

Board of Regents' Meeting
October 8, 2013
9:00 a.m.
Student Union Building, Ballroom C

**The Board of Regents of the University of New Mexico
Meeting in Open Session and Executive Session
October 8, 2013, 9:00 a.m.
Student Union Building, Ballroom C
Executive Session-Luncheon, Cherry Silver Room
AGENDA**

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- Isaac Romero, President, ASUNM, **(Comments)**
- Gene Henley, President, UNM Staff Council, **(Comments)**
- Randy Royster, President UNM Alumni Association, **(Written Report)**
- Don Duszynski, President, UNM Retiree Association
- Carl Alongi, President, UNM Foundation

V. Public Comment

VI. Vote to close Open Session and proceed in Executive Session

Executive Session

1. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)
2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
3. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)

VII. Vote to re-open the meeting and certification that only those matters described in Agenda Item VI were discussed in Executive Session and if necessary, final action with regard to those matters will be taken in Open Session.

VIII. Approval of Amendment to Bylaws of Lobo Development Corporation.....25B
David Harris, EVP for Administration, COO, CFO

IX. Vote to Adjourn

- X. Addendum: Minutes approved at the HSC Board of Directors 10/4/13 Meeting26
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- b. UNM Hospital Board of Trustees August 23, 2013 Meeting
- c. UNM Medical Group, Inc. Board of Directors July 10, 2013 Meeting
- d. UNM Sandoval Regional Medical Center, Inc. Board of Directors Aug. 21, 2013 Meeting
- e. OMI Board of Directors June 25, 2013 Meeting
- f. Carrie Tingley Hospital Advisory Board July 22, 2013 Meeting

The University of New Mexico
Board of Regents' Meeting
September 10, 2013
Student Union Building, Ballroom C
(DRAFT) Meeting Minutes

Members present: President Jack L. Fortner, J.E. Gene Gallegos, Bradley C. Hosmer, Conrad D. James, James H. Koch, Suzanne Quillen, Heidi Overton (Quorum).

Administration present: President Robert Frank, Provost Chaouki Abdallah, Executive Vice President for Administration David Harris, Chancellor Paul Roth (HSC), Vice President Josephine de Leon (Equity and Inclusion), Interim Controller Elizabeth Metzger, Sr. Executive Officer Ava Lovell (Finance and Administration), Vice President Eliseo Torres (Student Affairs), Interim Vice President Jewel Washington (Human Resources), Vice President Michael Dougher (Research) University Counsel Elsa Cole, Vice President Paul Krebs (Athletics) Associate Vice President Andrew Cullen (Planning, Budget & Analysis) Associate Vice President Terry Babbitt (Enrollment Management), Associate Provost Greg Heileman, Interim Dir. Real Estate Tom Neale.

Regents' Advisors present: President Richard Holder (Faculty Senate), President Gene Henley (Staff Council), President Randy Royster (Alumni Association), President Isaac Romero (ASUNM), President Priscila Poliana (GPSA), President Don Duszynski (Retiree Association), President Carl Alongi (UNM Foundation).

Regent Fortner called the meeting to order at 9:11 a.m.

I. Confirmation of a Quorum; Adoption of the Agenda, Regent President Fortner

Motion to adopt the Agenda as amended passed unanimously (1st Hosmer, 2nd Koch).

Regent Gallegos requested that Finance and Facilities, Information Item 9, Tab 17, Status Update Human Resources be taken off the agenda. The Audit Committee on September 19 will hear a complete presentation on the subject.

II. Approval of the Minutes from the August 13, 2013 Regular Meeting, Regent President Fortner

Motion to approve the minutes of the August 13, 2013 meeting passed unanimously (1st Gallegos, 2nd Overton).

III. President's Administrative Report, President Robert Frank

President Frank provided an update on the Innovate ABQ project. He stated that New Mexico has great science and a thriving creative community with talented entrepreneurs. There is an "entrepreneurial personality" in New Mexico and a small, growing ecosystem of start-ups, but no concentrated research district in Albuquerque. There is a scattering of smaller research districts across the city and because there is not a true concentration, it hinders New Mexico in building a real focus on it. A research district creates a mutually supportive relationship between a scientific institution and a concentration of technology businesses. Collision is a key word because in research districts people run into each other at various places, which sparks collaboration. These types of opportunities are less likely to happen if they are outside a mile radius, so research districts have to be dense. The most successful districts are first centers of innovative science. Innovation is not only a product of the lab, but is driven by people and the ability of people to share and develop ideas together. A research district will bring the pieces together. Research districts are driven by a university, but expand to be owned by the community.

One distinct research district can be found at the University of Florida, Innovation Square. Another research district can be found at the University of Arizona, Sky Song. President Frank and other UNM administration have visited these research districts, which are both remarkable. Innovation Square at the University of Florida is a live, work, play community. Sky Song at the

University of Arizona is an incubator type model and had a large investment from the community of \$80 million.

Innovate ABQ will be a highly connected community where people live, work and play. It will leverage the research power of UNM and the workforce development engine of CNM. It will engage the City of Albuquerque, County of Bernalillo and the State of New Mexico. It will focus on connecting with Albuquerque's Entrepreneurial and Established Business Community. The goal is to create new companies, grow existing ones and attract more out-of-state business and individuals who will expand our ecosystem and bring knowledge companies to NM and engage the entire state.

The living aspect of Innovate ABQ will encompass entrepreneur dorms for students, apartments for young professionals and management talent, and consist of shared spaces and communal green space. The play aspect of Innovate ABQ will encompass communal green space, cafes, restaurants, exercise facilities, grocery, dry cleaners, drugstores, entertainment venues and various retail.

Innovate Downtown @ Central and Broadway is a 7-acre site along historic Route 66, which UNM has an interest in purchasing from the First Baptist Foundation. The existing buildings could be reused in near and long term phasing of the project. It will leverage existing neighborhood amenities. It is in close proximity to a large supply of small parcels and buildings that can be adapted incrementally to support Innovate ABQ. It is adjacent to the Rail Station and Rail Yards. It is in proximity to WESST, Tricore and Verge Incubator. It is near the Metropolitan Redevelopment Area, with possible tax incentives and TIDD. The zoning is SU-3 Special Center Zone. President Frank presented current site images and discussed the site context, drawings/renderings and various UNM start-up locations around Albuquerque. It is very close to UNM campus, approximately a 90-second drive.

In response to Regent Inquiry, President Frank stated that a number of opportunities have come available for UNM to solely purchase the Innovate ABQ property.

Lisa Kuuttilla has been leading the effort on Innovate ABQ. STC.UNM has been successful in developing startups based on UNM technologies over the past 7-10 years. Those startups have been located in NM and have been fortuitous compared with peer institutions. Ms. Kuuttilla presented a map of where startups are located around Albuquerque. There is some capability of incubating startups at STC Park but as they grow, they look for inexpensive space around town and locate in multiple sites. There are some small concentrations at the BioScience Center, Research Park and WESST incubator, but they are both at capacity. Therefore, there is a great need for additional space to incubate companies and for companies to begin to grow in those early stages. There is an opportunity to purchase a building at Mesa del Sol called the Aperture building; it would be an ideal short-term way for us to get the Innovate ABQ project off the ground. It is a beautiful building, 78,027 sq ft, south of the Albuquerque Sunport. It would complement some existing University activities already on going there, the smart grid project, a partnership with NEDO the Japanese government. In addition, the IFDM program, this has students in and out of that building on a regular basis. We would envision the building utilized for incubation space; STC would locate there and help fill the building up with tenants as we are developing the site Innovate ABQ downtown. You would notice the brand for this would be Innovate ABQ @ Mesa. We also have a concept that we've talked to a number of research partners about a NM Technology Transfer Center, and that would include potentially Tech Transfer offices or portions from Sandia National Laboratories, AFRL, Lovelace Respiratory Research Institute, UNM, and the other labs and universities. Images of the Innovate ABQ @ Mesa were displayed. In response to Regent inquiry, the item will be going to Lobo Development for approval the month of September 2013.

One of the goals is to create Innovate hubs across New Mexico, such as an Innovate Farmington, Innovate Hobbs, so that if we can create little spin-offs that drive the economies around the state,

there is substantial opportunity around NM State. Involving Sandia Labs, Air Force Research Lab, and Los Alamos Labs, and Lockheed is also in the works. UNM HSC can help reach around the state through the Hero Program and other programs we operate. There are ways we can use our statewide reach to do this Innovate reach around the state and we are interested and willing to explore how we drive innovation throughout the state and make this a great opportunity. Connected by a statewide network sharing affiliated programming and resources.

The Mayor is committed in a Bond voted on in the next city election for funds dedicated to the project. He has been a great partner with us thus far and we are in a very positive conversation with the County Commissioners, we know that two county commissioners have indicated strong support and the third is not negative. We have a number of businesses interested in collaborating with Innovate ABQ and who have indicated very strong philanthropic interest in working with us so we are very close to solidifying all those interests. We have a \$1.5 million federal grant that has been committed to us. We have a number of things that are very strong all of these are coming together in ways we need to make commitments to in the next matter of days or weeks. Therefore, we will be talking in closed session on the steps we need to move forward on these. President Frank stated that there are a number of moving parts to the Innovate ABQ project and he felt it was important to provide an update to the Board.

Comments from Regents (none)

Public Comment, specific to agenda items (none)

ACTION ITEM:

IV. UNM Foundation, Revisions to Consolidated Investment Fund Investment Policy

Proposal #1-- Motion to approve the wording of the proposed policy with the words, ‘toward a goal of 5%’ added to the end: “The Investment Consultant shall identify at the close of each quarter any actively managed accounts which are in excess of 5% of total assets. The Investment Committee shall consider whether the concentration in these accounts warrants rebalancing toward a goal of 5%,” passed unanimously, (1st Hosmer, 2nd Koch)

Proposal #2—Motion to approve proposed policy: “Assets shall be invested within the following maximum and minimum ranges for each asset class:

<u>Asset Class</u>	<u>Percent of Total Assets</u>	
	<u>Minimum</u>	<u>Maximum</u>
Domestic Equity(1)	10%	50%
International Equity(1)	10%	40%
Fixed Income/Cash	10%	50%
Illiquid Real Assets	5%	15%
Private Equity	0%	15%
Marketable Alternatives	5%	20%

(1)The combined maximum allocation to domestic and international equities shall not exceed 70% at any point in time.” Passed with 6 to 1 vote, in favor, Regent Gallegos with dissenting vote. (1st Koch, 2nd James).

Michelle Coons, Vice Chair UNMF Board of Trustees, Carl Alongi, and Rodney Harder, were there to give the presentation, along with investment consultants from Hewitt Ennisknupp, Inc.. Regents’ approval was requested for revisions to the UNM Foundation (UNMF) Consolidated Investment Fund Investment Policy. The first recommendation for revision is that the Investment Consultant shall identify at the close of each quarter any actively managed accounts that are in excess of 5% of total assets. The Investment Committee shall consider whether the concentration in these accounts warrants rebalancing. The rationale for this revision was the result of an internal audit issue. We recently were cited that in our current policy we are not allowed to have any actively managed accounts to be limited to more than 5% of our total assets. As I think many of you are aware with

the extreme volatility in the market, they cited the situation where our investments had at one point reached 5.2% in 1 investment and 5.6% in another. We have discussed at length with our investment committee and our proposed policy change in this is that at the end of any quarter in any situation where we have an actively managed account that exceeds the 5% of total assets that the committee shall consider whether it is prudent to actually change that amount so we think that this recommendation allows us that we will not have in very volatile times for the market to have an audit violation and that our group will discuss at length at the end of each quarter.

Regent Gallegos asked when an acceptable level of concentration is. His feeling from the investment committee is that if we set a very hard limit it is requiring staff to instantly respond and not allow our professional advisors to give their comments in reference to it. As being the banker on the committee (Coons) I am the conservative person and point out that 5% is a reasonable amount and I think we will have extensive conversations any time we reach that 5%. I see that very rarely we will exceed that 5% but if there is a very extreme movement in the market and we believe that we should be slightly over weighted with that one investment that may prevail, but she wants to bring back the fiduciary responsibility of the investment committee with a wide variety backgrounds so we were not proposing a hard limit I think that they will continue to stay very close to the 5% mark.

Regent Koch requested Doug Brown, Dean of the Anderson School of Management, to give his thoughts on the item. Dean Brown stated that on this particular matter, this is all about rebalancing policy. When do you come back to the accepted norms? Do you come back exactly to 5.0%, or do you go something short of it? I think a little flexibility is in order because why are you exceeding it? Generally because you are doing well, and so I think it is really important that you not seal off your winners unduly but you leave it to the judgment and maybe add some parameters that you should in no case exceed it by more than 1 full % without coming back for a reallocation or discussion. That would be my review.

Regent Gallegos stated that the way the proposed policy is written, it no longer has a concentration limit. It is perfectly acceptable to have some flexibility, but wouldn't it be prudent for the proposed policy to say that 5% is still the target with flexibility, now the way that the proposed policy is written, there is no target, it could theoretically could be 8% or 10% so I would suggest from the standpoint of the language that you still have the target so you do not have over concentration.

Michelle Coons stated that that is acceptable to the group to call out a target.

The Investment Consultants to the UNM Foundation (UNMF), Satya Kumar and Steve Voss, Hewitt Enniskupp, Inc. work with the investment company on the oversight and administration of the investment program. On the issue of 5%, what we are talking about there is a dollar percentage allocation based on dollars when we think about investment programs our focus tends to be on risk so we think about an investment at the 5% level it tells us nothing about how much risk that investment contributes to the portfolio, so an investment is 2% of the total assets could actually contribute a very significant amount of risk to the portfolio, whereas an investment that is 10% or 15% of total assets could have a small level of risk, so with regard to that particular issue, the way we think about investments is to really focus on risk, we think the 5% guideline to bring it back to the investment company for discussion makes a lot of sense in that there will be deliberate discussion on how much risk is there contributing, why is it more than 5% etc. but we think the flexibility makes sense and focusing on the risk and the contribution of that investments risk to the overall portfolio should really be the primary focus.

Regent Gallegos stated that the point is how the drafting of the proposed policy is so worded that there no longer is a concentration target or policy; we are not disputing the idea of flexibility we understand that something may be performing so well that it goes over the 5%. And you don't want to dump it. All we are saying is just the way the proposed policy is written, you still want to have some idea that you're avoiding concentration as a basic investment strategy with flexibility. Do you argue with that?

Steve Voss stated that they like the wording currently and feel that flexibility is important. We do find that hard limits in manager concentration is a bit of a dated risk control mechanism as Kumar stated we do like instead to have conversations about the investment program, individual investment managers, strategies, look at it in a holistic view on a quarter by quarter basis so that your investment committee can make a good informed decision. However, the guidelines of yesteryears did have hard policy limits of 5% or 10% to specific managers or strategies, we do not really see that much anymore in best practice with foundations or other institutional investment programs so what we are advocating is to migrate toward best practice to give your investment committee from the foundation a little more flexibility to implement the program based upon risk not nominal dollars allocated to a specific manager or specific strategy. And that is what the prescribed language would allow for.

Bob Goodman, President/CEO - Sandia Foundation agreed with what has been said about having the flexibility. Regent Gallegos I hear you saying you still want the 5% limit as a guideline with flexibility to look at it beyond that.

Regent Fortner asked that the 5% at one point was best practices and your saying now it is not? Is a specific percentage best practices or simply not to have a percentage.

Steve Voss stated it is more common in best practice not to have a hard and fast percentage to individual strategies or managers, the hard and fast percentages should be to asset allocation or broad asset classes such as US Equities, non-US Equities, fixed income or other such asset classes. Those are in place now and there are narrower ranges around those targets as well that you approve. That is a best practice and to allow your investment committee and investment managers that they select to buy stocks and bonds and other securities in the portfolio to add value to the investment program on a risk adjusted basis.

Paul Robell, of University of Florida, stated that the key thing is the asset allocation and how much goes to each manager should be left to Hewitt the advisor you are paying a healthy fee too. Asset allocation should be the responsibility of the investment committee, which it is, and should be reviewed periodically, yearly. Asset allocation should be the direction of the committee.

President Frank stated that the key issue is, do the Regent's dictate the 5% by policy, or do we stay silent on that and what is the Regent's policy statement?

Regent Gallegos would like for rewording of the policy to include a target of 5%.

A presentation was given on the annual investment program update prepared by Hewitt Ennisknupp, the UNMF Investment Consultants. The UNMF Endowment Investment performance as of June 30, 2013, net of investment management fees, was discussed. In every instance, the performance has been in excess of the benchmark. The allocation of the portfolio was discussed, the actual portfolio allocation and 0% to illiquid. Every year NACUBO and the Common Fund prepare an annual survey on 831 different universities. The survey indicates the UNMF is in line with the peer groups. UNMF's portfolio has generated favorable returns over time on an absolute basis. Private equity incorporates many sub-strategies and entitles the investor to a share of company's profits and assets. It includes companies operating across all industries. Private equity is best suited for investors with long-term/perpetual time horizons. It is also best suited for investors with tolerance for illiquid investments, well-resourced capabilities, and sufficient asset size. No new commitments to private investments have been made by UNMF since 2010. Exposure continues to exist due to prior commitments made between 2005 and 2010. Based on existing commitments to illiquid investments, UNMF's private investment allocation would be eliminated over the course of 10 years. Only 12% of NACUBO institutions do not allocate to private institutions. Positive attributes of private investments are that higher return asset class attracts high-caliber talent, can improve a portfolio's risk-adjusted returns and can allow opportunities to generate significant value-add relative to public market. Negative attributes of private investments are reduced

transparency, higher manager fees, wide dispersion in talent, and illiquid long-term investments. Over the long term, illiquid investments, such as private equity, have delivered superior returns relative to other asset classes. The UNMF believes that private investments are an integral component of a well-structured institutional investment plan and encourages the Board to consider allowing new commitments in illiquid investments and enlarge the amount of private equity in the portfolio.

If the investment has reached the ceiling, sometimes it is best to not make a reaction, but carefully consider adjusting at that time. It may be beneficial to be slightly out of balance with the policy. For example, on August 14, 2012 the management consultant noted that we were in excess of 5% on two assets, one was 5.2% of total assets and one was 5.6%. The Foundation and the Investment Consultants did not feel that immediate corrective action was warranted for these types of situations. The Foundation feels that a little more flexibility in the investment policy will allow for better management of the account.

