, or approximately 8.8%. After line item vetoes, the reserves are now projected to be \$659.6 million or 11.2%.

The Consolidated Budget was reviewed; total revenues were \$2,541,557,402, which was an increase of 4.8% over, 2012-13. Total Expenditures were \$2,541,557,402, an increase of 4.8% over 2012-13.

The Main Campus Budget was reviewed; total revenues were \$911,983,916, which was an increase of 7.1% over 2012-13. Total expenditures were \$911,983,916, which was an increase of 7.1% over 2012-13. State appropriations for Main Campus I&G Operations increased \$10,667,063 or 6.3% for FY 2013-2014. First time in four years, the legislature appropriated a compensation increase, 1% salary increase (59.85%). There was a bolstering of the employee retirement plan. Research and Public Service Projects (RPSP's) funding was mixed, with overall increases to individual programs, resulting in a blended state appropriation increase of 6.7% for FY14. There was no tuition credit on higher education institutions.

Main Campus Current Fund Expenditures consisted of a continued shift of overall funds to Academic Affairs; Instruction, Academic Support and Student Services were up \$18.8M and Institutional Support and Operations & Maintenance were down \$1.8M. New faculty positions totaled \$1.050M; other faculty compensation initiatives \$800,000. Full funding of \$950,000 for the Global Education Office (GEO). Permanent I&G funding totaling approximately \$466,000 for University Libraries. There were increases to student aid including, \$246,028 related to the 3% scholarship commitment to be awarded based on merit or need, \$1,300,051 in need-based aid related to the 20% tuition and fee set-aside for undergraduate students, and \$306,143 in need-based aid related to the 20% tuition and fee set-aside for graduate students.

An innovative new budget model was developed creating a clear financial incentive to take 15 credit hours or more per semester. Per credit hour rate charge of \$235.25 for hours 1-14 (\$6,846.00). Per credit hour rate charge of \$166.89 for hours 15-18 hours (\$6,446.71). The use of one-time funds for recurring expenses was at a high of approximately \$13.5M in FY 2011 down to \$3.0 million in FY2013-14.

The Branch Campus Budget was reviewed; total revenues were \$47,581,263, which was an increase of 1.0% over 2012-13. Total expenditures were \$47,581,263, which was an increase of 1.0% over 2012-13. Instruction and General Budget Increases for the Branch Campuses are as follows: Gallup – 4.8%, Los Alamos – 5.2%, Taos – 12.6%, and Valencia – 6.3%. The overall average I&G Increase was 6.5%. The total revenue and expenditure increase was 8.8% over FY 2012/13.

Ava Lovell presented the Budget Overview for Health Sciences Center (HSC). The FY2014 UNM HSC All Components total budgeted revenues were \$1,581,992, which was an increase of 3.5% over FY2013. The FY2014 UNM HSC All Components total budgeted expenditures were \$1,581,992, which was an increase of 3.5% over FY2013. Total uncompensated care at cost for FY2012 totaled \$221,608,438, of which \$218,944,213 was in-state and \$2,664,225 was out-of-state. Uncompensated costs include charity care and bad debt of self-payors. Ms. Lovell discussed the assumptions of the UNM Health System in regards to the revenues and expenses and compared the prior year, current year and next year along with the FY14 preliminary budget. UNM Health System revenues included a full year operation of UNM SRMC, half year implementation of Affordable Care Act (ACA), effects of Sequestration on Medicare, upper payment limit decrease, revenue cycle management initiatives, volume increases as result of patient throughput, shorter length of stay, increase in OR cases, and commercial contract increases. UNM Health System expenses included a full year operation of UNM SRMC with an

increase in full-time equivalents, supply and pharmacy cost increases, benefit cost increases, UNMH medical supply and pharmaceutical cost increases, and Perfusion and ECMO services.

The revenue assumptions of the HSC Academic Enterprise were discussed. The Funding Formula decreased by \$1.7 million. There is no Medical Student tuition rate increase. College of Nursing (CON), College of Pharmacy (COP), School of Medicine (SOM) Health Professionals and Public Health Programs (HPPHP) followed Regent guidelines resulting in \$767,000 in increased revenue. Increase in State funding totaling \$4,262,700 or 4.8%. Due to Sequestration, there was a 4.8% decrease in Grants and Contracts. The new State funding for the HSC Program included a \$7,801,300 expansion request and increased funding amount of \$4,328,000.

The expense assumptions of the HSC Academic Enterprise were discussed. There was a **2.25%** ERB increase on all salaries. A modest one-time non-recurring distribution of 0-2% and distribution is based upon merit at the discretion of either the Dean (CON & COP) or Chair (SOM). Top priority is to enhance HSC Faculty Compensation over the next few years. With the recurring new funds, all SOM faculty will be brought up to the 25th percentile of the American Association of Medical Colleges (AAMC). A 1% compensation increase for eligible non-bargaining unit staff (approximately \$1.1 million) and a \$1,000 supplemental income adjustment for eligible staff, to be paid on 8/30/2013. A 10% increase to group health insurance, including VEBA. No rate increase on utilities.

Ms. Lovell discussed the various amounts required to reach the 50th percentile for faculty, reviewed the average compensation for 5 years and discussed the current sources of School of Medicine faculty compensation and attrition rates per year. She reviewed prior year, current year and next year comparisons for the Academic Enterprise in revenues and expenditures. Also reviewed were the UNM HSC All Components reserves projections FY2012 through FY2014.

- **Request from Regent Koch to see a further breakdown of Athletics in the Revenue and Expenditures Chart next budget cycle.**
- **Request from Regent Hosmer to include an option to offer 4-year tuition stability the next budget session.**

V. Regent Committee Reports (reports are included in BOR E-Book)

Finance and Facilities Committee, Regent James H. Koch, Chair

Consent Items:

Motion to approve consent agenda items 1, 2 & 3 passed unanimously (1st Koch, 2nd Gallegos).

1. Disposition of Surplus Property for Main Campus on list dated 4/12/2013
2. Approval of Reappointments of four STC Board Members
3. Contract Approvals:
Human Resources Benefits-Stop Loss Insurance

Action Item:

4. Approval of Fiscal Watch Report and Monthly Consolidated Financial Reports

Motion to approve the Fiscal Watch Report and Monthly Consolidated Financial Reports passed unanimously (1st Fortner, 2nd Gallegos).

Liz Metzger and Ava Lovell gave the presentation. Ms. Metzger highlighted the financial report for the quarter end in March 31st. Net results of operations for the consolidated report are \$20.1 million. Another allocation that occurred in the March report is allocation of Extended University Fees. It does not have a result in to the net bottom line of the report; it is taking fees from Extended University and allocating them to different exhibits on the report out of the Main Campus I&G tuition and fee line item. The Budget Adjustment Request will be reflected in the future report. This will be the last monthly report that has the original budget in it, going forward the final two reports for this fiscal year, April and June, will have the revised budget numbers reflected in it.

5. Approval of Request for Approval of Architect Selection for North Golf Course Renovation

Motion to approve the Request for Approval of Architect Selection for North Golf Course Renovation passed unanimously (1st Koch, 2nd Gallegos).

Chris Vallejos and Lisa Marbury gave the presentation. Ms. Marbury stated an advisory committee has been assembled to oversee the project. Made up of all the different constituency groups and key stakeholders including UNM, North Campus Neighborhood Association, and Bernalillo County. A subcommittee was formed to review the proposals from the RFP for design services. This committee evaluated three written proposals and oral presentations from each firm.

Based on the presentations the committee has selected Sites Southwest as the preferred design team, primarily for the strength of the team that they brought to the project, including Andy Staples of Staples Golf who is a nationally known leader in sustainable practices in golf course design. They have included a trained public facilitator to help ensure positive relations and communications throughout the project, and they were the only team to include an arborist, which is very important considering the very old trees on site and the new trees planned for the course. Having quality design could potentially save overall project dollars and last long into the future; the current system is dating back to the 1940s.

With this approval, a potential open house will occur with design documents available to solicit feedback from all constituency groups sometime in June or July. Further project approval will be requested from the Board in August and anticipate a project start date in November. The fee for Sites Southwest has been negotiated down from \$112,680 to \$101,175.

Per Regent Fortner's request at the Finance and Facilities meeting, they had an estimate done on the contributions that UNM is making towards this project in time, resources and administration, which totals \$95,000.

Regent Koch noted that the Architect with the lowest cost was not chosen. In the past, there had been concerns among the different professional architects that UNM chooses the lowest priced architect. This is a prime example of choosing the group that has the best background in developing golf courses, not making a decision primarily based on the fee amount.

6. Approval of Resolution: Refunding of UNM Revenue Bonds – Series 2003

Motion to approve the Resolution: Refunding of UNM Revenue Bonds – Series 2003 passed unanimously (1st Koch, 2nd Gallegos).

Andrew Cullen gave the presentation. Request for Refunding of Series 2003 A, B & C system revenue bonds for UNM. Callable amount is just under \$18 million. Given the historically low interest rates currently, it is sensible to move on this specifically because the bonds are now callable as they were issued in 2003 with a 10-year call. The estimated net present value savings is approximately \$2.5 million or 13.84%. In industry standards, if there is any net present value savings greater than 4% it is sensible to get back into the market; we exceed that quite substantially. The estimated true interest cross is about 2.7%. That is what we modeled those

savings on. This will be brought before HED in June, State Board of Finance in July and we will price this bond sale on August 11, lock in prices with investors and bring before the board on August 12.

7. Approval of 1650 University Renovation and Tenant Improvement Project

Motion to approve the 1650 University Renovation and Tenant Improvement Project passed with Regents Fortner, Koch, Hosmer, James, Quillen and Overton voting yea and Regent Gallegos voting nay (1st Koch, 2nd James).

EVP David Harris gave the presentation. This facility was authorized for purchase in 2010. It composes 4.6 acres on University near the freeway. The building is approximately 105,000 sq. ft. the initial purchase price was \$3.6 million, which was considered a bargain at the time. There was discussion as to raze the building. Subsequent to the original purchase, the Regents authorized an expenditure of \$4.9 million to replace the core and shell; the window envelope, the boiler system and the interior was gutted. This final approval is for \$7.4 million, which would conclude the tenant improvements. The HSC has done a good job of indentifying compatible tenants for this 5-story building. The building will be very conspicuous from drivers on the freeway. All in costs of this facility when it is finalized and operating will be \$152 a sq. ft., which we consider an extremely favorable price.

8. Approval of Real Property Acquisition-NM Department of Transportation Parcel at NE Corner of Lomas Blvd. & I-25

Motion to approve the Real Property Acquisition-NM Department of Transportation Parcel at NE Corner of Lomas Blvd. & I-25 passed unanimously (1st Koch, 2nd James).

Tom Neale gave the presentation. Requesting approval for the acquisition for a 3.3-acre parcel of land situated at the NE corner of the I-25 frontage road and Lomas Blvd. It is a remnant parcel from the Big-I reconstruction owned by the Department of Transportation (DOT) and we have an agreement on price with DOT at \$1.567 million, which is \$11 sq. ft. It is a parcel of land that is covered by the HSC Master Plan and the source of funding is the HSC/UNMH Capital Initiative Fund.

Regent Quillen asked if there was a specific purpose for this parcel of land as she is not fully familiar with the HSC Master Plan.

Mr. Neale stated that as parcels of land covered by our Master Plan come available Real Estate Department makes an effort to acquire which often is one opportunity. This parcel is one of those properties in the HSC/UNMH Master Plan for future hospital and clinical use.

Regent Koch stated that this parcel could be a potential place for the Center for Development and Disability to have its own location.

Chancellor Roth stated that there are a number of sites in mind to relocate the Center for Development and Disability but this parcel could be considered.

9. Approval of Severance Tax Bond Resolution

Motion to approve the Severance Tax Bond Resolution passed unanimously (1st Koch, 2nd Gallegos).

Andrew Cullen gave the presentation. Request for approval to adopt the Severance Tax Bond (STB) Resolution, Notification and Certification. This past Legislative Session was successful in securing funds for various capital projects on campus under \$10 million. Mr. Cullen typically signs this resolution however; with the new procedure in place with the Higher Education Department, they are now asking the Board to certify the use of these dollars in two general ways.

One is that we will spend 5% of the proceeds within the first 6 months. Second is spending 85% of the proceeds within 3 years. As they go out into the markets and sell these STBs, they need confirmation that the entities receiving these dollars are ready to move forward on the projects, which UNM is.

10. Approval of Project Search for Center for Development and Disability

Motion to approve the Project Search for Center for Development and Disability passed unanimously (1st Gallegos, 2nd Koch).

Dr. Cate McClain gave the presentation. Requesting approval of Project Search for the Center for Development and Disability. Project Search is a national model employment program for individuals with intellectual disabilities. It provides businesses with reliable, long-term employees in hard to fill positions. The program was developed in 1996 and currently there are 196 programs across the US. New Mexico currently does not have Project Search Program. This program is a combination internship/classroom instruction that ultimately may result in employment. The supports are funded by existing funding services available to participant. Many job tasks are repetitive tasks that are routine and are frequently hard to fill, particularly in hospitals. Benefits to the hospitals include, increased retention in particular positions, enhanced workforce diversity, increased patient loyalty and moral of co-workers, and recognition for the hospital. The goal of Project Search is for the intern to gain competitive employment using skills learned from the yearlong program. The program reports an average of 70% to 100% employment outcome. The request is for \$100,000 to support the development of a plan of action.

A video was presented to the Board. The video is of Amy Koch, a very successful Project Search graduate who was placed in the hospital where she completed her internship and program.

Regent Koch stated that his daughter, Amy Koch, completed the project and he felt it was program that could be developed in NM.

Regent Quillen stated that it was a great film that should also be viewed and presented at the next HSC Board Meeting.

Health Sciences Board, Regent Suzanne Quillen, Chair

Action Items:

1. Request for Capital Project Approval: Renovations to UNMH Eye Clinic at 1600 University Blvd.

Motion to approve the Request for Capital Project Approval: Renovations to UNMH Eye Clinic at 1600 University Blvd. passed unanimously (1st Quillen, 2nd Fortner).

Ella Watt gave the presentation. Requesting to renovate the UNMH Eye Clinic, renovating an additional 3,430 sq. ft. to expand the clinic and provided additional exam rooms and office space for new providers. This will allow the clinic to see 50% more patients with the expansion. It is a space leased by UNMH with a lease of 13 years.

Regent Quillen stated that Regent Gallegos requested the Allowable Construction Costs be presented before moving forward, which is estimated to be \$430,000.

2. Request for Approval: UNMH Contracts with Siemens Medical Solutions, USA, INC. re: Patient Accounting System and Interventional Radiology CT

Motion to approve the Request for Approval: UNMH Contracts with Siemens Medical Solutions, USA, INC. re: Patient Accounting System and Interventional Radiology CT passed unanimously (1st Quillen, 2nd James).

Ella Watt gave the presentation. Request for approval of UNMH Contracts with Siemens Medical Solutions for Patient Accounting System and Interventional Radiology CT scanner. Currently UNMH has been using their Patient Accounting System for about 25 years. UNMH would like a system that is integrated and one that can be used for Sandoval Regional Medical Center (SRMC), UNMH and the Medical Group. It is important these hospitals to all be on the same system to allow for timely billing which will be more coordinated. Finally, the request for the CT scanner will replace two in the Interventional Radiology department, which are nearing end of life.

Academic/Student Affairs & Research Committee, Regent Bradley Hosmer Vice Chair

Action Items:

1. Security Managerial Group Resolution

Motion to approve the Security Managerial Group Resolution passed unanimously (1st Hosmer, 2nd James).

Regent Hosmer stated that the report of the routine security review of the security practices captured for the Department of Defense, University of New Mexico that enables us to contract certain research. Regent Hosmer reported that it was an excellent review and this proposal requests the Regents to confirm current standing arrangements continued in another year.

2. Diversity Council Framework for Strategic Action Plan and Recommendations
3. Diversity Course Requirement

Action Items 2 & 3 withdrawn from consideration, with approval of Provost Chaouki Abdallah, for the reason that they were brought forth pre-maturely to the committee, the items will be presented at a later date.

4. ASUNM Constitutional Amendment

Motion to approve the ASUNM Constitutional Amendment passed unanimously (1st Hosmer, 2nd Overton).

Regent Hosmer stated the request for approval of the ASUNM Constitutional Amendment is to clarify the beginning and end of the term of office for student court justices, and define the length of each term to be one year, based on the ASUNM Election held on April 10, 2013.

Information Items:

5. Recruitment Strategy

Terry Babbitt gave the presentation relating to Academic Student Affairs Work Plan Goals 9.1 recruitment of top students and as related to UNM 2020. First-time, Full-time Goals for 2013 include increasing Beginning Freshmen by 2% to 3,492, increasing non-residents by 10% to 527, and increasing ACT ≥ 29 by 10% to 287. Mr. Babbitt discussed key recruitment strategies for 2012-13, which include modifying Territory Management approach, expand and consolidate communication plan, strengthen tele-communication efforts, expand quality campus experience options, redevelop major marketing publications, execute a written and formal Recruitment Plan, initiate a communication plan for students of interest to UNM's Honors College, and adopt a systemic staff development program to ensure clear expectations are articulated and realized. Mr. Babbitt reviewed the in-state and out-of-state recruitment activity.

6. Global Education Office Update

Information Item 6 deferred to next Board of Regents Meeting on June 11, 2013 due to time issue.

Audit Committee, Regent Gene Gallegos, Chair

1. Summary Audit Committee Meeting 4/18/2013

Regent Gallegos provided a summary of the Audit Committee Meeting held on 4/18/2013. At this meeting, the Entrance Conference was held with the accounting firms for the annual audit and the committee had a lengthy discussion about the employee/retiree health care insurance.

Comments from Regents' Advisors (reports received are included in BOR E-Book)

Faculty Senate, President Amy Neel. Dr. Neel stated that the members of the faculty are grateful for the compensation increase granted by the Regents at the Budget Summit. Despite the increase faculty remain underpaid amongst their peers, the Faculty Senate will continue to work with administration and the Board next year to improve compensation for faculty. Dr. Neel summarized the activities of the Faculty Senate during the 2012-2013 Academic Year.

Staff Council, President Mary Clark Ms. Clark has served as the President of the Staff Council for two terms of which she is the first president to serve two years. She hopes the Board continues to recognize and appreciate all that staff does. Ms. Clark stated the mission statement of Staff Council and discussed a timeline of accomplishments, as well as the committees.

GPSA, President Marisa Silva Ms. Silva introduced the new GPSA President Priscila Poliana. Ms. Silva discussed the GPSA Executive Summary, which details the accomplishments of the GPSA and discussed various activities as well as the many grants awarded.

ASUNM, President Caroline Muraida Ms. Muraida introduced the new ASUNM President Isaac Romero and commented on the many achievements of ASUNM during her presidency.

UNM Alumni Association, President Duffy Swan Mr. Swan presented an overview of activities of the Alumni Association and achievements.

UNM Parent Association, President Angi Gonzales-Carver UNM Parent Association Representative gave an overview of the leadership transition and institutional restructuring. The Parent Association developed a 3-year plan as well as a Mission, Core Values and a strategic direction. A one-year action plan was presented to the board with key components of the vision included.

UNM Retiree Association, President William Miller Mr. Miller provided an update on the UNMRA. Mr. Miller thanked Provost Abdallah for the provided support. Mr. Miller commented on the rising health care costs for retirees, which may amount to thousands of dollars. UNMRA lobbied legislature opposing the COLA reduction. The UNMRA is working to align with the National Organization, Association of Retiree Organizations of Higher Education (AROHE).

VI. Public Comment

Alma Rosa Silva Bañuelos (Director, LGBTQ Resource Center) commented that LGBTQ Resource Center has become the leader at UNM for LGBTQ affairs. Ms. Silva Bañuelos stated that she is the only professional staff for the LGBTQ Resource Center and she is requesting for a one-time allocation for professional support staff. She was advised to meet with the Budget Office.

VII. Vote to close the meeting and to proceed into Executive Session

Motion to proceed into Executive Session passed unanimously at 2:15 p.m. (1st Fortner, 2nd Koch).

VIII. Executive Session 2:15 p.m. – 4:30 p.m.

- A. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2) NMSA (1978).
- B. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H (7) NMSA (1978).

IX. Vote to re-open the meeting.

Motion to return to open session passed at 4:30 p.m. (1st Fortner, 2nd Gallegos).

- C. Certification that only the matters described in Agenda item VIII. were discussed in Executive Session and, if necessary, final action with regard to those matters will be taken in Open Session.

Motion to certify that no action was taken in executive session passed. (1st Fortner, 2nd Koch).

- **Regents approve the Resolution of the Regents of the University of New Mexico authorizing the acquisition of certain vacant and undeveloped lots in the Sunshine Terrace addition of the City of Albuquerque, subject to clarification in the resolution to address that the land is for public use and the University has attempted to negotiate the acquisition previously.**

XI. Adjournment

Motion to adjourn the meeting passed at 4:30 p.m. (1st James, 2nd Hosmer)

Jack L. Fortner, President

Bradley C. Hosmer, Secretary/Treasurer

Materials will be available at the meeting.

VEBA Information Update

What is the impact to current employees given the changes for retirees?

| Active Employee FY13 Rates | | Active Employee FY14 Rates | | FY14 Net Premium Increases | |
|-----------------------------|-------|----------------------------|-------|----------------------------|------|
| Lovelace (3600 Members) | | Lovelace | | Lovelace | |
| Single | \$165 | Single | \$165 | Single | \$0 |
| Single plus spouse | \$338 | Single plus spouse | \$338 | Single plus Spouse | \$0 |
| Presbyterian (2100 Members) | | Presbyterian | | Presbyterian | |
| Single | \$206 | Single | \$224 | Single | \$18 |
| Single plus spouse | \$422 | Single plus spouse | \$460 | Single plus Spouse | \$38 |

- If UNM had not implemented experienced rated premiums for the 725 Pre-65 retirees, the 5,100 active employees would have seen an increase in their premiums of 16% for Presbyterian members, and 6% for Lovelace members.
- Because UNM implemented experienced rated premiums for the 725 Pre-65 retirees, active employees saw an increase in their premiums of 9% for Presbyterian members, and 0% for Lovelace members

How many employees have opted-out of the VEBA?

- As of June 4, 2013, 410 employees have opted-out of the VEBA.



FY13

Consolidated Total Current Operations Summary for Month Ended April 2013

1. Total *Net Current Revenue* at April month end: \$6,667,693 (bottom of page 2).
Reserve balances will begin to be spent in the next month.
2. April report reflects revised budgets approved at May Board of Regents meeting.
3. Next report presented will be June report, preliminary year end balances.

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the ten month period ended April 30, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

| | FY 2013 Full Year Revised Budget | FY 2013 Year-to-Date Actual | Fiscal YTD Favrb/(Unfavrb) Budget | Actual to Budget Benchmark Rate 83% |
|---|--|-----------------------------------|---|---|
| Instruction and General | | | | |
| Tuition and Fees Revenues | | | | |
| Main Campus | 144,395,583 | 142,971,142 | (1,424,441) | 99% |
| Branch Campuses | 9,149,847 | 8,395,133 | (754,714) | 92% |
| HSC Campus | <u>12,652,330</u> | <u>12,777,955</u> | <u>125,625</u> | <u>101%</u> |
| Total Tuition and Fees Revenues | 166,197,760 | 164,144,230 | (2,053,530) | 99% |
| State/Local Appropriations | 259,306,933 | 216,006,140 | (43,300,793) | 83% |
| F & A Revenues | 43,300,000 | 34,463,826 | (8,836,174) | 80% |
| Transfers | (64,739,580) | (53,386,352) | 11,353,228 | 82% |
| Other Revenues | <u>19,141,755</u> | <u>18,586,516</u> | <u>(555,239)</u> | <u>97%</u> |
| Total Instruction and General Revenues | <u>423,206,868</u> | <u>379,814,360</u> | <u>(43,392,508)</u> | <u>90%</u> |
| Salaries | 275,734,338 | 230,255,161 | 45,479,177 | 84% |
| Benefits | 81,736,568 | 66,345,315 | 15,391,253 | 81% |
| Other Expenses | <u>89,330,759</u> | <u>67,029,052</u> | <u>22,301,707</u> | <u>75%</u> |
| Total Instruction and General Expenses | <u>446,801,665</u> | <u>363,629,528</u> | <u>83,172,137</u> | <u>81%</u> |
| Net Instruction and General Revenue/(Expense) | <u>(23,594,797)</u> | <u>16,184,832</u> | <u>39,779,629</u> | |
| Research | | | | |
| State/Local Appropriations | 9,528,948 | 8,148,940 | (1,380,008) | 86% |
| Transfers | 26,059,359 | 21,550,535 | (4,508,824) | 83% |
| Other Revenues | <u>3,635,153</u> | <u>2,000,093</u> | <u>(1,635,060)</u> | <u>55%</u> |
| Total Research Revenues | <u>39,223,460</u> | <u>31,699,568</u> | <u>(7,523,892)</u> | <u>81%</u> |
| Salaries and Benefits | 26,425,576 | 19,078,467 | 7,347,109 | 72% |
| Other Expenses | <u>25,851,599</u> | <u>14,067,586</u> | <u>11,784,013</u> | <u>54%</u> |
| Total Research Expenses | <u>52,277,175</u> | <u>33,146,053</u> | <u>19,131,122</u> | <u>63%</u> |
| Net Research Revenue/(Expense) | <u>(13,053,715)</u> | <u>(1,446,485)</u> | <u>11,607,230</u> | |
| Public Service | | | | |
| State/Local Appropriations | 3,500,960 | 2,917,463 | (583,497) | 83% |
| Sales and Services Revenues | 15,178,525 | 12,427,860 | (2,750,665) | 82% |
| Gifts | 8,257,413 | 9,703,893 | 1,446,480 | 118% |
| Transfers | 2,521,324 | 1,782,582 | (738,762) | 71% |
| Other Revenues | <u>6,307,812</u> | <u>4,669,202</u> | <u>(1,638,610)</u> | <u>74%</u> |
| Total Public Service Revenues | <u>35,766,034</u> | <u>31,500,980</u> | <u>(4,265,054)</u> | <u>88%</u> |
| Salaries and Benefits | 19,164,790 | 16,461,721 | 2,703,069 | 86% |
| Other Expenses | <u>22,355,028</u> | <u>12,891,358</u> | <u>9,463,670</u> | <u>58%</u> |
| Total Public Service Expenses | <u>41,519,818</u> | <u>29,353,079</u> | <u>12,166,739</u> | <u>71%</u> |
| Net Public Service Revenue/(Expense) | <u>(5,753,784)</u> | <u>2,147,901</u> | <u>7,901,685</u> | |
| Student Aid | | | | |
| Gifts | 3,776,997 | 4,767,937 | 990,940 | 126% |
| State Lottery Scholarship | 31,861,170 | 31,861,170 | - | 100% |
| Transfers | 14,542,924 | 14,707,122 | 164,198 | 101% |
| Other Revenues | <u>1,256,127</u> | <u>1,369,600</u> | <u>113,473</u> | <u>109%</u> |
| Total Student Aid Revenues | <u>51,437,218</u> | <u>52,705,829</u> | <u>1,268,611</u> | <u>102%</u> |
| Salaries and Benefits | 4,136,283 | 3,282,462 | 853,821 | 79% |
| Other Expenses | <u>57,686,405</u> | <u>54,335,236</u> | <u>3,351,169</u> | <u>94%</u> |
| Total Student Aid Expenses | <u>61,822,688</u> | <u>57,617,698</u> | <u>4,204,990</u> | <u>93%</u> |
| Net Student Aid Revenue/(Expense) | <u>(10,385,470)</u> | <u>(4,911,869)</u> | <u>5,473,601</u> | |

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the ten month period ended April 30, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

| | FY 2013 Full Year Revised Budget | FY 2013 Year-to-Date Actual | Fiscal YTD Favrb/(Unfavrb) Budget | Actual to Budget Benchmark Rate 83% |
|---|--|-----------------------------------|---|---|
| Student Activities | | | | |
| Fee Revenues | 6,001,442 | 5,343,469 | (657,973) | 89% |
| Sales and Services Revenues | 1,400,086 | 1,538,684 | 138,598 | 110% |
| Transfers | 639,971 | 807,865 | 167,894 | 126% |
| Other Revenues | 77,837 | 145,726 | 67,889 | 187% |
| Total Student Activities Revenues | <u>8,119,336</u> | <u>7,835,744</u> | <u>(283,592)</u> | <u>97%</u> |
| Salaries and Benefits | 3,824,527 | 3,669,655 | 154,872 | 96% |
| Other Expenses | 4,896,585 | 3,187,870 | 1,708,715 | 65% |
| Total Student Activities Expenses | <u>8,721,112</u> | <u>6,857,525</u> | <u>1,863,587</u> | <u>79%</u> |
| Net Student Activities Revenue/(Expense) | <u>(601,776)</u> | <u>978,219</u> | <u>1,579,995</u> | |
| Auxiliaries and Athletics | | | | |
| Branch Campuses Auxiliary Revenues | 2,652,430 | 2,440,634 | (211,796) | 92% |
| Main Campus Auxiliaries Revenues | 53,725,433 | 51,396,630 | (2,328,803) | 96% |
| Athletics Revenues | 28,691,691 | 28,243,210 | (448,481) | 98% |
| Total Auxiliaries and Athletics Revenues | <u>85,069,554</u> | <u>82,080,474</u> | <u>(2,989,080)</u> | <u>96%</u> |
| Branch Campuses Auxiliary Expenses | 2,710,700 | 2,245,925 | 464,775 | 83% |
| Main Campus Auxiliaries Expenses | 55,758,496 | 48,025,141 | 7,733,355 | 86% |
| Athletics Expenses | 29,254,050 | 29,157,348 | 96,702 | 100% |
| Total Auxiliaries and Athletics Expenses | <u>87,723,246</u> | <u>79,428,414</u> | <u>8,294,832</u> | <u>91%</u> |
| Net Auxiliaries and Athletics Revenue/(Expense) | <u>(2,653,692)</u> | <u>2,652,060</u> | <u>5,305,752</u> | |
| Sponsored Programs | | | | |
| Federal Grants and Contracts Revenues | 245,243,113 | 219,024,582 | (26,218,531) | 89% |
| State and Local Grants and Contracts Revenues | 32,955,287 | 20,540,983 | (12,414,304) | 62% |
| Non-Governmental Grants and Contracts Revenues | 30,416,629 | 25,891,346 | (4,525,283) | 85% |
| Gifts | - | 257,403 | 257,403 | N/A |
| Transfers | 4,698,680 | 3,252,238 | (1,446,442) | 69% |
| Other Revenues | - | - | - | N/A |
| Total Sponsored Programs Revenues | <u>313,313,709</u> | <u>268,966,552</u> | <u>(44,347,157)</u> | <u>86%</u> |
| Salaries and Benefits | 144,885,864 | 106,371,141 | 38,514,723 | 73% |
| Other Expenses | 168,427,845 | 162,595,411 | 5,832,434 | 97% |
| Total Sponsored Programs Expenses | <u>313,313,709</u> | <u>268,966,552</u> | <u>44,347,157</u> | <u>86%</u> |
| Net Sponsored Programs Revenue/(Expense) | <u>-</u> | <u>-</u> | <u>-</u> | |
| Clinical Operations | | | | |
| State/Local Appropriations | 24,099,798 | 20,182,045 | (3,917,753) | 84% |
| Physician Professional Fee Revenues | 105,003,415 | 82,054,964 | (22,948,451) | 78% |
| Hospital Facility Revenues | 640,634,658 | 537,817,026 | (102,817,632) | 84% |
| Other Patient Revenues, net of Allowance | 101,593,315 | 85,380,669 | (16,212,646) | 84% |
| Mil Levy | 90,977,220 | 75,814,349 | (15,162,871) | 83% |
| Investment Income | 12,744,062 | 8,966,904 | (3,777,158) | 70% |
| Gifts | 3,621,665 | 3,287,860 | (333,805) | 91% |
| Housestaff Revenues | 32,605,585 | 26,410,084 | (6,195,501) | 81% |
| Other Revenues | 25,231,148 | 14,331,339 | (10,899,809) | 57% |
| Total Clinical Operations Revenues | <u>1,036,510,866</u> | <u>854,245,240</u> | <u>(182,265,626)</u> | <u>82%</u> |
| Salaries and Benefits | 585,882,443 | 487,731,043 | 98,151,400 | 83% |
| Interest Expense | 8,443,572 | 7,046,241 | 1,397,331 | 83% |
| Housestaff Expenses | 32,970,461 | 26,839,147 | 6,131,314 | 81% |
| Other Expenses | 417,839,816 | 340,678,817 | 77,160,999 | 82% |
| Total Clinical Operations Expenses | <u>1,045,136,292</u> | <u>862,295,248</u> | <u>182,841,044</u> | <u>83%</u> |
| Net Clinical Operations Revenue/(Expense) | <u>(8,625,426)</u> | <u>(8,050,008)</u> | <u>575,418</u> | |
| Contingencies | | | | |
| Total Contingency Revenues | 22,126,624 | (886,957) | 23,013,581 | -4% |
| Total Contingency Expenses | (3,293,248) | - | (3,293,248) | 0% |
| Net Contingencies Revenue/(Expense) | <u>25,419,872</u> | <u>(886,957)</u> | <u>26,306,829</u> | |
| Net Current Revenue/(Expense) | <u>(39,248,788)</u> | <u>6,667,693</u> | <u>98,530,139</u> | |

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the ten month period ended April 30, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

| | FY 2013 Full Year Revised Budget | FY 2013 Year-to-Date Actual | Fiscal YTD Favrb/(Unfavrb) Budget | Actual to Budget Benchmark Rate 83% |
|---|--|-----------------------------------|---|---|
| University of New Mexico - Results of Athletics and Auxiliary Operations | | | | |
| Results of Athletics Operations: | | | | |
| Athletics Revenues | 33,325,374 | 32,381,285 | (944,089) | 97% |
| Athletics Transfers | (4,633,683) | (4,138,075) | 495,608 | 89% |
| Total Athletics Revenues | 28,691,691 | 28,243,210 | (448,481) | 98% |
| Athletics Expenses | | | | |
| Salaries and Benefits | 13,013,834 | 12,175,177 | 838,657 | 94% |
| Grant-in-Aid | 3,608,377 | 3,534,265 | 74,112 | 98% |
| Other Expenses | 12,631,839 | 13,447,906 | (816,067) | 106% |
| Total Athletics Expenses | 29,254,050 | 29,157,348 | 96,702 | 100% |
| Total Net Athletics Revenue/(Expense) | (562,359) | (914,138) | (351,779) | |
| Results of Auxiliary Operations: | | | | |
| VP for Institutional Support Services | | | | |
| Bookstore Revenues | 18,161,788 | 16,695,391 | (1,466,397) | 92% |
| Bookstore Transfers | (1,062,933) | (354,468) | 708,465 | 33% |
| Total Bookstore Revenues | 17,098,855 | 16,340,923 | (757,932) | 96% |
| Total Bookstore Expenses | 17,098,855 | 15,409,853 | 1,689,002 | 90% |
| Net Bookstore Revenue/(Expense) | - | 931,070 | 931,070 | |
| Public Events Revenues | 10,105,996 | 8,212,102 | (1,893,894) | 81% |
| Public Events Transfers | 91,730 | 66,480 | (25,250) | 72% |
| Total Public Events Revenues | 10,197,726 | 8,278,582 | (1,919,144) | 81% |
| Total Public Events Expenses | 10,197,726 | 8,135,964 | 2,061,762 | 80% |
| Net Public Events Revenue/(Expense) | - | 142,618 | 142,618 | |
| Golf Courses Revenues | 2,224,930 | 1,571,809 | (653,121) | 71% |
| Golf Courses Transfers | (39,252) | 182,290 | 221,542 | -464% |
| Total Golf Courses Revenues | 2,185,678 | 1,754,099 | (431,579) | 80% |
| Total Golf Courses Expenses | 2,185,678 | 1,823,783 | 361,895 | 83% |
| Net Golf Courses Revenue/(Expense) | - | (69,684) | (69,684) | |
| Parking and Transportation Revenues | 8,099,454 | 7,611,646 | (487,808) | 94% |
| Parking and Trans Transfers | (3,044,088) | (1,954,670) | 1,089,418 | 64% |
| Total Parking and Trans Revenues | 5,055,366 | 5,656,976 | 601,610 | 112% |
| Total Parking and Trans Expenses | 5,055,366 | 4,619,684 | 435,682 | 91% |
| Net Parking and Trans Revenue/(Expense) | - | 1,037,292 | 1,037,292 | |
| Ticketing Services Revenues | 990,295 | 1,112,705 | 122,410 | 112% |
| Ticketing Services Transfers | (224,084) | (86,642) | 137,442 | 39% |
| Total Ticketing Services Revenues | 766,211 | 1,026,063 | 259,852 | 134% |
| Total Ticketing Services Expenses | 766,211 | 721,228 | 44,983 | 94% |
| Net Ticketing Services Revenue/(Expense) | - | 304,835 | 304,835 | |
| Faculty Club Revenues | 42,000 | 33,384 | (8,616) | 79% |
| Faculty Club Expenses | 42,000 | 23,389 | 18,611 | 56% |
| Net Faculty Club Revenue/(Expense) | - | 9,995 | 9,995 | |
| Young Ranch Revenues | 11,802 | 11,802 | - | 100% |
| Young Ranch Expenses | 4,484 | 4,484 | - | 100% |
| Net Young Ranch Revenue/(Expense) | 7,318 | 7,318 | - | |
| Taos & Lawrence Ranch Revenues | 53,334 | 36,707 | (16,627) | 69% |
| Taos & Lawrence Ranch Expenses | 53,334 | 30,002 | 23,332 | 56% |
| Net Taos & Lawrence Ranch Revenue/(Expense) | - | 6,705 | 6,705 | |
| Total VP for Institutional Support Services Revenues | 35,410,972 | 33,138,536 | (2,272,436) | 94% |
| Total VP for Institutional Support Services Expenses | 35,403,654 | 30,768,387 | 4,635,267 | 87% |
| Net VP for Institutional Support Services Revenue/(Expense) | 7,318 | 2,370,149 | 2,362,831 | |

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the ten month period ended April 30, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

| | FY 2013 Full Year Revised Budget | FY 2013 Year-to-Date Actual | Fiscal YTD Favrb/(Unfavrb) Budget | Actual to Budget Benchmark Rate 83% |
|---|--|-----------------------------------|---|---|
| VP for Student Affairs | | | | |
| AVP Ops/Student Life Revenues | 2,806,165 | 2,972,922 | 166,757 | 106% |
| AVP Ops/Student Life Transfers | (720,953) | (463,563) | 257,390 | 64% |
| Total AVP Ops/Student Life Revenues | 2,085,212 | 2,509,359 | 424,147 | 120% |
| Total AVP Ops/Student Life Expenses | 2,518,052 | 2,011,486 | 506,566 | 80% |
| Net AVP Ops/Student Life Revenue/(Expense) | (432,840) | 497,873 | 930,713 | |
| Housing and Food Service Revenues | 10,682,675 | 10,910,211 | 227,536 | 102% |
| Housing Transfers | (4,358,796) | (4,579,998) | (221,202) | 105% |
| Total Housing and Food Service Revenues | 6,323,879 | 6,330,213 | 6,334 | 100% |
| Total Housing and Food Service Expenses | 7,660,141 | 6,591,058 | 1,069,083 | 86% |
| Net Housing and Food Service Revenue/(Expense) | (1,336,262) | (260,845) | 1,075,417 | |
| Student Health Center Revenues | 6,988,287 | 6,810,606 | (177,681) | 97% |
| Student Health Center Expenses | 6,988,287 | 6,139,158 | 849,129 | 88% |
| Net Student Health Center Revenue/(Expense) | - | 671,448 | 671,448 | |
| Student Union Revenues | 2,619,234 | 2,366,323 | (252,911) | 90% |
| Student Union Expenses | 2,883,581 | 2,243,373 | 640,208 | 78% |
| Net Student Union Revenue/(Expense) | (264,347) | 122,950 | 387,297 | |
| Lobo Cash Revenues | 45,390 | 29,425 | (15,965) | 65% |
| Lobo Cash Expenses | 77,115 | 32,879 | (44,236) | 43% |
| Net Lobo Cash Revenue/(Expense) | (31,725) | (3,454) | 28,271 | |
| Total VP for Student Affairs Revenues | 18,062,002 | 18,045,926 | (16,076) | 100% |
| Total VP for Student Affairs Expenses | 20,127,176 | 17,017,954 | 3,109,222 | 85% |
| Net VP for Student Affairs Revenue/(Expense) | (2,065,174) | 1,027,972 | 3,093,146 | |
| Provost and Other Units | | | | |
| CE Conference Ctr Revenues | 246,000 | 200,943 | (45,057) | 82% |
| CE Conference Ctr Transfers | (58,492) | (37,531) | 20,961 | 64% |
| Total CE Conference Ctr Revenues | 187,508 | 163,412 | (24,096) | 87% |
| Total CE Conference Ctr Expenses | 187,508 | 185,750 | 1,758 | 99% |
| Net CE Conference Ctr Revenue/(Expense) | - | (22,338) | (22,338) | |
| Art Museum Revenues | 4,000 | 1,676 | (2,324) | 42% |
| Art Museum Expenses | 4,000 | - | 4,000 | 0% |
| Net Art Museum Revenue/(Expense) | - | 1,676 | 1,676 | |
| Maxwell Museum Revenues | 27,991 | 31,113 | 3,122 | 111% |
| Maxwell Museum Expenses | (31,342) | 13,058 | (44,400) | -42% |
| Net Maxwell Museum Revenue/(Expense) | 59,333 | 18,055 | (41,278) | |
| Other Revenues | 32,960 | 15,967 | (16,993) | 48% |
| Other Expenses | 67,500 | 39,992 | 27,508 | 59% |
| Net Other Revenue/(Expense) | (34,540) | (24,025) | 10,515 | |
| Total Provost and Other Units Revenues | 252,459 | 212,168 | (40,291) | 84% |
| Total Provost and Other Units Expenses | 227,666 | 238,800 | (11,134) | 105% |
| Net Provost and Other Units Revenue/(Expense) | 24,793 | (26,632) | (51,425) | |
| Auxiliary Totals | | | | |
| Total Auxiliary & Concessions Revenues | 53,725,433 | 51,396,630 | (2,328,803) | 96% |
| Total Auxiliary & Concessions Expenses | 55,758,496 | 48,025,141 | 7,733,355 | 86% |
| Net Auxiliary Revenue/(Expense) | (2,033,063) | 3,371,489 | 5,404,552 | |
| Net Athletics Revenue/(Expense) | (562,359) | (914,138) | (351,779) | |
| Net Auxiliary and Athletics Revenue/(Expense) | (2,595,422) | 2,457,351 | 5,052,773 | |
| Net Branch Campuses Aux Revenue/(Expense) | (58,270) | 194,709 | 252,979 | |
| Net All Auxiliary and Athletics Revenue/(Expense) | (2,653,692) | 2,652,060 | 5,305,752 | |

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the ten month period ended April 30, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

| | FY 2013 Full Year Revised Budget | FY 2013 Year-to-Date Actual | Fiscal YTD Favrb/(Unfavrb) Budget | Actual to Budget Benchmark Rate 83% |
|---|--|-----------------------------------|---|---|
| University of New Mexico - Results of HSC Operations | | | | |
| Results of University/Academic HSC Operations: | | | | |
| University/Academic HSC Revenues | | | | |
| UNM Medical Group Revenues | 107,442,133 | 82,054,964 | (25,387,169) | 76% |
| UNM Hospitals Revenues | 93,056,079 | 80,953,194 | (12,102,885) | 87% |
| SRMC Revenues | 13,938,941 | 8,616,285 | (5,322,656) | 62% |
| UNM Cancer Center Clinical Revenues | 29,702,689 | 23,424,255 | (6,278,434) | 79% |
| Tuition and Fees | 12,728,614 | 12,819,413 | 90,799 | 101% |
| Cigarette Tax Appropriations | 3,296,108 | 2,954,903 | (341,205) | 90% |
| RPSP Appropriations | 15,923,700 | 13,270,660 | (2,653,040) | 83% |
| I&G Appropriations | 60,089,300 | 49,991,446 | (10,097,854) | 83% |
| I&G Main Campus Transfers | 18,614,629 | 15,543,966 | (3,070,663) | 84% |
| F&A Revenues (OH Return) | 20,800,000 | 17,302,192 | (3,497,808) | 83% |
| HSC/UNM Internal Transfers | (542,627) | (423,110) | 119,517 | 78% |
| Transfers to Plant | (2,921,464) | (2,550,918) | 370,546 | 87% |
| Other Revenues | 7,390,868 | 11,926,002 | 4,535,134 | 161% |
| Contract and Grant Revenues | 124,954,628 | 107,989,963 | (16,964,665) | 86% |
| Total University/Academic HSC Revenues | 504,473,598 | 423,873,215 | (80,600,383) | 84% |
| University/Academic HSC Expenses | | | | |
| Total Compensation Expenses | 316,620,849 | 265,254,234 | (51,366,615) | 84% |
| Supplies/Medical Supplies | 12,649,424 | 8,781,544 | (3,867,880) | 69% |
| Travel | 4,840,190 | 3,740,316 | (1,099,874) | 77% |
| Student Costs | 3,096,330 | 1,892,105 | (1,204,225) | 61% |
| Patient Care Costs | 1,042,048 | 626,021 | (416,027) | 60% |
| Telephone/Communication Costs | 2,118,338 | 1,851,946 | (266,392) | 87% |
| Purchased Services | 23,804,030 | 19,932,810 | (3,871,220) | 84% |
| Sub Awards/Service Contracts | 1,144,649 | 811,863 | (332,786) | 71% |
| O&M & Leases | 7,470,884 | 5,529,372 | (1,941,512) | 74% |
| Utilities | 4,498,608 | 3,957,358 | (541,250) | 88% |
| Capital Expenditures | 1,858,682 | 1,132,743 | (725,939) | 61% |
| Interest Expense | 2,233,825 | 1,807,453 | (426,372) | 81% |
| Other Expenses | 9,447,647 | 4,187,571 | (5,260,076) | 44% |
| Contract and Grant Expenses | 116,453,877 | 100,745,283 | (15,708,594) | 87% |
| Recruitment/Startup/Scholarships | 7,065,521 | 5,538,531 | (1,526,990) | 78% |
| Total University/Academic HSC Expenses | 514,344,902 | 425,789,150 | (87,028,762) | 83% |
| Total Net University/Academic HSC Revenue/(Expense) | (9,871,304) | (1,915,935) | 6,428,379 | |
| Results of UNM Hospitals Operations: | | | | |
| UNM Hospitals Revenues | | | | |
| UNM Hospitals Revenues | 640,634,658 | 537,817,026 | (102,817,632) | 84% |
| RPSP Appropriations | 12,975,198 | 10,910,635 | (2,064,563) | 84% |
| Mill Levy | 90,977,220 | 75,814,349 | (15,162,871) | 83% |
| Meaningful Use Revenues | 191,250 | 212,500 | 21,250 | 111% |
| Other Revenues | 24,634,776 | 18,167,183 | (6,467,593) | 74% |
| Contract and Grant Revenues | 4,391,378 | 3,539,303 | (852,075) | 81% |
| Total UNM Hospitals Revenues | 773,804,480 | 646,460,996 | (127,343,484) | 84% |
| UNM Hospitals Expenses | | | | |
| Total Compensation Expenses | 398,109,445 | 332,255,888 | (65,853,557) | 83% |
| Supplies/Medical Supplies | 126,439,852 | 108,011,601 | (18,428,251) | 85% |
| University Clinicians Program | 53,562,271 | 44,611,227 | (8,951,044) | 83% |
| Housestaff | 25,504,895 | 20,690,988 | (4,813,907) | 81% |
| Travel | 710,760 | 560,380 | (150,380) | 79% |
| Patient Care Costs | 34,018,292 | 32,428,260 | (1,590,032) | 95% |
| Telephone/Communication Costs | 3,457,912 | 2,931,457 | (526,455) | 85% |
| Purchased Services | 26,816,282 | 18,507,601 | (8,308,681) | 69% |
| Other Medical Services | 19,548,101 | 17,314,962 | (2,233,139) | 89% |
| Sub Awards/Service Contracts | 8,032,137 | 6,668,105 | (1,364,032) | 83% |
| O&M & Leases | 14,639,616 | 11,959,032 | (2,680,584) | 82% |
| Utilities | 6,311,686 | 5,142,277 | (1,169,409) | 81% |
| Depreciation | 31,677,818 | 26,241,368 | (5,436,450) | 83% |
| Interest Expense | 7,768,147 | 6,483,387 | (1,284,760) | 83% |
| Other Expenses | 20,647,112 | 16,085,816 | (4,561,296) | 78% |
| Total UNM Hospitals Expenses | 777,244,326 | 649,892,349 | (127,351,977) | 84% |
| Total Net UNM Hospitals Revenue/(Expense) | (3,439,846) | (3,431,353) | 8,493 | |
| TOTAL HSC OPERATIONS INCLUDED IN REGENTS REPORT | (13,311,150) | (5,347,288) | 6,436,872 | |

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the ten month period ended April 30, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

| | FY 2013 Full Year Revised Budget | FY 2013 Year-to-Date Actual | Fiscal YTD Favrb/(Unfavrb) Budget | Actual to Budget Benchmark Rate 83% |
|--|--|-----------------------------------|---|---|
| SUPPLEMENTAL INFORMATION | | | | |
| Component unit totals are not included in Regents Report | | | | |
| Results of UNM Medical Group Operations: | | | | |
| UNM Medical Group Revenues | | | | |
| UNM Medical Group Revenues | 153,266,973 | 125,822,375 | (27,444,598) | 82% |
| Meaningful Use Revenues | 2,650,000 | 2,698,750 | 48,750 | 102% |
| Other Revenues | 2,852,893 | 5,548,522 | 2,695,629 | 194% |
| Total UNM Medical Group Revenues | 158,769,866 | 134,069,647 | (24,700,219) | 84% |
| UNM Medical Group Expenses | | | | |
| Total Compensation Expenses | 16,117,126 | 13,942,199 | (2,174,927) | 87% |
| Patient Care Costs | 11,160,069 | 8,812,541 | (2,347,528) | 79% |
| Purchased Services | 126,497,164 | 105,133,586 | (21,363,578) | 83% |
| O&M & Leases | 453,366 | 395,137 | (58,229) | 87% |
| Depreciation | 516,023 | 350,084 | (165,939) | 68% |
| Other Expenses | 4,486,820 | 4,196,091 | (290,729) | 94% |
| Total UNM Medical Group Expenses | 159,230,568 | 132,829,638 | (26,400,930) | 83% |
| Total Net UNM Medical Group Revenue/(Expense) | (460,702) | 1,240,009 | 1,700,711 | |
| Results of SRMC Operations: | | | | |
| SRMC Revenues | | | | |
| SRMC Revenues | 24,349,830 | 17,591,965 | (6,757,865) | 72% |
| Mill Levy | 20,549,210 | 19,213,969 | (1,335,241) | 94% |
| Build America Bond Subsidy | 2,100,000 | 875,601 | (1,224,399) | 42% |
| Other Revenues | 223,422 | 215,321 | (8,101) | 96% |
| Total SRMC Revenues | 47,222,462 | 37,896,856 | (9,325,606) | 80% |
| SRMC Expenses | | | | |
| Total Compensation Expenses | 21,652,736 | 18,341,466 | (3,311,270) | 85% |
| Supplies/Medical Supplies | 6,423,061 | 5,421,659 | (1,001,402) | 84% |
| University Clinicians Program | 4,625,298 | 2,619,810 | (2,005,488) | 57% |
| Housestaff | - | 11,557 | 11,557 | N/A |
| Travel | 60,000 | 42,638 | (17,362) | 71% |
| Patient Care Costs | 3,163,798 | 2,066,313 | (1,097,485) | 65% |
| Telephone/Communication Costs | 135,000 | 90,160 | (44,840) | 67% |
| Purchased Services | 3,871,734 | 3,107,547 | (764,187) | 80% |
| Other Medical Services | 718,298 | 287,531 | (430,767) | 40% |
| Sub Awards/Service Contracts | 450,000 | 292,750 | (157,250) | 65% |
| O&M & Leases | 264,013 | 335,120 | 71,107 | 127% |
| Utilities | 557,717 | 468,385 | (89,332) | 84% |
| Depreciation | 8,158,413 | 6,346,697 | (1,811,716) | 78% |
| Interest Expense | 7,006,695 | 5,688,631 | (1,318,064) | 81% |
| Other Expenses | 1,007,734 | 347,960 | (659,774) | 35% |
| Total SRMC Expenses | 58,094,497 | 45,468,224 | (12,626,273) | 78% |
| Total Net SRMC Revenue/(Expense) | (10,872,035) | (7,571,368) | 3,300,667 | |

| UNM Health Sciences Center | FY 2012 Full Year Actual | FY 2013 Year-to-Date Actual | Fiscal YTD Favrb/(Unfavrb) FY 2012 Actual | FY 2013 Actual to FY 2012 Actual Benchmark Rate |
|------------------------------------|--------------------------------|-----------------------------------|---|---|
| Total Uncompensated Care at Cost * | | | | |
| UNM Cancer Center | 5,464,226 | 5,159,071 | 305,155 | 94% |
| UNM Hospitals | 180,410,589 | 158,122,283 | 22,288,306 | 88% |
| UNM Medical Group | 35,733,623 | 37,357,477 | (1,623,854) | 105% |
| SRMC | - | 2,664,543 | (2,664,543) | N/A |
| TOTAL | 221,608,438 | 203,303,374 | 18,305,064 | 92% |

* Uncompensated care is an overall measure of hospital care provided for which no payment was received from the patient or insurer. It is the sum of a hospital's "bad debt" and the charity care it provides.

UNM Debt Service Schedule

As of April 30, 2013

| UNM Bond Issue | Fixed or Variable Rate Issue | Original Issue Amount | Outstanding Principal Balance on June 30, 2012 | Principal Payment due on June 1, 2013 | Interest Payment paid on December 1, 2012 | Interest Payment due on June 1, 2013 | *Includes Hospital Debt FY 2013 Principal & Interest |
|---|------------------------------|-----------------------|--|---|---|--------------------------------------|--|
| Sub Lien System Rfdg Revenue Bonds Series 2012: Interest Range 2.00% to 5.00% Final Maturity Year 2032 | Fixed Rate | \$35,215,000 | \$34,485,000 | \$1,285,000 | \$778,900 | \$778,900 | \$2,842,800 |
| Sub Lien System Imp Revenue Bonds Series 2007 A&B: Interest Range 4.095% to 5.28% Final Maturity Year 2036 | Fixed Rate | \$136,710,000 | \$133,365,000 | \$1,765,000 | \$3,318,331 | \$3,318,334 | \$8,401,665 |
| Sub Lien System Imp Revenue Bonds Series 2005: Interest Range 3.0% to 5.0% Final Maturity Year 2035 | Fixed Rate | \$125,575,000 | \$118,330,000 | \$2,380,000 | \$2,726,224 | \$2,726,226 | \$7,832,450 |
| ⁽¹⁾ Hospital Mortgage Revenue Bonds Series 2004: Interest Range 2.0% to 5.0% Final Maturity Year 2031 | Fixed Rate | \$192,250,000 | \$169,645,000 | \$4,985,000 (\$2,470,000 paid 7/2/2012) (\$2,515,000 paid 1/2/2013) | \$4,064,613 (paid 1/2/2013) | \$4,119,659 (paid 7/2/2012) | \$13,169,272 |
| Sub Lien System Rfdg Revenue Bonds Series 2003 A: Interest Range 2.0% to 5.25% Final Maturity Year 2018 | Fixed Rate | \$21,660,000 | \$9,560,000 | \$1,080,000 | \$202,312 | \$250,950 | \$1,533,262 |
| Sub Lien System Rfdg Revenue Bonds Series 2003 B&C: Interest Range 1.35% to 5.625% Final Maturity Years B 2024 & C 2033 | Fixed Rate | \$11,805,000 | \$9,775,000 | \$270,000 | \$239,029 | \$239,030 | \$748,059 |
| Sub Lien Sys Rfdg Revenue Bonds ⁽²⁾ Series 2002 B: Synthetic Fixed Int. Rate 3.83% Final Maturity Year 2026 | Variable Rate | \$25,475,000 | \$23,520,000 | \$1,430,000 | \$435,176 | \$450,408 | \$2,315,584 |
| Sub Lien System Rfdg Revenue Bonds ⁽²⁾ Series 2002 C: Synthetic Fixed Int. Rate 3.94% Final Maturity Year 2030 | Variable Rate | \$37,840,000 | \$36,025,000 | \$895,000 | \$525,334 | \$709,693 | \$2,070,027 |
| Sub Lien System Imp Revenue Bonds Series 2001: 1/2 True Variable Rate ⁽²⁾ Series 2001: 1/2 Synthetic Fixed Interest at 4.16% and 4.19% Final Maturity Year 2026 | Variable Rate | \$52,625,000 | \$37,270,000 | \$1,995,000 | \$300,660 | \$745,400 | \$3,041,060 |
| Sub Lien System Imp Revenue Bonds Series 2000: Interest Range 4.65% to 6.35% Final Maturity Year 2019 | Fixed Rate | \$53,231,671 | \$2,758,129 | \$509,310 | \$0 | \$605,690 | \$1,115,000 |
| System Revenue Rfdg Bonds Series 1992 A: Interest Range 5.6% to 6.25% Final Maturity Year 2021 | Fixed Rate | \$36,790,000 | \$19,200,000 | \$1,740,000 | \$576,000 | \$576,000 | \$2,892,000 |
| Grand Total | | \$729,176,671 | \$593,953,129 | \$18,274,310 | \$13,166,579 | \$14,520,290 | \$45,961,179 |

Note: See attached matrix for funding sources.

(1) Source: UNM Hospital - Both UNM Hospital Principal and Interest payments are made on July 1st and January 1st.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays.

It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

(3) Series 2012 bonds refunded 2002A bonds.

FY13 UNM Debt Service - Source of Funds

As of April 30, 2013

| | Series 2012 | Series 2007A&B | Series 2005 | Series 2004 | Series 2003B&C | Series 2002A | Series 2002C | Series 2002B | Series 2001 | Series 2000A&B | Series 1992A |
|--------------------------------|-------------|----------------|-------------|-------------|----------------|--------------|--------------|--------------|-------------|----------------|--------------|
| Student Fees- Facility | X | X | X | | | X | X | X | | | X |
| Student Fees - IT | X | | X | | | | | | | | |
| Capitalized Interest | | X | | | | | | | | | |
| Parking Services | X | X | X | | | | X | | | | X |
| UNM Hospital | | | | X | | X | | X | | | X |
| Bookstore | | | | | | X | X | | | | X |
| Housing & Dining Services | X | | | | | X | X | | | | |
| Building R&R | X | | | | | X | | X | | | X |
| Real Estate Department | X | X | | | X | | | X | | | |
| Physical Plant Department | X | X | X | | | | | | X | | |
| Telecommunications | X | | X | | | | | | | | |
| Athletics | | X | | | | | | | | | |
| Information & Technology Funds | | | X | | | | X | | | | |
| KNME | | | | | | | | | | | X |
| Popejoy Hall | | | | | | | X | | | | |
| MTTC Bldg. | | | | | | | | X | | | |
| Opto Bldg (CHTM Res Park) | | | | | | | | X | | | |
| CRTC | | | | | | | | X | | | |
| Continuing Education | | | | | | | | X | | | |
| Equipment R&R | | | X | | | | | | | | |
| Golf Course - North & South | | | | | | | X | | | | |
| HSC | X | X | | | | | | | | | |
| UNM Development Revenues | | X | | | | | | | | | |
| Interest on Reserve Funds | X | | | | | X | X | X | | | |

Series 2002A bonds were refunded by the Series 2012 bonds in June 2012.

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the ten month period ended April 30, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Consolidated - Total Operations Current Funds

| | FY 2013 Full Year Revised Budget | FY 2013 Year-to-Date Actual | Fiscal YTD Favrb/(Unfavrb) Budget | Actual to Budget Benchmark Rate 83% |
|--|--|-----------------------------------|---|---|
| Instruction and General | | | | |
| Instruction & General Appropriations | 250,613,933 | 208,761,974 | (41,851,959) | 83% |
| State Special Project Appropriations | 810,300 | 675,250 | (135,050) | 83% |
| Tobacco Settlement Appropriations | 1,130,600 | 942,166 | (188,434) | 83% |
| Mill Levy | 6,752,100 | 5,626,750 | (1,125,350) | 83% |
| Total Instruction and General Appropriations | <u>259,306,933</u> | <u>216,006,140</u> | <u>(43,300,793)</u> | <u>83%</u> |
| Research | | | | |
| State Special Project Appropriations | 5,253,040 | 4,377,537 | (875,503) | 83% |
| Tobacco Settlement Appropriations | 979,800 | 816,500 | (163,300) | 83% |
| Cigarette Tax Appropriations | 3,296,108 | 2,954,903 | (341,205) | 90% |
| Total Research Appropriations | <u>9,528,948</u> | <u>8,148,940</u> | <u>(1,380,008)</u> | <u>86%</u> |
| Public Service | | | | |
| State Special Project Appropriations | 3,500,960 | 2,917,463 | (583,497) | 83% |
| Total Public Service Appropriations | <u>3,500,960</u> | <u>2,917,463</u> | <u>(583,497)</u> | <u>83%</u> |
| Clinical Operations | | | | |
| State Special Project Appropriations | 23,248,098 | 19,472,311 | (3,775,787) | 84% |
| Tobacco Settlement Appropriations | 851,700 | 709,734 | (141,966) | 83% |
| Total Clinical Operations Appropriations | <u>24,099,798</u> | <u>20,182,045</u> | <u>(3,917,753)</u> | <u>84%</u> |

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
 For the ten month period ended April 30, 2013
 Preliminary and Unaudited

Detail of State/Local Appropriations
Main Campus - Total Operations Current Funds

| | FY 2013 Full Year Revised Budget | FY 2013 Year-to-Date Actual | Fiscal YTD Favrb/(Unfavrb) Budget | Actual to Budget Benchmark Rate 83% |
|--|--|-----------------------------------|---|---|
| Instruction and General | | | | |
| Instruction & General Appropriations | 173,076,700 | 144,230,583 | (28,846,117) | 83% |
| State Special Project Appropriations | | | | |
| African American Student Services | 22,700 | 18,917 | (3,783) | 83% |
| Disabled Student Services | 192,400 | 160,333 | (32,067) | 83% |
| Hispanic Student Center | 105,800 | 88,167 | (17,633) | 83% |
| Minority Graduate Recruitment | 116,700 | 97,250 | (19,450) | 83% |
| Native American Studies Intervention | 176,900 | 147,416 | (29,484) | 83% |
| Pre-College Minority Student Math & Science | 195,800 | 163,167 | (32,633) | 83% |
| Total State Special Project Appropriations | 810,300 | 675,250 | (135,050) | 83% |
| Total Instruction and General Appropriations | 173,887,000 | 144,905,833 | (28,981,167) | 83% |
| Research | | | | |
| State Special Project Appropriations | | | | |
| Center for Regional Studies (SW Research Ctr) | 955,240 | 796,027 | (159,213) | 83% |
| Manufacturing Engineering | 350,300 | 291,920 | (58,380) | 83% |
| Morrisey Hall | 45,700 | 38,080 | (7,620) | 83% |
| Resource Geographic Information System | 63,100 | 52,590 | (10,510) | 83% |
| Utton Transboundary Resource Center | 261,900 | 218,250 | (43,650) | 83% |
| Water Rights Ombudsman | 23,700 | 19,750 | (3,950) | 83% |
| Total State Special Project Appropriations | 1,699,940 | 1,416,617 | (283,323) | 83% |
| Total Research Appropriations | 1,699,940 | 1,416,617 | (283,323) | 83% |
| Public Service | | | | |
| State Special Project Appropriations | | | | |
| Bureau of Business Research (Census) | 369,400 | 307,830 | (61,570) | 83% |
| College Prep Mentoring/School of Law | 118,500 | 98,750 | (19,750) | 83% |
| College Preparatory Mentoring | 164,800 | 137,340 | (27,460) | 83% |
| Corrine Wolfe Law Center/Child Abuse Training | 165,700 | 138,080 | (27,620) | 83% |
| ENLACE | 63,100 | 52,580 | (10,520) | 83% |
| Family Development Program | 425,800 | 354,830 | (70,970) | 83% |
| ISTEC | 48,595 | 40,500 | (8,095) | 83% |
| Judicial Selection | 22,000 | 18,330 | (3,670) | 83% |
| KNME-TV | 1,030,800 | 859,000 | (171,800) | 83% |
| Land Grant Studies Program | 30,400 | 25,330 | (5,070) | 83% |
| N. M. Historical Review | 46,700 | 38,920 | (7,780) | 83% |
| Small Business Innovation & Research Outreach | 125,000 | 104,170 | (20,830) | 83% |
| Southwest Indian Law Clinic | 166,500 | 138,750 | (27,750) | 83% |
| Spanish Colonial Research Center (SW Research Ctr) | 115,460 | 96,220 | (19,240) | 83% |
| Spanish Resource Center | 39,205 | 32,670 | (6,535) | 83% |
| Substance Abuse Program | 134,600 | 112,170 | (22,430) | 83% |
| Wildlife Law Education | 68,200 | 56,830 | (11,370) | 83% |
| Other | 100,000 | 83,333 | (16,667) | 83% |
| Total State Special Project Appropriations | 3,234,760 | 2,695,633 | (522,460) | 83% |
| Total Public Service Appropriations | 3,234,760 | 2,695,633 | (522,460) | 83% |

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the ten month period ended April 30, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Branch Campuses - Total Operations Current Funds

| | FY 2013 Full Year Revised Budget | FY 2013 Year-to-Date Actual | Fiscal YTD Favrb/(Unfavrb) Budget | Actual to Budget Benchmark Rate 83% |
|--|--|-----------------------------------|---|---|
| Instruction and General | | | | |
| Instruction & General Appropriations | | | | |
| Gallup | 8,703,700 | 7,253,083 | (1,450,617) | 83% |
| Los Alamos | 1,783,500 | 1,486,250 | (297,250) | 83% |
| Valencia | 5,054,733 | 4,212,278 | (842,455) | 83% |
| Taos | 3,036,600 | 2,530,500 | (506,100) | 83% |
| Total Instruction & General Appropriations | 18,578,533 | 15,482,111 | (3,096,422) | 83% |
| Mill Levy | | | | |
| McKinley County | 2,000,000 | 1,666,667 | (333,333) | 83% |
| Los Alamos County | 647,700 | 539,750 | (107,950) | 83% |
| Valencia County | 2,487,300 | 2,072,750 | (414,550) | 83% |
| Taos County | 1,617,100 | 1,347,583 | (269,517) | 83% |
| Total Mill Levy | 6,752,100 | 5,626,750 | (1,125,350) | 83% |
| Total Branch Appropriations | 25,330,633 | 21,108,861 | (4,221,772) | 83% |

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
 For the ten month period ended April 30, 2013
 Preliminary and Unaudited

Detail of State/Local Appropriations
 Health Sciences Center - Total Operations Current Funds

| | FY 2013 Full Year Revised Budget | FY 2013 Year-to-Date Actual | Fiscal YTD Favrb/(Unfavrb) Budget | Actual to Budget Benchmark Rate 83% |
|--|--|-----------------------------------|---|---|
| Instruction and General | | | | |
| Instruction & General Appropriations | 58,958,700 | 49,049,280 | (9,909,420) | 83% |
| Tobacco Settlement Appropriations | | | | |
| Instruction & General | 610,524 | 508,770 | (101,754) | 83% |
| Pediatric Specialty Education | 260,038 | 216,698 | (43,340) | 83% |
| Trauma Specialty Education | 260,038 | 216,698 | (43,340) | 83% |
| Total Tobacco Settlement Appropriations | 1,130,600 | 942,166 | (188,434) | 83% |
| Total Instruction and General Appropriations | 60,089,300 | 49,991,446 | (10,097,854) | 83% |
| Research | | | | |
| State Special Project Appropriations | | | | |
| Cancer Center | 2,586,200 | 2,155,170 | (431,030) | 83% |
| Hepatitis C, Project ECHO | 966,900 | 805,750 | (161,150) | 83% |
| Total State Special Project Appropriations | 3,553,100 | 2,960,920 | (592,180) | 83% |
| Tobacco Settlement Appropriations | | | | |
| Genomics, Biocomputing, Environmental Health | 979,800 | 816,500 | (163,300) | 83% |
| Total Tobacco Settlement Appropriations | 979,800 | 816,500 | (163,300) | 83% |
| Cigarette Tax Appropriations | 3,296,108 | 2,954,903 | (341,205) | 90% |
| Total Research Appropriations | 7,829,008 | 6,732,323 | (1,096,685) | 86% |
| Public Service | | | | |
| State Special Project Appropriations | | | | |
| Center for Native American Health | 266,200 | 221,830 | (44,370) | 83% |
| Total State Special Project Appropriations | 266,200 | 221,830 | (44,370) | 83% |
| Total Public Service Appropriations | 266,200 | 221,830 | (44,370) | 83% |
| Clinical Operations | | | | |
| State Special Project Appropriations | | | | |
| Newborn Intensive Care Unit | 3,186,800 | 2,655,686 | (531,114) | 83% |
| Office of the Medical Investigator | 4,445,700 | 3,705,650 | (740,050) | 83% |
| Pediatric Oncology | 1,155,800 | 963,170 | (192,630) | 83% |
| Poison and Drug Info Center | 1,484,600 | 1,237,170 | (247,430) | 83% |
| UNM Hospitals | 12,975,198 | 10,910,635 | (2,064,563) | 84% |
| Total State Special Project Appropriations | 23,248,098 | 19,472,311 | (3,775,787) | 84% |
| Tobacco Settlement Appropriations | | | | |
| Pediatric Oncology | 261,400 | 217,824 | (43,576) | 83% |
| Poison and Drug Info Center | 590,300 | 491,910 | (98,390) | 83% |
| Total Tobacco Settlement Appropriations | 851,700 | 709,734 | (141,966) | 83% |
| Total Clinical Operations Appropriations | 24,099,798 | 20,182,045 | (3,917,753) | 84% |

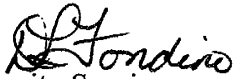


University Services

| | |
|---|---------------------------------|
| University Services Business Operations | UNM Surplus Property |
| UNM Copy Center | UnivServ Shipping and Receiving |
| UNM Mailing Systems | UNM Inventory Control |
| UNM Records Management | Chem Stores/CRLS |
| http://www.unm.edu/~univserv/ | Print Management Program |

Date: May 17, 2013

To: Bruce Cherrin, Chief Procurement Officer
Purchasing Department

From: Debra L. Fondino 
Associate Director, University Services

Subject: Equipment Disposition – May 2013

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition Detail list for the month of May, 2013.