The second recommended item was that the Board approve that assets shall be in the following maximum and minimum ranges for each asset class:

<u>Asset Class</u>	<u>Percent of Total Assets</u>	
	<u>Minimum</u>	<u>Maximum</u>
Domestic Equity(1)	10%	50%
International Equity(1)	10%	40%
Fixed Income/Cash	10%	50%
Illiquid Real Assets	5%	15%
Private Equity	0%	15%
Marketable Alternatives	5%	20%

(1)The combined maximum allocation to domestic and international equities shall not exceed 70% at any point in time.

V. Regent Committee Reports (Reports are included in BOR E-Book)

Finance and Facilities Committee, Regent James H. Koch, Chair

Consent Items:

Motion to approve consent agenda items 1 & 2 passed unanimously (1st Koch, 2nd James).

1. Monthly Consolidated Financial Reports

Liz Metzger reviewed the preliminary June 30 final fiscal year-end balances. The University's books have closed, which is a preliminary close as numbers are being finalized and reconciled. The summary page indicates that the net bottom line for current operations at the end of June is a use of reserves of approximately \$6 million. For the Instruction and General (I&G) category, total tuition and fee revenue actuals were 99% to the budget benchmark. The amount of \$23,594,797 for I&G reflects the amount of approved budget dollars, and \$8,271,387 of reserves (prior-year balances) was spent in FY13, which is in line for the budget presented for FY13. The one-time pay supplements came out of reserves, totaling around \$2-3 million. The use of reserves is primarily with Main Campus; HSC has I&G funds and budgeted zero use of reserves and came out at zero use of reserves. For the Research area, unrestricted research, the primary source of revenue is the F&A return used for start-up funds, new faculty hires, and cost sharing awards. The FY13 budget presented and approved is around \$13 million use of reserves. In the Unrestricted Research exhibit there was \$13 million budgeted use of reserves and the prior-year balances were not fully used so the exhibit came out ahead. Some is money committed over a course of three years for certain cost-shares, etc. For the Public Service exhibit, only main campus budgeted prior year balances; HSC budgeted a \$600,000 profit and came in right at that amount. Main Campus budgeted \$6 million use of reserves and came in ahead of that at \$1.4 million. We also came in over budget in gifts. For the Student Aid exhibit, typically they are

spending for scholarships from prior-year balances. The actuals came in over budget attributable to the gifts and transfers, and the timing of the funds coming in from the Foundation. The Student Activities exhibit projected using \$600,000 of prior year balances and did not; they came in close to break-even.

Liz Metzger discussed the Auxiliary exhibit, in which all have come out positive except Taos & Lawrence Ranch. The Golf Course ended the year with a \$5,000 surplus, which is a positive improvement over the past few years. Housing and Food services budgeted for prior year reserves to cover costs. Overall, expenses exceeded revenue by \$946,996. Possible reductions in awards from the federal government could occur this year and will be watched closely. Lobo Cash department will also be looked at closely this fiscal year. The Sponsored Programs exhibit always comes out at a break-even because we only spend what we are given and cannot over spend. Athletics is working on reducing the deficit and has developed a plan which was presented at F&F.

In response to Regent inquiry, the 3% HED requirement of reserves was met. We budgeted \$6 million in one-time funds for one-time pay supplement and ongoing operations. These are budgeted carry-forward balances, not necessarily reserves.

2. Disposition of Surplus Property for Main Campus on list dated 8/16/13

Bruce Cherrin gave the presentation. There are several projects on the disposition of surplus property list for August, none of which have net book value.

Action Items:

3. Approval of Capital Projects:
 - a. Castetter Hall Biology Phase 3
 - b. Science and Mathematics Learning Center Phase 3
 - c. UNM Gallup Campus Career Education Building HVAC Replacement
 - d. UNM Valencia Campus Sustainability and Infrastructure
 - e. UNM Los Alamos Science Classrooms Renovation

Motion to approve the five Capital Projects passed unanimously (1st Fortner, 2nd James).

Chris Vallejos and Rick Henrard gave the presentation. Regents' approval was requested for Capital Projects. Castetter Hall Biology Phase 3 will complete the remaining 11,000 square feet of shell space of the Phase 2 addition. It will finish-out four labs, seven offices, and other support areas. Also included is the demolition of the old Biology Annex. The funding is \$3,850,000, of which \$3 million is funded from 2012 General Obligation Bonds and \$850,000 is funded from 2013 Severance Tax Bonds. The project is currently in the design phase, which started in July 2013 and is expected to be completed in the end of November 2013. The construction start date is set for January 15, 2014.

The Science and Mathematics Learning Center Phase 2 proposed addition will contain 12,450 square feet of space on four floors. The facility will provide two state-of-the-art science laboratories for Organic Chemistry on the 1st and 2nd floors. The bid documents will include additive alternatives to include build-out of eleven offices for faculty and a teaching science laboratory for Earth and Planetary Sciences. The total estimated project budget is \$5 million, which is coming from 2013 Severance Tax Bonds. The project is currently in the design phase, which started in August 2013 and is expected to be completed in May 2014. The estimated construction start date is July 2014 and project completion estimated for May 2015.

UNM Gallup Campus Career Education Building HVAC Replacement will replace all existing heating, cooling and ventilation systems, which are beyond their service life. The equipment will be replaced with new high efficiency models. Total estimated project budget is \$1.2 million, and is funded from 2012 McKinley County General Obligation Bonds.

UNM Valencia Campus Sustainability and Infrastructure project will convert the Building Automation Systems in all of the buildings on the Valencia Campus from INET to Automated Logic Controls, which will allow for energy conservation. The project will also restructure the campus chilled water distribution and ice storage system to improve capacity, functionality, and redundancy. Total estimated project budget is \$700,000, and is funded from 2012 State General Obligation Bonds.

UNM Los Alamos Science Classrooms Renovation will provide 2,142 square feet of improvements to teaching wet labs and a chemical storage room, which are used for Geology, Physics, Biology, Nano, and Environmental Science. The estimated project budget is \$750,000, of which \$500,000 is funded from 2012 General Obligation Bonds and \$250,000 funded from local funds and donations.

4. Approval of Funding Requests for Research and Public Service Projects, Submission to the Higher Education Department

Motion to approve the Funding Requests for Research and Public Service Projects, Submission to the Higher Education Department passed unanimously (1st Fortner, 2nd Hosmer).

Provost Chaouki Abdallah gave the presentation. Regents' approval requested for the Funding Requests for Research and Public Service Projects (RPSP), Submission to the Higher Education Department (HED). There are seven RPSPs that requesting new funding or an expansion of previous funding. Four are new proposals, three are an expansion of funding and three are for non-recurring funds.

The first request is for VentureSpace from the School of Engineering, which is a program that is space research and operations oriented. NM is well positioned to take a leadership role in the development, deployment, and operations of future space systems technologies. It fosters entrepreneurship and helps startup companies out of the VentureSpace initiative, while involving students in this process. The new FY15 request is for \$250,000.

The second request is for the Design Planning Assistance Community Collaborative (DPACC) from the School of Architecture + Planning. This project provides environmental research, planning, policy development, and design assistance to qualified non-profits, small municipalities, Native American tribes and neighborhoods that are committed to grassroots efforts to improve their physical, social, and economic fabric of their communities. Most of these smaller communities do not qualify for main stream funding from the Department of Economic Development, which makes this a special program. The new FY15 request is for \$947,000.

The third request is for Degree Plans, which is a project developed through the Provost's Office to help improve graduation rates. Regent Hosmer and the Academic and Student Affairs Research committee felt this was something UNM could receive funding for and roll out state-wide to help other higher education institutions with their graduation rates. This is a non-recurring request in the Special Appropriation section of House Bill 2. FY15 request is for \$300,000.

The fourth request is for the Utton Transboundary Resource Center/ Ombudsman from the School of Law. The funding request will allow the Utton Center to rely on its in-house attorneys to carry out the Ombudsman Program; the water rights research, writing, and publications for which the center has come to be relied upon; continuation of the repository of Native American water case settlement documents and other water-related cases; and its new work in the areas of drought and water-energy nexus. The Legislature has also created a sub-committee for drought issues in the state, and the Utton Center has been very supportive and helpful of that process. The FY15

expansion request is for \$320,590, bringing the Utton Center back to their original level of funding that was cut during the solvency years in the Legislature.

The fifth request is for the Planning School Turnaround in NM which is a program on Turnaround Teacher Management Training. The Anderson-COE-NMSLI collaboration will deliver comprehensive, rigorous training to New Mexico school principals in low-performing schools to turnaround and achieve higher results. The FY15 non-recurring request is for \$147,555.

The sixth request is for Family Development through the UNM Family Development Program. The program provides outreach for community based education in support of NM's standards for high quality early learning. The FY15 expansion request is for \$244,938 to restore previously cut funding.

The seventh request is for the Student Mentoring Programs/College Prep Mentoring with the Mentoring Institute in the Division of Student Affairs. The program aims to increase the retention of students in K-12 education by way of mentoring and tutoring. This program specifically targets first generation and low income New Mexicans, striving to prepare students for the transition to higher education. The FY15 expansion request is for \$335,618 in order to expand the outreach of the program.

Information Items:

5. Monthly Capital Project Status Report

Chris Vallejos gave the presentation. Information was provided to the Regents' on the status of current capital projects. As of August 2013, there are 35 active projects with total funding of \$42,726,138.

6. UNM Regents' Endowment Fund Portfolio 3rd and 4th Quarters

Leslie Boni made the presentation. Information was provided on the Regents' UNM Endowment Fund Portfolio managed by students at the Anderson School of Management. For the 3rd Quarter, the portfolio value on March 31 was \$2,099,220.27. For the 4th Quarter, the portfolio value on June 30 was \$2,079,114.99. The investment returns closely mirrored the S&P 500 index. Since its inception in 2006, the portfolio has had a 55.3% total return. The students have greatly enjoyed working on the Regents' Portfolio, which has been a success.

7. FY13 Year-End Undesignated Reserve Report

Andrew Cullen made the presentation. Information was provided to the Regents' on the FY13 Year-End Undesignated Reserve Report. The year-end reserve for FY13 was \$9.780 million, which was an increase of \$1.048 million from FY12. The Higher Education Department recommends a minimum reserve of 3%, which UNM meets.

In response to Regent inquiry, projects on the list that had previously been denied funding during the budget process but were approved for funding with reserves, were recommended and approved by the President, Provost and EVP for Administration.

8. Contract Information:

- a. UNM Athletics/ Baseball Field Lighting – DKD Electric LLC
- b. UNM Ticketing Services – Enta Software

Bruce Cherrin, Paul Krebs and Chris Vallejos gave the presentation. Information was provided on the Baseball Field Lighting project. There were six responses to the RFP and DKD Electric LLC was chosen. It also included a sponsorship of \$174,770. UNM Athletics has received \$297,840 in private donations for the project and will announce in the coming weeks, a donation of half a million dollars to go towards the Baseball program.

Information was provided to the Regents on the Enta Software, which has allowed UNM Ticketing Services to become self-sufficient and retain all service fees. The software is adaptable and can be utilized for almost any event. The NM State Fair has contracted out to UNM for ticketing services. UNM had previously worked with Ticket Master, which would keep 50-60% of the service fee.

9. ~~Status Update Human Resources~~
 - a. ~~VEBA Advisory Board~~
 - b. ~~GASB Actuarial Data~~
 - c. ~~GAP Insurance RFP Status~~

*Per Regent Gallegos' request, Information Item 9 removed from agenda, will be presented at the BOR Audit Committee Meeting on September 19, 2013.

10. Update 1650 University NE

EVP David Harris gave the presentation. An update was provided to the Regents on the status of the 1650 University NE project. EVP Harris stated that the project will be presented to the State Board of Finance for approval on September 17.

Health Sciences Board, Regent Suzanne Quillen, Chair

Action Items:

1. Resolution Recommending Approval of UNMH Contracts with Pacific Interpreters, Inc. and Press Ganey Associates, Inc.,

Motion to approve the UNMH Contracts with Pacific Interpreters, Inc. and Press Ganey Associates, Inc. passed unanimously (1st Quillen, 2nd Gallegos).

Regent Quillen gave the presentation. Requesting Regents' approval for the UNMH contracts with Pacific Interpreters, Inc. and Press Ganey Associates, Inc. Pacific Interpreters, Inc. is a comprehensive language service provider enlisted to eliminate the language barrier between Limited English Proficient (LEP) and Deaf individuals. Request is for a one-year agreement for telephonic and video interpreting with over 200 languages, total cost is up to \$550,000 per year. Press Ganey Associates, Inc. is a company that administers patient satisfaction surveys for hospitals. Request is for a three-year agreement and total cost ranges from \$500,000 to \$540,000 per year.

In response to Regent inquiry, there are no local NM firms that administer patient satisfaction surveys. There are only three companies nationwide and Press Ganey was selected because they are the top company for these types of surveys.

2. Approval of HSC Legislative Funding Requests FY2015

Motion to approve the HSC Legislative Funding Requests FY2015 passed unanimously (1st Quillen, 2nd Overton).

Chancellor Paul Roth gave the presentation. Regents' approval was requested for the FY2015 HSC Legislative Funding Requests. There are nine requests for funding, which total \$9,560,700.

The first request is for the Medical School for funding to address the salary inequities with current faculty physicians with I&G funding. The request will also help the School of Medicine get the entire medical faculty up to the 33rd percentile of AAMC salary schedule. The requested amount is \$3,944,700.

The second request is for Nurse Practitioner Education to increase Family Nurse Practitioner (FNP) and Certified Nurse Midwifery (CNM) admissions, graduate an additional 18 students per year, increase certification exam pass rate above 80%, and allow for 80% Advance Practice Nursing Students to have at least rural rotation. FNP, CNM and Pediatric Nurse Practitioners will help alleviate the primary care shortage in the state, as more New Mexicans will become insured in 2014. The requested amount is \$1,504,800.

The third request is for the BA/DDS Degree Planning Funds to start the Combined BA/DDS program, modeled on the BA/MD program, with some adjustments. This will be a recurring request and is designed to help address the critical dental shortage in New Mexico by providing educational opportunities to students who are most likely to stay in NM to practice dentistry. It will also encourage a greater diversity of students trained as dentists. The requested amount is \$400,000.

The fourth request is for the Project ECHO (Extension for Community Healthcare Outcomes) which improves access to specialty care for underserved patients in urban and rural areas of New Mexico. It enables the delivery of complex specialty care to patients with hepatitis C, diabetes, HIV/AIDS, chronic pain, women's health, dementia, and substance use disorders. The requested amount is \$1,100,000.

The fifth request is for the Center for Health Care Workforce Analysis. The Health Care Workforce Data Collection, Analysis and Policy Act was signed by the Governor in February 2012, and authorizes the transfer of health care professional licensure and survey data from the Department of Health to UNM HSC. It is housed in the UNM Office for Community Health and the data is housed at the Clinical Translation Science Center. State support is needed to provide meaningful recommendations to stakeholders and to build the healthcare workforce database. The requested amount is \$322,600.

The sixth request is for the Health Extension Rural Offices (HEROs) program. The program will build on the highly effective cooperative extension model, bringing the diffusion of innovation, the transmission of best practices and on-ground assistance to communities across the state, particularly those with low health outcomes. The request would fund 5 additional HEROs and 2.5 administrative support FTE HEROs throughout New Mexico. The requested amount is \$496,600.

The seventh request is for the Office of the Medical Investigator (OMI) Utilities. The OMI is the centralized, statewide examiner for NM and is charged with performing medicolegal death investigation on all reportable deaths in NM to determine cause and manner of death. The OMI moved from an 18,000 sq. ft. facility to a 60,000 sq. ft. facility to provide room for growth and enhanced safety. The facility requires significantly more power and water to operate and the utilities have doubled from the older facility, which will require more support from the state. The requested amount is \$302,000.

The eighth request is for the UNM Pain Center to provide pain and addiction continuing medical education to all New Mexico clinicians. New Mexico is ranked second in the United States for unintentional opiate overdoses, with doctors being the primary source of acquisition of the prescription medication and the UNM Pain Center's function is to educate regarding safe opiate prescribing and public health crisis of Chronic Pain and addiction. The requested amount is \$1,000,000.

The ninth request is for the Center for Childhood Maltreatment, which serves as the only referral source for New Mexico for suspected cases of child abuse, addressing the public health problem with limited resources. The request is to establish a collaborative child maltreatment program at the UNM Children's Hospital of experienced specialists to work with multidisciplinary agencies statewide. This will allow for education and outreach. Long-term goals will include a statewide online network to assist other healthcare providers and CYFD with medical evaluations. The request is \$440,000 for Phase I; to continue with a multi-year phase of \$1,344,600.

3. Approval of First Amended and Restated Bylaws of the UNM HSC Board of Directors

Motion to approve the First Amended and Restated Bylaws of the UNM HSC Board of Directors passed unanimously (1st Quillen, 2nd Fortner).

Regent Quillen gave the presentation. Requesting Regents' approval for the first amended and restated bylaws of the UNM HSC Board of Directors, which changes the composition of the HSC Board of Directors to five voting members. Three will be Regents' and two will be voting community members, selected by the Board and President of the Regents'. The sitting Chair of the UNM Hospital Board of Trustees shall serve as an ex officio, non-voting member of the Board.

4. Approval of Revised Regents Policy 3.5, "UNM Health Sciences Center Board of Directors"

Motion to approve the Revised Regents Policy 3.5 "UNM Health Sciences Center Board of Directors" passed unanimously (1st Quillen, 2nd Koch).

Regent Quillen gave the presentation. Regents' approval was requested for the revised Regents' policy 3.5, UNM HSC Board of Directors. The composition of the Board was amended to five voting members, three Regents, and two voting community members. The sitting Chair of the UNM Hospital Board of Trustees shall serve as an ex officio, non-voting member of the Board.

5. Approval of Nomination of Erich P. Marchand, MD to Carrie Tingley Hospital Advisory Board

Motion to approve the Nomination of Erich P. Marchand, MD to Carrie Tingley Hospital Advisory Board passed unanimously (1st Hosmer, 2nd Koch).

Ella Watt gave the presentation. Regents' approval was requested for the nomination of Erich P. Marchand, MD to Carrie Tingley Hospital Advisory Board. On the Board, there are Physicians and parents of previous patients. Dr. Marchand is a practicing physician at UNM Hospitals in the Department of Neurosurgery and UNMH believes he would be a great addition to the Carrie Tingley Advisory Board.