Consistent with UNM Board of Regents Policy 7.9 and the Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and Surplus Property Act.

Surplus Property Department
Disposition Detail List
as of 05/17/13

| UNM# | Department/Supplies/Equipment | Description | Manufacturer | Model# | Serial# | Year | Acquisition Cost | NBV | Reason for Disposal | Disposal Date |
|---------------|-------------------------------------|--------------------------|--------------|--------|----------------------------|------|------------------|------|----------------------|---------------|
| 167710 | Neurosciences | MICROSCOPE | Olympus | | 247170 | 1985 | 9,831.00 | 0.00 | Too Costly to Repair | 04/23/2013 |
| 226921 | Biology Department | COMPUTER CPU/SYSTEM | Dell | | 977DS | 1997 | 5,340.00 | 0.00 | Beyond Repair | 05/07/2013 |
| 229472 | UME-ETS Educational Tech Support | VIDEO CAMERA | VideoTech | | D77KA0044 | 1998 | 6,368.00 | 0.00 | Obsolete | 05/17/2013 |
| 229473 | UME-ETS Educational Tech Support | VIDEO CAMERA | Panasonic | | E7TKA0002 | 1998 | 8,012.00 | 0.00 | Obsolete | 05/17/2013 |
| 232803 | UME-ETS Educational Tech Support | COMPUTER CPU/SYSTEM | CIV | | TUMKEY1SVIDEO | 1998 | 5,804.00 | 0.00 | Obsolete | 05/17/2013 |
| 237067 | Continuing Education Cont Ed | COMPUTER CPU/SYSTEM | Dell | | 14543 | 1999 | 7,035.00 | 0.00 | Too Costly to Repair | 05/17/2013 |
| (1) 241334 | HS Library and Informatics Center | COMPUTER FILE SERVER | Dell | | 1EF2B | 2000 | 16,989.00 | 0.00 | Obsolete | 04/29/2013 |
| (2) 242786 | UME-ETS Educational Tech Support | EDITING SYSTEM | GCVideo | | 14757 | 2000 | 15,217.00 | 0.00 | Obsolete | 05/17/2013 |
| (2) 242787 | UME-ETS Educational Tech Support | EDITING SYSTEM | GCVideo | | 014143 | 2000 | 13,793.00 | 0.00 | Obsolete | 05/17/2013 |
| 247489 | Psychiatry Psych | PROJECTOR | Panasonic | | 9668 | 2001 | 5,500.00 | 0.00 | Obsolete | 05/07/2013 |
| 254667 | Electrical Computer Engineering | COMPUTER CRT WORKSTATION | | | 154Z811 | 2002 | 5,352.00 | 0.00 | Beyond Repair | 05/07/2013 |
| (1) 255823 | HS Library and Informatics Center | COMPUTER CPU/SYSTEM | Dell | | 6T51G11 | 2002 | 9,931.00 | 0.00 | Obsolete | 04/29/2013 |
| 259907 | IM Translational Informatics Fac #1 | COMPUTER CPU/SYSTEM | Dell | | BFDB21 | 2003 | 8,412.00 | 0.00 | Obsolete | 04/29/2013 |
| 263902 | Anderson Schools of Management A | COMPUTER FILE SERVER | Dell | | 8G78L31 | 2003 | 6,235.00 | 0.00 | Obsolete | 05/17/2013 |
| 263904 | Anderson Schools of Management A | COMPUTER FILE SERVER | Dell | | CG78L31 | 2003 | 6,235.00 | 0.00 | Obsolete | 05/17/2013 |
| 263905 | Anderson Schools of Management A | COMPUTER FILE SERVER | Dell | | DG78L31 | 2003 | 6,235.00 | 0.00 | Obsolete | 05/17/2013 |
| 264190 | UME-ETS Educational Tech Support | MEASURE SYSTEM | UNKNOWN | | CPS-32 | 2003 | 7,100.00 | 0.00 | Obsolete | 05/17/2013 |
| 264416 | HS Library and Informatics Center | COMPUTER MONITOR | Samsung | | 403T 40" | 2003 | 5,096.00 | 0.00 | Too Costly to Repair | 04/29/2013 |
| 266124 | Bookstore Main Campus | COMPUTER FILE SERVER | Dell | | HWK8R41 | 2004 | 5,587.00 | 0.00 | Obsolete | 04/23/2013 |
| (3) N00010387 | Univ Lbr BCM General Admin | Server | Dell | | CQ359C1 | 2007 | 10,763.00 | 0.00 | Obsolete | 04/23/2013 |
| N00015236 | IM Translational Informatics Fac #1 | Computer | Microway | | Quad-Core Opteron V. 23088 | 2008 | 5,113.00 | 0.00 | Beyond Repair | 04/29/2013 |

Disposition value: 169,948.00

Disposition bookvalue: 0.00

Total Disposition Items: 21

5/17/13

Additional Notes to Surplus listings dated 5/17/13:

1 –Assets# 242786 and 242787 are actually editing systems from Undergraduate Medical Education - ETS. The systems were used for editing student videos and have not been used in several years. They do not support current video industry standards and have been replaced by software.

2 –Assets# 241334 and 255823 are computer servers from Health Sciences Library and Informatics Center. The servers' warranties expired in 2003 and 2007, the vendor no longer supports the equipment and they are not cost effective to continue to use. The servers do not have the capacity to run current operating systems, cost more in power, generate more heat, and have less processing power and storage capacity.


3-Asset# N00010387 is a server from University Libraries that was used for various online software and digital media for the libraries. It no longer supports library computer services. The department upgraded the server in June of 2011.

----- **Note: Any item of value in this list will be re-sold through all means available, i.e.: Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to re-invest in the mission of the requesting department.**



The University of New Mexico
Purchasing Department
MSC01 1240
1 University of New Mexico
Albuquerque, NM 87131-0001

MEMORANDUM

To: David Harris, Executive Vice President for Administration
From: Bruce Cherrin, Chief Procurement Officer 
Subject: Contract Approval
Date: May 28, 2013

The following is being submitted for approval per Regent Policy 7.4. This will need to proceed to the full Board of Regents.

1. UNM Mailing Systems – Pitney Bowes

We are requesting approval to issue a purchase order to Pitney Bowes for postage on mailing meters. Pitney Bowes buys the postage from the US Postal Service and puts it on our meters on our behalf. UNM Mailing Systems receives a small percentage of free postage back from the vendor as “earned” on the account – approximately \$100/month.

Source of Funds: Mailing Systems I & G Funds

Total Cost: \$855,000.00



Memo

To: David W. Harris, EVP for Administration, COO & CFO

From: Thomas M. Neale, Interim Director of Real Estate TMV

Date: May 24, 2013

Re: Annual Renewal of Maui HPCC Lease

The terms of the Lease Agreement (the "Lease") for the Maui High Performance Computing Center (the "Center" or "Maui HPCC") located at 550 Lipoa Parkway, Maui Research and Technology Park in Kihei, Maui require that the Regents notify the Trustee ("The Bank of New York Mellon Trust Company, N.A.") of its intention to either renew or not renew the Lease annually for each fiscal year.

On May 31, 2001 the University of Hawaii ("UH") was awarded the contract to operate and manage the Center by the Air Force Research Laboratory beginning October 1, 2001. In conjunction with the award of this contract, the University of New Mexico transferred control of the facility to UH, through its subsidiary, The Research Corporation of the University of Hawaii ("RCUH"), by means of a sublease agreement (the "Sublease") having ten (10) annual lease terms, expiring on September 30, 2011. The Air Force Research Laboratory is in the process of extending or re-bidding the Center contract, and therefore RCUH has requested a third extension of the Sublease for an additional year through September 30, 2014.

RCUH has notified UNM of its intent to renew the Sublease effective October 1, 2013 through September 30, 2014.

Based on RCUH's renewal notice, we are requesting that the Regents renew the Maui HPCC Lease (in order to maintain the UH Sublease), and to evidence such lease renewal by the adoption of the following *resolution*:

The Regents ("Regents") of the University of New Mexico ("UNM"), as Lessee, hereby authorize annual renewal of the Lease Agreement dated October 1, 2000 for the UNM Maui High Performance Computing Center (the "Center") with the Bank of New York Mellon Trust Company, N.A., as Lessor and Trustee, for Fiscal Year 2013-2014 beginning July 1, 2013 and ending June 30, 2014 (the "Lease"), based upon its finding that amounts have been or will be budgeted and authorized for payment of all Base Rentals and such Additional Rentals as are estimated to become due under the terms of the Lease. The Regents further find as follows: (1) UH has entered into a mutually acceptable arrangement with UNM to provide UH with access to the Center for UH's performance of its contract with the Air Force, pursuant to which the

amounts necessary to pay Base Rentals and Additional Rentals will become available, budgeted and authorized for such payments; (2) pursuant to its contract with the U.S. Air Force, UH remains obligated to operate and manage the Center through September 30, 2014, with all extensions; (3) pursuant to the Sublease between UNM and UH, UH has the right to occupy the facility through September 30, 2014, subject to annual renewal of the Sublease, which UH has presently renewed through September 30, 2014; and (4) in the event that amounts necessary to pay Base Rentals and Additional Rentals are not otherwise available, then pursuant to Sections 5.2 and 5.4 of the Lease, the Regents will notify Lessor that available funds are insufficient to satisfy UNM's obligations when next due and that amounts necessary to pay Base Rentals and Additional Rentals shall be made from the Supplemental Rentals Reserve Fund.



Memo

To: David Harris, EVP for Administration, CFO & COO
From: Thomas Neale, Interim Director of Real Estate TMN
Date: May 24, 2013
Re: Lease of Real Property – City of Albuquerque Fire Station No. 3
141 Girard Boulevard NE

Pursuant to Regents' Policy 7.9 PROPERTY MANAGEMENT, I am requesting Board of Regents' Approval to renew the lease for the City of Albuquerque Fire Station No. 3 located at 141 Girard Boulevard NE on the University's Main Campus. The premises is located on the west side of Girard Boulevard NE, between Central Avenue and Campus Boulevard. The site contains 0.944 acre (41,121 square feet) and is improved with a 5,700-square-foot fire station that was constructed in 1971.

The initial lease was negotiated in 1971 and expired on June 1, 2011. The City is occupying the premises a month-to-month basis and rent is a nominal \$10.00 per year. The proposed Amended and Restated Lease provides for the extension of the term from July 1, 2013 through June 30, 2033. The rental rate will remain the same.



Office of Capital Projects

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEMS TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: May 23, 2013

TO: David W. Harris, EVP for Administration, COO & CFO

FROM: Andrew Cullen, Associate Vice President, Office of Planning,
Budget & Analysis

RE: Requested Approvals

RECOMMENDED ACTION:

Recommend to the Board of Regents Finance and Facilities Committee the following:

1. Request for Approval of Architect Selection for Castetter Hall Phase 3
2. Request for Approval of Architect Selection for Science and Mathematics Learning Center (SMLC) Phase 2

Please see attached summaries.

cc: Chris Vallejos, Associate VP, Institutional Support Services
Vahid Staples, Budget Officer, Office of Planning, Budget & Analysis
Robert Doran, University Architect, Planning & Campus Development
R. Henrard, C. Martinez, C. Carian, T. Sanchez – OCP

**APPROVAL OF ARCHITECT SELECTION for
Castetter Hall Phase 3
UNIVERSITY OF NEW MEXICO
June 11, 2013**

RECOMMENDED ACTION:

As required by Section 7.11 of the Regents Policy Manual, it is recommended that the Board of Regents approve the selection of the following architect for design and planning for Castetter Hall Phase 3. Project approval will be requested at a future meeting of the Board of Regents.

Recommended Firm: Fanning Bard Tatum (FBT) Architects AIA, Ltd
6100 Indian School Rd. NE Ste 210
Albuquerque, New Mexico 87110

PROJECT DESCRIPTION:

1. This project will include completion of approximately 12,000 net square feet of existing shell space, within the existing Castetter Phase 2 Addition (UNM Building 21) that was completed in 2011.
2. The project will primarily include: Construction of new research laboratories, offices, and support spaces within the first and third floors of the existing building.
3. Existing heating, ventilation, and air-conditioning systems will be connected to new controls and supplemented to work with existing systems. New exhaust hoods will also be supplied in Research Labs.
4. The information technologies and communications systems will be connected to and expanded.
5. Electric power systems will be connected as well as expanded.
6. New casework and countertops will be provided as well as new sinks and shelving.
7. This project will supply scientific equipment to support the research being done.
8. Office and lab furniture is included in the budget.
9. Finally the demolition of the old inefficient Biology "Annex Building" (UNM Building 19) will also occur within this project.

RATIONALE:

1. This recommendation is based on a selection process in accordance with State of NM Procurement Regulations and Regents Policy 7.11 which provides for the Architect who designed the original building to be retained as "Sole Source" if their earlier work was satisfactory.
2. On April 27, 2013 a committee evaluated pros and cons of retaining FBT, and it was recommended that it would be in the best interests of the University and the project to retain FBT to design the third phase. That recommendation was based on the following:
 - a) FBT has an expert team of experienced registered Architects and Engineers.
 - b) The architect is familiar with Phase 2 built conditions including the provisions made for Phase 3 utilities, electrical, etc.
 - c) Team is familiar with existing departmental program needs having designed and worked directly with them in Phase 2.
 - d) FBT possesses great quality in the areas of Teaching Labs and Office design.

- e) Team is familiar with the site conditions.
 - f) They proposed a basic fee (including specialized lab consultant) of \$162,803, which is well below the New Mexico State Fee Curve, totaling 4.2% of the total project cost of \$3,850,000. This low fee is based on the fact that much of the current scope was designed previously as alternates to Phase 2. As the bidding climate at that time was not as aggressive as had been hoped, the design will now be repackaged into new construction documents for bidding and construction purposes.
 - g) Team is familiar with PPD requirements from Phase 2 that will carry over to Phase 3. Integration of the building HVAC systems will be important.
 - h) Team is familiar with SRS requirements from Phase 2 including the Fire Marshal reviews and with Construction Industries Division, etc.
 - i) Team is familiar with IT requirements from previous phase.
3. Retention of FBT for Castetter Phase 3 was recommended by:
- Biology Department – Chair Richard Cripps
 - Provosts Office – Strategic Planner Melissa Vargas
 - Office of Planning and Campus Development – UNM Architect Robert Doran
 - Office of Capital Projects – Project Manager Christopher Carian
 - UNM Purchasing – Ronald Mattingly

FUNDING:

The total estimated Project Budget is:

- \$3,000,000 is funded from 2012 General Obligation Bonds
- \$850,000 is funded from 2013 Severance Tax Bonds

**APPROVAL OF ARCHITECT SELECTION for
Science and Mathematics Learning Center (SMLC) Phase 2
UNIVERSITY OF NEW MEXICO
June 11, 2013**

RECOMMENDED ACTION:

As required by Section 7.11 of the Regents Policy Manual, it is recommended that the Board of Regents approve the selection of the following architect for design and planning of the Science and Mathematics Learning Center Phase 2. Project approval will be requested at a future meeting of the Board of Regents.

Recommended Firm: Van H. Gilbert Architect PC (VHGA)
2428 Baylor Drive SE
Albuquerque, New Mexico 87106

PROJECT DESCRIPTION:

1. This project will include an addition of approximately 14,750 net square feet to the existing SMLC Phase 1 which was completed in 2010.
2. The project will include: Construction of new Teaching Laboratories, Offices, and Mechanical Space within a basement and three above ground floors, as well as a mechanical penthouse.
3. New heating, ventilation, and air-conditioning systems and controls will be installed to integrate with existing SMLC system. New exhaust hoods will also be supplied in Organic Chemistry Teaching Labs.
4. Information technologies and communications systems will be expanded for the new addition.
5. Electric power systems will be expanded in this Phase.
6. New casework and countertops will be provided. This will be in combination with reusing some existing ventilation cabinets being relocated from Clark Hall.
7. This project will supply scientific equipment to support the teaching which will occur in the building.
8. Office and lab furniture is also included in the budget.

RATIONALE:

1. This recommendation is based on a selection process in accordance with State of NM Procurement Regulations and Regents Policy 7.11 which provides for the Architect who designed the original building to be retained as "Sole Source" if their earlier work was satisfactory.
2. On April 17, 2013 a committee evaluated the pros and cons of retaining Van H, Gilbert Architect PC, and it was decided it would be in the best interests of the Phase 2 project to retain Van H, Gilbert Architect PC. That recommendation was based on the following:
 - a) Team is familiar with the site conditions.
 - b) VHGA has an expert team of experienced registered Architects and Engineers.
 - c) Team is familiar with Phase 1 built conditions which include the provisions made for Phase 2 utilities, electrical, etc.

- d) Team is familiar with existing departmental program needs having designed and worked directly with the departments in the first Phase.
 - e) Team has proposed a basic fee of \$288,995, in compliance with the New Mexico State Fee Curve, totaling 5.7% of the project cost of \$5,000,000.
 - f) Team is familiar with PPD requirements from Phase 1 that will carry over to Phase 2. Integration of the building HVAC systems will be important.
 - g) Team is familiar with SRS requirements from Phase 1 including the Fire Marshal reviews and with CID, etc.
 - h) VHGA possesses great quality in the areas of Teaching Labs and Office design.
 - i) Team is familiar with IT requirements from Phase 1 including specialized Mathematics and Statistics IT issues.
3. Retention of VHGA for SMLC Phase 2 was recommended by:
- College of Arts and Sciences – Dean Mark Peceny
 - Chemistry Department – Chair Stephen Cabaniss
 - Mathematics and Statistics Department – Chair Terry Loring
 - Biology Department – Chair Richard Cripps
 - Earth and Planetary Sciences Department – Chair Adrian Brearley
 - Office of Planning and Campus Development – UNM Architect Robert Doran
 - Office of Capital Projects – Project Manager Christopher Carian
 - Provosts Office – Strategic Planner Melissa Vargas
 - UNM Purchasing – Ronald Mattingly

FUNDING:

The total estimated Project Budget is:

- \$5,000,000 is funded from 2013 Severance Tax Bonds



Office of Planning, Budget & Analysis

MEMORANDUM

TO: Members of the Board of Regents' Finance & Facilities Committee

THRU: Robert G. Frank, President
David W. Harris, EVP for Administration, COO, and CFO

FROM: Andrew Cullen, Associate VP Office of Planning, Budget & Analysis *AC*

DATE: May 29, 2013

SUBJECT: Approval of Resolution to Reallocate UNM 2007 Bond Proceeds

As the university moves forward with the development of South Campus, it is imperative that we take advantages of purchasing adjacent properties when opportunities present themselves. One such opportunity has arisen – the purchase of properties along Sunshine Terrace Avenue. Current ownership of the 59 lots along this avenue is as follows:

- University of New Mexico 26 – lots
- Gutierrez 24 – lots
- De Blassie 4 – lots
- W. Sanchez 1 – lot
- Chavez 1 – lot
- C. Sanchez 3 – lots

The initial acquisition related to this request would involve the 24 lots currently owned by the Gutierrez family. In order to move forward with the purchase of these lots, it has been mutually agreed with the Gutierrez family that these lots would be condemned by the university. The amount of the reallocation would be \$1.1 million, which represents the current market value estimated by the condemnor - UNM. As part of the condemnation process, the funds would be deposited with the courts. The ultimate appraisal, and thus purchase price, could change and the transaction price would be adjusted accordingly.

It is proposed that the funds for this purchase be reallocated from the UNM 2007 bonds proceeds earmarked for the former Elks Lodge facility. In 2007, \$3.5 million in bond proceeds were sold for this project, with approximately \$2.250 million going towards the purchase of the facility. The remaining \$1.250 million was to be used to demolish this inefficient, outdated facility; however, without a specific plan for the use of this property, the demolition will be postponed making the remaining funds available for reallocation.

I look forward discussing the benefits of this reallocation with the committee and Board of Regents. Thank you for your consideration.

THE REGENTS OF THE UNIVERSITY OF NEW MEXICO

**SEVENTH RESOLUTION RELATING TO DESIGNATION
OF PROCEEDS FROM 2007 REVENUE BONDS**

AMENDING A RESOLUTION OF THE REGENTS OF THE UNIVERSITY OF NEW MEXICO ADOPTED ON OCTOBER 9, 2007 AUTHORIZING THE ISSUANCE AND SALE OF SUBORDINATE LIEN SYSTEM IMPROVEMENT REVENUE BONDS, SERIES 2007, OF THE REGENTS OF THE UNIVERSITY OF NEW MEXICO; PROVIDING FOR THE SCHEDULED DISTRIBUTION OF BOND PROCEEDS FOR SUCH BONDS TO BE REVISED SO THAT CERTAIN PROCEEDS DESIGNATED FOR THE FORMER ELKS LODGE FACILITY BE USED INSTEAD FOR THE ACQUISITION OF 24 LOTS ALONG SUNSHINE TERRACE AVENUE FOR FURTHER DEVELOPMENT OF THE SOUTH CAMPUS OF THE UNIVERSITY; REPEALING ALL ACTION INCONSISTENT WITH THIS RESOLUTION; AUTHORIZING THE TAKING OF OTHER ACTIONS IN CONNECTION WITH THE AMENDMENT TO RESOLUTION.

WHEREAS, the University of New Mexico, at Albuquerque, New Mexico (the "University"), is declared to be and is confirmed as a state educational institution by Section 11 of Article XII of the Constitution of the State of New Mexico (the "State"), as amended; and

WHEREAS, the Regents of the University of New Mexico (the "Regents") are a body corporate in which is vested the management and control of the University; and

WHEREAS, the Regents adopted a Resolution on October 9, 2007 (the "Bond Resolution") authorizing the issuance of the Regents' Subordinate Lien System Improvement Revenue Bonds, Series 2007A (the "Series 2007 Bonds" or "Bonds"); and

WHEREAS, the Series 2007 Bonds were issued on December 20, 2007 in the aggregate principal amount of \$136,710,000 for multiple capital improvement projects within the University (the "Project"); and

WHEREAS, the Regents have identified \$1,100,000 of the \$3,500,000 Bond proceeds currently designated for the former Elks Lodge facility; and

WHEREAS, the Bond Resolution may be amended without the consent of the owners of the Series 2007 Bonds to make any changes that, in the judgment of the Regents, in reliance on the opinion of nationally recognized bond counsel, do not have a material adverse affect on the rights of the owners of the Series 2007 Bonds; and

WHEREAS, the Regents intend that all other provisions of the Bond Resolution remain effective unless amended herein.

NOW, THEREFORE, BE IT RESOLVED BY THE REGENTS OF THE UNIVERSITY OF NEW MEXICO, AS FOLLOWS:

Section 1. Ratification. All action heretofore taken (not inconsistent with the provisions of this Resolution and the Bond Resolution) by the Regents and officers of the University directed toward the issuance of the Series 2007 Bonds and expenditure of proceeds from the Series 2007 Bonds is hereby ratified, approved and confirmed.

Section 2. Amendment of the Bond Resolution. The Bond Resolution is hereby amended to provide that \$1,100,000 of the \$3,500,000 Bond proceeds heretofore designated for the demolition of the former Elks Lodge's inefficient and outdated facility; shall be redesignated for the acquisition of 24 lots along Sunshine Terrace Avenue for further development of the South Campus of the University. Acquisition, ownership and use of these properties will comply with covenants contained in the Bond Resolution.

Section 3. Amendment Compliance. In reliance on the opinion of nationally recognized bond counsel, the Regents conclude that (i) this Resolution is in compliance with Section 10.01 of the Bond Resolution related to limitations on amendments and (ii) the amendments to the Bond Resolution contained herein do not have a material adverse affect on the rights of the owners of the Series 2007 Bonds and are consistent with the purpose of the Series 2007 Bonds as identified in the Bond Resolution.

Section 4. Bond Resolution Irrepealable. Except with respect to the amendments as provided herein and other amendments hereafter approved consistent with Article X of the Bond Resolution, the Bond Resolution shall be and remain irrepealable until the Bonds, the interest thereon and amounts due to the provider of a Subordinate Lien Parity Bonds Reserve Fund Surety Bond (as defined in the Bond Resolution) shall be fully paid, canceled and discharged, or there has been defeasance, as provided in the Bond Resolution.

Section 5. Severability. If any section, paragraph, clause or provision of this Resolution shall for any reason be held to be invalid or unenforceable, the invalidity or unenforceability of such section, paragraph, clause or provision shall not affect any of the remaining provisions of this Resolution.

Section 6. Repealer Clause. All bylaws, orders and resolutions of the Regents or the University, or parts thereof, inconsistent herewith are hereby repealed to the extent only of such inconsistency. This repealer shall not be construed to revive any bylaw, order or resolution, or part thereof, heretofore repealed.

Section 7. Governing Law. This Resolution shall be governed by the laws of the State of New Mexico without reference to choice of law principles.

Section 8. Effective Date. This resolution shall take immediate effect.

ADOPTED AND APPROVED this 11th day of June, 2013.

THE REGENTS OF THE UNIVERSITY OF NEW
MEXICO

[SEAL]

By _____
President

Attest:

By _____
Secretary

K:\dox\client\73740\112\W1939824.DOCX

John R. Cooney
Kenneth L. Harrigan
James M. Parker
Arthur D. Melendres
James P. Houghton
Paul M. Fish
R. E. Thompson
Ruth M. Schifani
Lynn H. Slade
Larry P. Ausherman
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The Regents of The University
of New Mexico
Albuquerque, New Mexico

Re: \$136,710,000 The Regents of the University of New Mexico Subordinate
Lien System Improvement Revenue Bonds, Series 2007A (the "Bonds")

Ladies and Gentlemen:

We are nationally recognized bond counsel and currently serve as bond counsel to the University. This opinion is being delivered in connection and contemporaneously with the adoption by the Regents of the University of New Mexico ("the Regents") of a resolution (the "Amending Resolution") amending the resolution adopted by the Regents on October 9, 2007 (the "Bond Resolution") authorizing the issuance and sale of the Bonds. Specifically, the Amending Resolution was adopted on June 11, 2013 approving an amendment to the Bond Resolution to redesignate Bond proceeds for the acquisition of 24 lots along Sunshine Terrace Avenue for further development of the South Campus of the University, all for use and benefit of the University and as more specifically identified in the Amending Resolution.

We have examined the Bond Resolution, the Amending Resolution, the Constitution and laws of the State of New Mexico and such other documents as we deem necessary to render this opinion. Based upon our examination, we are of the opinion that:

1. The Amending Resolution is a valid and binding action of the Regents enforceable in accordance with its terms.

2. The amendments to the Bond Resolution set forth in the Amending Resolution: (a) are in compliance with Section 10.01 of the Bond Resolution, (b) do not, individually or in the aggregate, have a material adverse affect on the rights of the owners of the Bonds, and (c) provide for uses of the Bonds proceeds that are permitted by law.

3. Upon adoption by the Regents of the Amending Resolution, no consents of, authorizations by or notices to the owners of the Bonds are required to give effect to or in connection with the Amending Resolution.

Of Counsel
Dale W. Ek
Susan R. Stockstill
Joe C. Diaz

The opinions expressed herein are based upon existing law as of the date hereof, and we express no opinion as of any date subsequent thereto or with respect to any pending legislation.

The foregoing opinions represent our legal judgment based upon a review of existing legal authorities that we deem relevant to render such opinions and are not a guarantee of result. This opinion may be relied upon only by you and has been delivered to you on the condition that the opinions expressed herein may not be published or otherwise communicated by you to any other party without our specific prior written approval in each instance, except that a copy of this opinion may be provided to the owners of the Bonds upon request.

Respectfully submitted,



MEMORANDUM

TO: Members of the Finance and Facilities Committee

THRU: Robert G. Frank, President
David W. Harris, EVP for Administration, COO, and CFO

FROM: Marc Saavedra, Director Government and Community Relations Office

DATE: June 7, 2013

SUBJECT: **Approval of Capital Outlay Submission to the Higher Education
Department**

RECOMMENDED ACTION

Attached for your approval is the Capital Outlay request package that will construct and/or significantly improve and renew numerous facilities on the University of New Mexico's campuses. Staff recommends approval of the 2014 Capital Projects package so that it may be submitted to the Higher Education Department for Fiscal Year 2014-15.

Per their guideline, the request will be submitted to the HED to meet the June 28, 2013 deadline as part of their budget process. The list takes into consideration the state budget outlook, the needs of the institution and the fact that the request falls in a General Obligation Bond year. In total the attached list is comprised of twenty projects. (Please see the attached spreadsheet)

We look forward to your feedback on the capital projects request as the University of New Mexico advances its facility improvements initiative.

Thank you for your consideration.