Information Items:

6. UNM Contracts with Owens and Minor Distribution, Inc.

Regent Quillen gave the presentation. The contracts with Owens and Minor Distribution, Inc. were discussed. Request for purchase of Implant Purchasing Manager software system from Owens and Minor, which allows for streamlining the purchasing of the implants used for Orthopedic, Spine, Cardiac and other critical areas. It reduces the staffs manual research for the most cost-effective and efficient implant. It went through the RFP process and Owens and Minor was the only respondent for a total cost of \$330,000.

7. HSC Financial Update

Ava Lovell gave the presentation. Ava Lovell presented the year-end information for the Health Science Center (HSC). The metrics report was discussed. For five years, HSC has been using carry-forward balances, which was planned. For FY13, HSC used \$6 million of carry-forward balances; some were used for capital expenditures, cost-shares, and start-up packages. The budget approved for FY14 only has a budgeted use of \$800,000 carry-forward balances. The Medical Group used carry-forward balances in FY12 and broke even in FY13. UNMH received a settlement on upper payment limit and larger dividend from TriWest, which brought them up to a positive \$4.4 million; they were previously showing a loss of about \$3.4 million. The Sandoval Regional Medical Center (SRMC) has been open for 10 months and posted a first year loss of \$11.9 million. For Uncompensated Care, the Cancer Center saw a 15.3% increase and the Medical Group saw a 19.5% increase. Physician Providers are seeing a lot more uncompensated care this year than last year. For UNMH, there was a 2% increase. There is a focus of getting people to primary care rather than the hospital, so that is why we see higher amounts on the Cancer Center and Medical Group. Since the Affordable Care Act (ACA) has not yet started, many are still uninsured. Starting January 2014, when the ACA goes into effect, many of these people will be covered with the expansion of Medicaid. For Days Cash on Hand, days of expense and a discretionary reserve for year-end, the HSC has 5.93 days, the Medical Group has 51 days, UNMH has 50.56 days, and SRMC has 42.9 days. Accounts Receivable greater than 90 days are 3% for HSC, 14% for Medical Group, 26% for UNMH, and 51% for SRMC. Overall, the HSC is healthy but SRMC will continue to be the focus.

Academic/Student Affairs & Research Committee, Regent Bradley Hosmer, Chair

1. Update from the Provost

Provost Abdallah provided an update to the Regents' on current events and initiatives with Academic Affairs. The Provost reported that the College of Education (COE) has received a planning grant from the Kellogg Foundation to reimagine the COE, which is currently underway. Three teams were formed, an internal UNM team, a state team and a national, to aid in reviewing current UNM COE operations and other universities. The goal is to be more consistent with the needs of 21st century teachers and renew the commitment to graduate level education. The outcome of the initiative will be a plan that will be implemented next fall, to revamp curriculum and get a new dean that will engage internal and external groups. A major goal will be to improve the preparation of teachers at UNM through measures that can be instituted immediately.

Other academic initiatives include the new website, degrees.unm.edu, with degree information and transfer agreements with two-year colleges uploaded. A dashboard has also been developed, which describes performance in the academic affairs area. These initiatives are increasing transparency for Academic Affairs. Increasing Financial Aid has been a goal for the past two year and money has been added to scholarships. Also in the discussion is the migration towards 120 credit hour model, which has been reviewed by Faculty Senate and is moving forward. The Early Start Program began this summer on a volunteer basis, next summer it will be mandatory for students who receive an ACT score of 17 or below. Finally, Massive Open Online Courses (MOOCs) are still being explored, and one is currently being offered by a UNM professor.

Action Item:

2. Approval of Summer 2013 Degree Candidates

Motion to approve the Summer 2013 Degree Candidates passed unanimously (1st Hosmer, 2nd Koch).

Summer 2013 Degree Candidates
Doctoral and MFA Degree

90

Master's Degree	418
Bachelor's Degree	264
Associate's Degree	58
Total	830

Information Items:

3. Enrollment and Student Cost Update

Terry Babbitt gave the presentation. Information was provided on the status of FY13 enrollment. There was slight decrease in enrollment for FY13, down 1.57% from FY12, which is a national trend. There was a slight increase in student credit hours by 0.72%, due to a shift in heavier credit hour loads for students. Graduate Student enrollment was down 1.61% including Anderson Graduate Programs, which had a decrease of enrollment by 4.46%, and Law School down 0.29%. Medical School had an increase of enrollment by 6.68%. UNM West was down enrollment by 11.17%, Gallup was down 4.67% and Valencia was down 3.65%. Los Alamos Branch had an increase enrollment of 7.61% and Taos had an increase of 8.91%. Freshman Cohort retention is a focus with the UNM 2020 goal of 77.5%, the Fall 2011 Cohort had a 76.6% return rate and the Fall 2012 Cohort had a 77.7% return rate. Mr. Babbitt stated that with the new tuition strategy, the 12 credit hour loads have a reduction and the 15-18 credit hour loads have substantial increases.

In response to Regent inquiry, there is a waiting list for law school despite admission for law school being down around the country. Because of the large amount of law school graduates, there is a focus around the country to provide Graduate Legal Education for graduate students in different fields. In addition, the BA/MD program has grown the enrollment for the Medical School this year.

President Frank congratulated the Provost, Dr. Babbitt, Dr. Heileman and the many people who have worked hard on increasing retention rates, which is a great accomplishment.

4. Tuition and Fee Policy Task Force Update

Greg Heileman gave the presentation. Information was provided on the Tuition and Fees Policy Committee, which was developed by Provost Abdallah in July. The committee was established to review tuition and fees at the University in order to understand all of the policies that exist for tuition and fees, such as differential tuition, mandatory, course and other fees students must pay to attend UNM. A timeline was constructed on the various pieces the committee would study, to provide recommendations to the Provost and President related to tuition and fees and then to the Regents. Work that was done initially was on the policy around tuition and there is a proposal that the tuition and fee process should be established with consultation with campus constituents using a process that emphasizes the five elements of collaboration, inclusiveness, transparency, timeliness and accountability. Differential tuition was reviewed as well as mandatory fees and the process for setting them and how students might be involved in input.

The tuition and fees related to the academic mission involve three pieces, which are tuition, course fees and differential tuition. The policies associated with tuition and fees are spelled out in the Regents' policy manual as well as "Big Red" – Policy 8210. Another item discovered in "Big Red" was the way fees were allocated on a transaction-to-transaction basis through the office of Planning, Budget & Analysis was not a very efficient way of doing things which was changed immediately. The recommendation was to change that so they are allocated at the end of the semester based on the final enrollments. Course fees are intended to be paid for those students who benefit from taking that particular course. These fees and the use of those fees are reported to the Academic and Student Affairs Research Committee. The main component for course fees is that all students who pay them must benefit from paying them, in "Big Red" policy. There are two of them, the class fees that students pay if they attend Chemistry for example and need

certain chemicals in the lab. Those are particular class fees. There are also curriculum fees, fees applied to every course across the entire curriculum. These are paid by course. Differential tuition is different, it should be tied to the cost of delivering the program and paid by major. The idea is that students in less expensive programs should not be subsidize those in more expensive programs. Done now largely for College of Nursing and many graduate programs.

Differential Tuition and Curriculum Fees are the two issues because they are, in many cases, doing the same thing. This is one item that the committee will try to clarify is how these two items should be paid. Currently the Responsibility Oriented Management (ROM) program is allowing for the costs of each program to be fully realized.

5. Student Affairs Initiatives
 - a. ~~Civil Campus Council~~
 - b. Housing Report

Cheo Torres provided an update to the Regents' on Student Affairs. Veronica Mendez-Cruz was recognized for her 27 years of service to the University, she will be retiring as the Director of El Centro de la Raza. Mr. Torres also recognized UNM student Jaen Emmanuel Ugalde, who won the Hispanic Association of Colleges and Universities Quiz TV game show held in Chicago on August 24. The beginning of the fall semester was a success including the Upward Bound Program, summer courses for college readiness. Mr. Torres discussed the success for student scholarships raising over \$350,000, and receiving over \$7 million in STEM grants.

Chris Vallejos provided information on UNM Housing, which is currently at 93% occupancy. The target goal was 90%, for fall FY13, the goal has been surpassed. For UNM owned properties, Residence Halls are at 92% capacity and Student Family Housing is at 98% capacity. For ACC owned properties, Casas del Rio is at 98% and Lobo Village is at 89%. The total capacity for UNM and ACC properties is 4113 and the current fall housing contracts total 3839. Living Learning Program was discussed and the partnership has started to grow across the University. The program allows students to connect to campus.

Audit Committee, Regent Gene Gallegos, Chair

No report (Committee scheduled to meet September 19, 2013.)

Comments from Regents' Advisors (reports received are included in BOR E-Book)

UNM Alumni Association. President Randy Roister President Roister reviewed the mission of the UNM Alumni Association, which is to serve as a bridge between the Alumni and the University to ensure the continued success of the University and enrich the lives of the Alumni. The many traditions and new programs being developed were discussed, such as Lobo Living room. Homecoming is September 23 through 27, and the Alumni Association will host a number of events. The Zia Award recipients are Gig Brummell, Leslie Hoffman, Madeline King, Jay Rosenblum, Peter Sanchez and Frank Sedillo. The Lobo Award Recipient is Chris Schuler and a new award for Inspirational Young Alumni will be awarded to Abigail Eaves.

UNM Foundation. President Carl Alongi President Alongi provided an update on the Changing Worlds campaign with the UNM Foundation (UNMF), which is 85% complete. This past fiscal year the UNMF raised \$81,598,617 in private support. Of the \$81.5 million raised, \$13.5 million was added to the Consolidated Investment Fund, \$13.5 was transferred to the University for scholarships and programs, and \$37.5 was added as a result of investment performance.

UNM Retiree Association. President Don Duszynski President Duszynski provided an update on current activities with the Retiree Association. A retreat will be held at the Sevilleta National Wildlife Refuge on October 30. The Retiree Association is involved in the Save ERB- Cost of Living Allowance initiative; members are petitioning and participating. There is an active member of the Retiree Association on the ERB Stakeholder's Board. An update was provided on

retirement health care issues. Also provided was information on “Best Practices” of other universities and Retirees. The goal is to establish an Emeriti College staffed by retirees, allow for a phased retirement program, mentoring, preservation of intellectual property, a Retiree center and various opportunities for involvement across campus. Regent Hosmer stated that he would like to see some of these ideas turned into proposals in the near future.

Staff Council, President Gene Henley President Henley provided an update on current initiatives with the Staff Council. Staff has received the compensation at the end of August and is appreciative of the support from the Regents.

ASUNM, President Isaac Romero President Romero provided an update on current initiatives with ASUNM. The Lottery Scholarship summit was a success with great input. ASUNM is looking forward to the Homecoming events.

GPSA, President Priscila Poliana President Poliana gave an update on current initiatives and activities for GPSA. Ms. Poliana is pleased to have students sitting on the Tuition and Fee Policy Committee, and looks forward to reviewing tuition and fees this semester.

VI. Public Comment (none)

VII. Vote to close the meeting and to proceed into Executive Session

Motion to close the meeting and proceed into Executive Session passed unanimously at 12:52 p.m. (1st Hosmer, 2nd Gallegos).

Executive Session 12:52 pm. – 1:33 pm., and 1:34 .m. – 2:09 pm.

1. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2), NMSA (1978).
2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H (7), NMSA (1978).
3. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H (8), NMSA (1978)
4. Discussion and determination where appropriate of purchases of more than \$2,500 that can be made from only one source or competitive sealed proposals under the Procurement Code during the contract negotiation process pursuant to Section 10-15-1.H (6), NMSA (1978)

VIII. Vote to re-open the meeting.

Motion to return to open session to make a public motion, passed at 1:33 p.m. (1st Quillen, 2nd Gallegos).

1. Certification that only the matters described in Agenda Item VIII were discussed in Executive Session and, if necessary, final action with regard to those matters will be taken in Open Session.

Motion to certify that only those matters described in Agenda Item VII were discussed in Executive Session with one action to be taken and reported in Open Session with a quorum of members present, passed unanimously (1st Fortner, 2nd Koch).

Action: The Board of Regents direct President Frank to proceed with his implementation plan for Innovate ABQ, with the first step to apply for the federal grant, approved by a show of hands, 5-1, in favor, Regent Koch with the dissenting vote; Regent President Fortner was absent.

Motion to return to closed session passed unanimously at 1:34 p.m. (1st Quillen, 2nd Gallegos).

1. Certification that only the matters described in Agenda Item VIII were discussed in Executive Session and, if necessary, final action with regard to those matters will be taken in Open Session.

Motion re-open the meeting and certify that only those matters described in Agenda Item VII were discussed in Executive Session with one action to be taken and reported in Open Session with a quorum of members present, passed unanimously at 2:09. (1st Fortner, 2nd Koch).

Action: The Board of Regents rescinds the termination notice of the lease for the Maui High Performance Computing Center and approves to enter into an Occupancy Agreement with the Army Corps of Engineers. The action passed unanimously; Regent President Fortner was absent (1st Gallegos, 2nd James).

IX. Adjournment

Motion to adjourn the meeting passed unanimously at 2:11 p.m. (1st Quillen, 2nd Gallegos)

Jack L. Fortner, President

Bradley C. Hosmer, Secretary/Treasurer

President Frank's Report will be presented
at the meeting

Executive Budget Summary
University of New Mexico Consolidated Financial Report
FY 2014 UNM Operating Budget

This report covers current fund operations for the University, including Main Campus, Branch Campuses and HSC Campus.

Instruction and General operations (approximately 90% of this operation resides on Main Campus) projected a use of prior year balances of \$12.2M for the FY 2014 UNM Operating Budget. This \$12.2M use of balance is comprised of a \$11.7M use of balance at the Main Campus, a \$489K use of balance at the Branch Campuses and a use of balance at the HSC Campus of \$21K. The \$11.7M use of balance at Main Campus is primarily due to \$3.0M of one-time monies funding the I&G Budget, Administrative units budgeting approximately \$1.5M of prior year balances and Academic Affairs budgeting approximately \$7.2M of prior year balances.

The next block of information shows our **Unrestricted Research** operations. The activity in these operations is essentially 50% Main Campus and 50% HSC Campus. The FY 2014 UNM Operating Budget showed a use of prior year balances of \$10.6M, of which a \$5.6M use of prior year balance is related to Main Campus and a \$5.0M use of prior year balance is related to HSC Campus. The \$5.6M use of balance at Main Campus is primarily due to Academic Affairs departments budgeting prior year balances. At the HSC Campus approximately \$203K of balances were budgeted by SOM Departments to support Chairs' Letter of Offer packages. The College of Pharmacy budgeted \$348K for faculty bridge funding and faculty start-up funding. Additionally, at the HSC \$4.3M is budgeted for various capital projects during FY 2014.

The third business category shown on the first page of this report is **Unrestricted Public Service**. The operations in this category include special projects funded by State Appropriations, for example Project ECHO, and non-endowed gifts flowing from the UNM Foundation to departments and many small events funded by user fees. The FY 2014 UNM Operating Budget projected a favorable net margin of \$1.5M. This favorable budgeted net margin is comprised of a \$3.9M use of prior year balances at the Main and Branch Campuses and a favorable net margin of \$5.4M at the HSC Campus. The \$3.9M use of balance at the Main and Branch Campuses is primarily due to Academic Affairs departments budgeting prior year balances. There are \$3.4M of budgeted prior year balances within non-endowed spending indices and approximately \$500K of budgeted prior year balances within Academic Affairs General Public Service indices. The favorable net margin budgeted at the HSC Campus is primarily due to Patient Revenues.

Page 2 of this report begins with the **Student Aid** function. The FY 2014 UNM Operating Budget projected a use of prior year balances of \$8.8M. This use of prior year balances is comprised of a \$7.0M use of balance at the Main and Branch Campuses and a budgeted use of balance of \$1.8M at the HSC Campus. The budgeted use of balance at Main Campus is primarily due to Academic Affairs departments and Enrollment Management budgeting prior year balances within endowed spending indices for the payout of major and departmental scholarships in the new fiscal year.

Student Activities are the operations of Student Government and Student organizations. The FY 2014 UNM Operating Budget shows a use of prior year balances of \$122K.

Auxiliaries and Athletics

The FY 2014 UNM Operating Budget for Auxiliaries and Athletics projected a use of prior year balances of \$237K. This use of balance is primarily due to a combination of Athletics budgeting a \$65K use of balance, AVP Ops/Student Life budgeting a use of balance of \$131K and the Branch Campuses budgeting a use of balance of \$41K.

Sponsored programs operations are our contract and grant research and public service projects funded by outside agencies and companies. These operations will always record a zero net revenue over expense by the nature of their funding. The agency pays for a service or research project, and normally any unspent funds must be returned to the agency.

The next block of numbers on the third page is a summary of our **Clinical** operations. These operations are essentially all the patient care activities of the HSC Campus, including the UNM Hospitals, SOM physician professional services, Cancer Center operations and Housestaff/Medical Residents who are being trained in the UNM and VA hospitals. The FY 2014 UNM Operating Budget projected a use of prior year balances of \$8.1M. UNM Hospitals budgeted a favorable net margin of \$13K. The major factor contributing to this use of balance is the School of Medicine budgeting a use of balance of \$8.1M for FY 2014. The budgeted use of balance is primarily due to the SOM Chair Packages for FY 2014.

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the two month period ended August 31, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Favrb/(Unfavrb) FY 2013 YTD Actual
Instruction and General						
Tuition and Fees Revenues						
Main Campus	153,710,683	78,763,680	(74,947,003)	51%	79,991,378	(1,227,698)
Branch Campuses	8,328,453	4,139,865	(4,188,588)	50%	4,452,905	(313,040)
HSC Campus	13,605,343	6,850,473	(6,754,870)	50%	6,435,373	415,100
Total Tuition and Fees Revenues	175,644,479	89,754,018	(85,890,461)	51%	90,879,656	(1,125,638)
State/Local Appropriations	271,068,741	45,096,583	(225,972,158)	17%	42,267,884	2,828,699
F & A Revenues	40,300,000	7,861,270	(32,438,730)	20%	7,696,333	164,937
Transfers	(49,037,357)	(8,061,302)	40,976,055	16%	(11,651,514)	3,590,212
Other Revenues	18,019,852	3,977,010	(14,042,842)	22%	3,792,530	184,480
Total Instruction and General Revenues	455,995,715	138,627,579	(317,368,136)	30%	132,984,889	5,642,690
Salaries	280,556,884	42,538,456	238,018,428	15%	41,145,128	(1,393,328)
Benefits	92,631,618	12,839,656	79,791,962	14%	11,428,581	(1,411,075)
Other Expenses	95,042,322	13,874,498	81,167,824	15%	13,560,463	(314,035)
Total Instruction and General Expenses	468,230,824	69,252,610	398,978,214	15%	66,134,172	(3,118,438)
Net Instruction and General Revenue/(Expense)	(12,235,109)	69,374,969	81,610,078		66,850,717	2,524,252
Research						
State/Local Appropriations	10,292,896	2,188,658	(8,104,238)	21%	1,726,278	462,380
Transfers	25,678,299	1,992,942	(23,685,357)	8%	4,528,284	(2,535,342)
Other Revenues	4,237,463	183,449	(4,054,014)	4%	373,007	(189,558)
Total Research Revenues	40,208,658	4,365,049	(35,843,609)	11%	6,627,569	(2,262,520)
Salaries and Benefits	28,682,475	4,897,384	23,785,091	17%	5,062,643	165,259
Other Expenses	22,131,976	2,351,222	19,780,754	11%	2,364,406	13,184
Total Research Expenses	50,814,451	7,248,606	43,565,845	14%	7,427,049	178,443
Net Research Revenue/(Expense)	(10,605,793)	(2,883,557)	7,722,236		(799,480)	(2,084,077)
Public Service						
State/Local Appropriations	3,667,844	610,949	(3,056,895)	17%	583,492	27,457
Sales and Services Revenues	17,443,110	1,944,873	(15,498,237)	11%	2,043,234	(98,361)
Gifts	8,296,995	1,216,134	(7,080,861)	15%	1,068,041	148,093
Transfers	2,082,045	841,335	(1,240,710)	40%	480,338	360,997
Other Revenues	5,305,384	1,090,304	(4,215,080)	21%	711,042	379,262
Total Public Service Revenues	36,795,378	5,703,595	(31,091,783)	16%	4,886,147	817,448
Salaries and Benefits	17,842,880	3,323,886	14,518,994	19%	3,727,284	403,398
Other Expenses	17,496,965	1,708,229	15,788,736	10%	1,507,747	(200,482)
Total Public Service Expenses	35,339,845	5,032,115	30,307,730	14%	5,235,031	202,916
Net Public Service Revenue/(Expense)	1,455,533	671,480	(784,053)		(348,884)	1,020,364

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University of New Mexico - Consolidated Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Favrb/(Unfavrb) FY 2013 YTD Actual
Student Aid						
Gifts	3,898,881	335,770	(3,563,111)	9%	301,475	34,295
State Lottery Scholarship	37,485,948	18,742,974	(18,742,974)	50%	15,930,585	2,812,389
Transfers	15,276,031	2,249,424	(13,026,607)	15%	2,541,112	(291,688)
Other Revenues	1,257,262	583,212	(674,050)	46%	103,201	480,011
Total Student Aid Revenues	57,918,122	21,911,380	(36,006,742)	38%	18,876,373	3,035,007
Salaries and Benefits	3,659,692	663,230	2,996,462	18%	581,088	(82,142)
Other Expenses	63,022,380	27,774,442	35,247,938	44%	26,365,960	(1,408,482)
Total Student Aid Expenses	66,682,072	28,437,672	38,244,400	43%	26,947,048	(1,490,624)
Net Student Aid Revenue/(Expense)	(8,763,950)	(6,526,292)	2,237,658		(8,070,675)	1,544,383
Student Activities						
Fee Revenues	6,266,414	2,936,896	(3,329,518)	47%	1,892,878	1,044,018
Sales and Services Revenues	1,448,714	274,905	(1,173,809)	19%	261,158	13,747
Transfers	458,483	49,929	(408,554)	11%	108,309	(58,380)
Other Revenues	74,024	8,594	(65,430)	12%	19,608	(11,014)
Total Student Activities Revenues	8,247,635	3,270,324	(4,977,311)	40%	2,281,953	988,371
Salaries and Benefits	4,185,076	865,380	3,319,696	21%	810,733	(54,647)
Other Expenses	4,184,468	651,420	3,533,048	16%	672,672	21,252
Total Student Activities Expenses	8,369,544	1,516,800	6,852,744	18%	1,483,405	(33,395)
Net Student Activities Revenue/(Expense)	(121,909)	1,753,524	1,875,433		798,548	954,976
Auxiliaries and Athletics						
Branch Campuses Auxiliary Revenues	2,582,001	858,059	(1,723,942)	33%	1,056,316	(198,257)
Main Campus Auxiliaries Revenues	53,874,662	20,951,866	(32,922,796)	39%	17,871,818	3,080,048
Athletics Revenues	31,193,563	4,965,566	(26,227,997)	16%	5,630,615	(665,049)
Total Auxiliaries and Athletics Revenues	87,650,226	26,775,491	(60,874,735)	31%	24,558,749	2,216,742
Branch Campuses Auxiliary Expenses	2,623,366	543,597	2,079,769	21%	888,221	344,624
Main Campus Auxiliaries Expenses	54,005,162	9,703,338	44,301,824	18%	11,344,812	1,641,474
Athletics Expenses	31,258,763	6,638,020	24,620,743	21%	6,561,242	(76,778)
Total Auxiliaries and Athletics Expenses	87,887,291	16,884,955	71,002,336	19%	18,794,275	1,909,320
Net Auxiliaries and Athletics Revenue/(Expense)	(237,065)	9,890,536	10,127,601		5,764,474	4,126,062

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University of New Mexico - Consolidated Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Favrb/(Unfavrb) FY 2013 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	245,218,468	59,952,142	(185,266,326)	24%	64,070,216	(4,118,074)
State and Local Grants and Contracts Revenues	31,983,112	4,716,043	(27,267,069)	15%	3,964,189	751,854
Non-Governmental Grants and Contracts Revenues	29,505,707	5,179,091	(24,326,616)	18%	5,140,454	38,637
Gifts	-	-	-	N/A	1,965,646	(1,965,646)
Transfers	3,740,817	983,518	(2,757,299)		1,165,806	(182,288)
Other Revenues	4,000	-	(4,000)	0%	-	-
Total Sponsored Programs Revenues	310,452,104	70,830,794	(239,621,310)	23%	76,306,311	(5,475,517)
Salaries and Benefits	144,253,271	22,523,980	121,729,291	16%	22,032,814	(491,166)
Other Expenses	166,198,833	48,306,814	117,892,019	29%	54,273,497	5,966,683
Total Sponsored Programs Expenses	310,452,104	70,830,794	239,621,310	23%	76,306,311	5,475,517
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
Clinical Operations						
State/Local Appropriations	25,531,808	4,160,624	(21,371,184)	16%	3,918,935	241,689
Physician Professional Fee Revenues	108,032,042	16,988,373	(91,043,669)	16%	15,777,190	1,211,183
Hospital Facility Revenues	684,748,925	112,151,565	(572,597,360)	16%	104,287,576	7,863,989
Other Patient Revenues, net of Allowance	103,030,503	18,496,275	(84,534,228)	18%	15,642,532	2,853,743
Mil Levy	91,886,992	15,183,969	(76,703,023)	17%	15,162,870	21,099
Investment Income	1,137,891	1,741,601	603,710	153%	229,904	1,511,697
Gifts	3,435,064	921,108	(2,513,956)	27%	1,507,250	(586,142)
Housestaff Revenues	32,457,691	5,856,841	(26,600,850)	18%	6,095,919	(239,078)
Other Revenues	17,842,766	3,743,360	(14,099,406)	21%	2,462,712	1,280,648
Total Clinical Operations Revenues	1,068,103,682	179,243,716	(888,859,966)	17%	165,084,888	14,158,828
Salaries and Benefits	607,730,885	99,586,521	508,144,364	16%	96,568,354	(3,018,167)
Interest Expense	8,701,516	1,382,741	7,318,775	16%	1,397,482	14,741
Housestaff Expenses	32,457,691	5,750,738	26,706,953	18%	5,468,538	(282,200)
Other Expenses	427,357,193	73,197,492	354,159,701	17%	68,204,208	(4,993,284)
Total Clinical Operations Expenses	1,076,247,285	179,917,492	896,329,793	17%	171,638,582	(8,278,910)
Net Clinical Operations Revenue/(Expense)	(8,143,603)	(673,776)	7,469,827		(6,553,694)	5,879,918
Contingencies						
Total Contingency Revenues	15,130,454	-	(15,130,454)	0%	-	-
Total Contingency Expenses	5,817,161	-	(5,817,161)	0%	-	-
Net Contingencies Revenue/(Expense)	9,313,293	-	(9,313,293)		-	-
Net Current Revenue/(Expense)	(29,338,603)	71,606,884	100,945,487		57,641,006	13,965,878

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	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Favrb/(Unfavrb) FY 2013 YTD Actual
University of New Mexico - Results of Athletics and Auxiliary Operations						
Results of Athletics Operations:						
Athletics Revenues	34,732,446	5,280,194	(29,452,252)	15%	6,025,026	(744,832)
Athletics Transfers	(3,538,883)	(314,628)	3,224,255	9%	(394,411)	79,783
Total Athletics Revenues	31,193,563	4,965,566	(26,227,997)	16%	5,630,615	(665,049)
Athletics Expenses						
Salaries and Benefits	13,671,699	2,461,817	11,209,882	18%	2,679,316	217,499
Grant-in-Aid	3,976,253	1,401,393	2,574,860	35%	1,300,434	(100,959)
Other Expenses	13,610,811	2,774,810	10,836,001	20%	2,581,492	(193,318)
Total Athletics Expenses	31,258,763	6,638,020	24,620,743	21%	6,561,242	(76,778)
Total Net Athletics Revenue/(Expense)	(65,200)	(1,672,454)	(1,607,254)		(930,627)	(741,827)
Results of Auxiliary Operations:						
VP for Institutional Support Services						
Bookstore Revenues	17,992,468	6,514,246	(11,478,222)	36%	7,446,345	(932,099)
Bookstore Transfers	(350,000)	(58,333)	291,667	17%	8,032	(66,365)
Total Bookstore Revenues	17,642,468	6,455,913	(11,186,555)	37%	7,454,377	(998,464)
Total Bookstore Expenses	17,642,468	4,396,051	13,246,417	25%	6,023,368	1,627,317
Net Bookstore Revenue/(Expense)	-	2,059,862	2,059,862		1,431,009	628,853
Faculty & Staff Club Revenues	82,000	25,873	(56,127)	32%	6,349	19,524
Faculty & Staff Club Expenses	82,000	5,694	76,306	7%	5,759	65
Net Faculty & Staff Club Revenue/(Expense)	-	20,179	20,179		590	19,589
Golf Courses Revenues	2,294,163	473,787	(1,820,376)	21%	496,287	(22,500)
Golf Courses Transfers	(39,252)	(6,542)	32,710	17%	(3,271)	(3,271)
Total Golf Courses Revenues	2,254,911	467,245	(1,787,666)	21%	493,016	(25,771)
Total Golf Courses Expenses	2,254,911	392,614	1,862,297	17%	409,705	17,091
Net Golf Courses Revenue/(Expense)	-	74,631	74,631		83,311	(8,680)
Housing	11,250,500	5,706,979	(5,543,521)	51%	5,334,603	372,376
Housing Transfers	(2,485,566)	(355,707)	2,129,859	14%	(2,542,237)	2,186,530
Total Housing Revenues	8,764,934	5,351,272	(3,413,662)	61%	2,792,366	2,558,906
Total Housing Expense	8,764,934	1,258,807	7,506,127	14%	1,346,911	88,104
Net Housing Revenue/(Expense)	-	4,092,465	4,092,465		1,445,455	2,647,010
Other	950,000	590,000	(360,000)	62%	-	590,000
Other Transfers	(950,000)	-	950,000	0%	-	-
Total Other Revenues	-	590,000	590,000	N/A	-	590,000
Total Other Expense	-	-	-	N/A	4,484	4,484
Net Other Revenue/(Expense)	-	590,000	590,000		(4,484)	594,484

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	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Favrb/(Unfavrb) FY 2013 YTD Actual
Parking and Transportation Revenues	8,442,677	3,249,463	(5,193,214)	38%	2,696,818	552,645
Parking and Trans Transfers	(2,357,537)	(362,859)	1,994,678	15%	(252,699)	(110,160)
Total Parking and Trans Revenues	6,085,140	2,886,604	(3,198,536)	47%	2,444,119	442,485
Total Parking and Trans Expenses	6,085,140	891,952	5,193,188	15%	900,821	8,869
Net Parking and Trans Revenue/(Expense)	-	1,994,652	1,994,652		1,543,298	451,354
Popejoy Events Revenues	4,444,649	107,986	(4,336,663)	2%	229,798	(121,812)
Popejoy Events Transfers	149,730	-	(149,730)	0%	(3,344)	3,344
Total Popejoy Events Revenues	4,594,379	107,986	(4,486,393)	2%	226,454	(118,468)
Total Popejoy Events Expenses	4,594,379	428,914	4,165,465	9%	508,691	79,777
Net Popejoy Events Revenue/(Expense)	-	(320,928)	(320,928)		(282,237)	(38,691)
Taos & Lawrence Ranch Revenues	53,578	-	(53,578)	0%	-	-
Taos & Lawrence Ranch Expenses	53,578	7,140	46,438	13%	7,012	(128)
Net Taos & Lawrence Ranch Revenue/(Expense)	-	(7,140)	(7,140)		(7,012)	(128)
Ticketing Services Revenues	845,295	153,751	(691,544)	18%	110,889	42,862
Ticketing Services Transfers	75,348	-	(75,348)	0%	12,558	(12,558)
Total Ticketing Services Revenues	920,643	153,751	(766,892)	17%	123,447	30,304
Total Ticketing Services Expenses	920,643	173,496	747,147	19%	171,006	(2,490)
Net Ticketing Services Revenue/(Expense)	-	(19,745)	(19,745)		(47,559)	27,814
Total VP for Institutional Support Services Revenues	40,398,053	16,038,644	(24,359,409)	40%	13,540,128	2,498,516
Total VP for Institutional Support Services Expenses	40,398,053	7,554,668	32,843,385	19%	9,377,757	1,823,089
Net VP for Institutional Support Services Revenue/(Expense)	-	8,483,976	8,483,976		4,162,371	4,321,605
VP for Student Affairs						
AVP Ops/Student Life Revenues	3,311,105	641,572	(2,669,533)	19%	584,369	57,203
AVP Ops/Student Life Transfers	(127,500)	(78,833)	48,667	62%	(79,500)	667
Total AVP Ops/Student Life Revenues	3,183,605	562,739	(2,620,866)	18%	504,869	57,870
Total AVP Ops/Student Life Expenses	3,314,105	363,412	2,950,693	11%	340,530	(22,882)
Net AVP Ops/Student Life Revenue/(Expense)	(130,500)	199,327	329,827		164,339	34,988
Lobo Cash Revenues	33,385	25,000	(8,385)	75%	1,476	23,524
Lobo Cash Expenses	33,385	18,954	(14,431)	57%	1,458	(17,496)
Net Lobo Cash Revenue/(Expense)	-	6,046	6,046		18	6,028
Student Health Center Revenues	7,465,022	3,067,946	(4,397,076)	41%	2,516,095	551,851
Student Health Center Expenses	7,465,022	1,242,335	6,222,687	17%	1,139,873	(102,462)
Net Student Health Center Revenue/(Expense)	-	1,825,611	1,825,611		1,376,222	449,389
Student Union Revenues	2,537,528	1,219,244	(1,318,284)	48%	1,269,683	(50,439)
Student Union Expenses	2,537,528	483,098	2,054,430	19%	437,020	(46,078)
Net Student Union Revenue/(Expense)	-	736,146	736,146		832,663	(96,517)
Total VP for Student Affairs Revenues	13,219,540	4,874,929	(8,344,611)	37%	4,292,123	582,806
Total VP for Student Affairs Expenses	13,350,040	2,107,799	11,213,379	16%	1,918,881	(188,918)
Net VP for Student Affairs Revenue/(Expense)	(130,500)	2,767,130	2,897,630		2,373,242	393,888

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Provost and Other Units						
Art Museum Revenues	5,500	15	(5,485)	0%	84	(69)
Art Museum Expenses	5,500	1	5,499	0%	-	(1)
Net Art Museum Revenue/(Expense)	-	14	14		84	(70)
CE Conference Ctr Revenues	200,000	24,903	(175,097)	12%	22,028	2,875
CE Conference Ctr Transfers	(43,431)	(5,324)	38,107	12%	-	(5,324)
Total CE Conference Ctr Revenues	156,569	19,579	(136,990)	13%	22,028	(2,449)
Total CE Conference Ctr Expenses	156,569	28,556	128,013	18%	36,389	7,833
Net CE Conference Ctr Revenue/(Expense)	-	(8,977)	(8,977)		(14,361)	5,384
Maxwell Museum Revenues	40,000	7,342	(32,658)	18%	4,947	2,395
Maxwell Museum Expenses	40,000	2,758	37,242	7%	2,536	(222)
Net Maxwell Museum Revenue/(Expense)	-	4,584	4,584		2,411	2,173
Other Revenues	55,000	11,357	(43,643)	21%	12,508	(1,151)
Other Expenses	55,000	9,556	45,444	17%	9,249	(307)
Net Other Revenue/(Expense)	-	1,801	1,801		3,259	(1,458)
Total Provost and Other Units Revenues	257,069	38,293	(218,776)	15%	39,567	(1,274)
Total Provost and Other Units Expenses	257,069	40,871	216,198	16%	48,174	7,303
Net Provost and Other Units Revenue/(Expense)	-	(2,578)	(2,578)		(8,607)	6,029
Auxiliary Totals						
Total Auxiliary & Concessions Revenues	53,874,662	20,951,866	(32,922,796)	39%	17,871,818	3,080,048
Total Auxiliary & Concessions Expenses	54,005,162	9,703,338	44,301,824	18%	11,344,812	1,641,474
Net Auxiliary Revenue/(Expense)	(130,500)	11,248,528	11,379,028		6,527,006	4,721,522
Net Athletics Revenue/(Expense)	(65,200)	(1,672,454)	(1,607,254)		(930,627)	(741,827)
Net Auxiliary and Athletics Revenue/(Expense)	(195,700)	9,576,074	9,771,774		5,596,379	3,979,695
Net Branch Campuses Aux Revenue/(Expense)	(41,365)	314,462	355,827		168,095	146,367
Net All Auxiliary and Athletics Revenue/(Expense)	(237,065)	9,890,536	10,127,601		5,764,474	4,126,062