**UNIVERSITY OF NEW MEXICO
CAPITAL OUTLAY PROJECTS**

**CURRENT YEAR REQUEST
FISCAL YEAR 2014-2015**

| | ESTIMATED PROJECT COST | 2014 SESSION APPROPRIATION REQUEST | PREVIOUS APPROPRIATION | PRIVATE FUNDS | OTHER FUNDS | FEDERAL FUNDS |
|---|------------------------------|--|---------------------------|---------------------|----------------|------------------|
| MAIN CAMPUS ACADEMIC | | | | | | |
| FARRIS ENGINEERING BUILDING RENOVATION | \$23,700,000 | \$22,898,500 | \$801,500 | \$0 | \$0 | \$0 |
| PHYSICS AND ASTRONOMY - PHASE I | \$85,000,000 | \$40,000,000 | \$0 | \$0 | \$0 | \$0 |
| ANDERSON SCHOOL OF MANAGEMENT | \$48,000,000 | \$38,000,000 | \$0 | \$10,000,000 | \$0 | \$0 |
| SUBTOTAL | \$156,700,000 | \$100,898,500 | \$801,500 | \$10,000,000 | \$0 | \$0 |
| HEALTH SCIENCES CENTER | | | | | | |
| HEALTH EDUCATION BUILDING - PHASE III | \$29,750,000 | \$29,750,000 | \$0 | \$0 | \$0 | \$0 |
| CDD AUTISM CENTER | \$25,700,000 | \$25,700,000 | \$0 | \$0 | \$0 | \$0 |
| SCHOOL OF PUBLIC HEALTH- Phase I | \$46,662,894 | \$29,157,267 | \$0 | \$0 | \$0 | \$0 |
| SUBTOTAL | \$102,112,894 | \$84,607,267 | \$0 | \$0 | \$0 | \$0 |
| INFRASTRUCTURE | | | | | | |
| ADA COMPLIANCE | \$6,800,000 | \$250,000 | \$4,370,000 | \$0 | \$0 | \$0 |
| DATA CENTER PLANNING | \$500,000 | \$500,000 | \$0 | \$0 | \$0 | \$0 |
| IT ELECTRICAL UPGRADES | \$2,200,000 | \$2,200,000 | \$0 | \$0 | \$0 | \$0 |
| ELECTRICAL DISTRIBUTION | \$2,000,000 | \$2,000,000 | \$0 | \$0 | \$0 | \$0 |
| SCIENCE & TECHNOLOGY PARK IT INFRASTRUCTURE UPGRADES - PHASE I | \$4,400,000 | \$956,226 | \$0 | \$0 | \$0 | \$0 |
| SUBTOTAL | \$15,900,000 | \$5,906,226 | \$4,370,000 | \$0 | \$0 | \$0 |

**UNIVERSITY OF NEW MEXICO
CAPITAL OUTLAY PROJECTS**

**CURRENT YEAR REQUEST
FISCAL YEAR 2014-2015**

| | ESTIMATED PROJECT COST | 2014 SESSION APPROPRIATION REQUEST | PREVIOUS APPROPRIATION | PRIVATE FUNDS | OTHER FUNDS | FEDERAL FUNDS |
|--|------------------------------|--|---------------------------|---------------------|--------------------|------------------|
| ATHLETICS | | | | | | |
| PARKING LOTS/LANDSCAPING IMPROVEMENTS - PHASE II | \$5,000,000 | \$1,500,000 | \$550,000 | \$0 | \$0 | \$0 |
| WEIGHT ROOM EXPANSION | \$2,000,000 | \$2,000,000 | \$0 | \$0 | \$0 | \$0 |
| SUBTOTAL | \$7,000,000 | \$3,500,000 | \$550,000 | \$0 | \$0 | \$0 |
| BRANCH CAMPUSES | | | | | | |
| GALLUP | | | | | | |
| ZOLLINGER LIBRARY | \$1,400,000 | \$1,400,000 | \$0 | \$0 | \$0 | \$0 |
| PHYSICAL PLANT BUILDING | \$2,000,000 | \$2,000,000 | \$0 | \$0 | \$180,000 | \$0 |
| LOS ALAMOS | | | | | | |
| CLASSROOM & FACULTY OFFICE RENOVATION (BLDG 6) | \$1,500,000 | \$1,125,000 | \$0 | \$0 | \$375,000 | \$0 |
| EMERGENCY MEDICAL SERVICES LAB & CLASSROOM | \$1,000,000 | \$750,000 | \$0 | \$0 | \$250,000 | \$0 |
| TAOS | | | | | | |
| HEALTH CAREER TRAINING CENTER | \$4,800,000 | \$4,176,000 | \$0 | \$0 | \$624,000 | \$0 |
| STEM TECHNICAL CENTER | \$4,200,000 | \$3,654,000 | \$0 | \$0 | \$546,000 | \$0 |
| VALENCIA | | | | | | |
| WESTSIDE EXPANSION | \$5,250,000 | \$3,937,500 | \$0 | \$0 | \$1,312,500 | \$0 |
| SUBTOTAL | \$20,150,000 | \$17,042,500 | \$0 | \$0 | \$3,287,500 | \$0 |
| TOTAL | \$301,862,894 | \$211,954,493 | \$5,721,500 | \$10,000,000 | \$3,287,500 | \$0 |



Purchasing Department
MSC01 1240
1 University of New Mexico
Albuquerque, NM 87131-0001
Telephone (505) 277-2036
FAX (505) 277-7774

MEMORANDUM

To: David Harris, Executive Vice President for Administration

From: Bruce Cherrin, Chief Procurement Officer *BC*

Subject: Contract Information

Date: May 28, 2013

The following contracts have been submitted for information to the Regents Finance and Facilities Committee per Regents Policy 7.4.

1. Information Technologies – Advanced Presentation Systems

RFP 1384-10 allows for an informal Request for Quotes which was solicited from vendors for the purchase of collaborative teaching and learning audio visual systems for the new Collaborative Teaching and Learning Building. There were six (6) respondents to the RFQ, with Advanced Presentation Systems receiving the highest score.

These systems include complete audio visual systems for four large active learning studio classrooms, a hybrid teaching classroom/faculty development and technology testing classroom, seminar room, four collaborative student study rooms, as well as digital signage systems. The work is slated to begin in June, 2013 and be completed in July, 2013.

Source of Funds: 2008 General Obligation Bond, 2007 and 2005 UNM Bonds

Total: \$450,302.17

2. Parking and Transportation – Cooperative Educational Services

Purchase order P0121003 issued for the purchase of two diesel 48-passenger buses by the Parking & Transportation Department, to be used for students, Popejoy patrons, and visitors to the University. This purchase will utilize the CES agreement with Roberts Truck & Bus Center. The price for each vehicle is \$148,604.85 which includes a two year warranty.

Source of Funds: Plant Funds

Total: \$297,209.70



Office of Capital Projects

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEM TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: May 23, 2013

TO: David W. Harris, EVP for Administration, COO & CFO

FROM: Chris Vallejos, AVP, Institutional Support Services

RE: Office of Capital Projects Executive Summary Report

INFORMATION ITEM:

The attached report is submitted for information only.

1. Office of Capital Projects Executive Summary Report dated May 2013

cc: Rick Henrard, Associate Director, Office of Capital Projects
Tony Sanchez, Associate Project Manager, Office of Capital Projects
Christina Martinez, Unit Administrator, Office of Capital Projects

UNM Capital Projects Executive Summary Report

Capital Projects

All Projects

| | | | | | |
|-----------------------|---------|---------------|--------------|--------------------|---|
| Total Active Projects | 36 | Total Funding | \$53,883,277 | New Projects | 3 |
| Total SF | 332,310 | Total Cost | \$53,081,777 | Completed Projects | 4 |

Major Capital

Projects > \$500,000

| | | | | | |
|-----------------------|---------|---------------|--------------|--------------------|---|
| Total Active Projects | 12 | Total Funding | \$49,990,441 | New Projects | 0 |
| Total SF | 293,886 | Total Cost | \$49,188,941 | Completed Projects | 2 |

Minor Capital

Projects between \$1000 and \$500,000

| | | | | | |
|-----------------------|--------|---------------|-------------|--------------------|---|
| Total Active Projects | 24 | Total Funding | \$3,892,836 | New Projects | 3 |
| Total SF | 38,424 | Total Cost | \$3,892,836 | Completed Projects | 2 |

Major Capital Report

| Schedule Info | Project Name | Architect | Contractor | SF | Project Funding | Est. Project Cost |
|---------------|--------------|-----------|------------|----|-----------------|-------------------|
|---------------|--------------|-----------|------------|----|-----------------|-------------------|

Planning

| | | | | | | |
|---|--|---------------------------------------|--|-------|--------------|--------------|
| 1 | Planning Start / Finish: 11/6/2012 -- 6/15/2013 | Clark Hall Renovations (Chemistry) | | 81000 | \$16,000,000 | \$16,000,000 |
| 2 | Planning Start / Finish: 6/1/2013 -- 10/15/2013 | Farris Hall Renovations Planning | | | \$801,500 | |
| 3 | Planning Start / Finish: 3/1/2013 -- 8/1/2013 | Gallup Career Education Renovation | | | \$1,200,000 | \$1,200,000 |
| 4 | Planning Start / Finish: 3/1/2013 -- 8/1/2013 | Los Alamos Science Labs | | | \$500,000 | \$500,000 |

Capital Projects

Capital Projects

| Schedule Info | Project Name | Architect | Contractor | SF | Project Funding | Est. Project Cost |
|---------------|--------------|-----------|------------|----|-----------------|-------------------|
|---------------|--------------|-----------|------------|----|-----------------|-------------------|

Planning Start / Finish:
 5/14/2013 -- 6/15/2013
 Science and Mathematics Learning Center Phase 2
 14750 \$5,000,000 \$5,000,000

Planning Start / Finish:
 5/1/2013 -- 7/15/2013
 Taos Clauer Campus Student Services Center Renovations
 7239 \$2,070,000 \$2,070,000

Planning Start / Finish:
 6/1/2012 -- 6/1/2013
 Zimmerman Library Combined Service Point (CSP)
 8000 \$900,000 \$900,000

Total Projects Total SF Total Cost

Design

Planning Start / Finish:

 Design Start / Finish:
 6/1/2013 -- 9/30/2013
 Const. Start / Finish:
 11/18/2013 -- 7/3/2014
 1650 University Tenant Build-Out FBT Architects
 104897 \$7,400,000 \$7,400,000

Planning Start / Finish:
 3/1/2013 -- 5/1/2013
 Design Start / Finish:
 7/17/2013 -- 11/19/2013
 Const. Start / Finish:
 1/15/2014 -- 9/24/2014
 Biology Bldg. Renovation (Caster Hall - Phase 3)
 13000 \$3,850,000 \$3,850,000

Capital Projects

Schedule Info **Project Name** **Architect** **Contractor** **SF** **Project Funding** **Est. Project Cost**

| | | | | | | | |
|-----------|--|-------------------------------|-----------------|-----|-------|-------------|-------------|
| 10 | Planning Start / Finish: Design Start / Finish: 5/1/2012 -- 6/3/2013 Const. Start / Finish: 7/1/2013 -- 10/31/2013 | McKinnon Family Tennis Center | Molzen-Corbin | OCP | 40000 | \$1,266,338 | \$1,266,338 |
| 11 | Planning Start / Finish: 10/30/2013 -- 2/21/2013 Design Start / Finish: 5/17/2013 -- 5/31/2013 Const. Start / Finish: 11/8/2013 -- 2/8/2014 | North Golf Course | Sites Southwest | TBD | 0 | \$1,500,000 | \$1,500,000 |

Total Projects **4** **Total SF** **157,897** **Total Cost** **\$14,016,338**

Construction

| | | | | | | | |
|-----------|--|--|------------------------|----------|-------|-------------|-------------|
| 12 | Planning Start / Finish: 12/1/2009 -- 7/12/2011 Design Start / Finish: 1/24/2011 -- 5/22/2012 Const. Start / Finish: 8/27/2012 -- 6/14/2013 | Collaborative Teaching and Learning Building | Dekker/Perich/Sabatini | McCarthy | 50000 | \$9,502,603 | \$9,502,603 |
|-----------|--|--|------------------------|----------|-------|-------------|-------------|

Total Projects **1** **Total SF** **50,000** **Total Cost** **\$9,502,603**

Projects Completed

Project Location: HSC

| Project Start/End | Project Name | Project Mgr. | Architect | Contractor | SF | Project Cost | | |
|--------------------------|-------------------------|----------------|------------------------|-----------------------|----------|---------------|-------------------------|--------------------------------|
| 1 5/18/2010 4/26/2013 | BMSB Lab 382 Renovation | Brian Scharmer | Vigil and Associates | Consolidated Builders | 1552 | \$392,000 | | |
| 2 7/22/2011 3/22/2013 | HRSA Classroom Upgrades | Brian Scharmer | Masterworks Architects | BCH Construction | 28108 | \$1,320,027 | | |
| Totals for HSC | | | | | 2 | 29,660 | Total SF: 29,660 | Total Cost: \$1,712,027 |

Project Location: South Campus

| Project Start/End | Project Name | Project Mgr. | Architect | Contractor | SF | Project Cost | |
|--------------------------------|--|---------------|------------------|-----------------------------|---------------|---------------------------------|--------------------------------|
| 3 4/30/2010 3/15/2013 | Baseball Stadium Renovation | Marta Dion | Design Plus LLC. | Bradbury Stamm Construction | | \$3,329,500 | |
| 4 5/1/2012 4/30/2013 | Student Family Housing Exterior Door Replacement | Eric Schwaner | | OCP | | \$293,939 | |
| Totals for South Campus | | | | | 2 | Total SF: 29,660 | Total Cost: \$3,623,439 |
| Grand Total SF: | | | | | 29,660 | Grand Total: \$5,335,466 | |

Capital Projects




Office of Planning, Budget & Analysis

MEMORANDUM

TO: Members of the Board of Regents' Finance & Facilities Committee (F&F)

THRU: Robert G. Frank, President
David W. Harris, EVP for Administration, COO, and CFO

FROM: Andrew Cullen, Associate VP Office of Planning, Budget & Analysis 

DATE: June 5, 2013

SUBJECT: UNM Debt Portfolio Overview & Bond Refunding Analysis

In accordance with the Regents' request, attached please find various schedules and memos which provide an overview of the university's debt portfolio and an analysis of current bond refunding opportunities. The materials can be summarized as follows:

- Debt Portfolio Overview
 - Debt Service Schedule (attachment # 1) – Depicts all outstanding bond issues, interest rates, final maturity dates, original issue amounts and current year principal & interest payments/due dates.
 - Outstanding Revenue Bonds (attachment # 2) – Depicts principal maturities by year and provides overview of fixed/variable/SWAP bond percentages.
 - Comprehensive Schedule of Debt Activity (attachment # 3) – Details sources of funds by payee, overall debt service obligations and projected year-end debt service reserve.
- Refunding Opportunities
 - First Southwest Memo dated June 4, 2013 (attachment # 4) – Overview of UNM series 2003A, 2003B, 2003C, 2005 and 2004 FHA bond issues.
 - First Southwest Memo dated June 4, 2013 (attachment # 5) – Series 2004 FHA bond issue overview.

Debt Portfolio Overview: The University of New Mexico currently has eleven system revenue bond issues outstanding, totaling approximately \$575.7 million. Final maturities range from 2018 on the 2003A series to 2036 on the 2007 series. Of the total \$575.7 million, approximately 84% are fixed rate, 3% are variable rate and 13% are variable rate SWAPS. A review of the debt service reserve activity shows a projected reserve for 2013 fiscal year end of approximately \$5.348 million, or a modest 11.36%. The reserve is projected to increase through FY18 and then decrease back to approximately its current level in FY25. The model holds constant student fee revenues associated with facility and IT upgrades, which results in the reserve continually growing through 2036, the last year detailed in the spreadsheet.

Refunding Opportunities: With tax-exempt rates near historic lows, refunding opportunities will continue to be attractive as bond issues near their call dates. With this in mind, these opportunities can be summarized as follows:

- Series 2003A, 2003B and 2003C Bonds – With the Regent’s approval last month, the university is currently in the process of refunding these bonds. Total savings are now projected to be \$2.757 million, or 11.5% on a net present value basis. Projected total savings are actually down from \$3.257 million, as originally presented, as bond markets have rallied over the last several weeks. The bonds are scheduled to be priced on August 12, 2013, at which time the savings will be finalized as determined by rates secured on that day. Final approval will be requested the following day, August 13, during the regularly scheduled Regent’s meeting.
- Series 2005 Bonds – The 2005 series becomes callable in 2015. Largely because of this fact, refunding these bonds now produces a low amount of total savings, approximately \$300,000. If refunded now, present value savings, as a percent of bonds being refunded, is approximately .29%, well below the industry-recommended 3% present value savings threshold. These savings are suppressed by the significant negative arbitrage currently estimated at \$5.8 million. For these reasons, and assuming rates remain attractive, it is recommended that the university wait until closer to the call date to refund this bond issue.
- Series 2004 FHA Bonds – From an economic standpoint, it is recommended that the process to refund the 2004 UNMH bonds be started at this time. The callable amount of the issue is \$156.615 million, with the first optional call date on July 1, 2014. With the FHA backing, the refunding would need to be approved by FHA, which could add an additional 45 to 60 days to standard 3 month approval process necessary to secure Regent, HED and SBOF approvals. Based on a prospective interest rate of 3.5%, projected savings are in excess of \$1.3 million per year through 2032; and total present value savings are projected at \$13 million, or 8.3% of the refunded principal amount, which clearly exceeds the industry-recommended 3% present value savings threshold as referenced above.

Thank you for your consideration and I look forward to discussing the materials and answering your questions during the F&F meeting on Friday.

UNM Debt Service Schedule

As of June 1, 2013

*Includes Hospital Debt

| UNM Bond Issue | Fixed or Variable Rate Issue | Original Issue Amount | Outstanding Principal Balance on June 1, 2013 | Principal Payment paid on June 1, 2013 | Interest Payment on December 31, 2012 | Interest Payment paid on June 1, 2013 | FY 2013 Principal & Interest |
|---|------------------------------|-----------------------|---|--|---------------------------------------|---------------------------------------|------------------------------|
| Sub Lien System Rfdg Revenue Bonds ⁽¹⁾ Series 2012: Interest Range 2.00% to 5.00% Final Maturity Year 2032 | Fixed Rate | \$35,215,000 | \$33,200,000 | \$1,285,000 | \$778,900 | \$778,900 | \$2,842,800 |
| Sub Lien System Imp Revenue Bonds Series 2007 A&B: Interest Range 4.096% to 5.28% Final Maturity Year 2036 | Fixed Rate | \$136,710,000 | \$131,620,000 | \$1,765,000 | \$3,318,334 | \$3,318,334 | \$8,401,668 |
| Sub Lien System Imp Revenue Bonds Series 2005: Interest Range 3.0% to 5.0% Final Maturity Year 2035 | Fixed Rate | \$125,575,000 | \$115,950,000 | \$2,360,000 | \$2,726,227 | \$2,726,226 | \$7,832,453 |
| ⁽¹⁾ Hospital Mortgage Revenue Bonds Series 2004: Interest Range 2.0% to 5.0% Final Maturity Year 2031 | Fixed Rate | \$192,250,000 | \$164,660,000 | \$4,880,000 (\$2,365,000 paid on 7/2/12) (\$2,515,000 paid 1/2/2013) | \$4,064,613 (paid 1/2/2013) | \$4,224,150 (paid 7/2/2012) | \$13,168,763 |
| Sub Lien System Rfdg Revenue Bonds Series 2003 A: Interest Range 2.0% to 5.25% Final Maturity Year 2018 | Fixed Rate | \$21,660,000 | \$8,480,000 | \$1,080,000 | \$250,950 | \$250,950 | \$1,581,900 |
| Sub Lien System Rfdg Revenue Bonds Series 2003 B&C: Interest Range 1.35% to 5.625% Final Maturity Years B 2024 & C 2033 | Fixed Rate | \$11,805,000 | \$9,505,000 | \$270,000 | \$239,029 | \$239,030 | \$748,059 |
| Sub Lien Sys Rfdg Revenue Bonds ⁽²⁾ Series 2002 B: Synthetic Fixed Int. Rate 3.83% Final Maturity Year 2026 | Variable Rate | \$25,475,000 | \$22,090,000 | \$1,430,000 | \$450,408 | \$450,408 | \$2,330,816 |
| Sub Lien System Rfdg Revenue Bonds ⁽²⁾ Series 2002 C: Synthetic Fixed Int. Rate 3.94% Final Maturity Year 2030 | Variable Rate | \$37,840,000 | \$35,190,000 | \$835,000 | \$709,692 | \$709,693 | \$2,254,385 |
| Sub Lien System Imp Revenue Bonds Series 2001: 1/2 True Variable Rate ⁽²⁾ Series 2001: 1/2 Synthetic Fixed Interest at 4.16% and 4.19% Final Maturity Year 2026 | Variable Rate | \$52,625,000 | \$35,275,000 | \$1,995,000 | \$745,400 | \$745,400 | \$3,485,800 |
| Sub Lien System Imp Revenue Bonds Series 2000: Interest Range 4.65% to 6.35% Final Maturity Year 2019 | Fixed Rate | \$53,231,671 | \$2,248,820 | \$509,310 | \$302,845 | \$302,845 | \$1,115,000 |
| System Revenue Rfdg Bonds Series 1992 A: Interest Range 5.6% to 6.25% Final Maturity Year 2021 | Fixed Rate | \$36,790,000 | \$17,460,000 | \$1,740,000 | \$576,000 | \$576,000 | \$2,892,000 |
| Grand Total | | \$729,176,671 | \$575,678,820 | \$18,169,310 | \$14,162,398 | \$14,321,936 | \$46,663,644 |

Note: See attached matrix for funding sources.

(1) Source: UNM Hospital - Both UNM Hospital Principal and interest payments are made on July 1st and January 1st.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays.

It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

(3) Series 2012 bonds refunded 2002A bonds.

University of New Mexico
Outstanding Revenue Bonds

Principal Maturities (As of June 1, 2013 After Refunding Series 2002A with Series 2012 Bonds)

| FYE | Subordinate (A-E) | | | | | | | | | | | | | Annual Debt Service |
|------|-------------------|--------------|---------------|---------------|---------------|--------------|--------------|--------------|----------------|----------------|----------------|---------------|----------------|---------------------|
| | Series 1992A | Series 2000B | Series 2001 | Series 2002B | Series 2002C | Series 2003A | Series 2003B | Series 2003C | Series 2004 | Series 2005 | Series 2007A&B | Series 2012 | | |
| 2014 | 1,840,000 | 473,652 | 2,085,000 | 1,480,000 | 875,000 | 1,135,000 | - | 285,000 | 5,240,000 | 2,470,000 | 1,840,000 | 1,310,000 | 19,043,652 | |
| 2015 | 1,950,000 | 442,934 | 2,170,000 | 1,540,000 | 910,000 | 1,195,000 | - | 295,000 | 5,495,000 | 2,590,000 | 1,915,000 | 1,345,000 | 19,847,934 | |
| 2016 | 2,070,000 | 410,532 | 2,265,000 | 1,610,000 | 945,000 | 1,255,000 | - | 310,000 | 5,770,000 | 2,705,000 | 2,000,000 | 1,380,000 | 20,730,532 | |
| 2017 | 2,190,000 | 382,969 | 2,365,000 | 1,300,000 | 985,000 | 1,325,000 | - | 330,000 | 6,065,000 | 3,215,000 | 2,090,000 | 1,450,000 | 21,697,969 | |
| 2018 | 2,320,000 | 356,924 | 2,465,000 | 1,345,000 | 1,030,000 | 3,560,000 | - | 345,000 | 6,370,000 | 3,475,000 | 2,180,000 | 1,525,000 | 22,711,924 | |
| 2019 | 3,970,000 | 181,809 | 2,570,000 | 1,400,000 | 1,600,000 | - | - | 365,000 | 6,695,000 | 3,475,000 | 2,285,000 | 1,600,000 | 24,141,809 | |
| 2020 | 1,515,000 | - | 2,680,000 | 1,465,000 | 2,175,000 | - | - | 385,000 | 7,035,000 | 6,130,000 | 2,660,000 | 1,680,000 | 25,725,000 | |
| 2021 | 1,605,000 | - | 2,785,000 | 1,520,000 | 2,265,000 | - | - | 405,000 | 7,390,000 | 5,855,000 | 3,360,000 | 1,730,000 | 26,925,000 | |
| 2022 | - | - | 2,915,000 | 1,585,000 | 2,355,000 | - | - | 425,000 | 7,765,000 | 6,775,000 | 4,270,000 | 1,785,000 | 27,875,000 | |
| 2023 | - | - | 3,040,000 | 1,650,000 | 2,465,000 | - | - | 450,000 | 8,160,000 | 7,400,000 | 4,480,000 | 1,540,000 | 29,185,000 | |
| 2024 | - | - | 3,170,000 | 1,730,000 | 2,565,000 | - | 150,000 | 450,000 | 8,160,000 | 7,400,000 | 4,480,000 | 1,540,000 | 30,190,000 | |
| 2025 | - | - | 3,305,000 | 1,790,000 | 2,675,000 | - | 500,000 | - | 8,565,000 | 5,850,000 | 6,215,000 | 1,620,000 | 31,485,000 | |
| 2026 | - | - | 3,450,000 | 3,665,000 | 2,785,000 | - | 525,000 | - | 9,430,000 | 6,225,000 | 7,055,000 | 1,875,000 | 32,940,000 | |
| 2027 | - | - | - | - | 2,900,000 | - | 550,000 | - | 9,950,000 | 6,485,000 | 7,400,000 | 1,965,000 | 29,945,000 | |
| 2028 | - | - | - | - | 3,030,000 | - | 575,000 | - | 10,490,000 | 6,805,000 | 7,770,000 | 2,065,000 | 25,835,000 | |
| 2029 | - | - | - | - | 3,160,000 | - | 600,000 | - | 5,435,000 | 6,805,000 | 7,770,000 | 2,065,000 | 25,835,000 | |
| 2030 | - | - | - | - | 2,470,000 | - | 630,000 | - | - | 6,880,000 | 8,160,000 | 2,170,000 | 20,310,000 | |
| 2031 | - | - | - | - | - | - | 655,000 | - | 8,345,000 | 6,880,000 | 8,160,000 | 2,170,000 | 20,310,000 | |
| 2032 | - | - | - | - | - | - | 685,000 | - | 10,600,000 | 5,265,000 | 8,565,000 | 2,280,000 | 25,110,000 | |
| 2033 | - | - | - | - | - | - | 715,000 | - | 26,860,000 | 5,995,000 | 8,995,000 | 2,595,000 | 28,200,000 | |
| 2034 | - | - | - | - | - | - | - | - | - | 5,995,000 | 9,445,000 | - | 43,015,000 | |
| 2035 | - | - | - | - | - | - | - | - | - | 7,040,000 | 9,920,000 | - | 16,960,000 | |
| 2036 | - | - | - | - | - | - | - | - | - | 7,355,000 | 8,555,000 | - | 15,910,000 | |
| 2036 | - | - | - | - | - | - | - | - | - | - | 9,340,000 | - | 9,340,000 | |
| | \$ 17,460,000 | \$ 2,248,820 | \$ 35,275,000 | \$ 22,090,000 | \$ 35,190,000 | \$ 8,480,000 | \$ 5,585,000 | \$ 3,920,000 | \$ 164,660,000 | \$ 115,950,000 | \$ 131,620,000 | \$ 33,200,000 | \$ 575,678,820 | |

| FIXED | 50% VARIABLE (Unhedged) | | | 50% Synthetic Fixed SWAP | | |
|----------------|-------------------------|------|------|--------------------------|-------|-------|
| | 2001 | 2002 | 2003 | 2001 | 2002B | 2002C |
| 1992A | 17,460,000 | - | - | 17,637,500 | - | - |
| 2000B | 2,248,820 | - | - | 22,090,000 | - | - |
| 2003A&B&C | 17,985,000 | - | - | 35,190,000 | - | - |
| 2004 | 164,660,000 | - | - | - | - | - |
| 2005 | 115,950,000 | - | - | - | - | - |
| 2007A&B | 131,620,000 | - | - | - | - | - |
| 2012 | 33,200,000 | - | - | - | - | - |
| Total Fixed | 483,123,820 | - | - | 17,637,500 | - | - |
| Total Unhedged | - | - | - | - | - | - |
| Total Swap | - | - | - | - | - | - |
| Total | 483,123,820 | - | - | 17,637,500 | - | - |

| TOTAL OUTSTANDING DEBT | As a % of Total |
|------------------------|-----------------|
| FR | 84% |
| VR Unhedged | 3% |
| VR Swap | 13% |
| Total Outstanding | 100% |

Notes: UNM Main Campus Principal & Interest Payments are made on June 1. An Additional Interest Payment is made on December 1.
UNM Hospital Principal & Interest Payments are made on July 1 and January 1.

Comprehensive Schedule of Debt Activity - 6-7-2013

| | 2014-15 | 2015-16 | 2016-17 | 2017-18 | 2018-19 | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 | 2024-25 | 2025-26 | 2026-27 | 2027-28 | 2028-29 | 2029-30 | 2030-31 | 2031-32 | |
|---------|------------|------------|------------|------------|-------------|-------------|-------------|-------------|-------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|
| 22,255 | 12,122,255 | 12,122,255 | 12,122,255 | 12,122,255 | 12,122,255 | 12,122,255 | 12,122,255 | 12,122,255 | 12,122,255 | 12,122,255 | 12,122,255 | 12,122,255 | 12,122,255 | 12,122,255 | 12,122,255 | 12,122,255 | 12,122,255 | 12,122,255 | 12,122,255 |
| 75,580 | 2,675,580 | 2,675,580 | 2,675,580 | 2,675,580 | 2,675,580 | 2,675,580 | 2,675,580 | 2,675,580 | 2,675,580 | 2,675,580 | 2,675,580 | 2,675,580 | 2,675,580 | 2,675,580 | 2,675,580 | 2,675,580 | 2,675,580 | 2,675,580 | 2,675,580 |
| 27,157 | 1,727,874 | 1,727,854 | 1,730,819 | 1,664,235 | 1,553,823 | 1,574,319 | 1,539,414 | 1,585,907 | 1,682,063 | 1,941,271 | 1,913,284 | 1,915,076 | 1,916,905 | 1,919,202 | 1,920,971 | 1,923,060 | 1,925,038 | 1,925,978 | 1,925,978 |
| 111,181 | 2,010,871 | 2,013,553 | 1,946,005 | 1,686,483 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11,937 | 13,112,650 | 13,102,650 | 13,098,150 | 13,088,525 | 13,082,900 | 13,075,400 | 13,065,400 | 13,056,900 | 13,048,900 | 13,030,775 | 13,029,815 | 13,025,668 | 13,080,050 | 13,127,475 | 7,688,318 | 2,253,318 | 10,191,500 | 11,943,000 | 11,943,000 |
| 72,631 | 1,870,150 | 1,866,606 | 1,873,174 | 1,863,217 | 1,869,683 | 1,904,501 | 1,964,746 | 2,072,479 | 2,072,685 | 2,246,701 | 2,485,716 | 2,477,096 | 2,475,127 | 2,492,056 | 2,479,850 | 2,485,041 | 2,482,745 | 2,481,922 | 2,481,922 |
| 50,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 |
| 34,240 | 2,134,240 | 2,134,240 | 2,134,240 | 2,134,240 | 2,134,240 | 1,890,946 | 1,342,340 | 1,343,564 | 1,078,740 | 1,078,740 | 1,078,740 | 1,078,740 | 1,078,740 | 1,078,740 | 1,078,740 | 1,078,740 | 1,078,740 | 1,078,740 | 1,078,740 |
| 38,600 | 638,600 | 638,600 | 638,600 | 638,600 | 638,600 | 638,600 | 638,600 | 638,600 | 638,600 | 638,600 | 638,600 | 638,600 | 638,600 | 638,600 | 638,600 | 638,600 | 638,600 | 638,600 | 638,600 |
| 597,753 | 1,693,433 | 1,693,244 | 1,697,827 | 1,692,420 | 1,690,995 | 1,715,011 | 1,700,473 | 1,829,641 | 1,827,424 | 2,061,713 | 2,036,349 | 2,039,468 | 2,042,240 | 2,044,387 | 2,044,369 | 2,049,292 | 2,047,328 | 2,049,508 | 2,049,508 |
| 161,760 | 4,457,674 | 4,459,449 | 4,462,453 | 4,459,652 | 4,458,124 | 4,670,549 | 4,805,936 | 4,883,205 | 4,901,464 | 4,851,934 | 4,844,341 | 4,738,648 | 1,226,649 | 1,226,760 | 1,227,025 | 1,211,472 | 1,085,663 | 1,085,998 | 1,085,998 |
| 60,885 | 966,040 | 962,601 | 885,516 | 885,327 | 885,494 | 853,718 | 185,316 | 185,562 | 185,469 | 185,430 | 185,371 | 185,592 | 185,474 | 185,313 | 185,386 | 185,371 | 185,547 | 185,592 | 185,592 |
| 59,473 | 3,056,061 | 3,058,200 | 3,057,982 | 3,071,902 | 3,092,200 | 3,210,043 | 3,748,076 | 3,803,884 | 3,801,646 | 4,093,158 | 3,808,734 | 3,803,617 | 3,793,957 | 3,783,981 | 3,777,431 | 3,769,914 | 3,760,997 | 3,754,922 | 3,754,922 |
| 142,140 | 48,623,519 | 48,623,519 | 48,176,883 | 50,002,708 | 45,912,891 | 45,039,920 | 45,447,134 | 45,456,410 | 45,193,659 | 46,094,970 | 45,977,598 | 48,605,102 | 41,068,760 | 40,844,592 | 35,321,708 | 31,267,320 | 36,486,653 | 38,235,758 | 38,235,758 |
| 141,776 | 47,154,267 | 47,154,367 | 47,161,607 | 47,160,274 | 47,165,585 | 47,163,841 | 47,170,001 | 46,872,015 | 46,508,661 | 46,381,865 | 46,393,391 | 40,476,274 | 40,532,251 | 40,532,251 | 35,099,762 | 28,591,258 | 32,032,672 | 33,784,193 | 33,784,193 |
| 90,364 | 1,479,948 | 1,469,152 | 1,015,276 | 2,842,434 | (1,282,696) | (1,123,867) | (1,727,867) | (1,421,396) | (1,878,367) | (423,692) | (401,267) | 2,211,710 | 592,486 | 312,331 | 221,946 | 2,676,062 | 4,453,981 | 4,451,560 | 4,451,560 |
| 49,039 | 8,328,987 | 9,798,139 | 10,813,415 | 13,655,849 | 12,403,155 | 11,279,234 | 9,566,367 | 8,135,071 | 6,456,714 | 6,033,022 | 5,629,755 | 7,840,465 | 8,432,951 | 8,745,282 | 8,867,228 | 11,643,290 | 16,097,271 | 20,548,831 | 20,548,831 |



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Memorandum

George H. Williford
Managing Director

George.Williford@firstsw.com

Date: June 4, 2013

To: David Harris
Andrew Cullen

Cc: Jason Hughes
Erick Macha

Subject: Possible Refunding of Series 2003A, 2003B, 2003C, 2005 and 2004 FHA

Attached is analysis, illustrating potential savings by refunding Series 2003A, 2003B, 2003C and 2005, to update the information provided to F&F committee in early May. Also, included is information on potential refunding of the University of New Mexico FHA Insured Hospital Mortgage Revenue Bonds, Series 2004. Similar to past analysis, combined refunding of the Series 2003 issues is evaluated separately from refunding Series 2005. Since the time of our last analysis, mainly attributable to the month of May, interest rates have increased throughout the yield curve. For example, the 10 year MMD spot rate rose .40% over the month of May, with the 20 year and 30 year experiencing similar movement.