UNM Debt Service Schedule

As of August 31, 2013

*Includes Hospital Debt

UNM Bond Issue	Fixed or Variable Rate Issue	Original Issue Amount	Outstanding Principal Balance on June 30, 2013	Principal Payment due on June 1, 2014	Interest Payment paid on December 1, 2013	Interest Payment due on June 1, 2014	FY 2014 Principal & Interest
Sub Lien System Imp Revenue Bonds (3) Series 2012: Interest Range 2.00% to 5.00% Final Maturity Year 2032	Fixed Rate	\$35,215,000	\$33,200,000	\$1,310,000	\$766,050	\$766,050	\$2,842,100
Sub Lien System Imp Revenue Bonds Series 2007 A&B: Interest Range 4.00% to 5.95% Final Maturity Year 2036	Fixed Rate	\$136,710,000	\$131,620,000	\$1,840,000	\$3,281,441	\$3,281,441	\$8,402,882
Sub Lien System Imp Revenue Bonds Series 2005: Interest Range 3.0% to 5.0% Final Maturity Year 2035	Fixed Rate	\$125,575,000	\$115,950,000	\$2,470,000	\$2,678,627	\$2,678,626	\$7,827,253
(1) FHA Insured Hospital Mortgage Revenue Bonds Series 2004: Interest Range 2.0% to 5.0% Final Maturity Year 2031	Fixed Rate	\$192,250,000	\$164,660,000	\$5,240,000 (\$2,580,000 paid 7/1/2013) (\$2,660,000 due 1/2/2014)	\$3,963,788 (due 1/2/2014)	\$4,020,600 (paid 7/1/2013)	\$13,224,387
Sub Lien System Rfdg Revenue Bonds Series 2003 A: Interest Range 2.0% to 5.25% Final Maturity Year 2018	Fixed Rate	\$21,660,000	\$8,480,000	\$1,135,000	\$222,600	\$222,600	\$1,580,200
Sub Lien System Revenue Bonds Series 2003 B&C: Interest Range 1.35% to 5.625% Final Maturity Years B 2024 & C 2033	Fixed Rate	\$11,805,000	\$9,505,000	\$285,000	\$232,955	\$232,955	\$750,909
Sub Lien Sys Rfdg Revenue Bonds (2) Series 2002 B: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Final Maturity Year 2026	Variable Rate	\$25,475,000	\$22,090,000	\$1,490,000	\$423,024	\$423,024	\$2,336,047
Sub Lien System Rfdg Revenue Bonds (2) Series 2002 C: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Final Maturity Year 2030	Variable Rate	\$37,840,000	\$35,190,000	\$875,000	\$693,243	\$693,243	\$2,261,486
Sub Lien System Imp Revenue Bonds (2) Series 2001: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Ceiling of 12% Final Maturity Year 2026	Variable Rate	\$52,625,000	\$35,275,000	\$2,085,000	\$705,500	\$705,500	\$3,496,000
System Revenue Bonds Series 2000B: Interest Range 5.5% to 6.35% Final Maturity Year 2019	Fixed Rate	\$53,231,671	\$2,248,820	\$473,652	\$320,674	\$320,674	\$1,115,000
System Revenue Rfdg Bonds Series 1992 A: Interest Range 5.6% to 6.25% Final Maturity Year 2021	Fixed Rate	\$36,790,000	\$17,460,000	\$1,840,000	\$523,800	\$523,800	\$2,887,600
Grand Total		\$729,176,671	\$575,678,820	\$19,043,652	\$13,811,700	\$13,868,512	\$46,723,864

Note: See attached matrix for funding sources.

(1) Source: UNM Hospital - Both UNM Hospital Principal and Interest payments are made on July 1st and January 1st.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays. It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

(3) Series 2012 bonds refunded 2002A bonds.

FY14 UNM Debt Service - Source of Funds

As of August 31, 2013

	Series 2012	Series 2007A&B	Series 2005	Series 2004	Series 2003B&C	Series 2003A	Series 2002C	Series 2002B	Series 2001	Series 1992
Student Fees- Facility	X	X	X			X	X	X		X
Student Fees - IT	X		X							
Capitalized Interest		X								
Parking Services	X	X	X				X			X
UNM Hospital				X		X		X		X
Bookstore						X	X			X
Housing & Dining Services	X					X	X			
Building R&R	X					X		X		X
Real Estate Department	X	X			X			X		
Physical Plant Department	X	X	X						X	
Telecommunications	X		X							
Athletics		X								
Information & Technology Funds			X				X			
KNME										X
Popejoy Hall							X			
MTTC Bldg.								X		
Opto Bldg (CHTM Res Park)								X		
CRTC								X		
Continuing Education								X		
Equipment R&R			X							
Golf Course - North & South							X			
HSC	X	X								
Interest on Reserve Funds	X					X	X	X		

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the two month period ended August 31, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Consolidated - Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%
Instruction and General				
Instruction & General Appropriations	261,986,155	43,556,719	(218,429,436)	17%
State Special Project Appropriations	1,055,900	175,983	(879,917)	17%
Tobacco Settlement Appropriations	1,130,600	188,434	(942,166)	17%
Mill Levy	6,896,086	1,175,447	(5,720,639)	17%
Total Instruction and General Appropriations	<u>271,068,741</u>	<u>45,096,583</u>	<u>(225,972,158)</u>	<u>17%</u>
Research				
State Special Project Appropriations	6,086,446	1,008,933	(5,077,513)	17%
Tobacco Settlement Appropriations	979,800	163,300	(816,500)	17%
Cigarette Tax Appropriations	3,226,650	1,016,425	(2,210,225)	32%
Total Research Appropriations	<u>10,292,896</u>	<u>2,188,658</u>	<u>(8,104,238)</u>	<u>21%</u>
Public Service				
State Special Project Appropriations	3,667,844	610,949	(3,056,895)	17%
Total Public Service Appropriations	<u>3,667,844</u>	<u>610,949</u>	<u>(3,056,895)</u>	<u>17%</u>
Clinical Operations				
State Special Project Appropriations	24,680,108	4,018,674	(20,661,434)	16%
Tobacco Settlement Appropriations	851,700	141,950	(709,750)	17%
Total Clinical Operations Appropriations	<u>25,531,808</u>	<u>4,160,624</u>	<u>(21,371,184)</u>	<u>16%</u>

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the two month period ended August 31, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Main Campus - Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%
Instruction and General				
Instruction & General Appropriations	180,474,555	30,079,093	(150,395,462)	17%
State Special Project Appropriations				
African American Student Services	22,873	3,812	(19,061)	17%
Disabled Student Services	192,400	32,067	(160,333)	17%
ENLACE	64,329	10,721	(53,608)	17%
Hispanic Student Center	107,143	17,857	(89,286)	17%
Minority Graduate Recruitment	117,646	19,608	(98,038)	17%
Native American Studies Intervention	354,047	59,008	(295,039)	17%
Pre-College Minority Student Math & Science	197,462	32,910	(164,552)	17%
Total State Special Project Appropriations	1,055,900	175,983	(879,917)	17%
Total Instruction and General Appropriations	181,530,455	30,255,076	(151,275,379)	17%
Research				
State Special Project Appropriations				
Center for Regional Studies (SW Research Ctr)	977,850	162,975	(814,875)	17%
Manufacturing Engineering	556,900	92,817	(464,083)	17%
Morrisey Hall	47,500	7,917	(39,583)	17%
Resource Geographic Information System	65,400	10,900	(54,500)	17%
Utton Transboundary Resource Center	292,400	48,733	(243,667)	17%
Total State Special Project Appropriations	1,940,050	323,342	(1,616,708)	17%
Total Research Appropriations	1,940,050	323,342	(1,616,708)	17%
Public Service				
State Special Project Appropriations				
Bureau of Business Research (Census)	378,200	63,033	(315,167)	17%
College Prep Mentoring/School of Law	119,593	19,932	(99,661)	17%
College Preparatory Mentoring	168,107	28,018	(140,089)	17%
Corrine Wolfe Law Center/Child Abuse Training	170,300	28,384	(141,916)	17%
Family Development Program	438,500	73,083	(365,417)	17%
ISTEC	48,595	8,099	(40,496)	17%
Judicial Selection	22,800	3,800	(19,000)	17%
KNME-TV	1,168,900	194,817	(974,083)	17%
Land Grant Studies Program	131,800	21,967	(109,833)	17%
N. M. Historical Review	47,600	7,933	(39,667)	17%
Small Business Innovation & Research Outreach	125,000	20,833	(104,167)	17%
Southwest Indian Law Clinic	208,200	34,700	(173,500)	17%
Spanish Colonial Research Center (SW Research Ctr)	120,650	20,109	(100,541)	17%
Spanish Resource Center	41,105	6,851	(34,254)	17%
Substance Abuse Program	136,500	22,750	(113,750)	17%
Wildlife Law Education	70,400	11,733	(58,667)	17%
Total State Special Project Appropriations	3,396,250	566,042	(2,830,208)	17%
Total Public Service Appropriations	3,396,250	566,042	(2,830,208)	17%

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the two month period ended August 31, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Branch Campuses - Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%
Instruction and General				
Instruction & General Appropriations				
Gallup	9,118,300	1,507,675	(7,610,625)	17%
Los Alamos	1,876,000	310,189	(1,565,811)	17%
Valencia	5,350,000	884,602	(4,465,398)	17%
Taos	3,418,600	565,252	(2,853,348)	17%
Total Instruction & General Appropriations	19,762,900	3,267,718	(16,495,182)	17%
Mill Levy				
McKinley County	2,100,000	362,042	(1,737,958)	17%
Los Alamos County	686,500	116,894	(569,606)	17%
Valencia County	2,539,386	430,296	(2,109,090)	17%
Taos County	1,570,200	266,215	(1,303,985)	17%
Total Mill Levy	6,896,086	1,175,447	(5,720,639)	17%
Total Branch Appropriations	26,658,986	4,443,165	(22,215,821)	17%

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the two month period ended August 31, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Health Sciences Center - Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%
Instruction and General				
Instruction & General Appropriations	61,748,700	10,209,908	(51,538,792)	17%
Tobacco Settlement Appropriations				
Instruction & General	610,524	101,754	(508,770)	17%
Pediatric Specialty Education	260,038	43,340	(216,698)	17%
Trauma Specialty Education	260,038	43,340	(216,698)	17%
Total Tobacco Settlement Appropriations	1,130,600	188,434	(942,166)	17%
Total Instruction and General Appropriations	62,879,300	10,398,342	(52,480,958)	17%
Research				
State Special Project Appropriations				
Cancer Center	2,663,774	440,445	(2,223,329)	17%
Hepatitis C, Project ECHO	1,482,622	245,146	(1,237,476)	17%
Total State Special Project Appropriations	4,146,396	685,591	(3,460,805)	17%
Tobacco Settlement Appropriations				
Genomics, Biocomputing, Environmental Health	979,800	163,300	(816,500)	17%
Total Tobacco Settlement Appropriations	979,800	163,300	(816,500)	17%
Cigarette Tax Appropriations	3,226,650	1,016,425	(2,210,225)	32%
Total Research Appropriations	8,352,846	1,865,316	(6,487,530)	22%
Public Service				
State Special Project Appropriations				
Center for Native American Health	271,594	44,907	(226,687)	17%
Total State Special Project Appropriations	271,594	44,907	(226,687)	17%
Total Public Service Appropriations	271,594	44,907	(226,687)	17%
Clinical Operations				
State Special Project Appropriations				
Newborn Intensive Care Unit	3,283,396	542,897	(2,740,499)	17%
Office of the Medical Investigator	4,797,800	793,298	(4,004,502)	17%
Pediatric Oncology	1,282,197	212,006	(1,070,191)	17%
Poison and Drug Info Center	1,524,915	252,138	(1,272,777)	17%
Native American Suicide Prevention	100,000	16,535	(83,465)	17%
UNM Hospitals	13,691,800	2,201,800	(11,490,000)	16%
Total State Special Project Appropriations	24,680,108	4,018,674	(20,661,434)	16%
Tobacco Settlement Appropriations				
Pediatric Oncology	261,400	43,567	(217,833)	17%
Poison and Drug Info Center	590,300	98,383	(491,917)	17%
Total Tobacco Settlement Appropriations	851,700	141,950	(709,750)	17%
Total Clinical Operations Appropriations	25,531,808	4,160,624	(21,371,184)	16%



University Services

University Services Business Operations UNM Surplus Property
UNM Copy Center UnivServ Shipping and Receiving
UNM Mailing Systems UNM Inventory Control
UNM Records Management Chem Stores/CRLS
<http://www.unm.edu/~univserv/> Print Management Program

Date: September 13, 2013

To: Bruce Cherrin, Chief Procurement Officer
 Purchasing Department

From: Debra L. Fondino 
 Associate Director, University Services

Subject: Equipment Disposition – September 2013 / Annual Surplus Summary Report -FY13

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition Detail list for the month of September, 2013. Also attached is the Annual “UNM Surplus Property: Who we are” report for FY13.

Consistent with UNM Board of Regents Policy 7.9 and the Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the monthly list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM’s inventory and disposed of in accordance with the above noted Regents Policy and Surplus Property Act.

The Annual report discusses the basics of what is done in Surplus Property. It includes information on processes and services. Financial data is provided from different perspectives relating to income, expenses and sales. We have also provided statistics on Departmental Sales as well as informational statistics related to recycling efforts and the Surplus Department daily activities.

Surplus Property Department
Disposition Detail List
as of 09/13/2013

UNM#	Department surplus equipment	Description	Manufacturer	Model#	Serial#	Year	Acquisition		Method Of Disposal	Disposal Date
							Cost	NBV		
(1) 99128	Biology Department	SPECTROPHOTOMETER	PerkinElme	360	63973	1975	12,617.00	0.00	Beyond Repair	09/13/2013
(1) 112124	Biology Department	ANALYZER	Technicon	170A050	BRO17649	1997	17,090.00	0.00	Obsolete	09/13/2013
(2) 172085	Gallup Physical Plant	TELEPHONE SYSTEM	AT&T	56D	205172536L	1988	53,971.00	0.00	Obsolete	08/30/2013
179729	Pediatrics	INCUBATOR	Forma		30847-927	1987	5,334.00	0.00	Too Costly to Repair	08/30/2013
(3) 196411	Biology Department	CHROMATOGRAPH	Dionex	DX102	920513	1992	23,874.00	0.00	Beyond Repair	09/13/2013
(4) 241416	COP Pharmaceutical Sciences	DATA SYSTEM	Shimadzu	GCMSQPS050	C70093400101	2000	61,990.00	0.00	Obsolete	09/09/2013
(4) 251686	COP Pharmaceutical Sciences	SPECTROMETER	Finnigan	LCQ Duo	LDU0215	2001	102,145.00	0.00	Obsolete	09/09/2013
255448	Biology Department	FREEZER	Fisher	24.4CU	P19M574010PM	2002	7,138.00	0.00	Too Costly to Repair	09/09/2013
801369	Earth Data Analysis Center	COMPUTER CPU/SYSTEM	Dell	325D	OAES7	1995	5,639.00	0.00	Obsolete	08/26/2013
(5) 801673	Continuing Education Cont Ed	COPIER	Ricoh	AFICIO 650	A7909480158	1999	18,285.00	0.00	Obsolete	08/26/2013
(6) N00013045	NMTR Administration Support	Server	NetworkApp	FAS270-R5	JWM-039235	2007	22,344.83	0.00	Obsolete	09/13/2013
N00013388	NMTR Faculty #1	Server	CDWGov	Sun Fire X4100 M2	S0728BD159A	2007	9,683.71	0.00	Obsolete	08/26/2013

Disposition value: 327,494.54

Disposition bookvalue: 0.00

Total Dispositon Items: 12

9/13/13

Additional Notes to Surplus listings dated 9/13/13:

1 –Assets# 99128 and 112124 are scientific instruments from the Biology Department.

The 1975 Spectrophotometer no longer functions and is not supported by the manufacturer. The 1997 Analyzer was part of a data acquisition system connected to a Windows 95 computer. The computer suffered a hard drive failure and the equipment is now outdated. The preference of the department is to purchase the newer model that provides better technology sometime in the future.

2 –Asset# 172085 was the old phone system PBX for the Gallup Campus. The entire Gallup phone system, including desk phones, was replaced by UNM IT Services since the old system, although operable, was becoming obsolete. It was getting harder for the maintenance contractor to locate replacement repair parts. The last major outage caused the Gallup Campus to be without phone service for several days.

3-Asset# 196411 is a 1992 Ion Chromatograph that is no longer supported by the manufacturer. It stopped working two years ago and is not repairable.

4-Assets# 241416 and 251686 are Gas Spectrometers in the College of Pharmacy. Both were purchased by former Professors for use in their research. However, neither has been in use for several years. The lack of upkeep and familiarity with the older technology makes them less desirable and not as accurate as the more contemporary models. As a result, new gas spectrometers have been purchased by the department as part of numerous grants.

The department has attempted to find a new lab home for these two items, contacting other departments and other labs. They were not successful.

5-Asset# 801673 is a copier left over from Project Link. It was purchased with funding from the Agency of NMCYF in 1999. Copiers are normally outdated after three years, making this expensive to maintain.

6-Asset# N00013045 was a network appliance used by CRTC New Mexico Tumor Registry to support SEER*DMS, the cancer collection database. The appliance was upgraded by SEER.

----- Note: Any item of value in this list will be re-sold through all means available, i.e.: Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to re-invest in the mission of the requesting department.

UNM Surplus Property: Who we are

UNM Surplus Property focuses on supporting the University of New Mexico Community while safeguarding confidentiality, state regulations and processes, and export control issues. Surplus Property's goal is to assist the departments in determining the best venue or method for the disposition of UNM's property that is no longer useful or needed by UNM departments.

Disposition Options/Resources

Surplus Property explores and utilizes a number of resources and methods when assessing the disposition of UNM property:

- RFP issue through UNM's Purchasing Department: UNM retains all proceeds, but may not have the industry-specific access for the best exposure.
- Auction through Bentley's Auction: Bentley's provides expert advice, online auctions with feeds to industry-specific sites and monthly onsite auctions. UNM pays a 25% commission fee.
- Public Surplus Government Surplus Auctions Website/GovDeals.com.
 - Surplus Property created its own Public Surplus Auction website. This enables us to control and monitor the entire sale process at virtually no cost, and quickly post items approved for disposition. Its most practical use is for old furniture sales and items not generally sold through Bentley's Auction. Not only does it recycle assets and save them from the landfills, a great expense is avoided in paying for their disposal.
 - Surplus Property also created its own GovDeals.com site. Its main success stems from recycling more items on an almost-free basis, for example: chairs and tables picked up through a 'free' bidding process to use in churches, student orgs, and various non-profits.
- Charities, Native American tribes and Non-Profit Organizations through donation and/or low cost sale, only as permitted following State regulations.
- Industry/field related organizations: with UNM being a research university, many departments are aware of other organizations that may be interested and/or may be able to use the property.
- Other UNM departments: Surplus Property has served as a liaison and process facilitator in the recycling of property into other UNM departments. This has been accomplished mainly with office furniture, lab equipment and office supplies.

This is also accomplished through Surplus Property's weekly showroom hours. Surplus Property invites UNM departments to purchase, at very reasonable prices, used office furniture, office supplies, re-initialized computers, and medical equipment or supplies to name a few. The showroom is open five days per week, half days to allow for delivery and pickup schedules as well as warehouse cataloguing and organization and processing items for disposal.

- Recycle: utilizing UNM's Recycling department and/or outside recycling facilities weekly.
- On-site auction or sale. These normally occur when the removal costs would be expensive and takes place with departmental moves or when removing huge pieces of built-in equipment.

Additional example: Surplus personnel assist and monitor event sales at UNM Athletics of over-stocked and used items. The Women's Volleyball and Golf teams both hold 'side-walk' sales that enable them to clear out excess donated or discontinued items while receiving funds to purchase much-needed equipment for the next season.

UNM Surplus Property: Who we are

Departmental Sales

Another service we provide for UNM departments is to facilitate the sale of large, highly technical, medical, large quantities, high value, or otherwise unique items. Initially the current value of the property is determined by researching the original cost, net book value, and current market value; then, understanding the existing condition and functionality of the property. This is facilitated by obtaining a memo and Surplus Disposition Form from the department that explains why the department needs to disposition the property, the property's condition, description and perceived value. The department provides pictures and a list of potentially interested parties.

In support of the UNM community, Surplus Property takes the necessary steps to ensure UNM property is handled safely in all respects. This is accomplished by working with both UNM Safety and Risk department and Export Control. Safety and Risk evaluates any potential safety hazards and/or advises on proper handling. Export Control determines any export restrictions that need to be enforced when advertising and selling property and ultimately in determining its final destination and use.

Determining the best method and/or venue of sale is the next step. Often this is a community effort, especially when dealing with highly technical property like lasers, medical, research and engineering equipment. There are a number of options to consider: advertise and sell in part or as a functioning unit, sell as scrap metal, donate to a responsible group or charity, break down and recycle, etc. In some cases, the cost for UNM to decommission or remove property is more than the value of the property. Finding a resource that is able to safely extract the property in exchange for any return that may be acquired via recycling is the best option for disposition. In this scenario, UNM avoids the costly expenses of disposition.

Surplus Property *rarely* pays e-waste or disposal costs. Every attempt is made to dispose of property and materials in an environmentally and community conscience, safe and cost effective manner. All possible resources are considered and new resources are continually researched.

Other Services and Tools

Surplus Property works in connection with the UNM IT department to ensure the security of UNM's electronic data. This is accomplished through the Hard Drive Erasure program. At the Surplus Property site, UNM Information Technology (IT) provides a person to erase the hard drives from surplused computers before they are sent to auction. Any computers less than four years old are re-initialized with a new operating system in order to be resold to a UNM department at the very reasonable price of \$50. This has proven to be valuable to departments needing an extra computer for a new temp employee or when a unit has been corrupted within their department, yet do not have the budget to purchase a new computer.

Surplus Property is also involved in the hard drive erasure process to UNM departments who are removing copiers and printers that do not have data security software in place because of the age of the equipment.

Process

Surplus Property works in conjunction with the Inventory Control department to thoroughly research equipment submitted for disposition. Assets are reviewed *before* being picked up from the requesting Department to ensure that full documentation has been obtained. The more that is known about an asset, the better the plan for its disposition and maximization of return. Assets are handled differently when sold, recycled or donated. There is also a 'holding' area for assets requiring additional documentation or awaiting approval for disposition.

SURPLUS PROPERTY

FY13:

Total Income	228,815.76
Total Expense	<u>191,056.16</u>
Net Income	37,759.60

FY12:

Total Income	238,635.72
Total Expense	<u>180,484.31</u>
Net Income	58,151.41

Net Income comments:

Surplus Property will be moving out of the Elks building when a new facility is found. At that time, it is expected to need a temporary warehouse operation to accommodate operations until a new permanent home is found. Surplus and Purchasing are working with Capital Projects to research the options.

Diesel delivery trucks from February 2004 are now close to 10 years old. The estimated replacement value with lift gates and rails is approximately \$28,000 each. Surplus is considering the purchase of a used box truck for bigger pickups, e.g. remodeling projects or moves.

The net income is still in the fund balance. It has not been used.

Surplus Sales FY13 and FY12:

	Fiscal Year 2013	Fiscal Year 2012
Bentley's Auction – Net	83,290.76	100,180.72
Sales for Departments – Net	62,170.49	66,352.72
Surplus portion of Dept Sales*	20,066.31	5,760.33
Internal Sales to Departments	11,235.00	13,302.00
Sales to Hospital, included above	400.00	350.00
Surplus Property Total Revenue**:	104,012.76	113,832.72
Departmental Total Revenue***:	42,104.18	60,592.39

Notes:

Surplus Property generates income in basically three ways:

1 – \$83,291 in FY13 and \$100,181 in FY12 are from Bentley's Auction sales to the general public. University equipment sent to Bentley's has virtually no value. Care is taken to verify that it is no longer needed or could be used by any department on campus.

2 – \$42,104 in FY13 and \$60,592 in FY12 went back to the departments for sales of items with some determinable value.

3 – Internal sales resulted in \$11,235 in FY13 and \$13,302 in FY12 for university equipment we moved from Surplus Property back out to the departments at an average purchase price of \$40 per item.

* FY13: \$9,785 was retained by Surplus Property for trailers sold for Parking and Transportation Services (PATS). The sale resulted in the need to resolve many issues between the buyer and UNM; therefore, it became necessary to expend resources to complete the removal of the trailers. \$1,000 was also returned to the buyer to facilitate removal due to time constraints stemming from the start of the Fall semester.

The increased number of items sold in FY13 accounts for most of the remainder of the higher Surplus portion of sales.

**Does not include allocations for payroll.

***Net amount of revenue returned to the departments.

Reasons for disposition

Assets are submitted to the Board of Regents for approval of disposition for various reasons. The types of equipment include highly technical, specialized, medical and building contents. The more common reasons for disposal include:

- No longer functioning; too costly to repair or beyond repair
- Cannibalized for parts
- No longer utilized and department needs the space for expansion of labs
- End of service life and no longer compatible with other systems
- Inadequate system not compatible with newer components and programs
- No longer meets departmental needs
- Remodels and Departmental moves create surplus

There will be instances when assets will not be fully depreciated according to the service life assigned and will have a net book value remaining. Some reasons for a remaining book value at time of disposition have included:

- Item is broken and inoperable; too costly to repair or maintain
- Equipment is discontinued and cannot obtain compatible parts
- Technology is obsolete; therefore
 - Unit or system is no longer supported by manufacturer
 - Unit or system is corrupted and cannot be upgraded
- Warranty has expired
- No longer meets departmental needs
- The original years in the item's asset life was incorrectly assigned in Banner and the item was never intended to last for as long as the depreciation is set for.
- Research labs or grant projects are completed or terminate for various reasons

SURPLUS PROPERTY

I&G funding:	FY13	FY12	COMMENTS
	YTD	YTD	
Budget in Index 032003:	124,803.00	124,803.00	
Salaries & wages exp (includes Temps) and fringe benefits	158,338.08	151,197.01	
Net Amount short in budget:	-33,535.08	-26,394.01	Note: Temp became permanent staff in FY13: \$6,313

Sales:		
External Sales (Bentley & UNMH) & Public Surplus	92,777.76	100,530.72
Internal Sales	11,235.00	13,302.00
Total Sales	104,012.76	113,832.72

Expenses:		
Salaries & wages	158,338.08	151,197.01
Computer equip & Kronos software	5,225.21	9,617.39
Communication	2,292.21	1,779.45
Auto Expenses: gas, repair, insurance	10,533.78	11,943.10
Other	14,666.88	5,947.36
Total Expenses	191,056.16	180,484.31

Note: Expenses increased by \$4,580 for Dumpsters; Additional \$3,130 related uniform cost and Temp fees; Additional \$4,246 increase in Benefits.

OTHER STATS:

OS computers		
Number of computers installed with Operating Systems at IT erasure station at Surplus & re-distributed	27	125
Dollars transferred to IT for computers sold with OS (install fee of \$30/ea)	960.00	3,720

FY12 Note: Timing of payments create variance in number installed versus amount paid to Information Technologies. Student manpower shortage prevented more installation of operating systems into wiped computers.

Vehicles		
Number of vehicles	4	3

Used vehicle purchased/transferred from Recycling Dept.

Staff		
Number of staff (includes Temp)	7	6

Notes

- > In Fiscal Year (FY) 2013 and 2012, we had a shortage of \$33,535.08 and \$26,394.01 respectively in the budget for labor, which is made up from Bentley's Auction sales.
- > Communication expense contains voice mail, fax & phone lines, cell phones for delivery personnel and the alarm system charges.
- > Other expense includes office supplies, uniforms, personal safety equipment, professional fees, other repairs, operating costs and NMGRT.

Departmental Auction Sales FY13

Sold Date	Purchase Price	Net Book Value	Item	Department	Sold Amt	Commission Amt (if applicable)	Net Sale Amt	Surplus Portion	Department's Portion	Number of Sales Attempts			
										Public Auction Website	Bentley's	Special Event	Recycle
7/13/2012	\$2,833.00	0.00	Video Jet	Mailing Systems	\$2,500.00	\$0.00	\$2,500.00	\$379.87	\$2,120.13				
8/11/2012	N/A	N/A	Duncan Parking Meters	Parking and Transportation Services	\$900.00	\$225.00	\$675.00	\$101.25	\$573.75				
10/4/2012	\$43,512.00	\$0.00	Trailer Halves	Parking and Transportation Services	\$12,294.90	\$1,509.90	\$10,785.00	\$9,785.00	\$1,000.00		1		
10/19/2012	N/A	N/A	Desk Chairs	N/A	\$87.22	\$0.00	\$87.22	\$87.22	\$0.00		1		
11/5/2012	\$6,090.19	\$4,719.91	Finaling Sale	Human Resources Dept	\$63.56	\$0.00	\$63.56	\$63.56	\$0.00		1		
11/9/2012	N/A	N/A	Assorted Chairs (Free)	Surplus	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		1		
11/16/2012	N/A	N/A	Desk Chairs 2	Surplus	\$3.71	\$0.46	\$3.25	\$3.25	\$0.00		1		
11/29/2012	N/A	N/A	Pool Table	Los Alamos (Student Government)	\$319.20	\$99.20	\$220.00	\$70.00	\$150.00		1		
11/27/2012	N/A	N/A	Aluminum Tubing	UNM Cancer Center	\$13,452.00	\$0.00	\$13,452.00	\$2,017.80	\$11,434.20				1
12/11/2012	N/A	N/A	Modular Furniture	Capital Projects Office	\$199.50	\$24.50	\$175.00	\$175.00	\$0.00		1		1
12/14/2012	\$54,000.00	0.00	Dolphin Ticket Scanners	UNM Ticketing	\$3,400.00	\$340.00	\$3,060.00	\$459.00	\$2,601.00			1	
12/17/2012	N/A	N/A	Assorted Folding Tables	Surplus	\$1,240.00	\$124.16	\$1,115.84	\$115.84	\$1,000.00			1	
1/28/2013	N/A	N/A	Twelve Round Tables	Surplus	\$101.00	\$0.00	\$101.00	\$101.00	\$0.00			1	
2/29/2013	N/A	N/A	Nine Desks	Surplus	\$40.50	\$0.00	\$40.50	\$40.50	\$0.00			1	
3/7/2013	N/A	N/A	7 Table (59" x 27.5")	Surplus	\$42.12	\$0.00	\$42.12	\$42.12	\$0.00		1		
3/7/2013	N/A	N/A	7 Table (25.5" x 27.5")	Surplus	\$23.99	\$0.00	\$23.99	\$23.99	\$0.00		1		
3/7/2013	N/A	N/A	Bookcase	Purchasing	\$13.50	\$1.50	\$12.00	\$12.00	\$0.00		1		
3/8/2013	\$679,117.00	0.00	KNME Production Studio Cameras	KNME	\$1,500.00	\$450.00	\$1,050.00	\$157.50	\$892.50			2	
3/9/2013	N/A	N/A	30 Assorted Chairs	Surplus	\$2.34	\$0.00	\$2.34	\$2.34	\$0.00		1	2	
3/9/2013	N/A	N/A	2 Tables (59" x 23")	Surplus	\$3.94	\$0.00	\$3.94	\$3.94	\$0.00		1		
3/9/2013	N/A	N/A	Eximer Laser (LANL) Associated Trigger Unit**	UNM Physics and Astronomy	\$125.00	\$31.25	\$93.75	\$93.75	\$0.00			1	
3/22/2013	N/A	N/A	Copy Machine	Copy Center	\$3,850.00	\$350.00	\$3,500.00	\$700.00	\$2,800.00		1		
3/23/2013	1,905.00	590.00	Excess Golf supplies	Women's Golf	\$85.00	\$0.00	\$85.00	\$0.00	\$85.00				1
4/1/2013	N/A	N/A	1588 Pairs of Cleats	Athletics	\$10,296.00	\$1,496.00	\$8,800.00	\$1,412.40	\$7,387.60		1		
4/2/2013	N/A	N/A	16 Tables	Surplus	\$175.50	\$0.00	\$175.50	\$175.50	\$0.00		1		
4/2/2013	N/A	N/A	Student Desk Chairs	Surplus	\$473.85	\$0.00	\$473.85	\$473.85	\$0.00		1		
4/12/2013	\$6,413.00	\$0.00	Golf Cart	CRLS	\$90.00	\$9.00	\$81.00	\$81.00	\$0.00			1	
5/6/2013	N/A	N/A	79 Tables	Surplus	\$1,418.82	\$92.82	\$1,326.00	\$1,326.00	\$0.00		1		
6/3/2013	N/A	N/A	Landscape Trailer	PPD	\$2,375.75	\$203.06	\$2,172.69	\$2,172.69	\$0.00		1		
N/A	\$22,800.00	\$1,123.13	Ford F-350	UNM Recycling	\$12,000.00	\$0.00	\$12,000.00	\$0.00	\$12,000.00		1		
					\$67,067.34	\$4,096.86	\$62,970.48	\$20,066.31	\$42,904.18				

** Decommissioned and sold for scrap

Departmental Auction Sales FY12

Sold Date	Purchase Price	Net Book Value	Item	Department	Sold Amt	Commission (if appl)	Net Sale Amt	Surplus Portion	Department Portion	Number of Sales Attempts		
										Bentley's	Purchasing	Special Event
7/9/2011	\$20,094.00	0.00	3 Professional Panasonic Cameras with Cases	Communication & Journalism	\$315.00	\$78.75	\$236.25	\$35.01	\$201.24		2	
9/10/2011	N/A	0.00	DH Lawrence Ranch	PPD	\$1.00	\$0.18	\$0.82	\$0.82	\$0.00		1	
11/12/2011	N/A	0.00	Surplus Books	Maxwell Museum	\$1,234.90	\$0.00	\$1,234.90	\$0.00	\$1,234.90			1
4/6-7/2012	N/A	0.00	Surplus Books	Maxwell Museum	\$2,344.25	\$0.00	\$2,344.25	\$0.00	\$2,344.25			1
10/13/2011	N/A	0.00	Library Collection	University Libraries	\$403.75	\$0.00	\$403.75	\$0.00	\$403.75			1
12/1/2011	\$25,891.48	0.00	CC Docu Punch	Copy Center	\$10,317.00	\$0.00	\$10,317.00	\$317.00	\$10,000.00			1
12/8/2011	\$27,281.22	\$12,056.67	Pathology Equipment	Pathology	\$13,000.00	\$3,250.00	\$9,750.00	\$1,462.50	\$8,287.50		1	
12/8/2011	N/A	0.00	DAW Air Boxes and Batterfield	Manufacturing Engineering	\$1,300.00	\$325.00	\$975.00	\$146.25	\$828.75		1	
2/16/2011	\$18,344.00	\$4,982.18	Basketball Goals	Athletics	\$3,000.00	\$0.00	\$3,000.00	\$450.00	\$2,550.00			2*
2/18-19/2012	N/A	0.00	Volleyball Clothing Sale	Department of Athletics	\$3,134.25	\$0.00	\$3,134.25	\$0.00	\$3,134.25			1
5/18/2012	various	\$0.00	55 Vehicles	Physical Plant Department	\$44,650.00	\$11,162.50	\$33,487.50	\$3,348.75	\$30,138.75		1	
3/24/2012	N/A	0.00	Women's Golf Sale	Department of Athletics	\$1,469.00	\$0.00	\$1,469.00	\$0.00	\$1,469.00			1
	\$91,550.65	\$16,438.83			\$81,169.15	\$14,816.43	\$66,352.72	\$5,760.39	\$60,592.39			

*Buyer contacted us after 2 RFP's were issued and closed; ultimately purchased the goals.

Didn't Sell

Bid Date	Purchase Price	Item	Department	Notes
2/2012-4/2012	N/A	Hospital Beds	Interprofessional Healthcare Simulation Ctr	Tried selling through Bentley's Auction; beds unsafe. Recycled.