As attached, Schedule 1 illustrates combined refunding of Series 2003A, 2003B and 2003C resulting in total estimated savings above \$2.7 million. On a present value basis, savings are approximately 11.5% of the \$17,985,000 refunded principal amount. Similar to the most recent analysis, savings do not include any assumed reserve fund earnings, whereas UNM would have the discretion as to how reserve earnings would be applied.

Schedule 2 illustrates refunding of Series 2005, which becomes callable in 2015. To refund \$110,890,000 in principal and allow for interest payments to the call date, the refunding bond issue would have to be sized to produce approximately \$120.6 million in proceeds for placement into escrow. The calculated negative arbitrage, or escrow cost, of approximately \$5.8 million further detracts from savings. Based on recent negative market movement, and incorporating the cost of escrow, savings have declined and are now approximately \$10,000-\$15,000 a year totaling less than \$300,000. Present value savings as a percentage of the \$110,890,000 refunded principal are now .29%.

As expressed above, refunding of the Series 2004 issue might also be worthwhile to consider. Like the other issues, potential savings are somewhat decreased from early May. However, potential savings remain at relatively high levels. Based upon updated analysis, at an assumed

interest rate of just under 3.5%, projected annual savings are approximately \$1.3 million. Total present value savings are projected at approximately \$13 million, or 8.3% of the \$156.615 million refunded amount.

In summary, we would continue to recommend refunding the 2003 issues, since the 2003 issues are currently callable and provide for adequate savings. From an economic standpoint, consideration of refunding of the Series 2004 issue also appears worthwhile. We will continue to monitor movements in interest rates and advise the University accordingly should the bond market continue to deteriorate and negatively impact savings on the Series 2003 issues or savings prospects for the 2004 issue, as the approval processes are ongoing. Additionally, we would recommend deferring consideration of refunding the 2005 until closer to the June 2015 call date or at a time when savings are more substantial.

Schedule 1

SAVINGS

University of New Mexico
 Refund Series 2003A, 2003B and 2003C (Taxable)
 Level Savings - Savings Without Reserve Earnings
 Analysis as of 5.31.13; Subject to Change

| FYE | Prior Debt Service | Prior Reserve Fund Receipts | Refunding Debt Service | Savings |
|-----------|----------------------|-----------------------------|------------------------|---------------------|
| 6/30/2014 | \$ 2,331,109 | \$ 97,275 | \$ 2,233,148 | \$ 686 |
| 6/30/2015 | 2,326,986 | 97,275 | 2,067,549 | 162,162 |
| 6/30/2016 | 2,334,204 | 97,275 | 2,074,309 | 162,619 |
| 6/30/2017 | 2,331,981 | 97,275 | 2,074,171 | 160,535 |
| 6/30/2018 | 4,495,589 | 97,275 | 4,236,399 | 161,915 |
| 6/30/2019 | 751,094 | - | 654,313 | 96,781 |
| 6/30/2020 | 750,563 | - | 655,111 | 95,452 |
| 6/30/2021 | 748,906 | - | 654,155 | 94,752 |
| 6/30/2022 | 746,125 | - | 646,375 | 99,750 |
| 6/30/2023 | 747,219 | - | 647,315 | 99,904 |
| 6/30/2024 | 746,906 | - | 586,852 | 160,054 |
| 6/30/2025 | 746,725 | - | 582,606 | 164,119 |
| 6/30/2026 | 748,725 | - | 589,556 | 159,169 |
| 6/30/2027 | 749,575 | - | 585,338 | 164,238 |
| 6/30/2028 | 749,275 | - | 585,806 | 163,469 |
| 6/30/2029 | 747,825 | - | 585,206 | 162,619 |
| 6/30/2030 | 750,825 | - | 589,119 | 161,706 |
| 6/30/2031 | 747,475 | - | 586,738 | 160,738 |
| 6/30/2032 | 748,000 | - | 583,850 | 164,150 |
| 6/30/2033 | 747,175 | - | 584,775 | 162,400 |
| | <u>\$ 25,046,281</u> | <u>\$ 486,375</u> | <u>\$ 21,802,690</u> | <u>\$ 2,757,216</u> |

Negative Arbitrage \$ -

Net Present Value Savings \$ 2,068,333

Refunded Amount \$ 17,985,000

Present Value Savings as % of Refunded Amount 11.5003%

Schedule 2

SAVINGS
University of New Mexico
Refund Series 2005

Level Savings - Savings Without Reserve Earnings
 Analysis as of 5.31.13; Subject to Change

| FYE | Prior Debt Service | Unrefunded Debt Service | Refunding Debt Service | Savings |
|-----------|-----------------------|-------------------------|---|-----------------------|
| 6/30/2014 | \$ 7,827,253 | \$ 2,698,300 | \$ 5,126,044 | \$ 2,909 |
| 6/30/2015 | 7,848,453 | 2,719,500 | 5,112,219 | 16,734 |
| 6/30/2016 | 7,833,953 | - | 7,821,719 | 12,234 |
| 6/30/2017 | 8,208,703 | - | 8,196,619 | 12,084 |
| 6/30/2018 | 6,047,953 | - | 6,032,519 | 15,434 |
| 6/30/2019 | 8,259,353 | - | 8,243,769 | 15,584 |
| 6/30/2020 | 10,775,353 | - | 10,762,769 | 12,584 |
| 6/30/2021 | 10,224,503 | - | 10,209,919 | 14,584 |
| 6/30/2022 | 10,892,738 | - | 10,879,719 | 13,019 |
| 6/30/2023 | 11,212,863 | - | 11,198,869 | 13,994 |
| 6/30/2024 | 9,337,263 | - | 9,324,069 | 13,194 |
| 6/30/2025 | 9,344,863 | - | 9,333,069 | 11,794 |
| 6/30/2026 | 7,534,688 | - | 7,520,769 | 13,919 |
| 6/30/2027 | 8,950,688 | - | 8,934,988 | 15,700 |
| 6/30/2028 | 8,946,125 | - | 8,929,831 | 16,294 |
| 6/30/2029 | 8,941,875 | - | 8,929,244 | 12,631 |
| 6/30/2030 | 8,676,625 | - | 8,661,181 | 15,444 |
| 6/30/2031 | 6,752,025 | - | 6,739,975 | 12,050 |
| 6/30/2032 | 6,748,775 | - | 6,735,300 | 13,475 |
| 6/30/2033 | 6,942,525 | - | 6,927,125 | 15,400 |
| 6/30/2034 | 7,687,775 | - | 7,675,125 | 12,650 |
| 6/30/2035 | 7,685,975 | - | 7,669,350 | 16,625 |
| | <u>\$ 186,680,320</u> | <u>\$ 5,417,800</u> | <u>\$ 180,964,188</u> | <u>\$ 298,332</u> |
| | | | Negative Arbitrage | <u>\$ 5,841,035</u> |
| | | | Net Present Value Savings | <u>\$ 323,571</u> |
| | | | Refunded Amount | <u>\$ 110,890,000</u> |
| | | | Present Value Savings as % of Refunded Amount | <u>0.2918%</u> |



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Memorandum

George H. Williford
Managing Director

George.Williford@firstsw.com

Date: June 4, 2013
To: David Harris
Andrew Cullen
Cc: Jason Hughes
Subject: Possible Refunding of Series 2004 Bond Issue

UNM has the potential opportunity to realize savings from refunding of the 'Regents of the University of New Mexico FHA Insured Hospital Mortgage Revenue Bonds, Series 2004'. The callable amount of the issue is \$156.615 million, with the first optional call date on July 1, 2014.

There are two possible means to refinance or refund the issue: direct sale or placement of GNMA securities, or a refunding bond issue secured by GNMA securities. Although a refunding bond issue in the public market would likely require approximately 30 days longer to process and would entail offering documents, disclosure and ratings of a typical issue, we would advocate that method versus direct placement of GNMA securities. A refunding bond issue in the public market is a more transparent marketing process, with sale to a diverse group of purchasers. A bond issue would have a typical call feature, with the ability to defease the bonds prior to the call date, if needed. GNMA securities directly placed with a single purchaser, on the other hand, typically have a 'hard lockout' for 10 years with regard to prepayment, meaning that the debt could likely not be defeased prior to the end of the 10 year period.

Apart from the process for HED and SBOF approval, sale of refunding bonds could potentially be accomplished in approximately 90 days. If HED and SBOF did not require that FHA approval was in place, the process with HED and SBOF could conceivably run concurrent with the process for FHA approval and other items for bond issuance. If, however, it was required that FHA approval be obtained prior to meeting with HED and SBOF, that could add 45 days or more to the overall timing.

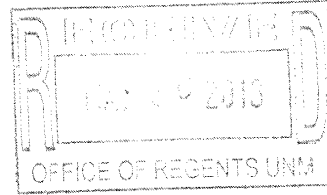
From the group of approved underwriters for Regents System Revenue Bonds, RBC Capital Markets has the capacity and approvals to serve as underwriter on a FHA insured issue. RBC has also provided preliminary scenarios regarding prospective refunding. Other firms have also provided information to personnel at UNMH.

Based upon interest rates in recent months, and as recently as early May, projected savings, at prospective interest rates of approximately 3%, were in excess of \$1.5 million per year through 2032; and total present value savings were projected at above \$18.5 million or over 11% of the \$156.615 million refunded principal amount. Additionally, there are possible funds which could be released at closing of a refunding issue.

Recent increases in interest rates (since mid-May) have decreased prospective savings somewhat. Based upon updated analysis from this week, and assuming an interest rate of just under 3.5%, projected annual savings are approximately \$1.3 million. Total present value savings are projected at approximately \$13 million, or 8.3% of the refunded amount.

Potential savings justify consideration of refunding of the Series 2004 issue from an economic standpoint. Given the time required for HED and SBOF approval, as well as FHA approval, if the refunding is considered desirable, it might be worthwhile to initiate the process in the event that interest rates remain at acceptable levels.

This communication is for information only, not an offer, solicitation or recommendation, nor an official confirmation of any financial transaction. It is not to be considered research. The information is considered to be reliable, but First Southwest Company does not warrant its completeness or accuracy, prices and availability are subject to change without notice. We may trade, have long or short positions, or act as a market maker in any financial instrument discussed herein. Clients should consult their own advisors regarding any accounting, legal or tax aspects. Investors are instructed to read the entire Official Statement to obtain information essential to the making of an informed investment decision.



May 29, 2013

TO: Jack Fortner, Esq., President
 UNM Board of Regents

FR: Regent Suzanne Quillen, Chair *SQ*
 HSC Board of Directors

RE: Request for Items to be placed on UNM Board of Regents' Agenda

| | | | |
|---------------------------|--------------------------------|---------------------------|-------------------|
| cc: Paul B. Roth, MD, HSC | Elia Watt, UNMH | Michael Olguin, UNMH BoT | Linda Pardo, FACC |
| Andrea Montoya, UNMH | Steve McKernan, UNMH | Scot Sauder, HSC | Ellen Wenzel, BoR |
| Ava Lovell, HSC | Bruce Cherrin, UNM Procurement | Conrad James, Chair, FACC | |

RECOMMENDED ACTION:

Contingent upon approval of the HSC Board of Directors, I respectfully request that the following item be placed on the UNM Board of Regents' agenda as an Action item:

- 1) Request for Capital Project Approval for UNMH Main – Safety – Pharmacy Order and Picking Area *(Steve McKernan)*

Contingent upon approval of the HSC Board of Directors, I respectfully request that the following item be placed on the UNM Board of Regents' Consent agenda:

- 1) Request for Approval for UNMH Contracts with Covidien, Healthcare Laundry, Inc., United Parcel Service, City of Albuquerque, and Ricoh USA, Inc. *(Steve McKernan)*

Supporting documentation on all items is attached.

2013-128-HSCBoD



THE UNIVERSITY OF NEW MEXICO ♦ HEALTH SCIENCES CENTER

UNM HOSPITALS

REQUEST FOR CAPITAL PROJECT APPROVAL for UNMH MAIN –SAFETY - PHARMACY ORDER & PICKING AREA JUNE 11, 2013, UNM BOARD OF REGENTS

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **UH Main – Safety –Pharmacy Order & Picking Area- Renovation.**

PROJECT DESCRIPTION:

This is a 1,223 sq. ft., project, located on the fourth floor of the Main Hospital at 2211 Lomas NE in Albuquerque. The project includes demolition of the old compounding area, to create space for new Order and Picking equipment and fixtures. New mechanical, electrical and plumbing infrastructure will be constructed to support new equipment and fixtures. The new technologies this project provides for will: Improve patient safety by preventing medication errors, improve overall pharmacy efficiency, and reduce labor associated with stocking, filling prescriptions, checking prescriptions and inventory, in addition to reducing drug inventory.

PROJECT RATIONALE:

This project is necessary to improve patient safety and process efficiency with automated medication dispensing. The technology prevents medication errors due to distraction and fatigue, reduces pharmacy labor and lowers drug inventory. The hospital pharmacy carousel automates medication storage, selection, returns and restocks for over 90% of regular hospital's daily medication volume. This form of automation increases medication filling accuracy to 99.9%, cuts pharmacist checking labor by 90%, reduces technician labor by 72%, trims inventory between 10% and 20%, and reduces expired medication cost by 54%.

FUNDING:

The total estimated Project Budget is \$655,000:

| | |
|-------------------------------|-----------|
| FY-14 Construction Renovation | \$655,000 |
|-------------------------------|-----------|

\$655,000 is funded from UNM Hospital FY-14 Capital Budget.



THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER

UNM HOSPITALS

**UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
May 2013**

Approval

(1) Covidien

Ownership:

Covidien Holding Inc.
15 Hampshire Street
Mansfield, MA 02048

Officers Information:

John W. Kapples
Matthew J. Nicolella
Geoffrey Kupferschmid

Source of Funds: UNM Hospitals Operating Budget

Description

Request for three and a half year agreement to purchase Covidien Customer Optimization Plus Program for patient monitoring equipment and consumable supplies (Nellcor brand sensors and oximetry sensors). This contract will allow for purchase of patient monitoring equipment via 'Award Credits' earned when commitment of 85% of consumable products are purchased. UNM Hospital currently purchases these consumables under a similar agreement. This agreement is to acquire the additional, upgraded monitoring equipment.

Process

Novation MS0681

Total Consumable Supplies Cost: Annual cost range for consumable supplies range from \$1,350,000 to \$1,600,000.

Total Equipment Cost: Equipment to be acquired through awards credits is as follows:

| | |
|--|------------------|
| BIS VISTA Bilateral monitoring system (quantity 17) | \$62,900 |
| INVOS 5100C Cerebral/Somatic Oximeter System (quantity 4) | \$80,000 |
| Capnostream 20 Nellcor No Printer (quantity 25) | \$98,750 |
| GCX Roll Stand (quantity 1) | \$412 |
| Mounting Plate (quantity 1) | \$70 |
| Carryover from previously contract pulse oximetry machines | <u>\$131,074</u> |
| Total Equipment to be acquired through awards credits | <u>\$373,206</u> |

(2) Healthcare Laundry Inc.

Ownership:
Healthcare Laundry Services, LLC
7600 Los Volcanes Road NW, Suite A
Albuquerque, NM 87121

Officers Information:
Timothy M. Sheehan

Source of Funds: UNM Hospitals Operating Budget

Description

Request for a four year agreement with Healthcare Laundry Inc. to provide laundry services for UNM Hospitals through October 31, 2017. Services provide for all medical laundry including: sheets, pillow cases, blankets, towels, patient gowns and surgical scrubs. The new agreement has a price increase from \$0.40 to \$0.41 cents per pound, resulting in an estimated annual increase of \$50,000.

Previous Contract: Healthcare Laundry Services

Previous Term: Four Years

Previous Operating Contract Amount: \$1,519,276

Process

Sole Source

Total Cost: Dependent on volumes, ranges from \$1,500,000 to \$1,600,000 per fiscal year.

(3) United Parcel Service

Ownership:
United Parcel Service, Inc.
55 Glenlake Parkway
Atlanta, GA 30328

Officers Information:
D. Scott Davis
Kurt P. Kuehn
Teri P. McClure

Source of Funds: UNM Hospitals Operating Budget

Previous Contract: United Parcel Service

Previous Approval: Three Years

Previous Year Expenditure: \$600,000

Description

Requesting three year approval for United Parcel Services to provide all incoming and outgoing freight services.

Process

Federal Contract adopted by State of New Mexico GSD. Freight is also exempt under the State Procurement Code 13-1-98F.

Total Cost: Dependent on volumes, ranges from \$600,000 to \$750,000 per fiscal year.

(4) City of Albuquerque

Source of Funds: UNM Hospitals Operating Budget

Description

Request for three year approval for water and sewer services for all of UNM Hospitals and outpatient clinics.

Process

Public Utilities, exemption

Total Cost: Depends on usage, ranges from \$580,000 to \$625,000 per fiscal year.

(5) Ricoh USA, Inc. (previously Ikon Office Solutions)

Ownership:

Ricoh USA, Inc.
P.O. Box 834
Valley Forge, PA 19482

Officers Information:

President: Jeffrey W. Hickling
Vice President: Henry M. Miller
Secretary: Mark A. Hershey
Treasurer: Dennis Dispenziere

Source of Funds: UNM Hospitals Operating Budget

Previous Contract: Ikon Office Solutions

Previous Term: Three Years

Previous Operating Contract Amount: \$500,000 to \$625,000 per fiscal year

Description

Request for agreement through March 20, 2017 (with option to renew annually through March 20, 2020) with Ricoh USA to distribute, manage, and supply copier machines and supplies for UNM Hospitals and off site clinics.

Process

University of New Mexico RFP 4165-12

Total Cost: Dependent on volumes, ranges from \$500,000 to \$625,000 per fiscal year.

Ellen Wenzel

From: All University <ALL_UNIVERSITY-L@unm.edu> on behalf of Communication Marketing <ucam@unm.edu>
Sent: Wednesday, June 05, 2013 8:58 AM
To: ALL_UNIVERSITY-L@LIST.UNM.EDU
Subject: [ALL_UNIVERSITY-L] Office of the Provost: Wenesday Communiqué

Provost's Wednesday Communiqué - June 5, 2013

Welcome back to the Summer 2013 session!

MOODs & MOOCs: Last week, UNM was one of 10 institutions that signed an agreement with Coursera to use their platform and services. Since then, many of you have reached out to express both support and dismay about UNM jumping on the Massive Open Online Course (MOOC) bandwagon. Today, I would like to start a Massive Open Online Discussion (MOOD) about MOOCs using the blog (see the end of this message) but wanted to make sure that we all share the same information. The agreement with Coursera is not exclusive, and does not obligate our faculty to offer nor to accept a MOOC for credit. In fact, UNM already has an agreement with another provider (Udacity) that was vetted by the Faculty Senate Curriculum Committee and the computer science and electrical and computer engineering departments to accept one introductory course for credit at UNM. UNM also has one course available on iTunes and we will probably use other providers and our own platforms to offer future online courses.

Specific to the Coursera agreement, you can find more information in [the guidebook for new instructors](#).

I would like next to quote from an article in Trusteeship magazine (January/February 2013):

However, lest we be consumed by MOOCs as the truly 'new new,' it would be useful to recall that there is a long history of 'technology enabled' free or low-cost courses from a variety of colleges and universities that dates back some eight decades, to the early days of both radio and television. Indeed, in their respective keynote addresses at an October 2012 Sloan-C conference, both Jack M. Wilson, president emeritus of the University of Massachusetts System who directed the launch of UMass Online, and Stanford professor and Udacity co-founder Sebastian Thrun each confirmed the placement of MOOCs as another point on the continuum of online education.

For example, during the 1920s and 1930s, several land-grant universities offered extension courses and home-study courses over the radio airwaves. During the explosive growth of television in the 1950s, CBS, in partnership with New York University, broadcast full college courses at 6 a.m. in its *Sunrise Semester* series. The first class, a comparative literature course, enrolled 177 for-credit students; another 120,000 people took it without credit. (CBS cancelled *Sunrise Semester* in 1982, replacing it with a morning news program.)

In 1976, Bernard J. Luskin, the founding president of Coastline Community College in California, led the development of the first "campus-less" community college, broadcasting college courses and leveraging local learning centers for student support and assessment services. (See article [here](#) for Coastline's most recent online initiative.) Some observers, myself included, view Luskin as the father of MOOCs for his work at Coastline and later for his role as the chief academic operating officer of the accredited, for-profit Mind Extension University, which broadcast college courses over cable networks.

And now that MOOCs have emerged? As of this writing, MOOC-provider Coursera, founded by two Stanford professors, has 33 institutional (primarily American) partners, and offers some 209 courses. Udacity, led by another Stanford prof, is promoting 19 courses, while edX, a collaborative initiative between Harvard and the Massachusetts Institute of Technology, lists nine courses from six institutional partners. In contrast (and in response to my recent email queries), Google (which owns YouTube) reports that some 400 colleges and

universities are currently posting “lectures and/or full courses online” on YouTube, while more than 1,000 institutions worldwide are posting courses to Apple’s iTunesU; over half of those courses are publically available.

None of these efforts has greatly disturbed the university model, so what makes the new reincarnation of MOOCs any different? Should we be afraid of them? I believe that some of our faculty will become pioneers in this new medium and, after the dust settles, MOOCs will retreat to being another tool in our toolbox we can use to engage our students and to increase their success. Some of our students are already using freely available content, and many of our instructors are supplementing their lectures using online resources. What the Coursera agreement provides us is the opportunity to discuss and experiment with the latest incarnation of the online course offering—I do not believe that a college education can be reduced to the passive act of watching an online lecture. Indeed, I know that while some of our faculty and students may use content from other institutions, just like we have used textbooks and other published materials, we will adapt it to our ends to provide our students with a more complete educational experience. And, we will generate our own content to be used by many around the globe.

In the weeks and months ahead, there will be campus forums (physical and online) and discussions with the Faculty Senate to find a proper place for MOOCs within our UNM curricula.

Chaouki Abdallah
Provost & Executive Vice President for Academic Affairs

A PDF version of this communiqué is available at <http://provost.unm.edu/communique/index.html>. Your feedback and input are welcome at provost@unm.edu or at the electronic town hall, here: <http://connectu.unm.edu/>. Please also see the [Provost’s Blog](#).

ANNUAL SECURITY & FIRE SAFETY REPORT 2012



SAFETY: YOU MAKE THE DIFFERENCE

Your safety and security are of prime concern at The University of New Mexico and we at the UNM Police Department are working hard to ensure the campus is a safe place to live, learn, work and play. UNM is not, however, exempt from crime. We are doing our part to prevent crimes, but to be safe and secure, YOU must take common sense precautions, be alert and look out for others. Maintaining an effective police department is a community effort, and together we can ensure UNM remains safe.

UNM: PART OF A LARGER COMMUNITY

The University of New Mexico Main Campus located in Albuquerque, New Mexico is a community of approximately 29,000 students and approximately 15,000 faculty and staff. Visitors come to our campus to attend lectures, symposia, concerts, plays, sporting activities and other events. Our 769-acre campus is surrounded by the City of Albuquerque, a metropolitan area with approximately 640,000 residents. Even with our large and constantly changing population, we have maintained an extremely low crime rate.

MISSION

The mission of the University of New Mexico Police Department is to provide the University with exceptional police services for the preservation of human rights and the protection of people and property. These services will be provided with an

emphasis on proactive measures, minimizing the need for reactive responses. We will strive for positive interaction with outside agencies and maintain a strong, cooperative relationship with the community by respecting differences and fostering a better understanding through communication.

HOW UNM PROTECTS YOU

The UNM Police Department is here to protect you and offers numerous services to ensure your safety.

The UNM Police Department is open 24 hours a day, 365 days a year to respond to your needs. All UNM police officers are required to meet state certification standards mandated by the New Mexico Department of Public Safety. UNM Police officers are commissioned by The University of New Mexico Board of Regents (Section 29-5-2 NMSA 1978). They have full power of peace officers on campus, including the authority to enforce all applicable laws, ordinances and campus traffic regulations, and the authority to arrest. Officers are also commissioned through the Bernalillo County Sheriff's Department.

The UNM Police Department investigates complaints of on-campus criminal activity, working closely with the District Attorney's Office to ensure effective prosecution. Through an agreement with the Albuquerque Police Department, UNM Police enforce Federal and State laws and regulations in the fraternity/sorority and student housing areas. Also, the UNM Police Department has written agreements with the New Mexico State Police, Rio Rancho Police Department and the New Mexico Motor Transportation Police

Division concerning criminal investigations.

UNM Police encourage students, faculty, staff and visitors to promptly report all crimes. Crimes occurring on campus should be reported to UNM Police at 277-2241. Crimes committed off the UNM Campus should be reported to the appropriate police agency (Albuquerque Police Department, Bernalillo County Sheriff's Office, etc.).

In an emergency you may dial 911. The 911 system automatically traces the location of all calls, which enables officers to respond even when a caller cannot provide the location. When you are on campus and dial 911, your call will be received by the UNM Police Department, and if you are off-campus, the call will be received by the Albuquerque Police Department. Outside of the Albuquerque Metro area, you will reach the appropriate law enforcement agency where the 911 System is utilized.

Special telephones providing emergency services for the deaf are placed at various locations on the UNM campus. The University Police Department is equipped with a Telephone Device for the Deaf (TDD), 277-0888 for 24-hour service.

Emergency telephones which are direct lines to the Police Communications Office are located throughout the UNM campus. You may use the emergency telephone by opening the telephone box and pressing the button. The Police Dispatcher will answer the telephone promptly, and you will be able to relate the nature of your emergency. Also, a blue light over the telephone will flash for the duration of the call to assist emergency personnel in locat-



UNM

Police Department
MSC 02 1540
Albuquerque NM 87131

NON-PROFIT ORG.
US POSTAGE
PAID
ALBUQUERQUE, NM
PERMIT NO. 39

ing the caller. We ask that you call 277-2241 to report non-emergencies. Emergency telephones will not enable you to make calls other than directly to the Police Dispatcher as an EMERGENCY.

EMERGENCY RESPONSE & EVACUATION PROCEDURES

In the event of a significant emergency or dangerous situation involving an immediate threat on campus, UNM has a multi-faceted, emergency communications system designed to notify students, faculty, staff and visitors through text and email alerts, web page notifications and a campus-wide warning siren system. Plans and policies are in place such that the University will, without delay, and taking into account the safety of the campus community, determine the content of the notification and initiate the notification system, unless issuing a notification will, in the professional judgment of responsible authorities, compromise efforts to assist a victim or to contain, respond to or otherwise mitigate the emergency. Students, faculty and staff are automatically enrolled in LoboAlerts which is an emergency text messaging system and the greater community can also receive the alerts through social networking sites like Twitter and Facebook, or can sign up for the UNM Community Text Messaging site.

The UNM Emergency Control Policy (UNM Policy 6130) outlines the process that the UNM Police Department uses to confirm that a significant emergency exists and the process used to target messages to the appropriate segments of the Albuquerque campus. The decision to send out notification of a significant emergency lies with the UNM Executive Vice President for Administration, the UNM Police Chief, and/or the UNM Emergency Operations Center Director, or any of their respective designees. Ideally these officials will collaborate when deciding whether to initiate the notification system; however, these officials are authorized to initiate the system on their own, without first obtaining the concurrence of the others, if circumstances do not allow for collaboration. The University Communication and Marketing Department assists in crafting the content of the notification message which is then disseminated by the appropriate communications department to the campus community through one or more of the systems described above.

UNM conducts exercises of the campus warning system at the beginning of each semester. These exercises are announced through the local media, campus newspaper and webpage one-week in advance. All facets of the system are exercised including text and email alerts, web page updates and warning siren system. In addition, the Emergency Operations Center conducts exercises at least twice annually, and the UNM Hospitals participate in joint exercises within the city at least twice annually. Also, other campus subunits, including the Residence Halls, conduct evacuation exercises at least annually. In addition to announced exercises, the Emergency Operations Center may be subject to, or authorize, other unannounced exercises.

UNM provides orientation to new students, faculty, staff and campus groups and departments regarding basic safety and emergency preparedness efforts. Materials are available on-line allowing the campus community to refresh their understanding of emergency response and evacuation procedures at any time. Lobo Red-E, UNM's Emergency Preparedness webpage is available at <http://loborede.unm.edu>. UNM has an Emergency Management Committee which reviews emergency response and evacuation plans and procedures on an on-going basis. The UNM Office of Emergency Management maintains documentation of each test, a description of the exercise, the date, time and whether it was announced or unannounced.

TIMELY WARNING NOTICES

If the UNM Police Department receives information that a crime has occurred that represents a serious or continuing threat to the UNM community, a timely warning notice will be sent to students, staff and faculty in an effort to promote safety and aid in the prevention of similar crimes. The UNM Chief of Police (or designee) authorizes the issuance of a timely

warning notice. The notice will include information about the circumstance that triggered the timely warning and what action members of the campus community are advised to take to protect themselves. The UNM Chief of Police (or designee) may decide to issue updates as needed as the situation evolves.

The University of New Mexico maintains multiple systems that are utilized to warn the campus community of crimes, including text alerts, email alerts, and web alerts. Students, faculty and staff are automatically enrolled in LoboAlerts, which is an emergency text messaging system.

The UNM Police Department works with the University's communications departments to determine the content of the alert, which is then disseminated by the appropriate communications department to the campus community through one or more of the systems described above. The UNM Police Department controls access to campus sirens and, depending upon the nature of the situation, the UNM Chief of Police (or designee) may authorize the sounding of sirens as part of a timely warning.

CRIME REPORTING

The UNM Police Department encourages anyone who is the victim or witness to any crime to promptly report the incident to the police. Because police reports are public records under state law, the UNM Police Department cannot hold reports of crime in confidence. Confidential reports for purposes of inclusion in the annual disclosure of crime statistics can generally be made to other UNM campus security authorities, as identified below. Confidential reports of crime may also be made to State Crime Stoppers at 843-STOP (843-7867).

The UNM Police Department compiles and reports annual crime statistics to inform the University community about crime and related problems. Statistics are gathered from UNM Police Department reports and through consultation with the Albuquerque Police Department and the Bernalillo County Sheriff's Department, where appropriate. UNM also consults with other campus security authority offices to compile crime statistics including the Dean of Students Office, Residence Life Office, Student Activities Center, University Hospital, School of Medicine, College of Nursing, College of Pharmacy, School of Law, UNM Research Park, UNM athletics team coaches and chartered student organization advisors. Reports of crimes made to these offices are also disclosed in the annual crime statistics.

Tables 1a and 1b show 2011 statistics for reported crimes and referrals for disciplinary action for the UNM campus as well as non-campus areas and surrounding public property.