Surplus Information FY 12

Period of Regents' List	Pickup Trips Completed by Surplus Movers	# of items delivered to Surplus from Departments	# of items sold internally to Depts	Total Number of Items Surplussed	Inventory Items	Non-Inventory Items	Total Value of Items Listed on Regents Report	# of Computers sold with new OS Installed	Trailer Weight - Bentley				Metal Recycling Weight	Net	
									Net	Date	City of ABQ				
June 27 - July 15	102	151	78	560	207	353	\$ 683,948.48	1	18,980	07-12-11	9.81	tons	July11		
July 18 - August 12	157	139	83	1479	362	1117	\$ 1,056,419.14	5	24,340	08-04-11	9.99	tons	Aug11		
August 15 - Sept 16	150	113	129	689	294	395	\$ 177,771.32	3	23,180	08-26-11	8.02	tons	Sept11		
Sept 19 - Oct 14	117	65	80	815	329	486	\$ 750,209.23	19	14,080	09-02-11	7.46	tons	Oct11		
Oct 25 - Nov 11	117	78	41	1044	268	776	\$ 430,953.00	8	23,340	10/05/11	6.44	tons	Nov11		
Nov 18 - Dec 16	98	26	22	470	160	310	\$ 191,821.61	10	26,600	11/02/11	12.28	tons	Dec11		
Dec 22 - Jan 20	104	47	39	543	236	307	\$ 392,953.72	21	17,520	11/18/11	17.33	tons	Jan12		
Jan 23 thru Feb 10	120	106	31	526	225	301	\$ 968,956.60	0	16,160	01/03/12	19.07	tons	Feb12		
Feb 13 thru March 16	64	91	50	582	282	300	\$ 241,905.46	15	19,080	01/19/12	12.95	tons	Mar12		
March 19 thru April 13	77	88	27	492	221	271	\$ 229,191.00	2	22,056	02/17/12	19.69	tons	Apr12		
April 16 thru May 18	114	606	73	1225	348	877	\$ 72,678.00	23	21,640	03/16/12	19.59	tons	May12		
May 21 thru June 29	180	252	88	1406	401	1005	\$ 241,219.55	18	24,800	04/26/12	23.31	tons	June12		
									22,300	05/21/12					
									22,460	06/19/12					
Totals	1400	1762	741	9831	3333	6498	\$ 5,438,027.31	125	296,536		165.94	tons		40.08 tons	7-22-11 to 6-28-12



Office of Capital Projects

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEM TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: September 20, 2013
TO: David W. Harris, EVP for Administration, COO & CFO
FROM: Chris Vallejos, Associate Vice President, Institutional Support Services
RE: Requested Approval

RECOMMENDED ACTION:

Recommend to the Board of Regents Finance and Facilities Committee the following:

1. Request for Capital Project Approval for Taos Branch Campus Renovations and Upgrades

Please see attached summary and site plan.

cc: Vahid Staples, Budget Officer, Office of Planning, Budget & Analysis
Robert Doran, University Architect, Planning & Campus Development
R. Henrard, C. Martinez, E. Schwaner, T. Sanchez – OCP

**REQUEST FOR CAPITAL PROJECT APPROVAL for
TAOS BRANCH CAMPUS RENOVATIONS AND UPGRADES
UNIVERSITY OF NEW MEXICO
October 8, 2013**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **Renovations and Upgrades – Taos Branch Campus**.

PROJECT DESCRIPTION:

This Project will consist of several parts:

- 1) Renovate and upgrade Padre Martinez Educational Building to include finishes, utilities, lighting and WiFi. ⁽¹⁾
- 2) Several areas across campus have been identified for infrastructure upgrades or replacement as well as corrective issue. These will include IT replacement and upgrades for three buildings on campus, removal and replacement of sidewalks and drainage piping that have created building damage and unsafe walking conditions, and installation of landscaping and irrigation.
- 3) A portion of the funds will be used to begin relocation of existing site utilities to locations under walkways, installation of approximately 30,000 S.F. of permeable walkways to replace existing, site lighting and way-finding signage, and the creation of emergency access roads to existing buildings for fire trucks and other emergency vehicles.

PROJECT RATIONALE:

The rationale for these projects comes from the need to update and improve the Taos Branch Campus after multiple years of deferred maintenance due to budgetary restrictions, which has been further compounded by rapidly increasing enrollment. The proposed projects will also support the expansion of key programs addressing the educational needs of the community.

Paramount among future expansion issues is the pressing need to centralize student services. In an era of increased emphasis on persistence, retention and graduation, UNM-Taos can no longer rely on cramped, repurposed offices in separate buildings to provide high quality student services. By centralizing these services into one expanded location, UNM-Taos will be able to create an efficient and effective network of providers better equipped to insure communication and coordination of these critical services.

(1) Note: Additional portions of the Padre Martinez Educational Center expansion for the new Student Success Center will be submitted under a separate request for project approval.

FUNDING:

The total estimated Project Budget is \$3,000,000:

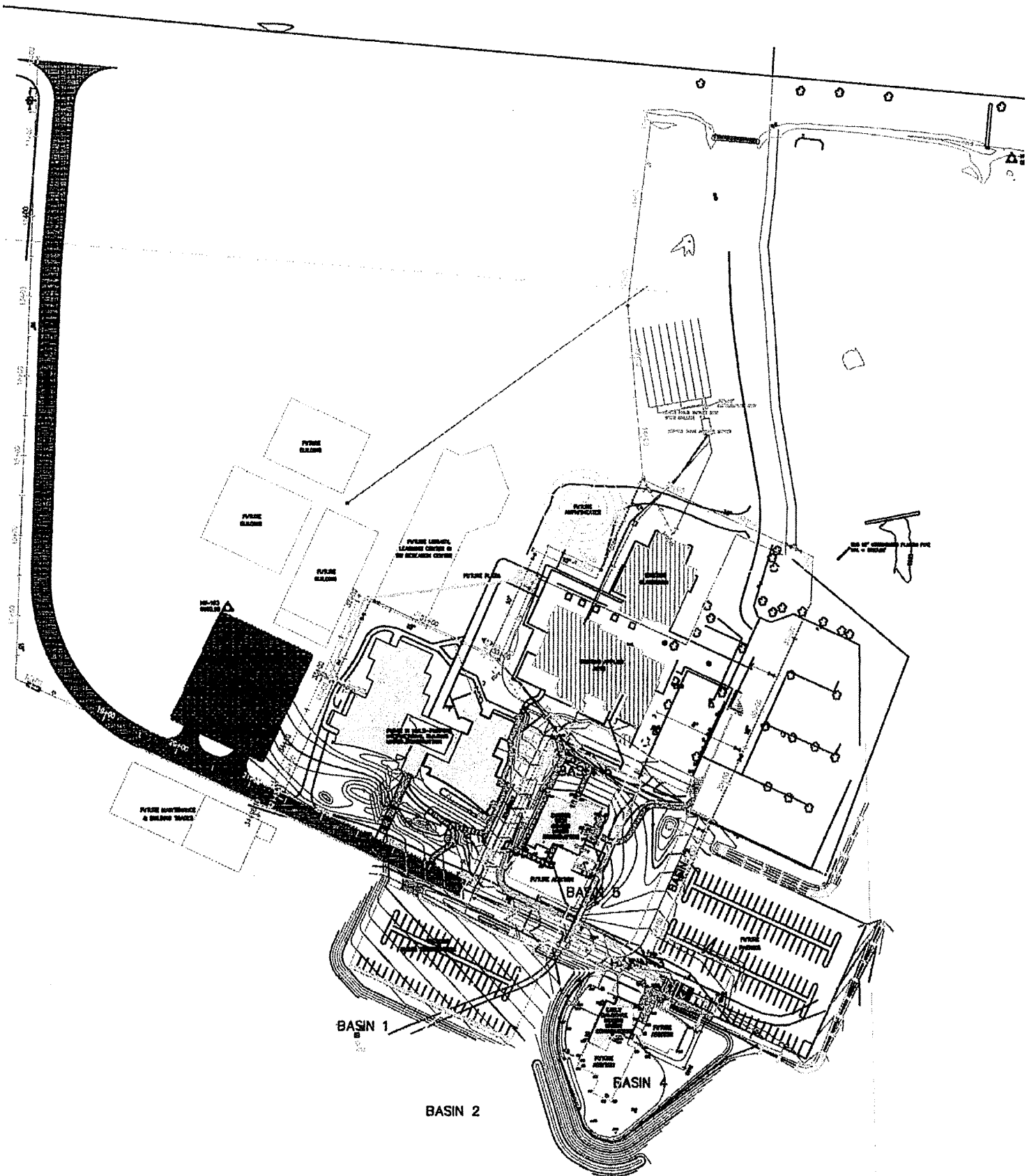
- \$3,000,000 is funded from 2012 General Obligation Bonds
(See attached breakdown in Exhibit A)

Taos Branch Campus Renovations and Upgrades

Exhibit A

The funding for these projects will be distributed as follows:

1) Renovations to the Padre Martinez Educational Center	\$1,231,788
2) IT replacements and upgrades,	\$466,000
Identified drainage, irrigation and landscaping projects	<u>\$227,212</u>
	\$693,212
3) 30,000 S.F. replacement of walkways	\$720,000
Utility relocation under walkways	\$115,200
Site Lighting and Signage	\$140,000
Emergency Access Roads	<u>\$100,000</u>
	\$1,075,000
TOTAL	\$3,000,000



TAOS CAMPUS SITE/UTILITY PLAN



Purchasing Department
MSC01 1240
1 University of New Mexico
Albuquerque, NM 87131-0001
Telephone (505) 277-2036
FAX (505) 277-7774

MEMORANDUM

To: David Harris, Executive Vice President for Administration

From: Bruce Cherrin, Chief Procurement Officer *BC*

Subject: Contract Approval

Date: September 25, 2013

The following is being submitted for approval per Regent Policy 7.4. This will need to proceed to the full Board of Regents.

The Mind Research Network – Brain Safe Program

This Agreement between the Mind Research Network (MRN) and UNM will provide athletes who participate in the Brain Safe Program annual brain scans and neuropsychological testing as part of their annual physical examinations. The results will be compared to the athletes' scans and assessments during their tenure.

Baseline behavioral, blood and brain measures will be collected annually from the athletes, and any athletes who have a sports-related concussion during the season will receive additional behavioral, blood, and brain MRI data collection. The latter injury scans will be conducted typically within 72 hours of the concussive event or sooner/later depending upon neurological sequelae (ie: nauseousness prohibits MRI scanning). Within-subject comparison of behavioral, blood, and MRI measures will be made and provided to the University. Additional scans will be completed as needed to evaluate recovery. The MRI scans are completely non-invasive and provide the most advanced technology to understand and ultimately reduce the occurrence of sports-related brain injuries.

MRN has agreed to provide the services described above and cover the \$500,000 annual costs associated with the services in exchange for the promise that the University agrees to pay MRN back according to the repayment schedule.

The cost of this program to UNM will be \$1.5 million payable during FY 2015-2016.



RETIREE BENEFITS AND RELATED GASB 45 REQUESTS FROM REGENTS

1. What is the cost savings impact of moving the Pre 65 Retirees to a High Deductible Health Plan at either a \$500, \$1,500 or \$2,500 individual deductible? (NOTE: The \$500 deductible is not an option as federal regulations do not recognize a deductible below \$1,250 to be a high deductible plan.)
2. What is the impact to the Unfunded AAL of moving the Pre 65 Retirees to a High Deductible Health Plan at either a \$1,500 or \$2,500 individual deductible?
3. What is the cost savings of moving all pre 65 Retirees to a sole carrier? (NOTE: Lovelace and Presbyterian networks would be the two solutions)
4. What is the cost savings and impact to the unfunded AAL of eliminating the Basic Life Insurance benefit for Retirees?
5. What is the cost savings and impact to the unfunded AAL of eliminating the Dental benefit for Retirees?
6. What is the cost savings and impact to the unfunded AAL of eliminating the Vision benefit for Retirees? (NOTE: Vision benefit is 100% funded by employees and is not available to Retirees except as a COBRA option)
7. Request that Gallagher Benefits do a side by side comparison of responses of the GAP proposals.
8. Request Gallagher to choose one plan design from both the silver and bronze categories for the major NM carriers and analyze the impact to premiums, unfunded AAL and plan design if UNM were to mimic the New Mexico Health Insurance Exchange plan design options.
9. The 7% earnings rate assumption used in the GASB 45 should be changed to 5%. (The discount rate and earnings rates used in the GASB 45 have been reaffirmed by the Health Care Analytics actuaries and additionally these rates have been affirmed by UNM's external auditors KPMG to be reasonable assumptions.)



Office of Planning, Budget & Analysis

MEMORANDUM

TO: Members of the Finance & Facilities Committee

THRU: Robert G. Frank, President
David W. Harris, EVP for Administration, COO and CFO

FROM: Andrew Cullen, Associate VP, Office of Planning, Budget & Analysis

DATE: October 4, 2013

SUBJECT: **Approval of Reports for University Administrative Policies (UAP) 7000
for Main Campus and Branch Campuses – FY 2012/2013**

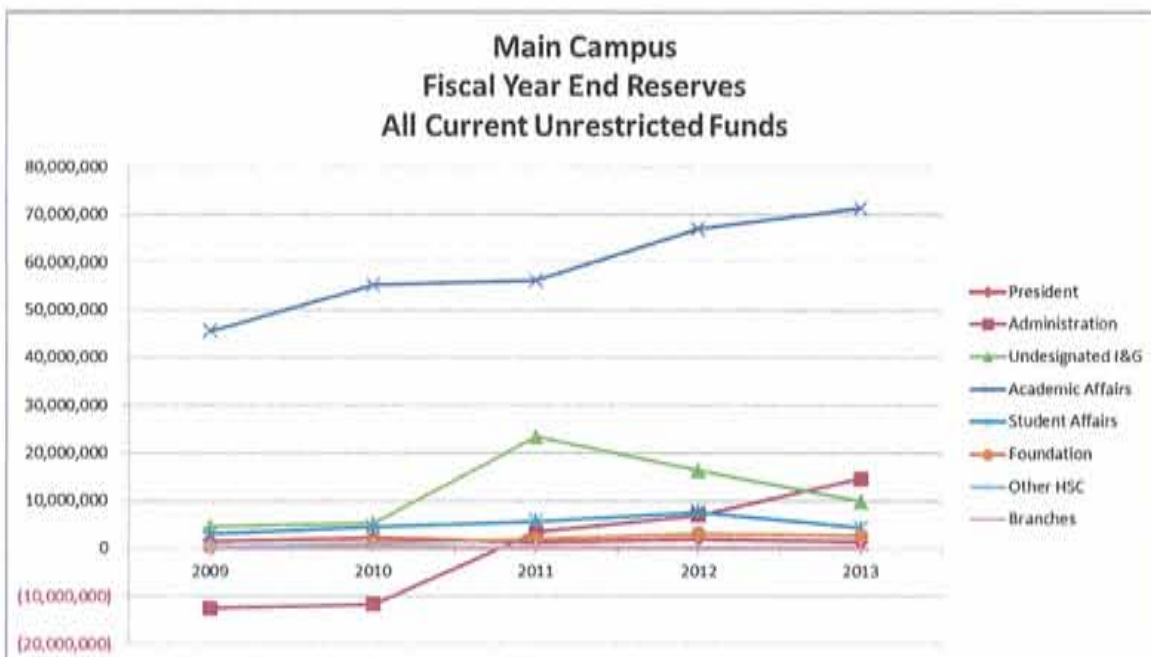
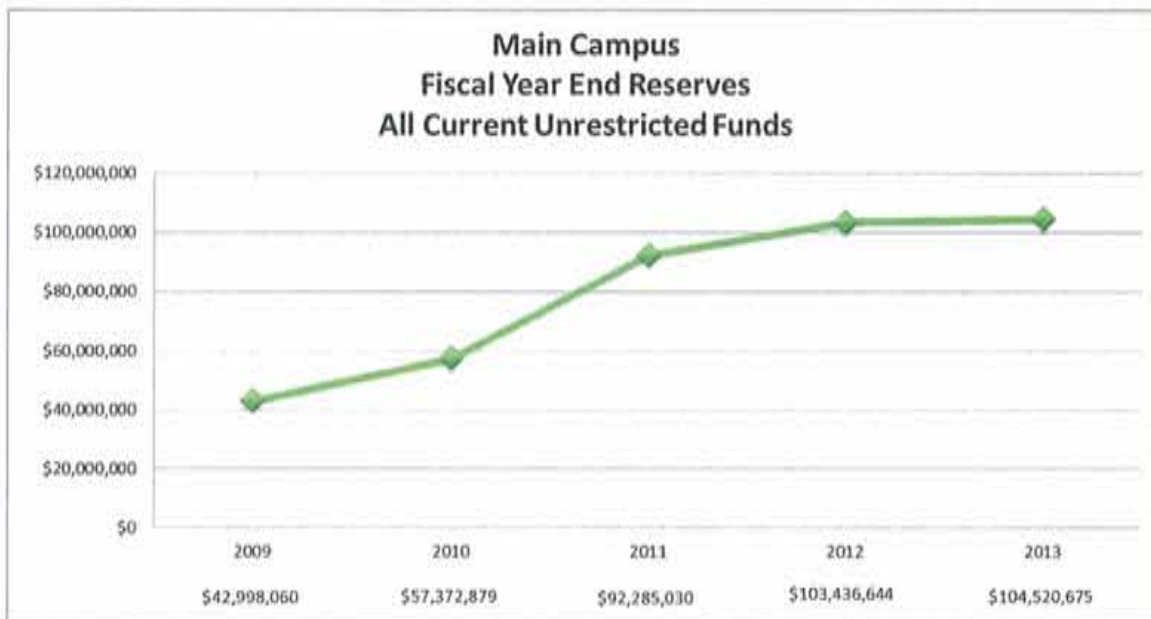
Overview

At the end of each fiscal year, the Office of Planning, Budget and Analysis (OPBA) reviews current unrestricted reserves in accordance with UAP 7000 and Regents' Policy 1.1 & 7.2 (Fiduciary Responsibility) and submits a report to the Board of Regents for approval. In this report, we explain changes in current unrestricted reserves for Main Campus and Branches and discuss year-end deficit balances for Colleges and Divisions.