Table 2a and 2b at the end of this report contains 2010 crime statistics. Table 3 contains 2009 crime statistics.

SEX OFFENDER REGISTRATION

In accordance with the Campus Sex Crimes Prevention Act of 2000 (CSPA), the UNM Police Department is providing a link to the New Mexico Department of Public Safety for law enforcement agency information concerning registered sex offenders. The CSPA requires institutions of higher education to inform the campus community where law enforcement information about registered sex offenders may be obtained. It also mandates that sex offenders who are required to register in a State must also give notice of each institution of higher education in that State at which the person is employed, carries on a vocation, or is a student. Additionally, the New Mexico Sex Offender Registration and Notification Act requires a convicted sex offender who is employed by, enrolled at, volunteering with or carrying on a vocation at an institution of higher education to register with the university's law enforcement department, the university registrar, the county sheriff for the county in which the higher education institution is located as well as the county sheriff for the county in which the sex offender resides.

Registration at UNM is administered by the UNM Police Department (Hokona Hall, 2500 Campus Blvd NE) or the Registrar's Office

(Student Support & Services Center, 1155 University Blvd. SE). The registration form is available online at police.unm.edu/offenders.html.

A list of registered sex offenders is available online from the New Mexico Department of Public Safety at www.nmsexoffender.dps.state.nm.us/ or by calling the Bernalillo County Sheriff's Department at (505) 468-7100.

CRIME PREVENTION PROGRAMS

We also offer several crime prevention programs designed to keep you safe. Services are available free of charge.

- **ALARM SYSTEM:** We operate a computerized intrusion and fire alarm system to monitor facilities throughout campus to enhance personal and building security.
- **BICYCLE REGISTRATION:** The Police Department strongly recommends that everyone operating a bicycle at UNM have it registered. Registration is free and valid for four years. Bicycle registration is available at the Police Department.
- **CRIME PREVENTION MATERIALS:** Free brochures and other documents regarding crime prevention are available at the Police Department and other campus locations.
- **CRIME PREVENTION PRESENTATIONS:** We conduct crime prevention presentations at new student orientations and other events.
- **CRIME STOPPERS:** Anyone who has witnessed or has information about a crime should call State Crime Stoppers at 843-STOP (843-7867).
- **ESCORT SERVICE:** We provide 24-hour escort service from the University, either on foot or in a vehicle within a one-mile radius of the University campus. Assistance is available for stalled vehicles or keys locked in vehicles. For escort service call 277-2241.
- **LOST AND FOUND:** The Police Department operates the main lost and found service for the University.
- **OPERATION IDENTIFICATION:** We participate in the Operation Identification Program. For assistance, phone 277-2241.
- **VICTIM/WITNESS ASSISTANCE PROGRAM:** Contact 841-7020.
- **PARKING AND TRANSPORTATION SERVICES:** Parking and Transportation Services provides lighted shelters at bus stops and offers a reliable shuttle bus system throughout the campus from remote parking areas. For additional information, call 277-1930.
- **ACCESS TO CAMPUS FACILITIES:** We strive to make our campus safe by limiting access to buildings after hours. Buildings on campus are generally open during regular business hours, when class is in session and for special events. Persons authorized to remain in a building after closing time are required to have proper identification at all times. Police and security personnel conduct frequent internal and external checks of buildings on campus.

Entry to Student Family Housing is controlled by code access through an electronic gate. Security guards are on duty during the evening hours to protect the safety and security of the complex and residents.

UNM maintains eight residence hall complexes on campus. Residence Hall perimeter doors are secured each evening and entry is controlled by key access. Each complex has evening on-duty staff to respond to resident needs and the Student Residence Center Desk is open 24 hours, seven days a week and serves as a service and emergency contact point for residents.

Resident Advisors are available in each unit to assist students. New residents are briefed on UNM and residence hall policies and on crime prevention techniques at orientation/floor meetings held for residents at the start of the semester. Further information may be obtained from the Residence Hall Handbook.

SAFETY TIPS

We have instituted many programs at UNM to ensure your safety while on campus, but safety really begins with you. The following are some tips to help protect yourself from crime:

- If you go out for a late night snack or study break, go with a friend, not alone.
- Walk purposefully. Look confident. Watch where you are going. Be alert to your surroundings.
- Use well-lighted, well-traveled routes. Avoid short-cuts through isolated areas.
- Lock all doors and close all windows when leaving your car, whether it is for a few minutes or for several hours.
- Have keys ready when you approach your car. Check the car for intruders before entering and lock your door immediately after getting into your car.
- Always lock your bike or motorcycle when leaving it unattended, even if it is only for a few minutes. Use the U-shaped high security lock whenever possible.
- If you witness a crime, call UNM Police immediately.
- List only first initials and last name in telephone directories.
- Hang up immediately on obscene telephone calls.
- Don't keep large sums of money in your office, dorm room, study area or apartment.
- Lock doors and windows, even if you are just going out for a minute.

If you are a victim of a crime or become aware of any suspicious circumstances, call UNM Police immediately.

SEXUAL ASSAULT POLICY

The University of New Mexico recognizes the harm caused by sexual assault and the need to educate the University community regarding this issue. The University of New Mexico sexual assault policy can be found at the end of this Safety and Security report.

DRUG AND ALCOHOL POLICY

UNM's policy on illegal drugs and alcohol can be found at the end of this Safety and Security Report. The unlawful manufacture, distribution, dispensing, possession, or use of controlled substances or alcohol on UNM property as part of any activity by any member of the University community -faculty, staff, or student - is strictly prohibited. Federal and State laws relating to controlled substances and alcohol are enforced by the UNM Police Department. The University enforces the State of New Mexico underage drinking laws and therefore does not permit the possession or consumption of alcoholic beverages by people under the age of twenty-one.

As a condition of employment or enrollment, all UNM employees and students are required to abide by the terms of the policy. Violation of the policy will result in disciplinary action up to and including employee dismissal or student expulsion and/or participation in an approved substance abuse treatment or rehabilitation program.

Recognizing the dangers of substance abuse in the work place, UNM uses alcohol and drug-free awareness programs to inform the community of the issues and risks of substance abuse. Numerous educational and treatment resources are also available, including:

- AGORA CRISIS CENTER
This UNM student-run organization provides free listening and referral services by trained students and community volunteers.
Phone 277-3013.
- ADDICTION AND SUBSTANCE ABUSE PROGRAMS (ASAP)

The mission of ASAP is to provide treatment services to the community. Phone 925-2400.

- COUNSELING, ASSISTANCE AND REFERRAL SERVICE (CARS)
This employee assistance program for UNM staff provides counseling and referral services.
Phone 272-6868.
- DEPARTMENT OF PSYCHOLOGY CLINIC
This training clinic for Ph.D. students in clinical psychology provides treatment services to the university community and the community at large. Phone 277-5164.
- CAMPUS OFFICE OF SUBSTANCE ABUSE PREVENTION
COSAP coordinates and develops substance abuse prevention and training programs and policies for the university and larger community.
Phone 277-2795.
- COUNSELING AND THERAPY SERVICES (CATS), STUDENT HEALTH & COUNSELING
This department of the Student Health & Counseling (SHAC) offers psychological and psychiatric services to UNM students.
Phone 277-4537.
- UNM PSYCHIATRIC EMERGENCY SERVICE (PES)
This department of the University Psychiatric Center is open 24 hours a day, 7 days a week for emergency (life-threatening) services.
Phone 272-2920.
- WOMEN'S RESOURCE CENTER
This center promotes, supports and advocates for all women in the university community; it can provide information and referrals. Phone 277-3716.
- ALBUQUERQUE RAPE CRISIS CENTER
This community center provides counseling and advocacy for victims of sexual assault.
Phone 266-7711.
- HEALTH EDUCATION AND PREVENTION
This department of the Student Health Center is focused on the health and well-being of UNM students. Services include message therapy and two student programs, Peer Educators and Peer Consultants. Phone 277-7947.

MISSING RESIDENT STUDENT NOTIFICATION POLICY & PROCEDURE

The University of New Mexico takes student safety very seriously. To this end, and in compliance with the Higher Education Opportunity Act of 2008, the purpose of this policy is to provide procedures for reporting, investigating and making emergency notifications regarding any residential student of UNM who, based on the facts and circumstances known to UNM, has been determined to be missing.

For purposes of this policy, a residential student of UNM is a student who is currently enrolled and resides in on-campus housing or in privately owned Greek housing on campus.

Student Contact Information

Residential students have the opportunity to identify an individual who will be contacted by UNM not later than 24 hours after the time that the student is determined to be missing. The contact person may be a parent, legal guardian or other person of the student's choosing, except if the student is under 18 years of age and is not emancipated, UNM must notify a parent or legal guardian not later than 24 hours after the time that the student is determined to be missing. Student contact information is held confidential and is accessible only to authorized UNM officials and law enforcement involved in a missing person investigation. Students living in UNM residence halls can register their confidential contact informa-

tion, or make any changes to previously registered information, through Residence Life and Student Housing. Students residing in Greek housing can register their confidential contact information through the Greek Life Office of UNM Student Activities Center. Students are responsible for ensuring that their contact information is up-to-date and accurate.

Missing Student Notification Procedures

UNM students, employees or other individuals who have reason to believe that a residential student is missing should immediately report their concerns to the UNM Police Department. If the Residence Life and Student Housing Office, Greek Life Office or other department receives a report of a missing student, UNM Police Department should be notified immediately. The UNM Police Department will, as appropriate, engage staff from Residence Life and Student Housing and other UNM departments in immediate efforts to locate the student. These efforts may include but are not limited to:

- Contacting the student via his or her telephone and/or email.
- Checking the student's residence; determining if the student has been in class or used his or her UNM ID card.
- Checking with roommates, friends and others who may have relevant information.

If these efforts are unsuccessful in locating the student, UNM Police Department will inform the Dean of Students that the student is missing and the following will occur:

- The Dean of Students, or designee, will notify the student's designated missing person contact within 24 hours of being informed by UNM Police Department that the student is missing.
- If the missing student is under the age of 18 and is not emancipated, the Dean of Students, or designee, will notify the student's custodial parent or legal guardian within 24 hours of being informed by UNM Police Department that the student is missing.
- UNM Police Department will notify any other law enforcement agencies, as appropriate, for purposes of coordinating the investigation and continuing efforts to locate the student.
- The Dean of Students will initiate actions deemed appropriate under the circumstances in the best interest of the missing student. For example, contacting the student's instructors may occur if necessary or beneficial in the situation to the student and/or instructors.

Notification of Policy

Students will receive notification of this Policy annually through its inclusion in the University of New Mexico Annual Security Report and as part of the housing registration process for residential students.

WEAPONS POLICY

With very few exceptions, employees, students and visitors are not permitted to use or possess weapons on any part of the campus. A weapon includes, but is not limited to: firearms; ammunition and other dangerous weapons, substances, or materials; and bombs, explosives, or incendiary devices.

Persons who have such weapons in their possession must deposit them with the Police Department for the duration of their stay at UNM. Persons carrying a concealed handgun pursuant to a New Mexico state license must also deposit their firearm with the UNM Police Department while on campus. Any person failing to comply will become subject to appropriate disciplinary and/or criminal action. The UNM Weapons Policy is printed in its entirety in *The UNM Pathfinder* which is available in the Dean of Students Office (Student Services Center). The Pathfinder is also available on-line at <http://www.unm.edu/~sac/pathfind.html>.

FIRE SAFETY REPORT

In accordance with the Higher Education Opportunity Act of 2008, UNM is providing mandatory fire safety information as part of this Annual Report. Detailed data for all on-campus student housing facilities can be found in Table 4 at the end of this Annual Report. UNM's Safety & Risk Services Department maintains information on reports of fires and fire alarms in University-owned residential halls and Student Family Housing. All of the Greek fraternity and sorority houses are owned by their respective Greek organizations rather than UNM. The Student Activities Center collects information about fires occurring in Greek housing from the fraternities and sororities.

Fire Safety Policies and Rules

Students who live in UNM residence halls and apartments are part of an academic community that has in place community standards to promote the well-being of all residents. The community standards include rules for fire safety, some of which are explained below. The complete Community Living Guide for Residence Life & Student Housing can be found at <http://housing.unm.edu/zz-documents/comlivingguide.pdf>.

Smoking: Smoking is not permitted anywhere in the residence halls and apartments. UNM is a tobacco-free campus and smoking is prohibited in all University property except for a number of specifically designated outdoor areas.

Open flames: Open flames are prohibited in the residence halls and apartments. Students are not allowed to burn or possess candles, incense, kerosene lamps, lighter fluid, propane or charcoal in their rooms.

Cooking and electrical appliances: Residence hall and apartment students may bring and use in their rooms a microwave (800 watts or less), hot pot, coffee maker, rice cooker and hot air popcorn popper. Appliances with a visible heating element or which use hot oil (e.g. toasters, toaster ovens, George Forman grills) are prohibited. Possession of unauthorized cooking or heating appliances may result in disciplinary action, including imposition of a fine if use damages the room or apartment. Halogen lamps are prohibited in residence halls and apartments because they pose a fire hazard.

The Residence Life Community Living Guide requires that all electrical appliances, cords and products be listed with National standards. The Guide also requires that all equipment be maintained in excellent working condition. Overloading electrical outlets is prohibited and only approved surge protective/circuit breakers are permitted.

Residents should report all fires or other emergencies to the 24 hour Student Resident Center Desk by employing the audible emergency call box located near hall stairwells, wing exits and in Student Residence Center apartments or by telephone. Residents may also report a fire directly to the fire department by calling 9-911. The Student Resident Center Desk is staffed on a 24-hour basis to respond to emergencies.

Residence hall/apartments conduct four fire drills each academic year (typically two per semester). When a fire alarm sounds in the event of a fire drill or an actual fire, residents must follow established building evacuation procedures as follows:

- All residents and guests are required to exit the building.
- Close all room windows and open draperies.
- Grab a blanket or towel to prevent smoke inhalation.
- Leave room as quickly as possible and proceed to designated exit.
- Leave ceiling lights in room on.
- Lock your door and take your room key with you.
- Don't go out through emergency exits, unless it is truly an emergency or fire.
- Once you are outside of the building move at least 100 feet away from the building to your designated safe area and stay until a signal to return is given by a residence hall staff member.

- Stay clear of fire lanes and hydrants.
- Do not remove or alter electrical fixtures or hardware.

UNM strives to educate residential students about fire safety. Resident Advisors (student staff who live in each residence hall and apartment complex) attend training provided by UNM's Safety & Risk Services Department on responding to emergency situations, including fires. The training includes how to evacuate the halls and use of the fire extinguishers located in residence halls and apartments. All students living in residence halls and apartments attend mandatory meetings each semester with their Resident Advisors to review fire safety materials and Resident Advisors discuss fire evacuation plans for their specific residence halls.

In 2012, UNM Safety & Risk Services began working with Residence Life to expand the type and amount of safety training for staff and Resident Advisors as well as housing maintenance. The training includes an on-line training video and a "smoke house" session where RA's are trained on how to react to a smoke-filled building. UNM Safety & Risk Services continues to provide building familiarization for the local fire department. This is an ongoing collaboration with the local fire department given building upgrades.

The Student Family Housing complex consists of 200 apartments located a three-minute drive south of the main campus. Student Family Housing is designed for UNM students with spouses, domestic partners or families. Student Family Housing has on site management staff and Community Resident Assistants who receive training in fire safety and evacuation procedures.

Normal small electrical appliances are approved for use in Student Family Housing. Electric heaters and air conditioners and other large electrical appliances are not permitted.

Student Family Housing prohibits the burning or possession of candles, incense, kerosene lamps, lighter fluid, propane or charcoal in apartments or under covered patios. Student Family Housing is also a smoke free environment so that residents and their visitors are not allowed to smoke in apartments, or on porches, patios, balconies, and adjacent areas. The complete Student Family Housing Community Living Guide can be found at <http://housing.unm.edu/student-family-housing/sfh-pics-docs/sfh-clguide.pdf>.

Lobo Village is owned and managed by American Campus Community (ACC), in partnership with Residence Life & Student Housing. Table 4, 2011 Fire Statistics for UNM Student Residential Facilities, includes information about Lobo Village. Students residing in Lobo Village can look to ACC's lease and resident handbook for information on rules and policies about fire safety. Lobo Village permits smoking inside apartments and designated outside areas. Smoking is not allowed inside the clubhouse, office areas and amenities. The use of candles or other burning or smoking devices (including hookahs and shishas) are prohibited inside apartments. Residents with questions can contact the Lobo Village office.

Greek Housing

UNM's Greek community hosts 24 fraternity and sorority chapters. Of those 24 chapters, eight chapters own and manage chapter houses where members of the fraternity or sorority may reside. These Greek houses are within the UNM campus but they are neither owned nor managed by the University. Chapter houses are owned by the Greek organizations themselves. All of the fraternity and sorority chapters that own houses are affiliates of their respective national Greek organizations which set rules and policies governing chapter houses.

Chapter houses are required to be inspected annually by the Albuquerque Fire Department and must conduct one fire drill each semester. The UNM Student Activities Center collects reports of fires occurring in Greek housing. In 2011, no reported fires occurred in any fraternity or sorority chapter houses.

Information about fire safety in the eight fraternity and sorority chapter houses where

UNM Greek students resided in 2011 can be found at the end of this report in Table 5.

SEXUAL ASSAULT POLICY

Approved by the President 10/7/95

I. PURPOSE OF POLICY

This policy provides for The University of New Mexico main and branch campuses:

- A. A description of educational programs to promote the awareness of rape, acquaintance rape, and other forcible and non-forcible sex offenses.
- B. Procedures students should follow if a sex offense occurs, including procedures concerning who should be contacted, the importance of preserving evidence for the proof of a criminal offense, and to whom the alleged offense should be reported.
- C. Information on a student's option to notify proper law enforcement authorities, including on-campus and local police, and a statement that institutional personnel will assist the student in notifying these authorities, if the student requests the assistance of these personnel.
- D. Notification to students of existing on and off campus counseling, mental health, or other student services for victims of sex offenses.
- E. Notification to students that the institution will change a victim's academic and living situations after an alleged sex offense and of the options for those changes, if requested by the victim and reasonably available.
- F. Procedures for campus disciplinary action in cases of an alleged sex offense, including a clear statement that:
 1. The accuser and the accused are entitled to the same opportunities to have others present during a disciplinary proceeding.
 2. Both the accuser and accused shall be informed of the final determination of a disciplinary proceeding with respect to the alleged sex offense and any sanction that is imposed against the accused.
 3. Sanctions the institution may impose following a final determination of an institutional disciplinary proceeding regarding rape, acquaintance rape, or other forcible or non forcible sex offenses.

II. DEFINITION OF SEX OFFENSES

The University of New Mexico main campus and branch campuses adopt, for the purpose of this policy, the following definitions for sex offenses as prescribed by The Student Right to Know and Campus Security Act:

Sex Offense - Forcible

- A. Forcible rape - The carnal knowledge of a person, forcibly and/or against that person's will; or not forcibly or against the person's will where the victim is incapable of giving consent because of his/her temporary or permanent mental or physical incapacity (or because of his/her youth).
- B. Forcible sodomy - Oral or anal sexual intercourse with another person, forcibly and/or against that person's will; or not forcibly against the person's will where the victim is incapable of giving consent because of his/her youth or because of his/her temporary or permanent mental or physical incapacity.
- C. Sexual assault with an object - The use of an object or instrument to unlawfully penetrate, however slightly, the genital or anal opening of the body of another person, forcibly and/or against that person's will; or not forcibly or against the person's will where the victim is incapable of giving consent because of his/her youth or because of his/her temporary or permanent mental or physical incapacity.
- D. Forcible fondling - The touching of the private body parts of another person for the purpose of sexual gratification, forcibly and/or against that person's will; or not forcibly or against the person's will where the victim is incapable of giving consent because of his/her youth or because of his/her tem-

porary or permanent mental or physical incapacity.

Sex Offense - Non-forcible

Unlawful, non-forcible sexual intercourse.

- A. Incest - Non-forcible sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law.
- B. Statutory Rape - Non-forcible sexual intercourse with a person who is under the statutory age of consent.

III. EDUCATIONAL PROGRAMS

The University of New Mexico recognizes the harm caused by sexual assault and the need to educate the University community regarding this issue. The University offers the following educational program services:

A. Main Campus

1. Albuquerque Rape Crisis Center
1025 Hermosa S.E.
505-266-7711
The Albuquerque Rape Crisis Center maintains a 24 hour a day crisis telephone service and has counselors available to provide immediate assistance to victims of sex offenses. The center also provides educational programs to campus and community groups. The center is independent of UNM.
2. UNM Health Education
Student Health Center
505-277-7947
Health Education provides workshops and educational programs concerning sexuality, sexual decision-making and relationships.
3. UNM Wellness Center
Student Health Center
505-277-1899
The Wellness Center offers peer consultants to provide students with one-to-one support on a variety of health and wellness issues, including human sexuality.
4. UNM Women's Center
1160 Mesa Vista Hall
505-277-3716
The Women's Center offers a variety of programs regarding gender and sexuality issues. Staff at the center also provide support to victims of sexual offenses and provide mental health referrals for UNM students.
5. UNM Police Department
2500 Campus NE
505-277-2241
The UNM Police Department conducts programs regarding campus safety and crime prevention to the University community.
6. Office of Residence Life
212 Student Residence Commons (SRC)
505-277-9203
The Office of Residence Life coordinates programming for residence hall students including programs addressing sexuality, relationship and safety issues. The office also administers a Residence Life discipline system which addresses misconduct by residence hall students which occurs in the residence halls.
7. Office of Student Activities
1018 Student Union Building
505-277-4706
The Office of Student Activities coordinates various programs for student organizations including fraternities and sororities, which address sexuality, relationships and sexual assault issues.
8. Dean of Students Office
280 Student Services Center
505-277-3361

The Dean of Students Office coordinates New Student Orientation which includes programs addressing sexuality, relationships, and sexual assault issues. A staff member from the office chairs the Campus Safety Committee which coordinates various educational programs regarding campus safety and crime prevention. The

Dean of Students Office also administers the enforcement of the Student Code of Conduct and Visitor Code of Conduct, including resolving sexual offense allegations under these policies.

B. Valencia Branch Campus

1. Albuquerque Rape Crisis Center (see description under Main Campus)
1025 Hermosa S.E.
Albuquerque, NM
505-266-7711
2. UNM-Valencia Campus Counseling Center
Student Services Building
505-925-8560
The Counseling Center provides crisis intervention, short-term mental health counseling and community referrals. Hours of operation are Monday through Friday, 8:30am to 5:00pm. After hours appointments can be made by calling 925-8560.

3. Associate Director Student Services

Student Services Building
505-925-8560
Student Services coordinates new student orientation, which includes information about sexual assault and domestic violence. Student Services also provides information brochures and flyers throughout the campus.

IV. PROCEDURES STUDENTS SHOULD FOLLOW IF A SEX OFFENSE OCCURS

The University's Student Code of Conduct and Visitor Code of Conduct, which apply to the main and all of the branch campuses and educational centers, expressly forbid the commission of sexual assault including rape. A student who is a victim of such an offense may pursue charges against the perpetrator under these policies.

It is important for victims of sex offenses to understand the steps to take in order to preserve evidence as it may be necessary in the proof of sexual assault in the criminal process and the student discipline system. Victims should not bathe, shower, wash, douche, brush one's teeth, comb one's hair or change clothes before seeking medical attention. Important evidence may be on the victim's body and/or clothes. This evidence can be collected during the medical examination.

A. Main Campus

1. A student who is the victim of a sex offense on campus or at a University sponsored or sanctioned activity should immediately contact the University Police Department at 505-277-2241 if calling from off-campus and 911 if calling from on-campus to report the incident. A student who is the victim of a sex offense off-campus should immediately contact the Albuquerque Police Department by dialing 911.

The Police Department called will contact the Albuquerque Rape Crisis Center to have a counselor meet the student and responding police officer at the appropriate medical facility. The Rape Crisis Center may be contacted directly by calling 505-266-7711.

The University recognizes the need for victims of sex offenses to have a caring and knowledgeable support person available to discuss their situation with, assist them in receiving appropriate medical attention and filing appropriate criminal charges. The University, including the University Police Department, works closely with the Albuquerque Rape Crisis Center in providing this assistance.

2. The University's Student Code of Conduct and Visitor Code of Conduct expressly forbid the commission of sexual assault including rape. A student who is a victim of such offenses may pursue charges against the perpetrator under these policies. The Dean of Students Office is also available to assist victims of sex offenses in making appropriate accommodations in their academic and living arrangements.

B. Extended University - Santa Fe

1. All victims of sexual assault will be assisted by University staff in contacting appropriate legal authorities and service agencies. Victims

are strongly encouraged to report incidents of sexual assault to the Santa Fe County Sheriff's Department (505-986-2455). Students may contact the UNM-Santa Fe Administrative Office (505-438-1234) for assistance in contacting law enforcement authorities and/or service agencies.

2. The University's Student Code of Conduct and Visitor Code of Conduct expressly forbid the commission of sexual assault including rape. A student who is a victim of such offenses may pursue charges against the perpetrator under these policies. The Santa Fe Administrative Office is also available to assist victims of sex offenses in making appropriate accommodations in their academic and living arrangements.

The victim is also encouraged to contact The Santa Fe Rape Crisis Center, Inc. They provide immediate, confidential, and appropriate care for victims of sexual assault, including a 24 hour intervention line (505-986-9111), medical and legal advocacy; ongoing individual, group and family therapy for children and adults; education and prevention services for schools and community; referral for special services and forensic interview in facilities.

C. Taos Branch Campus

1. A student who is the victim of a sex offense on campus or at a University sponsored or sanctioned activity should immediately contact the Taos Police Department (505 758-2216 or 911) to report the incident.
2. The University's Student Code of Conduct and Visitor Code of Conduct expressly forbid the commission of sexual assault including rape. A student who is a victim of such offenses may pursue charges against the perpetrator under these policies. The Student Services Office is available to assist victims of sex offenses in making appropriate accommodations in their academic and living arrangements.

D. Valencia Branch Campus

1. A student who is the victim of a sex offense on campus or at a University sponsored or sanctioned activity should immediately contact the Sheriff's Department at 866-2460 (or 911) to report the incident. Students may also contact UNM-Valencia Campus Security Office, located in the Student Center, or call 925-8570. Security is available from 8:00am to 10:00pm Monday through Friday, and Saturday from 8:00am to 5:00pm. Security aids patrol campus during class hours and upon request, provide escort service to parking areas during the evening hours.
2. A person who is a victim of a sex offense committed by a student or a visitor to the Valencia Campus has the option of pursuing charges against the perpetrator under the University's Student Code of Conduct or Visitor Code of Conduct. Information can be obtained from the Associate Director, Student Services in the Student Services Building or by calling 925-8560. The Student Services Office is also available to assist victims of sex offenses in making appropriate accommodations in their academic arrangements.

V. NOTIFICATION OF LAW ENFORCEMENT

A. Main Campus

All victims of sex offenses will be assisted by University staff in contacting appropriate legal authorities and service agencies. Victims are strongly encouraged to report incidents to the University Police Department (505-277-2241) for criminal action. If the offense occurred on property outside the jurisdiction of the University, the University Police Department will assist the student in contacting the law enforcement agency which has jurisdiction. Students may contact the Dean of Students Office (505-277-3361) for assistance in contacting law enforcement authorities and service agencies.

B. Extended University - Santa Fe

All victims of sexual assault will be assisted by University staff in contacting appropriate legal authorities and service agencies. Victims are strongly encouraged to report incidents of sexual assault to the Santa Fe County Sheriff's Department (505-986 2455). Students may con-

tact the UNM-Santa Fe Administrative Office (505-438-1234) for assistance in contacting law enforcement authorities and/or service agencies.

C. Taos Branch Campus

All victims of sexual assault will be assisted by University staff in contacting appropriate legal authorities and service agencies. Victims are strongly encouraged to report incidents of sexual assault to the Taos Police Department (505-758-2216). Students may contact the Student Services Office (505-758-7667) for assistance in contacting law enforcement authorities and/or service agencies.

VI. COUNSELING AND ASSISTANCE SERVICES

A. Main Campus

1. Albuquerque Rape Crisis Center 1025 Hermosa S.E. 505-266-7711

The Albuquerque Rape Crisis Center, which is affiliated with The University of New Mexico, maintains a 24 hour a day crisis telephone service and has counselors available to provide immediate assistance to victims of sex offenses. Counselors will assist victims with the criminal process.

2. Dean of Students Office 280 Student Services Center 505-277-3361

The Dean of Students Office will assist victims of sex offenses with changes in their academic and living situation after an alleged sex offense.

3. Victim Impact Program Office of the District Attorney 2nd Judicial Circuit 111 Union Square SE 505-841-7107

The District Attorney's Victim Impact Program provides assistance to victims of all crimes including sex offenses. Advocates in this office assist victims in dealing with the criminal system and securing financial and other assistance due to victims under the law.

4. Counseling & Therapy Services (CATS) Student Health Center, Bldg. 73 505-277-4537

CATS provides low cost, time-limited, psychological and psychiatric services to UNM students, including victims of sex offenses.

5. UNM Women's Center 1160 Mesa Vista Hall 505-277-3716

The Women's Center provides short-term counseling and referral services to UNM students, including victims of sex offenses.

6. AGORA Crisis Center 505-277-3013

The AGORA Crisis Center provides confidential peer support and referrals to any student needing to talk about a personal problem.

7. Office of Residence Life

208 La Posada Hall
505-277-8230
Emergency Desk, Student Residence Center
505-277-9203

Residence Life Area Coordinators and Residence Advisors are available to assist students living in Residence Halls with any problems they are encountering, including providing short term counseling and referral. The Emergency Desk at the Student Residence Center is staffed 24 hours a day.

8. Albuquerque Family Advocacy Center 625 Silver SW, Suite 200 (505) 243-2333

The Albuquerque Family Advocacy Center is a safe secure and caring environment that focuses on the needs of victims of interpersonal crime. The center is committed to providing victims of domestic violence, child abuse, sexual assault and their families with a safe setting to assist in addressing and overcoming the trauma of their experience.

B. Extended University -- Santa Fe

1. Santa Fe Rape Crisis Center, Inc. P.O. Box 16346 Santa Fe, NM 87506 505-988-1951 1-800-721-7273

The Santa Fe Rape Crisis Center, Inc. provides immediate, confidential, and appropriate care for victims of sexual assault, including a 24 hour a day intervention line medical and legal advocacy; ongoing individual, group and family therapy for children and adults; education and prevention services for schools and community; referral for special services and forensic interviewing facilities.

C. Taos Branch Campus

1. Community Against Sexual & Domestic Violence - CASDV 214 A Montoya St. Taos, NM 87571 505-758-8082 505-758-9888

CASDV maintains a 24 hour a day crises hot line and has counselors available to provide immediate assistance to victims. They also provide referrals, medical and legal advocacy and assist with medical expenses.

2. Taos County/SocInl Services Mary Medina Building Corner of Cruz, Alta & Gusdorf Taos, NM 87571 505-758-8871

This agency offers adult/child protection and emergency housing.

4. Taos County Health Office Court House Albright St. Taos, NM 87571 505-758-2073

5. Holy Cross Hospital 1397 Weiner Rd. Taos, NM 87571 505-758-8883

6. Taos Police 107 Civic Plaza Dr. Taos NM 87571 758-2216 or 911

VII. CHANGE IN VICTIM'S ACADEMIC AND LIVING SITUATION

A. Main Campus

The University will change a victim's academic and living situation after an alleged sex offense if those changes are requested by the victim and reasonably available. Possible changes include:

1. Arranging for extension of class assignment and examination due dates.
2. Assisting the victim in dropping courses or withdrawing from the University without academic or financial penalty if that is the victim's wish.
3. Arranging for the victim to move from one on-campus housing location to another. Specific requests for such changes should be directed to the Dean of Students Office (505-277-3361).

B. Extended University -- Santa Fe

The Santa Fe Graduate Center will change a victim's academic situation after an alleged sexual offense if those changes are requested by the victim and reasonably available. Possible changes are the same as those indicated for the main campus. Specific requests for such changes should be directed to the Campus Administrative Office (505-438-1234).

C. Taos Branch Campus

The Taos Education Center will change a victim's academic situation after an alleged sexual offense if those changes are requested by the victim and reasonably available. Possible changes are the same as those indicated for the main campus. Specific requests for such changes should be directed to

the Student Service Office (505-758-7667).

VIII. PROCEDURES FOR CAMPUS DISCIPLINARY ACTION

The University of New Mexico's Student Code of Conduct and Visitor Code of Conduct, which apply to the main campus and all branch campuses and educational centers, prohibit "any form of sexual assault including rape."

A. Main Campus

On main campus the Student and Visitor Codes of Conduct are administered by the Dean of Students Office. The University's Residence Hall policies also prohibit sex offenses. The Office for Residence Life administers a disciplinary system which addresses misconduct of residence hall students which occurs in the residence halls. A person who is a victim of a sex offense committed by a student, or a student who is a victim of a sex offense committed by a visitor to the University has the option of pursuing charges against the alleged perpetrator of the offense under the relevant Code of Conduct.

Victims of sex offenses are encouraged to report them to the appropriate law enforcement authorities and to meet with the University's Student Discipline Officer in the Dean of Students Office to discuss pursuing charges under the relevant policy.

A victim of a sex offense is permitted and encouraged to be accompanied at that meeting by a support person of their choice. Counselors from the Albuquerque Rape Crisis Center, Student Mental Health, Women's Center and the District Attorney's Victim Impact Program are available to accompany and assist the victim of a sex offense throughout the University's disciplinary process.

Under the University's Student Grievance Procedure and Residence Life Disciplinary Policies, the alleged victim of a sex offense and the alleged perpetrator are entitled to the same opportunities to have others present as advisors during disciplinary proceedings. Both the alleged victim and alleged perpetrator will be informed of the final determination of a disciplinary proceeding with respect to the alleged sex offense and any sanction that is imposed on the accused. As described in The University's Student Grievance Procedure alleged victims in student disciplinary proceedings have rights which are protected throughout the discipline process. These rights are:

1. The right to have a person of their choice accompany them throughout their participation in the discipline process.
2. The right to submit a victim impact statement to the hearing officer or committee.
3. The right to have past irrelevant behavior excluded from the hearing determination. Because of the serious nature of the action, victims of sexual or physical assault also have these additional rights:
4. With a recommendation from a licensed mental health counselor, victims may testify from another room as long as it does not infringe upon the accused student's right to confront and question witnesses.
5. The right to be notified in writing of the final determination and any sanctions imposed as a result of the discipline process.
6. The right to be informed of options to notify law enforcement authorities on and off campus as to the incident and to be assisted in doing so by campus officials.
7. The right to be informed of the option for any available assistance in making reasonable changes in academic and on campus living situations.
8. The right to be notified of existing counseling, mental health or student services for victims of physical or sexual assault.

The specific procedures by which Student and Visitor Code of Conduct Violations are resolved can be found in the Student Grievance Procedure published in The University of New Mexico Pathfinder.

B. Extended University - Santa Fe

Violations of the Student and Visitor Codes of Conduct at the Santa Fe Graduate Center are referred to the Dean of Students Office on Main Campus to be handled in the same manner as already described for Main Campus. Victims retain the same rights as those enumerated for the Main Campus. The phone number for Main Campus Dean of Students Office is 505-277-3361.

C. Taos Branch Campus

Violations of the Student and Visitor Codes of Conduct at the Taos Education Center are administered by the Student Services Office (505-758-2216).

A person who is a victim of a sex offense committed by a student, or a student who is a victim of a sex offense committed by a visitor to the center has the option of pursuing charges against the perpetrator of the offense under the relevant Code of Conduct. Victims of sex offenses are encouraged to report them to the Taos Police Department (505 758-2216 or 911) and to the CASDV Office (505-758-8082). Information regarding pursuing charges under the Student or Visitor Code of Conduct may be obtained by contacting the Student Services Office (505-758-7667).

A victim of a sex offense is permitted and encouraged to be accompanied at meetings with University officials by a support person of their choice. This support person may accompany and assist the victim throughout the University's disciplinary process.

Under the University's Student Grievance Procedure, the alleged victim of a sex offense and the alleged perpetrator are entitled to the same opportunities to have others present as advisors during disciplinary proceedings. Both the alleged victim and alleged perpetrator will be informed of the final determination of a disciplinary proceeding with respect to the alleged sex offense and any sanction that is imposed on the accused.

Victims in student disciplinary proceedings, and victims of sexual assault have the same rights that have been enumerated for the Main Campus.

The specific procedures by which Student and Visitor Code of Conduct violations are resolved can be found in the University of New Mexico Pathfinder.

IX. SANCTIONS

Under the University's Student Code of Conduct which applies to the main and all of the branch campuses and educational Centers, a student who commits a violation of this Code, including a sex offense, is subject to the following possible sanctions:

1. Verbal warning - means an oral reprimand.
2. Written warning - means a written reprimand.
3. Disciplinary probation - means the establishment of a time period during which further acts of misconduct may or will result in more severe disciplinary sanctions depending upon the conditions of the probation.

Conditions of probation can include community service, attendance at workshops and/or seminars including but not limited to alcohol, drug or safety workshops and/or seminars, mandatory mental health evaluation and/or counseling or other educational sanctions.

4. Suspension - means losing student status for a period of time specified in the terms of the suspension. A suspension may commence immediately upon a finding of a violation or it may be deferred to a later time.

5. Expulsion - means losing student status for an indefinite period of time. Readmission may not be sought before the expiration of two years from the date of expulsion, and it is not guaranteed even after that time.

6. Dismissal - means termination of student employment, either for a stated time period or indefinitely.

7. Barred from campus - means being barred

from all or designated portions of The University property or activities.

Students living in the residence halls are subject to the following possible sanctions for misconduct occurring in the residence halls:

1. Verbal warning - means an oral reprimand.
2. Written warning - means a written reprimand.
3. Specific probation - means the establishment of a time period during which specific acts of misconduct may or will result in more severe disciplinary sanctions depending upon the conditions of the probation.

Conditions of probation can include community service, attendance at workshops and/or seminars including but not limited to alcohol, drug or safety workshops and/or seminars, mandatory mental health evaluation and/or counseling or other educational sanctions.

4. General probation - means the establishment of a time period during which any acts of misconduct may or will result in more severe disciplinary sanctions depending upon the conditions of the probation. Conditions of probation can include community service, attendance at workshops and/or seminars including but not limited to alcohol, drug or safety workshops and/or seminars, mandatory mental health evaluation and/or counseling or other educational sanctions.

5. Housing reassignment - means the transfer of the student from one dorm room to another or one residence hall to another.

6. Restricted from entry into specific residence halls, dining hall, commons building, etc.

7. Contract termination - means the termination of the housing contract either for a stated period of time or indefinitely. Students placed on residence hall probation or who have their contracts cancelled will have their conduct case sent to the Dean of Students Office for review/records purposes. Students violating residence hall policies may also be referred to the Dean of Students Office for additional disciplinary review under the Student Code of Conduct.

Under the University's Visitor Code of Conduct which applies to the main and all branch campuses and educational Centers, a visitor who commits a violation of this Code, including a sex offense, is subject to the following possible sanctions:

1. Verbal Warning - means an oral reprimand.
2. Written Warning - means a written reprimand.
3. Probation - means the establishment of a time period during which further acts of misconduct may or will result in more severe sanctions depending on the conditions of the probation.
4. Removal from campus means being physically escorted or forcibly removed to a location off property owned or controlled by The University, by University Police Officers or other University agents.
5. Barred from campus - means being barred from all or designated portions of University property or activities.
6. The sanctions of denial of admission, readmission or employment by the University.
7. Additionally or alternatively, any sanction applicable to a student under the Student Code of Conduct may be provisionally applied to a visitor, to be made effective should the visitor ever enroll or re-enroll at the University.

POLICY ON ILLEGAL DRUGS & ALCOHOL

Adopted by the President

August 3, 1990

Revised September 11, 1992

This Policy on Illegal Drugs and Alcohol is adopted pursuant to federal laws and because of the commitment of The University of New Mexico to an environment for the pursuit of its educational mission free of drugs and the illegal use of alcohol. Drug and alcohol abuse on campus poses a serious threat to the health and welfare of faculty, staff and students; impairs work and academic performance; jeopardizes the safety and well-being of other employees, students and members of the general public; and conflicts with the responsibility of The University of New Mexico to foster a healthy atmosphere for the pursuit of education, research and service.

This policy covers all property owned, used, leased or controlled by The University of New Mexico, or any other site where official University business is being conducted. "Controlled substances" means those substances in Schedules I through V of section 202 of the Controlled Substances Act, 21 U.S.C. 812, and implementing regulations, 21 CFR 1308.11-1308.15. Controlled substances include, but are not limited to, marijuana, cocaine (including "crack"), amphetamines, heroin, PCP, hallucinogens, and certain prescription drugs. Illegal uses of alcohol include, but are not limited to, serving, buying or drinking alcohol by a minor; assisting a minor or an intoxicated person to get alcohol; selling alcohol without a license and driving while under the influence. This policy is not intended to supersede or negate any existing policies on substance abuse, student or employee discipline, or any additional requirements imposed on The University of New Mexico or its students, faculty or staff by federal or state law.

I. Policy Statement

The unlawful manufacture, distribution, dispensing, possession or use of controlled substances or alcohol on UNM property or as part of any of its activities by any member of The University of New Mexico community faculty, staff or students strictly prohibited.

As a condition of employment, all employees - faculty and staff - of The University of New Mexico shall abide by the terms of this policy. Violation of this policy shall result in disciplinary action, up to and including dismissal. For more detailed information, faculty should refer to the Faculty Handbook and / or contact the Faculty Grants and Contracts Office. Staff may refer to the University Business Policies and Procedures Manual and/or contact the Human Resources Department.

As a condition of continued registration and enrollment, any student of The University of New Mexico shall abide by this policy. Violation of this policy shall result in disciplinary action, up to and including expulsion. For more detailed information, students should refer to the Student Code of Conduct and related policies printed in the UNM Pathfinder and/or contact the Dean of Students Office.

UNM's response to any violation of this policy may include, as a total or partial alternative to disciplinary action, a requirement that the employee or student participate satisfactorily in an approved substance abuse treatment or rehabilitation program as a condition of continued employment or registration/enrollment. Any employee engaged in the performance of work under a federal contract or grant is required, as a condition of employment, to notify his/her supervisor if he or she is convicted of a criminal drug statute violation occurring in the workplace within five days of such conviction. The supervisor shall notify the University Counsel's Office. Failure of the employee to notify the supervisor shall be grounds for disciplinary action.

In recognition of the dangers of substance abuse in the workplace, The University of New Mexico shall maintain alcohol and drug-free awareness programs to inform members of the University community about the issues and risks of substance abuse, and about counseling, and treatment resources. The University shall assign responsibility for such awareness programs to specific administrative entities, which shall be provided sufficient resources to develop and maintain the programs. As a matter of policy, any referral, treatment, awareness or

primary prevention programs established by The University of New Mexico shall play no role in enforcing or instituting possible disciplinary action.

II. Legal Sanctions for the Unlawful Possession or Distribution of Illicit Drugs and Alcohol

The penalties for even the most minor of violations of the Liquor Control Act can include fines of up to \$300, confiscation of property and imprisonment for up to seven months. More serious violations carry greater penalties, with larger fines and longer imprisonment.

Driving or using machinery after drinking or using drugs creates the risk that the user may injure or kill someone. This can result in homicide charges. License revocation and vehicle impoundment are also possible results of driving while under the influence of liquor or drugs. The minimum blood alcohol levels at which drivers' licenses are revoked in New Mexico are: .02% for those under 21 and .08% for those 21 and over. All drivers in New Mexico are presumed to be intoxicated at the .08% level.

In drug-related cases a court can permanently suspend eligibility for federal benefits, including financial aid. A criminal record can seriously hurt educational and career opportunities.

Penalties for illegal drug use can include significant fines and imprisonment. Penalties for the illegal sale of drugs are greater, and may include property confiscation. Alternative penalties for illegal drug or alcohol use may also include mandatory community service. Violation of laws by a foreign national may result in deportation.

Details on federal and state sanctions for the unlawful possession or distribution of illegal drugs are contained in the Policy on Illegal Drugs and Alcohol that appears on page 53 of the 2007-2008 UNM Pathfinder.

III. Health Risks Associated with Use of Illicit Drugs and the Abuse of Alcohol.

Excessive alcohol consumption and abuse of illicit drugs can lead to certain types of cancer, pathological changes in the liver, brain, heart and muscle which can lead to disability and death, addiction, birth defects, shortened life span, stomach ulcers, phlebitis, varicose veins, and other health problems. Alcohol and drugs are also a major factor in homicides, assaults, rapes, suicide, family and date violence. Alcohol is significantly involved in all types of accidents- motor vehicle, home, industrial, and recreational. Unintended pregnancies and sexually transmitted diseases are often associated with alcohol or other drug abuse, as well as relationship, academic or work problems.

For more information on the possible effects and health risks associated with the use of illicit drugs and alcohol, contact the Student Health Center Health Education Program (277 7947) or the UNM Campus Office of Substance Abuse Prevention (277-2795). Information on the possible effects and health risks associated with the use of illicit drugs and controlled substances is also contained in the Policy on Illegal Drugs and Alcohol that appears on page 53 of the 2007-2008 UNM Pathfinder.

IV. Campus Resources for Substance Abuse Problems

Campus Resources for Faculty and Staff

If you are concerned about your own, an employee's or a colleague's alcohol or drug use, contact the CARS program. The intent of CARS is not to intrude into the private lives of University employees, but rather to provide services for those who choose to request help with their problems. Your contact with CARS is confidential within the limits of applicable law and ethical guidelines. Individual assessments, short-term counseling, consultation and referrals are available. CARS also offers presentations on a variety of topics, voluntary mediation services, group crisis intervention, and team building.

C.A.R.S. (Counseling, Assistance & Referral Service)
Phone 272-6868

University Hospital employees may contact C.A.R.S. directly (272-6868) or call Human Resources for information about available counseling & referral resources.
Phone: 272-0942

Campus Resources for Students:

If you are concerned about a student's alcohol, tobacco or other drug use, contact the Wellness Center at the Student Health Center for consultation. Confidential consultations, individual assessments and education are available.

Student Health & Counseling (SHAC): 277-3136

Campus Office of Substance Abuse Prevention (COSAP): 277-2795

Health Education Program
(Information on peer education groups)
Phone: 277-7947

Student Counseling and Therapy Services (CATS)
Student Health & Counseling (SHAC)
Phone: 277-4537

Other Campus and Community Resources

AGORA Crisis Center:
Phone: 277-3013

UNM Psychiatric Emergency Service (PES)
Phone: 272-2920

University Hospital Emergency Dept.
Phone: 272-2411

UNM Center on Alcoholism, Substance Abuse and Addictions (CASAA)

Treatment Division (Intake)
Phone: 925-2300

Research Division
Phone: 925-2300

UNM Department of Psychology Clinic
Phone: 277-5164

UNM Employee Health Promotion Program
Phone: 272-4460

UNM Employee Occupational Health Services
Phone: 272-8043

UNM Mental Health Center, Crisis Unit
Phone: 272-2800

UNM Women's Resource Center
Phone: 277-3716

PHONE NUMBERS TO CALL

UNM Police Department
Emergency: 911
Non-emergency: 277-2241
TTY: 277-0888
Escort Service: 277-2241

Parking Services: 277-1938

Dean of Students: 277-3361

Student Health & Counseling (SHAC): 277-3136

Student Housing: 277-2606

APD
Non-Emergency: 242-COPS (2677)
Information: 768-2020

Bernalillo County Sheriff
Non-emergency: 768-4160

| 2011 Reported Crimes – Table 1a | | | | | | | | | | | | |
|-----------------------------------|--------------------|-----------------|------------------------|---------------------|-----------------|------------------------|-------------------|-----------------|------------------------|--------------------------|-----------------|------------------------|
| Offense | On Campus Property | | | Non-Campus Property | | | Public Property | | | * Residential Facilities | | |
| | Reported to UNMPD | Reported to APD | Reported to Non-Police | Reported to UNMPD | Reported to APD | Reported to Non-Police | Reported to UNMPD | Reported to APD | Reported to Non-Police | Reported to UNMPD | Reported to APD | Reported to Non-Police |
| Murder/Non-Negligent Manslaughter | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Negligent Manslaughter | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Robbery | 4 | 0 | 0 | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Aggravated Assault | 10 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Motor Vehicle Theft | 57 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Arson | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Hate Crimes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Burglary | 19 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sex Offenses, Forcible | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Sex Offenses, Non-Forcible | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Liquor Law Arrests | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Drug Arrests | 20 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Illegal Weapons Possession | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

*All crimes noted in residential facilities are also represented in On-Campus Property

| ** 2011 Referrals for Disciplinary Action – Table 1b | | | | | | | | | | | | |
|--|---|---|-----|---|---|---|---|---|---|---|---|-----|
| Liquor Law Violations Referred for Disciplinary Action | 0 | 0 | 220 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 205 |
| Drug Law Violations Referred for Disciplinary Action | 0 | 0 | 72 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 68 |
| Illegal Weapons Possession Violations Referred for Disciplinary Action | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |

** These statistics are persons referred for disciplinary proceedings conducted by the Dean of Students Office or Residence Life & Student Housing.

Definitions under Federal Regulations

On Campus

Any building or property owned or controlled by an institution within the same reasonably contiguous geographic area and used by the institution in direct support of, or in a manner related to, the institution's educational purposes, including residence halls; and any building or property that is within or reasonably contiguous to paragraph (1) of this definition, that is owned by the institution but controlled by another person, is frequently used by students, and supports institutional purposes (such as a food or other retail vendor)

A Non-campus Building or Property

Any building or property owned or controlled by a student organization that is officially recognized by the institution; or any building or property owned or controlled by an institution that is used in direct support of, or in relation to, the institution's educational purposes, is frequently used by students, and is not within the same reasonably contiguous geographic area of the institution

On Public Property

All public property, including thoroughfares, streets, sidewalks, and parking facilities, that is within the campus, or immediately adjacent to and accessible from the campus

| 2010 Reported Crimes – Table 2a | | | | |
|-------------------------------------|-----------|------------------------|------------|-----------------|
| Offense | On Campus | Residential Facilities | Non Campus | Public Property |
| Murder & Non-Negligent Manslaughter | 0 | 0 | 0 | 0 |
| Negligent Manslaughter | 0 | 0 | 0 | 0 |
| Sex Offenses – Forcible | 2 | 0 | 0 | 0 |
| Sex Offenses – Non-Forcible | 0 | 0 | 0 | 0 |
| Robbery | 2 | 0 | 3 | 0 |
| Aggravated Assault | 8 | 0 | 1 | 0 |
| Burglary | 33 | 13 | 4 | 0 |
| Motor Vehicle Theft | 31 | 0 | 4 | 0 |
| Arson | 4 | 2 | 1 | 0 |
| Hate Crimes | 0 | 0 | 0 | 0 |
| Liquor Law Arrests | 0 | 0 | 0 | 0 |
| Drug Law Arrests | 22 | 11 | 1 | 0 |
| Illegal Weapons Possession Arrests | 1 | 0 | 0 | 0 |

** Crimes reported in the Residential Facilities column are included in the On-Campus Category

| 2010 Referrals for Disciplinary Action – Table 2b | | | | |
|---|-----|-----|---|---|
| * Liquor Law Violations Referred for Disciplinary Action | 105 | 104 | 0 | 0 |
| * Drug Law Violations Referred for Disciplinary Action | 46 | 42 | 0 | 0 |
| * Illegal Weapons Possession Weapon Violations Referred for Disciplinary Action | 2 | 2 | 0 | 0 |

* These statistics are persons referred for disciplinary proceedings in the Dean of Students Office or Residence Life & Student Housing.

Definitions under Federal Regulations:

On Campus

Any building or property owned or controlled by an institution within the same reasonably contiguous geographic area and used by the institution in direct support of, or in a manner related to, the institution's educational purposes, including residence halls; and any building or property that is within or reasonably contiguous to paragraph (1) of this definition, that is owned by the institution but controlled by another person, is frequently used by students, and supports institutional purposes (such as a food or other retail vendor).

A Non-campus Building or Property

Any building or property owned or controlled by a student organization that is officially recognized by the institution; or Any building or property owned or controlled by an institution that is used in direct support of, or in relation to, the institution's educational purposes, is frequently used by students, and is not within the same reasonably contiguous geographic area of the institution.

On Public Property

All public property, including thoroughfares, streets, sidewalks, and parking facilities, that is within the campus, or immediately adjacent to and accessible from the campus.

| 2009 Reported Crimes – Table 3 | | | | |
|---|-----------|------------------------|------------|-----------------|
| Offense | On Campus | Residential Facilities | Non Campus | Public Property |
| Murder & Non-Negligent Manslaughter | 0 | 0 | 0 | 0 |
| Negligent Manslaughter | 0 | 0 | 0 | 0 |
| Sex Offenses – Forcible | 6 | 3 | 0 | 0 |
| Sex Offenses – Non-Forcible | 0 | 0 | 0 | 0 |
| Robbery | 6 | 0 | 0 | 2 |
| Aggravated Assault | 6 | 2 | 0 | 2 |
| Burglary | 50 | 32 | 0 | 1 |
| Motor Vehicle Theft | 35 | 0 | 0 | 0 |
| Arson | 3 | 1 | 0 | 0 |
| Hate Crimes | 0 | 0 | 0 | 0 |
| Liquor Law Arrests | 6 | 1 | 0 | 1 |
| Liquor Law Violations Referred for Disciplinary Action | 202 | 202 | 0 | 3 |
| Drug Law Arrests | 19 | 0 | 0 | 4 |
| Drug Law Violations Referred for Disciplinary Action | 49 | 45 | 0 | 0 |
| Illegal Weapons Possession Arrests | 1 | 0 | 0 | 0 |
| Illegal Weapons Possession Weapon Violations Referred for Disciplinary Action | 1 | 0 | 0 | 0 |

* The incident of arson occurred at 1815 Sigma Chi Road. This residential facility is owned by the Lambda Chi Alpha fraternity but it did not serve as the fraternity's chapter house in 2009. Lambda Chi Alpha leased the facility to the Church of the Nazarene who in turn leased rooms to individuals.

** Crimes reported in the Residential Facilities column are included in the On-Campus Category

Definitions Under Federal Regulations

On Campus

Any building or property owned or controlled by an institution within the same reasonably contiguous geographic area and used by the institution in direct support of, or in a manner related to, the institution's educational purposes, including residence halls; and any building or property that is within or reasonably contiguous to paragraph (1) of this definition, that is owned by the institution but controlled by another person, is frequently used by students, and supports institutional purposes (such as a food or other retail vendor).

A Non-campus Building or Property

Any building or property owned or controlled by a student organization that is officially recognized by the institution; or any building or property owned or controlled by an institution that is used in direct support of, or in relation to, the institution's educational purposes, is frequently used by students, and is not within the same reasonably contiguous geographic area of the institution.

On Public Property

All public property, including thoroughfares, streets, sidewalks, and parking facilities, that is within the campus, or immediately adjacent to and accessible from the campus.

2011 Fire Statistics: UNM Student Residential Facilities - Table 4

| Building Name | Building Number | Address | (A) (i) Number of fires and cause of each fire | Other fire related incidents | (A) (ii) Number of injuries related to a fire that resulted in treatment at a medical facility | (A) (iii) The number of deaths related to a fire | (A)(iv) The value of property damage caused by a fire. | (B) A description of each on-campus student housing facility fire safety system, including the fire sprinkler system | (C) The number of regular mandatory supervised fire drills |
|--------------------------------|------------------|---------------------------------|--|---|--|--|--|---|--|
| STUDENT FAMILY HOUSING UNIT C4 | 317 - 329 | 961 BUREKA VISTA SE | 1 - small electrical fire in laundry room. No significant reportable damage. | 120 total fire alarm activations. Many were cooking related - NO reported fire or smoke responses. | 0 | 0 | \$0 | Local smoke detectors in apartment bedrooms only. System notifies detectors in common areas. System smoke detectors and exterior pull stations alarms to main office and notifies Campus Police. No fire sprinklers in any of the apartments. | 1 per year |
| AWARADO HALL | 157 | 2800 CAMPUS BLVD. NE | No reported fires during this reporting period. | 0 total fire alarm activations. NO reported fire or smoke responses. | 0 | 0 | \$0 | Full fire alarm system. In room detectors ring local only with supervisory signal to SRC. Common areas full detection with pull stations will activate general alarm and SRC desk as well as Campus Police. No fire sprinklers. | 4 per year (spring and fall) |
| SANTA ANA HALL | 71 | 2710 CAMPUS BLVD. NE | No reported fires during this reporting period. | 2 total fire alarm activations. NO reported fire or smoke responses. | 0 | 0 | \$0 | Full fire alarm system. In room detectors ring local only with supervisory signal to SRC. Common areas full detection with pull stations will activate general alarm and SRC desk as well as Campus Police. No fire sprinklers. | 4 per year (spring and fall) |
| SANTA CLARA HALL | 61 | 2600 CAMPUS BLVD. NE | No reported fires during this reporting period. | No reported fire related incidents during this reporting period. | 0 | 0 | \$0 | Full fire alarm system. In room detectors ring local only with supervisory signal to SRC. Common areas full detection with pull stations will activate general alarm and SRC desk as well as Campus Police. No fire sprinklers. | 4 per year (spring and fall) |
| CORONADO HALL | 155 | 301 GRAND BLVD. NE | No reported fires during this reporting period. | 0 total fire alarm activations. NO reported fire or smoke responses. | 0 | 0 | \$0 | Local smoke detectors in rooms only one pull station at "Duty Desk". "Call Duty Desk" Alarm activation by pull station, sprinkler activation or SRC Commons notifies Campus Police. Fire sprinkler system in basement only. The fire alarm/ notification system is in the process of being updated (replacement of existing alarm activation and notification device and adding some new fire smoke detection) and is expected to be completed in October 2012. | 4 per year (spring and fall) |
| HOKONA HALL | 58 | 2500 CAMPUS BLVD. NE | No reported fires during this reporting period. | 11 total fire alarm activations. Many were cooking related - 1 reported fire involving a couch and smoldering material. | 0 | 0 | \$0 | Local smoke detectors in rooms only one pull station at "Duty Desk". "Call Duty Desk" Alarm activation by pull station, sprinkler activation or SRC Commons notifies Campus Police. Fire sprinkler system in basement only. | 4 per year (spring and fall) |
| DEWARGAS HALL | 75 | 2604 CAMPUS BLVD. NE | No reported fires during this reporting period. | 23 total fire alarm activations. Many were cooking related - NO reported fire or smoke responses. | 0 | 0 | \$0 | Full fire alarm system. In room detectors ring local only with supervisory signal to SRC. Common areas full detection with pull stations will activate general alarm and SRC desk as well as Campus Police. Partial sprinklers in basement tied to fire alarm system. Fire sprinkler system in basement only. | 4 per year (spring and fall) |
| LAGUNA HALL | 74 | 2602 CAMPUS BLVD. NE | No reported fires during this reporting period. | 7 total fire alarm activations. Many were cooking related. NO reported fire or smoke responses. | 0 | 0 | \$0 | Full fire alarm system. In room detectors ring local only with supervisory signal to SRC. Common areas full detection with pull stations will activate general alarm and SRC desk as well as Campus Police. Partial sprinklers in basement tied to fire alarm system. Fire sprinkler system in basement only. | 4 per year (spring and fall) |
| STUDENT RESIDENT CENTER (ARTS) | 88A-1 & 90A, 90B | 301 REDONDO DR. EAST NE | No reported fires during this reporting period. | 0 total fire alarm activations. NO reported fire or smoke responses. | 0 | 0 | \$0 | "Call Box" system notifies SRC Commons Duty Desk. Local smoke detectors in rooms only smoke detection in common areas activate general alarm. Alarm activation by sprinkler activation or SRC Commons notifies Campus Police. Apartments are fully sprinkled. | 4 per year (spring and fall) |
| REDONDO VILLAGE APTS. A-E | 193 | 300 REDONDO DR. EAST | No reported fires during this reporting period. | 2 total fire alarm activations. NO reported fire or smoke responses. | None | None | \$0 | Local smoke detectors in apartments only. System smoke/heat detectors in unoccupied rooms. System smoke detection in corridors. "Call Box" system notifies SRC Commons Duty Desk General alarm activation by common areas smoke/heat detectors, sprinkler activation or SRC Commons notifies Campus Police. Includes fire sprinkler system. RVA is fully sprinkled. | 4 per year (spring and fall) |
| LOBO VILLAGE | 1V 3-19 | 1200 AVENIDA CESAR CHAVEZ BLVD. | No reported fires during this reporting period per UNM Alarms. Alarms were monitored off site in 2011. | NO reported fire or smoke responses. | 0 | 0 | \$0 | Local smoke detectors in apartments only. System smoke/heat detectors in unoccupied rooms and in common areas and corridors. General alarm activation by common areas smoke/heat detectors, sprinkler activation fire alarm pull station. Lobo Village is fully sprinkled. Fire Alarm notifies both UNM Campus Police Dispatch and the City of Albuquerque Emergency 911 Dispatch Center. | 0 |

2011 Fire Statistics: Fraternity & Sorority Housing Facilities - Table 5

| Building Name | Address | (A) (i) Number of fires and cause of each fire | Other fire related incidents | (A) (ii) Number of injuries related to a fire that resulted in treatment at a medical facility | (A) (iii) The number of deaths related to a fire | (A) (iv) The value of property damage caused by a fire. | (B) A description of each on-campus student housing facility fire safety system, including the fire extinguisher system | (C) The number of regular safety supervised fire drills | (D) Policies or rules on portable electrical appliances, smoking, fire extinguisher use, fire alarm, fire training fire safety education and training programs provided to students, faculty and staff |
|--|------------------------|--|------------------------------|--|--|---|--|---|--|
| Alpha Chi Omega | 1635 Mesa Vista Rd NE | None | None | None | None | None | Centralized smoke detector system in common areas as well as bed rooms. Pull Stations in main hallways and common areas. | 2 per year (spring and fall) | All Greek chapter houses are privately owned. Policies and rules are set by the national office for each fraternity and sorority. The Albuquerque Fire Department inspects all chapter houses for compliance with fire codes regulations. In addition, the UNSM Greek Life Office provides educational materials on fire safety. |
| Chi Omega | 1810 Mesa Vista Rd NE | None | None | None | None | None | Centralized smoke detector system in common areas as well as bed rooms. Fire suppression system is also in place throughout the house. | 2 per year (spring and fall) | |
| Kappa Kappa Gamma | 1620 Mesa Vista Rd NE | None | None | None | None | None | Centralized smoke detector system in common areas as well as bed rooms. Fire suppression systems is also in place throughout the house. Pull Stations in main hallways and common areas. | 2 per year (spring and fall) | |
| Phi Gamma Delta (Phi) | 1801 Mesa Vista Rd NE | None | None | None | None | None | Centralized smoke detector system in common areas as well as bed rooms. | 2 per year (spring and fall) | |
| Pi Beta Phi | 1701 Mesa Vista Rd NE | None | None | None | None | None | Centralized smoke detector system in common areas as well as bed rooms. | 2 per year (spring and fall) | |
| Pi Kappa Alpha | 700 University Blvd NE | None | None | None | None | None | Centralized smoke detector system in common areas as well as bed rooms. Fire suppression system is also in place throughout the house. | 2 per year (spring and fall) | |
| Sigma Alpha Epsilon | 1811 Mesa Vista Rd NE | None | None | None | None | None | Centralized smoke detector system in common areas as well as bed rooms. | 2 per year (spring and fall) | |
| Sigma Chi | 1655 Sigma Chi Rd NE | None | None | None | None | None | Centralized smoke detector system in common areas as well as bed rooms. | 2 per year (spring and fall) | |
| Lambda Chi Alpha (not functioning as a fraternity house in 2011) | 1815 Sigma Chi Rd NE | None | None | None | None | None | not functioning as a fraternity house | 2 per year (spring and fall) | |



THE UNIVERSITY of
NEW MEXICO FOUNDATION

UNM Foundation, Inc.
UNM Regent's Advisor Report
June 2013

CHANGING WORLDS
The Campaign for UNM

Changing Worlds: The Campaign for UNM – an eight-year, \$675 million campaign, is making excellent progress towards the campaign goal. The Campaign began in 2006.

As of June 1, 2013, 57,007 donors have gifted \$559,727,025.83 million in support of the University's mission.

Specific fundraising highlights:

The Robert Wood Johnson Center for Health Policy received a gift of \$1.1 million.

School of Architecture & Planning received a gift of \$445,000.

College of Education received a gift of \$274,000 and another gift of \$272,000.

The College of Fine Arts received a gift of \$445,000 and another gift of \$350,000.

UNM Libraries received a gift of \$250,000.

School of Medicine Art Program received a gift of \$1 million.

University Hospitals and the Cancer Research & Treatment Center received a joint gift of \$800,000.

University Hospitals received a gift of \$264,000

The Cancer Research & Treatment Center received a gift of \$536,000.

College of Nursing received a gift of \$381,866.

The Center for Development & Disabilities received a gift of \$164,000.

The School of Medicine received a gift of \$163,438.

The Cancer Research & Treatment Center received a gift of \$150,000 and another for \$125,000.

Fundraising Performance Report

FY 12/13, March 31, 2013

| Changing Worlds Campaign | CASE Campaign Standards | | | VSE Standards |
|--------------------------|-------------------------|----------------|-----------|----------------|
| | Campaign to Date | GOAL | % OF GOAL | To Date |
| Gift Commitments | \$ 553,254,305 | \$ 675,000,000 | 82.0% | \$ 415,014,453 |
| - Cash/Cash Equivalents | 324,677,515 | N/A | N/A | 415,014,453 |
| - In-Kind | 40,566,856 | N/A | N/A | N/A |
| - Pledges | 81,024,784 | N/A | N/A | N/A |
| - Testamentary | 106,985,150 | N/A | N/A | N/A |
| Pledges Due | 28,862,142 | N/A | N/A | N/A |
| Testamentary Gifts Due | 99,339,360 | N/A | N/A | N/A |

Council for Advancement and Support of Education (CASE) reporting is on an "accrual basis" and Voluntary Support of Education (VSE) reporting is on a "cash basis".

| Gift Commitments (Fiscal Year) | This Quarter | FYTD 12/13 | GOAL | FY 11/12 |
|--------------------------------|----------------------|----------------------|----------------------|----------------------|
| Main Campus | | | | |
| - Cash/Cash Equivalents | \$ 2,083,239 | \$ 6,838,625 | N/A | \$ 6,951,500 |
| - In-Kind | 865,910 | 2,446,305 | N/A | 3,412,295 |
| - Pledges | 15,000 | 254,500 | N/A | 18,186,472 |
| - Testamentary | 2,800,000 | 6,127,500 | N/A | 6,936,712 |
| Sub-Total | \$ 5,764,149 | \$ 15,666,930 | \$ 20,950,000 | \$ 35,486,979 |
| HSC | | | | |
| - Cash/Cash Equivalents | \$ 4,553,098 | \$ 12,470,211 | N/A | \$ 15,185,985 |
| - In-Kind | 1,008,244 | 2,449,559 | N/A | 2,950,867 |
| - Pledges | 2,150,000 | 2,265,000 | N/A | 6,238,000 |
| - Testamentary | 3,082,000 | 3,087,000 | N/A | 1,185,000 |
| Sub-Total | \$ 10,793,342 | \$ 20,271,770 | \$ 27,950,000 | \$ 25,559,852 |
| Athletics | | | | |
| - Cash/Cash Equivalents | \$ 828,862 | \$ 3,229,485 | N/A | \$ 4,574,747 |
| - In-Kind | 31,158 | 221,853 | N/A | 1,809,908 |
| - Pledges | 140,000 | 2,144,965 | N/A | 886,000 |
| - Testamentary | 560,000 | 1,260,000 | N/A | 193,971 |
| Sub-Total | \$ 1,560,020 | \$ 6,856,303 | \$ 10,500,000 | \$ 7,464,626 |
| Other Campus Units * | | | | |
| - Cash/Cash Equivalents | \$ 8,101,518 | \$ 15,781,139 | N/A | \$ 13,851,392 |
| - In-Kind | - | 6,675 | N/A | 311,390 |
| - Pledges | - | 1,000 | N/A | 36,200 |
| - Testamentary | 5,000,000 | 5,450,000 | N/A | 1,205,000 |
| Sub-Total | \$ 13,101,518 | \$ 21,238,814 | \$ 20,600,000 | \$ 15,403,982 |
| Total | \$ 31,219,029 | \$ 64,033,817 | \$ 80,000,000 | \$ 83,915,439 |

* Other campus units include KNME, KUNM, UNM Branch Campuses, President's Office, Provost's Office, Enrollment Services, Student Affairs and numerous other units not classified as main campus, HSC or athletics.

| Gift Destinations | This Quarter | FYTD 12/13 | FY 11/12 | FY 10/11 |
|-------------------|----------------------|----------------------|----------------------|----------------------|
| UNM Foundation | \$ 20,973,991 | \$ 41,893,508 | \$ 38,894,922 | \$ 56,008,821 |
| Reported Gifts * | \$ 10,245,038 | \$ 22,140,309 | \$ 45,020,517 | \$ 25,941,860 |
| Total | \$ 31,219,029 | \$ 64,033,817 | \$ 83,915,439 | \$ 81,950,681 |

* Reported Gifts = gifts made directly to KNME, KUNM, Lobo Club, and OVPR, but reported by UNM Foundation per MOA.

Fundraising Performance Report

FY 12/13, March 31, 2013

| Gift Commitments (Fiscal Year) | FYTD 12/13 | FY 11/12 | FY10/11 |
|--|----------------------|----------------------|----------------------|
| Cash/Cash Equivalents | \$ 38,319,460 | \$ 40,563,624 | \$ 41,991,819 |
| Pledges | | | |
| - Beginning Balance Pledges Receivable | \$ 29,958,444 | \$ 16,345,874 | 13,271,272 |
| - Add: New Pledges | \$ 2,874,098 | \$ 26,205,415 | 13,426,797 |
| - Less: Pledge Payments | \$ (3,803,400) | \$ (11,734,102) | \$ (6,932,158) |
| - Less: Pledges Written Off | \$ (167,000) | \$ (858,743) | (3,420,037) |
| - Ending Balance Pledges Receivable | \$ 28,862,142 | \$ 29,958,444 | 16,345,874 |
| In Kind | \$ 5,124,392 | \$ 8,484,460 | 6,154,602 |
| Testamentary | \$ 15,924,500 | \$ 9,520,683 | 23,797,500 |
| Total | \$ 62,075,450 | \$ 83,915,439 | \$ 81,950,681 |

| Performance Measures | This Quarter | FYTD 12/13 | FY 11/12 | FY 10/11 |
|------------------------|---------------|---------------|---------------|---------------|
| Gift Commitment Income | \$ 31,219,029 | \$ 64,033,817 | \$ 83,915,439 | \$ 81,950,681 |
| # of Gifts | 8,357 | 24,248 | 33,261 | 39,075 |
| # of Donors | 5,239 | 11,544 | 13,323 | 16,843 |

| Efficiency Measures | FYTD 12/13 | FY11/12 | FY10/11 | FY09/10 |
|--------------------------|------------|---------|---------|---------|
| Cost per Dollar Raised * | \$0.11 | \$0.11 | \$0.11 | \$0.12 |

* Compares UNM Foundation budget expenditures to gift commitments.

Consolidated Investment Fund - Investment Performance

FY 12/13, March 31, 2013

| Investment Performance Results | Market Value | FYTD 12/13 | 1-Year | 3-Year | 5-Year |
|--------------------------------|----------------|------------|--------|--------|--------|
| FY 12/13 (March 31, 2013) | \$ 358,231,410 | 10.77% | 9.42% | 8.65% | 3.37% |
| Custom Benchmark * | | 12.37% | 8.70% | 7.34% | 3.43% |
| FY 11/12 (June 30, 2012) | \$ 329,541,207 | N/A | 1.05% | 9.92% | 1.29% |
| Custom Benchmark * | | N/A | -0.72% | 9.32% | 0.47% |
| NACUBO/Commonfund ** | | N/A | -0.70% | 10.20% | 0.70% |

* Policy Benchmark is a blended benchmark consisting of indices for all asset classes.

** NACUBO/Commonfund Endowment Study (\$101 million to \$500 million)

Consolidated Investment Fund - Asset Allocation

FY 12/13, March 31, 2013

| Investment Class | Current Allocation | 1-Year Policy Allocation | 5-Year Policy Allocation | L-Term Policy Allocation |
|-------------------------|--------------------|--------------------------|--------------------------|--------------------------|
| Domestic Equity | 20.5% | 20% | 22% | 27% |
| International Equity | 21.1% | 20% | 22% | 28% |
| Fixed Income/Cash | 17.8% | 20% | 21% | 20% |
| Real Assets - Liquid | 3.9% | 5% | 5% | 5% |
| Real Assets - Illiquid | 4.7% | 5% | 4% | 0% |
| Marketable Alternatives | 18.8% | 20% | 20% | 20% |
| Private Equity | 13.2% | 10% | 6% | 0% |

Consolidated Investment Fund - Spending Distribution

FY 12/13, March 31, 2013

| CIF Spending Distribution | FY12/13 | FY11/12 | FY10/11 | FY09/10 |
|----------------------------------|---------------|---------------|---------------|---------------|
| Spending Distribution % | 4.50% | 4.50% | 4.65% | 4.65% |
| Total \$ Distribution (millions) | \$ 13,489,948 | \$ 13,984,508 | \$ 14,755,529 | \$ 15,409,388 |
| Total \$ Distribution/Unit | \$10.47 | \$10.58 | \$10.98 | \$11.05 |

Consolidated Investment Fund - Development Funding Allocation

FY 12/13, March 31, 2013

| Development Funding Allocation | Basis Points % | Dev Funding Allocation | Total Budget Expenditures | % Overall Budget |
|--------------------------------|-------------------|---------------------------|------------------------------|---------------------|
| FY 12/13 | 1.85% | \$4.1 | \$7.1 | 58% |
| FY 11/12 | 1.85% | \$5.9 | \$9.1 | 65% |
| FY 10/11 | 1.85% | \$5.7 | \$9.5 | 60% |
| FY 09/10 | 1.85% | \$5.4 | \$9.4 | 57% |
| FY 08/09 | 1.15% | \$3.2 | \$8.3 | 39% |

UNM Foundation Budget vs. Actual

FY 12/13, March 31, 2013

| Sources of Budget | Budget | FYTD | % Used | FY Projection |
|------------------------------------|--------------|--------------|--------|---------------|
| UNM Support | \$ 2,909,000 | \$ 2,194,515 | 75.4% | \$ 3,180,000 |
| Development Funding Allocation | 5,622,486 | 4,113,664 | 73.2% | 5,422,664 |
| Short-Term Investment Income | 750,000 | 418,146 | 55.8% | 678,066 |
| Cost Sharing Reimbursement | 660,780 | 484,611 | 73.3% | 660,780 |
| Unrestricted Gifts & Other Revenue | - | 133,658 | N/A | 103,241 |
| Total | 9,942,266 | 7,344,594 | 73.9% | 10,044,751 |

| Uses of Budget | Budget | FYTD | % Used | FY Projection |
|--------------------------|--------------|--------------|--------|---------------|
| Salaries/Fringe Benefits | \$ 7,655,963 | \$ 5,597,889 | 73.1% | \$ 7,562,156 |
| Operating Expenditures | 2,242,366 | 1,454,414 | 64.9% | 2,391,686 |
| Total | 9,898,329 | 7,052,303 | 71.2% | 9,953,842 |

| Reserve Balances | Budget | FYTD | % Used | FY Projection |
|-----------------------------------|-----------|------------|--------|---------------|
| Surplus/(Deficit) from Operations | \$ 43,937 | \$ 292,291 | N/A | \$ 90,909 |
| Beginning Reserve Balances | 1,111,678 | 1,111,678 | N/A | 1,111,678 |
| Ending Reserve Balances | 1,155,615 | 1,403,969 | N/A | 1,202,587 |

Revised Reports Approved by Board of Regents
March 2012
September 2012

THE UNIVERSITY OF NEW MEXICO
MR. AND MRS. HUGH B. AND HELEN K. WOODWARD ENDOWMENT
FUNDED BY THE SANDIA FOUNDATION

| | 2007-08 | 2008-09 | 2009-10 | 2010-11 | 2011-12 | 2012-13 through 3/31/2013 |
|--|----------------------|----------------------|----------------------|----------------------|----------------------|------------------------------|
| PRINCIPAL/CORPUS | | | | | | |
| BEGINNING MARKET VALUE, JULY 1: | \$ 40,007,782 | \$ 39,456,137 | \$ 30,698,788 | \$ 32,422,373 | \$ 37,282,001 | \$ 36,545,942 |
| ADDITIONS | 1,323,000 | 1,395,000 | 877,500 | 1,125,000 | 1,260,000 | 663,750 |
| INVESTMENT EARNINGS | 283,085 | (7,962,327) | 3,238,163 | 6,040,036 | 132,283 | 3,843,661 (1) |
| DEVELOPMENT FUNDING ALLOCATION | (442,878) | (383,681) | (623,475) | (643,802) | (603,057) | - |
| SPENDING DISTRIBUTION | (1,714,852) | (1,806,341) | (1,768,603) | (1,661,606) | (1,525,285) | (1,145,602) (2) |
| ENDING MARKET VALUE, JUNE, 30: | <u>\$ 39,456,137</u> | <u>\$ 30,698,788</u> | <u>\$ 32,422,373</u> | <u>\$ 37,282,001</u> | <u>\$ 36,545,942</u> | <u>\$ 39,907,751</u> |

(1) FY 2012-13 Net investment Earnings: Represents the actual net investment earnings through March 31, 2013. Net investment earnings for the period of July 1, 2012, through March 31, 2013, were 10.77% (net of manager fees).

(2) FY 2012-13 Spending Distribution: Represents the quarterly spending distributions from July 1, 2012, to March 31, 2013.

(3) FY 2012-13 Development Funding Allocation. The Development Funding Allocation is not assessed on the Woodward endowment.

THE UNIVERSITY OF NEW MEXICO
WINROCK LAND SALE ENDOWMENT

| | 2007-08 | 2008-09 | 2009-10 | 2010-11 | 2011-12 | 2012-13 through 3/31/2013 |
|---------------------------------|---------------|---------------|---------------|---------------|---------------|------------------------------|
| PRINCIPAL/CORPUS | | | | | | |
| BEGINNING MARKET VALUE, JULY 1: | \$ 33,899,952 | \$ 32,369,380 | \$ 24,076,065 | \$ 24,767,866 | \$ 27,587,901 | \$ 26,069,492 |
| ADDITIONS | - | - | - | - | - | - |
| INVESTMENT EARNINGS | 263,110 | (6,526,574) | 2,544,475 | 4,553,291 | 97,208 | 2,704,844 (1) |
| DEVELOPMENT FUNDING ALLOCATION | (368,276) | (309,959) | (483,585) | (485,153) | (486,943) | (368,406) |
| SPENDING DISTRIBUTION | (1,425,406) | (1,456,782) | (1,369,089) | (1,248,103) | (1,128,674) | (817,198) (2) |
| ENDING MARKET VALUE, JUNE, 30: | \$ 32,369,380 | \$ 24,076,065 | \$ 24,767,866 | \$ 27,587,901 | \$ 26,069,492 | \$ 27,588,732 |

(1) FY 2012-13 Net investment Earnings: Represents the actual net investment earnings through March 31, 2013. Net investment earnings for the period of July 1, 2012, through March 31, 2013, were 10.77% (net of manager fees).

(2) FY 2012-13 Spending Distribution: Represents the quarterly spending distributions from July 1, 2012, to March 31, 2013.

THE UNIVERSITY OF NEW MEXICO
REGENTS' ENDOWMENT

| | 2005-06 | 2006-07 | 2007-08 | 2008-09 | 2009-10 | 2010-11 | 2011-12 | 2012-13 |
|--|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|--------------------------|
| PRINCIPAL/CORPUS | | | | | | | | |
| BEGINNING MARKET VALUE, JULY 1: | \$ 26,548,336 | \$ 32,325,235 | \$ 36,674,360 | \$ 35,018,525 | \$ 25,165,952 | \$ 25,889,070 | \$ 28,836,764 | \$ 27,249,619 |
| ADDITIONS: | | | | | | | | |
| MESA DEL SOL PROPERTY SALE | 8,045,923 | - | - | - | - | - | - | - |
| WITHDRAWALS: | | | | | | | | |
| ASM STUDENT INVESTMENT PROGRAM | (2,000,000) | - | - | - | - | - | - | - |
| GIBSON/MULBERRY PROPERTY PURCHASE | (1,645,435) | - | - | - | - | - | - | - |
| 2811 CAMPUS PROPERTY PURCHASE | (242,798) | - | - | - | - | - | - | - |
| SCHOLARSHIP FUNDING | - | - | - | (880,525) | - | - | - | - |
| BASEBALL FIELD CAPITAL PROJECT | - | - | - | - | - | - | - | (1,100,000) |
| INVESTMENT EARNINGS | 2,789,695 | 6,123,941 | 284,643 | (7,060,716) | 2,659,659 | 4,759,412 | 101,609 | 2,721,766 (1) |
| DEVELOPMENT FUNDING ALLOCATION | - | (329,734) | (398,416) | (335,326) | (505,476) | (507,115) | (508,986) | (374,507) |
| SPENDING DISTRIBUTION | (1,170,486) | (1,445,081) | (1,542,062) | (1,576,006) | (1,431,065) | (1,304,603) | (1,179,768) | (854,191) (2) |
| ENDING MARKET VALUE, JUNE 30: | \$ 32,325,235 | \$ 36,674,360 | \$ 35,018,525 | \$ 25,165,952 | \$ 25,889,070 | \$ 28,836,764 | \$ 27,249,619 | \$ 27,642,687 (4) |

(1) FY 2012-13 Net Investment Earnings: Represents the actual net investment earnings through March 31, 2013. Net investment earnings for the period of July 1, 2012, through March 31, 2013, were 10.77% (net of manager fees).

(2) FY 2012-13 Spending Distribution: Represents the quarterly spending distributions from July 1, 2012, to March 31, 2013.

(3) FY08-09 Withdrawal for scholarship funding: \$1,000,000 was authorized to be withdrawn from the Regents' Endowment for FY08-09 scholarship funding. Of the authorized \$1,000,000, \$880,525 was withdrawn from the endowment.

(4) The Regents' Endowment includes proceeds from the "Mesa del Sol" property sale. Total proceeds of this sale were \$8,045,923. Of the total proceeds, \$2.9 million was used to fund two property purchases. The "Gibson/Mulberry" property was purchased for \$1,645,435 and the "2811 Campus Blvd" property was purchased for \$242,798. The remaining \$6,157,690 was added to the Regents' endowment in June of 2006. The Mesa del Sol contribution is not tracked separately, but an estimated value based on net investment earnings, spending distributions, withdrawals and development funding allocations for the Regents' Endowment since that time, is \$4,516,392.

THE UNIVERSITY OF NEW MEXICO
REGENTS' ENDOWMENT - MESA DEL SOL ADDITION

| | 2005-06 | 2006-07 | 2007-08 | 2008-09 | 2009-10 | 2010-11 | 2011-12 | 2012-13 through 3/31/2013 |
|--|--------------|--------------|--------------|--------------|--------------|--------------|--------------|------------------------------|
| PRINCIPAL/CORPUS | | | | | | | | |
| BEGINNING MARKET VALUE, JULY 1: | \$ - | \$ 6,157,690 | \$ 6,986,162 | \$ 6,670,739 | \$ 4,961,638 | \$ 5,104,206 | \$ 5,685,364 | \$ 5,372,448 |
| ADDITIONS: | | | | | | | | |
| MESA DEL SOL PROPERTY SALE | 8,045,923 | - | - | - | - | - | - | - |
| WITHDRAWALS: | | | | | | | | |
| WITHDRAWAL - MERIT-BASED SCHOLARSHIPS | - | - | - | - | - | - | - | - |
| GIBSON/MULBERRY PROPERTY PURCHASE | (1,645,435) | - | - | - | - | - | - | - |
| 2811 CAMPUS PROPERTY PURCHASE | (242,798) | - | - | - | - | - | - | - |
| BASEBALL FIELD CAPITAL PROJECT | - | - | - | - | - | - | - | (1,100,000) |
| INVESTMENT EARNINGS | - | 1,166,560 | 54,222 | (1,345,008) | 524,370 | 938,350 | 20,033 | 444,695 (1) |
| DEVELOPMENT FUNDING ALLOCATION | - | (62,812) | (75,895) | (63,877) | (99,658) | (99,981) | (100,350) | (61,189) |
| SPENDING DISTRIBUTION | - | (275,276) | (293,750) | (300,216) | (282,144) | (257,211) | (232,599) | (139,562) (2) |
| ENDING MARKET VALUE, JUNE 30: | \$ 6,157,690 | \$ 6,986,162 | \$ 6,670,739 | \$ 4,961,638 | \$ 5,104,206 | \$ 5,685,364 | \$ 5,372,448 | \$ 4,516,392 (4) |

(1) FY 2012-13 Net Investment Earnings: Represents the actual net investment earnings through March 31, 2013. Net investment earnings for the period of July 1, 2012, through March 31, 2013, were 10.77% (net of manager fees).

(2) FY 2012-13 Spending Distribution: Represents the quarterly spending distributions from July 1, 2012, to March 31, 2013.

(4) The Regents' Endowment includes proceeds from the "Mesa del Sol" property sale. Total proceeds of this sale were \$8,045,923. Of the total proceeds, \$2.9 million was used to fund two property purchases. The "Gibson/Mulberry" property was purchased for \$1,645,435 and the "2811 Campus Blvd" property was purchased for \$242,798. The remaining \$6,157,690 was added to the Regents' endowment in June of 2006. The Mesa del Sol contribution is not tracked separately, but an estimated value based on net investment earnings, spending distributions, withdrawals, and development funding allocations for the Regents' Endowment since that time, is \$4,516,392.

**UNIVERSITY OF NEW MEXICO
ALUMNI ASSOCIATION
BOARD OF REGENTS REPORT
June 2013**

Vision (Why we exist): *The Alumni Association is a vital partner in the continued excellence of the University of New Mexico through the significant engagement of alumni.*

Mission (What we are striving for): *To serve as a bridge between alumni and the university ensuring the continued success of the university and enriching the lives of alumni.*

| | |
|--|---|
| <p>Strengthen collaboration with campus constituents to meet the needs of UNM.</p> | <p>Since 1999, the UNM Alumni Association's Lobos for Legislation Committee has held community receptions in alumni homes and businesses throughout New Mexico with the intent of engaging UNM alumni and legislators with educational issues facing the University.</p> <p>On May 15, a Legislative Home Reception was held at the home of Dr. Valerie Trujillo. Nine legislators (5 Senators and 4 Representatives) attended the event. Senators William Payne, Sue Wilson Beffort, Daniel Ivey-Soto, Mark Moores, and Lisa Torracco and Representatives Jimmie Hall, Larry Larraña, Bill Rehm, and James White expressed their passion for the University and their desire to advocate for UNM issues of concern. Forty two alumni and friends attended as well. Funding of the University and the Lottery Success Scholarship were two topics of discussion.</p> |
| <p>Foster enduring involvement with, pride in and commitment to UNM, its colleges, schools and programs.</p> | <p>The Alumni Association and the Anderson School of Management co hosted a reception for President Robert G. and Mrs. Janet Frank at the Biltmore Hotel in Phoenix on May 29. Coach Bob and Joanne Davie attended the event as well. Close to 100 alumni and friends attended the reception.</p> <p>An Albuquerque Chapter was charter and approved by the Board of Directors at their June meeting. Ryan Lindquist and Kevin Stevenson were instrumental in creation of the Chapter.</p> <p>Homecoming 2013 will take place September 23 - 28. "A Howllywood Homecoming" is this year's homecoming theme. The week will be filled with various events on and off campus. The Homecoming game will be Lobos vs UNLV.</p> |

| | |
|---|--|
| <p>Engage students in ways that will develop lifetime ties to UNM.</p> | <p>The Young Alumni Chapter hosted a Wine and Cheese Reception for the 2013 graduates at the Alumni Center at Hodgkin Hall on May 9. Over 120 new alumni and friends attended the event.</p> |
| <p>Expand the tradition of philanthropy toward UNM among alumni.</p> | <p>UNM Young Alumni sponsored and participated in a number of community service activities:</p> <ul style="list-style-type: none"> • Bike to Brunch event as part of the Bike to Work Week in May. Thirty alumni attended. • Raised \$220 on behalf of Presbyterian Hospice during Daffodil Days. • Completed a community service project for Kelly Barnes with New Life Community Project as part of Spring Storm. • Participated in tree planting on campus during Celebrate Arbor Day at UNM. |
| <p>Develop and steward resources to ensure a sustained and dynamic association.</p> | <p>Twenty Alumni Chapter Presidents and representatives from around the country met in Albuquerque on June 6 for a day long leadership workshop. University department representatives discussed the importance of collaboration between the Chapters, the Alumni Association, and the University regarding out of state student recruitment. The following day the Chapter leaders attended the Board of Directors meeting (June 7).</p> <p>The Lobo Living Room, a new community/alumni engagement program, featured “Flamenco Fever!” presentation by Alma Flamenca on the Hodgkin Hall Alumni Center Plaza on Thursday, June 6 at 5:30 p.m. Highlights include the history and music of flamenco, along with demonstrations.</p> <p>The Young Alumni Chapter will host their annual Beer and Baseball event on June 22 at Isotopes Park.</p> |
| <p>Encourage community service and leadership among alumni and students.</p> | <p>Each spring the UNM Alumni Association’s Lobos for Legislation committee presents two awards: The Higher Education Distinguished Service Award and the Twenty-Year Service Award. The 2013 Higher Education Distinguished Legislator Award was presented to Senator William Payne. This award honors and pays tribute to a legislator who provided exemplary service to the University of New Mexico during the 2013 legislative session.</p> |

Senator Payne:

- Sponsored **Senate Bill 321**: Allows UNM the option of building and owning renewable energy generation instead of participating in the utility company's renewable energy program. This grants the university an exemption from renewable energy fees from the utility. Passed the Senate 35-0, passed the House 65-1.
- Sponsored **House Bill 416**, Anesthesiologists Assistants bill. Passed the Senate 41-0, passed the House 60-5.
- Gave UNM more individual capital than any other legislator.
- Supported all of UNM's appropriations in the senate.

He was honored with a plaque.

The **Twenty-Year Service Award**, commemorating those legislators who have served 20 or more years of service to the New Mexico State Legislature, were given to **Senator Michael Sanchez** and **Representative Debbie Rodella**. The above awards were presented at the UNM Alumni Association Board of Directors Volunteer Thank You Reception on June 7 at the Albuquerque Biopark.

Garner greater recognition and visibility for Alumni Association programs and service to the university and community.

The Alumni Association awarded 14 **merit and need based scholarships** to UNM undergraduate and graduate students for the 2013-14 academic year. The Alumni Chapters around the country awarded \$14,000 to Amigo Scholars and Western Undergraduate Exchange (WUE) students attending UNM in the Fall of 2013 and Spring of 2014.

The **Alumni Memorial Chapel Garden** was dedicated to the University as a gift from the Alumni Association on May 31. President Frank accepted the gift for the University, its faculty, staff, and students. Approximately 100 alumni and friends attended the event. The new plaza, gardens, and celebration wall surrounding the Alumni Chapel deserve a special visit.

Gene V. Henley
Staff Council President (2013/2014)

Introduction to the Board of Regents

Gene Henley's Lobo roots date back to 1975, when he first began at UNM. During the years spent alternating between career and academic pursuit, he earned a BBA and MBA, both in Finance and Management, completed a master's in Public Administration, and is currently completing his doctorate in Organizational Learning.

After years of consulting work in the private business arena, Gene was appointed to serve as Deputy Superintendent of the New Mexico Regulation and Licensing Department. After five years working in Santa Fe, he came home to Albuquerque when he was appointed Deputy Director of the National Hispanic Cultural Center. Gene is currently the Associate Director and Academic Advisor at the UNM School of Public Administration. Working with UNM students on a daily basis and guiding them towards their future is a huge part of who Gene is.

Public service has always appealed to Gene so he ran and was elected to serve as a representative on the Staff Council. Now in 2013, he has been elected to serve as President of the Staff Council for the coming year.

Gene believes that it's important for the UNM Board of Regents and Administration, and the UNM community as a whole, to have a greater awareness of the important role of staff. During the past few years, with budget cuts and other pressing issues impacting the University, there is a sense among some that UNM staff members have been overlooked and their concerns not fully considered. Gene believes that by working together with the Board and Administration, staff can once again have the quality of life and security here at UNM that previously existed.

In order to be more accessible to you, the Board, as well as the Administration and staff, Gene will expand the Staff Council president's availability with regular meetings on various campuses, and establishing communication through email and Twitter accounts. He welcomes your comments and ideas, and would welcome in-person meetings as well, should your calendars permit. He can be contacted at scpresident@unm.edu.

Staff as a whole, is a critical component to the operations at UNM. In addition to managing UNM's workforce and ensuring that UNM remains a great institute of learning, it is the shared responsibility of leadership and staff to look more closely at the quality of the work-life here at UNM. Gene looks forward to working with the Board of Regents and the Administration to reestablish a great working environment for all staff.



GPSA @ UNM

Graduate And Professional Student Association

Regent President Fortner, President Frank, and members of the Board,

In my initial address to the UNM Board of Regents as GPSA President, I intend to present an overview of the current concerns that graduate students face.

In 2008, the U.S. underwent a serious financial crisis that has significantly impacted the economy of our nation as well as that of our state. As a result, UNM has undergone severe budgetary cuts that were later stressed by tuition hikes and the loss of subsidized loans to graduate students. In spite of constant financial pressure, graduate students have continued producing and sharing knowledge with the highest degree of motivation, competence, and commitment. This population has demonstrated outstanding professionalism and has contributed to research, enhancing the reputation of this university.

If UNM truly intends to further its mission as an R1 research institution, we must work constantly to create new strategies that will attract the best minds at the state, national, and international level. As a university, it is in our best interest to continue to focus our efforts on endeavors to alleviate financial pressure on our students and to create new funding for research.

I look forward to a year of successful collaboration with the Board of Regents and I believe that together we will push UNM to become a leading academic, research, and healthcare institution at both the national and international levels.

Thank you.

Priscila Poliana
GPSA President

S. J. RES. 16

JOINT RESOLUTION

WHEREAS, the Associated Students of the University of New Mexico (ASUNM) is the representative body of the undergraduate students; and

WHEREAS, the standards of the University Business Policies and Procedures Manual Policy 3100, titled EQUAL OPPORTUNITY & AFFIRMATIVE ACTION, states under section 3 that, “The University of New Mexico is committed to providing equal educational opportunity and forbids unlawful discrimination and/or harassment on the basis of race, color, religion, national origin, physical or mental disability, age, sex, sexual orientation or gender identity, ancestry, spousal affiliation, medical condition, or veteran status. Equal educational opportunity includes: admission, recruitment, academic endeavors, extracurricular programs and activities, housing, health and insurance services and athletics. In keeping with this policy of equal educational opportunity, the University is committed to creating and maintaining an atmosphere free from all forms of harassment.”¹; and

WHEREAS, freedom to access public restrooms is a basic human right; and

WHEREAS, persons who are not easily perceived as male or female often experience various forms of intimidation in gender-segregated restrooms that can threaten personal comfort and safety; and

WHEREAS, health issues can arise as a result of holding out for a more comfortable and welcoming atmosphere in a less convenient building; and

WHEREAS, universal restrooms can be used by anyone at the UNM campus; and

WHEREAS, not all restrooms on campus provide sufficient accessibility to all, regardless of any protected class status; and

WHEREAS, the availability and advertising of universal restrooms on campus are not sufficient; and

WHEREAS, currently, more than 150 campuses have universal restrooms, including Oberlin

¹ Administrative Policies and Procedures Manual - Policy 3100: Equal Opportunity & Affirmative Action, <http://policy.unm.edu/university-policies/3000/3100.html>

College, which has universal restrooms in its Student Union and every residence hall; and

WHEREAS; the University of California, San Diego has changed male and female signs at 88 single-stall restrooms in campus buildings²; and

WHEREAS, many of the aforementioned institutions with universal restrooms, including New York University, Ohio University, University of California, Los Angeles, and the University of Colorado Boulder list the locations of the universal restrooms on their websites³; and

WHEREAS, along with developing universal restrooms, some institutions, such as American University, Kent State University, Ohio State University, the University of California, Santa Barbara, and Washington State University, have implemented, or are in the process of implementing, policies requiring that all extensively renovated and newly constructed buildings include at least one universal restroom⁴; and

WHEREAS, ASUNM acknowledges the advent of gender neutral housing as tangible progress made by the University of New Mexico Residence Life and Student Housing, and aspires to follow those same standards; and

WHEREAS, ASUNM has previously sponsored three separate pieces of legislation calling for institutional support to design, implement, and financially commitment to universal restrooms; and

NOW, THEREFORE BE IT RESOLVED, that ASUNM supports the development of universal restrooms on the UNM campus in an effort to be accommodating and accessible to all; and

THEREFORE BE IT FURTHER RESOLVED, that universal restrooms be well advertised and made available on an online map with each physical location clearly marked with appropriate signage; and

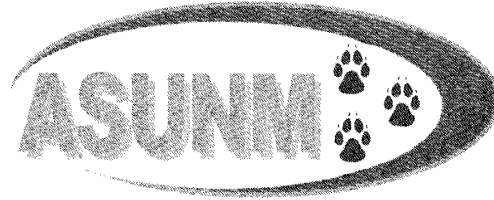
THEREFORE BE IT FURTHER RESOLVED, that University policy stipulates future planning and development of new or renovated buildings on campus incorporate at least one universal restroom; and

² *Ways that U.S. Colleges and Universities Meet the Day-to-Day Needs of Transgender Students*, Brett-Genny Janiczek Beemyn for the Transgender Law and Policy Institute

³ *Ways that U.S. Colleges and Universities Meet the Day-to-Day Needs of Transgender Students*, Brett-Genny Janiczek Beemyn for the Transgender Law and Policy Institute

⁴ *Ways that U.S. Colleges and Universities Meet the Day-to-Day Needs of Transgender Students*, Brett-Genny Janiczek Beemyn for the Transgender Law and Policy Institute

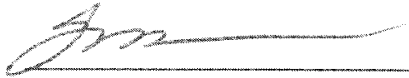
THEREFORE BE IT FURTHER RESOLVED, that a copy of this resolution be forwarded to the Board of Regents, the President of the University of New Mexico, the Provost-Executive Vice President for Academic Affairs, the Vice President of Student Affairs, the Associate Vice President for Student Life, the Associate Vice President for Student Services, the Office of Equity and Inclusion, Faculty Senate, Staff Council, GPSA President, GPSA Council Chair, Director of the Women's Resource Center, the Physical Plant Department, UNM Planning and Campus Development, and the Program Coordinator of the LGBTQ Resource Center.



**Adopted by the Associated Students of the University of New Mexico
on this third day of May in the year Two-Thousand and Thirteen.**



Caroline Muraida, President



Sunny Liu, Vice President