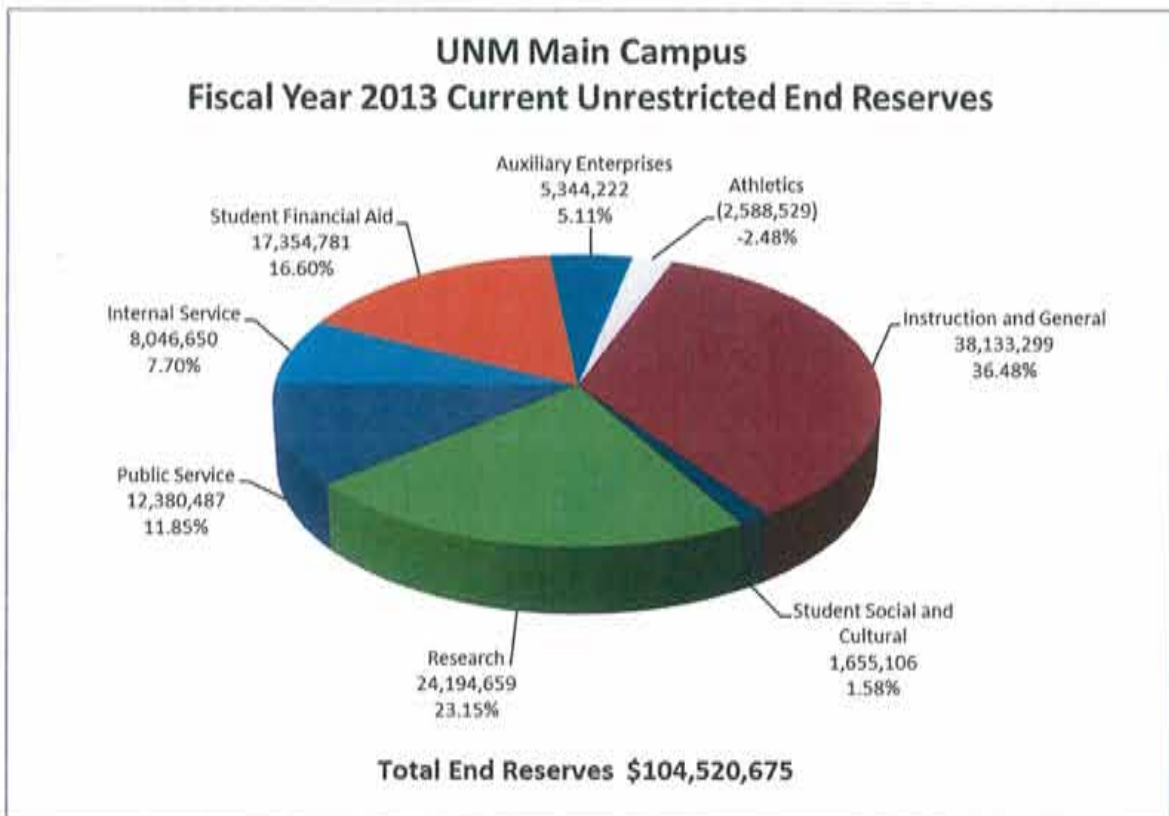
The UAP 7000 Policy process begins when the fiscal year-end closes in late July. Each department submits the information to its respective College/School/Division, which in turn submits the information to the respective Vice President unit. Lastly, the Vice President unit reviews and submits the final report to the OPBA. The process also includes a requirement to categorize fund reserves in terms of committed, dedicated, and discretionary, and to report on deficit balances greater than \$100,000, including a deficit reduction plan.

Summary Graphs & Schedules

Unrestricted Funds: As the following three graphs depict, overall university reserves for Main Campus Unrestricted funds have continued to grow over the last five years, with especially prominent growth in three areas: 1) the university's undesignated fund balance, 2) academic affairs, and 3) the administration. The reasons behind this growth vary, with some the result of a greater effort by the University to adhere to the HED's recommended 3% minimum reserve, some as a result of moving deficit balances to the Plant Fund exhibit with a defined deficit reduction plan, and others the result of balances at the college/department level that were accumulated to protect against State budget cuts but that have not been effectively spent on one-time initiatives.



	2009	2010	2011	2012	2013
President	1,535,142	2,218,110	1,416,117	2,061,612	1,442,983
Administration	(12,432,666)	(11,682,616)	3,451,055	7,002,889	14,696,692
Undesignated I&G	4,505,446	5,317,416	23,396,046	16,338,265	9,780,281
Academic Affairs	45,503,868	55,236,456	56,097,036	66,958,067	71,321,086
Student Affairs	3,111,951	4,511,268	5,681,739	7,713,803	4,345,256
Foundation	305,474	1,219,044	2,028,283	3,151,743	2,708,572
Other HSC	464,802	540,537	208,410	200,024	215,814
Branches	4,043	12,664	6,344	10,242	9,991
Grand Total	42,998,060	57,372,879	92,285,030	103,436,644	104,520,675

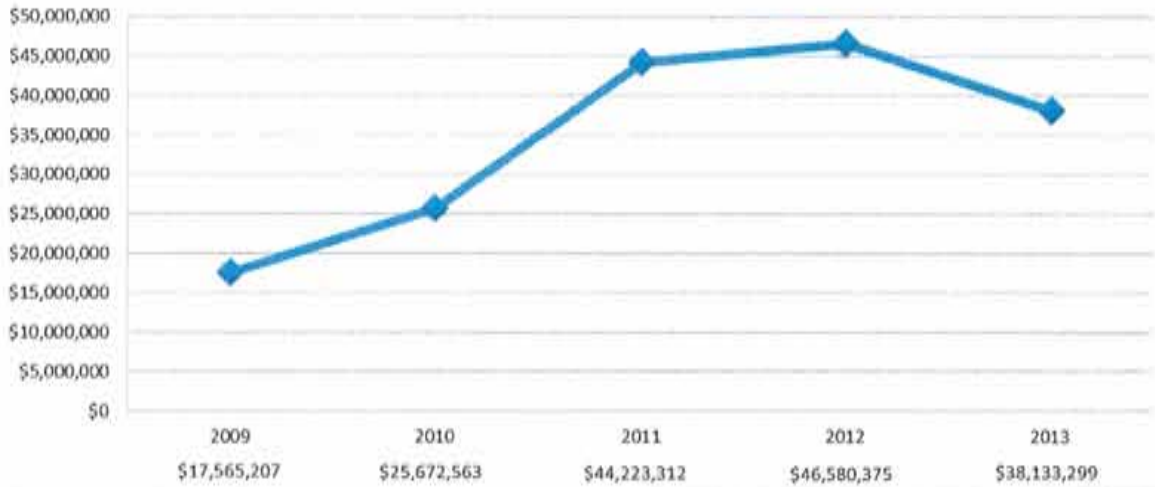


Instruction & General Funds (I&G): An analysis of overall Main Campus I&G funds depicts a similar growth picture until FY13, where there is a noticeable decline in overall reserves. The decline can be largely explained by analyzing the reduction in reserves attributable to the University's Undesignated Reserve and a decline in reserves for departments within the President and Administrative organizations. More specifically, overall I&G reserves declined approximately \$8.6 million from FY12 to FY13. A close look at the two categories referenced above account for 87% of this reduction.

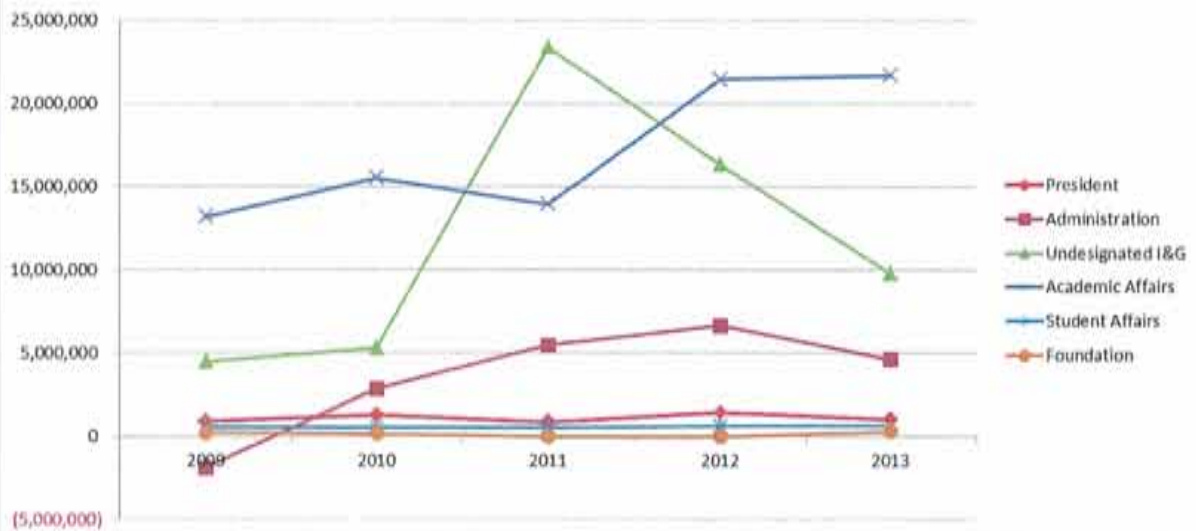
- Undesignated Reserve – Reduction of \$6.5 million
- Other Administrative Units – Reduction of \$1.0 million

The following three graphs provide a visual trend analysis of these reserves beginning in FY09 through FY13. As the last of the three graphs depicts, it is worth noting that the reserves in Academic Affairs comprises approximately 57% of the overall reserves and remains largely unchanged from FY12.

Main Campus Fiscal Year End Reserves Instruction and General Funds

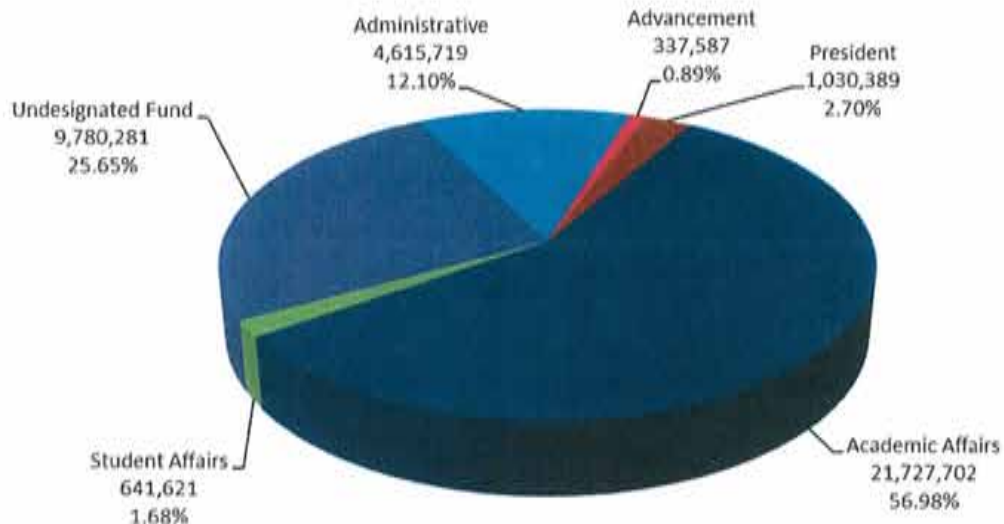


Main Campus Fiscal Year End Reserves Instruction and General Funds



	2009	2010	2011	2012	2013
President	893,601	1,276,593	877,261	1,480,090	1,030,389
Administration	(1,870,362)	2,848,063	5,452,548	6,655,117	4,615,719
Undesignated I&G	4,505,446	5,317,416	23,396,046	16,338,265	9,780,281
Academic Affairs	13,183,247	15,536,556	13,942,703	21,462,189	21,727,702
Student Affairs	605,578	526,309	551,496	636,560	641,621
Foundation	247,696	167,627	3,257	8,154	337,587
Grand Total	17,565,207	25,672,563	44,223,312	46,580,375	38,133,299

UNM Main Campus Fiscal Year 2013 Instruction & General End Reserves

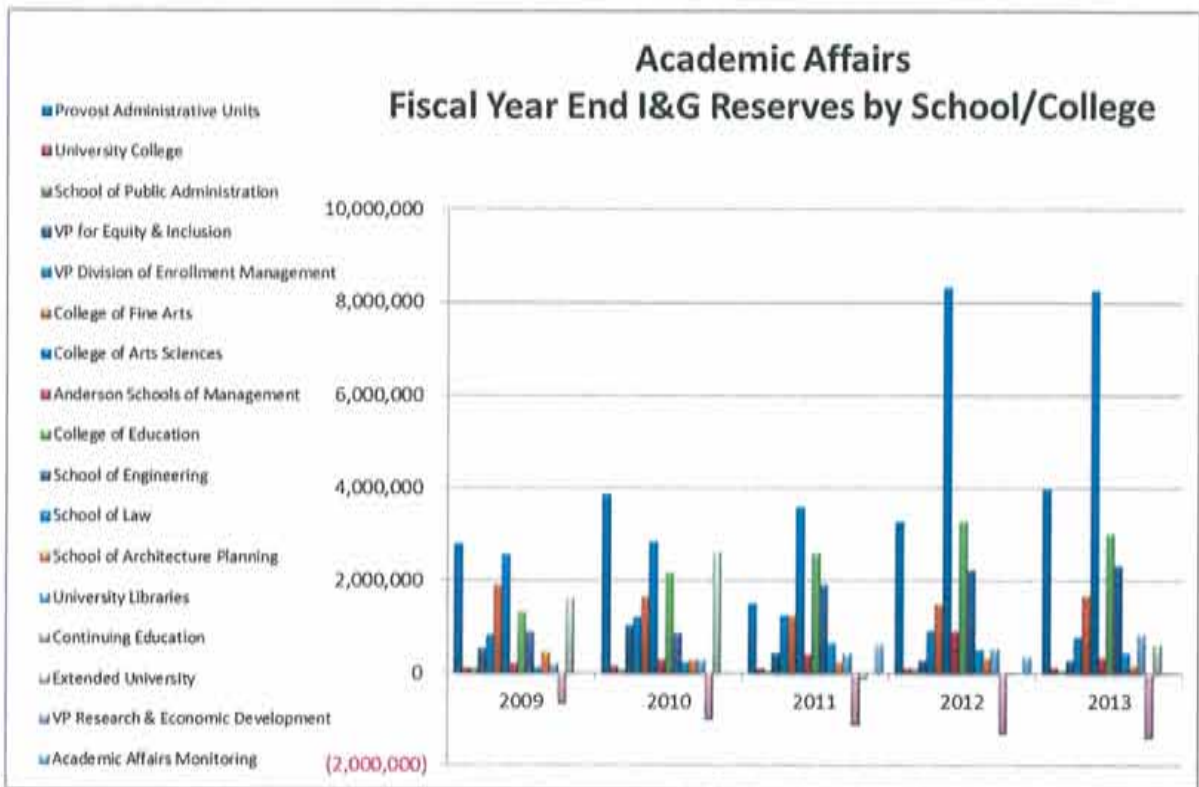


Total End Reserves \$38,133,299

Academic Affairs Reserves by School/College

An important metric regarding the ultimate health of the university, defined as broadly as possible, lies primarily with the operations of our schools and colleges. With this in mind, the following graph is a useful snapshot of the fiscal health of these entities and, more specifically, their ability to move forward with one-time college initiatives such as minor capital projects, faculty start-up packages, and bridge funding for faculty hires.

As the chart will depict, overall balances within the University's schools and colleges grew approximately \$8.5 million, or 65%, from FY09 to FY13. As you recall, it was during FY09, FY10 and FY11 that the University was faced with significant budget reductions in State funding, a time when belt tightening and the accumulation of balances was prudent to prepare for the future. It should be noted, however, that State cuts have ceased and it is critical that these units reinvest these one-time funds in initiatives that will strengthen their programs and assist students with their studies towards graduation.



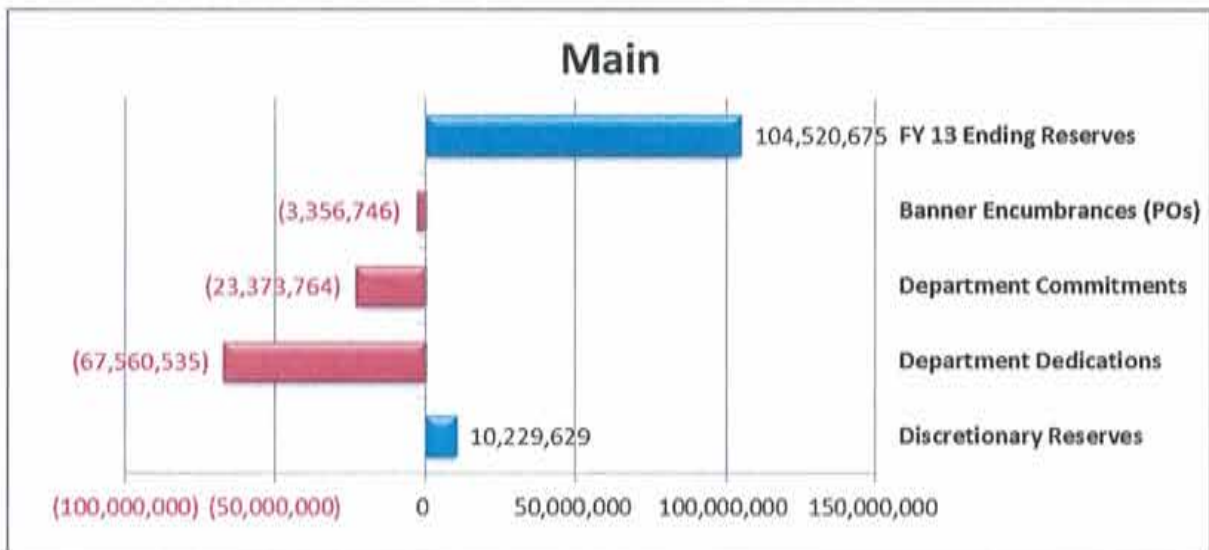
	2009	2010	2011	2012	2013
Provost Administrative Units	2,802,263	3,856,555	1,500,425	3,278,346	3,983,581
University College	114,369	157,091	119,285	131,556	136,929
School of Public Administration	133,647	106,960	78,224	123,555	79,468
VP for Equity & Inclusion	534,765	1,021,054	433,603	276,789	278,216
VP Division of Enrollment Management	826,846	1,222,220	1,257,150	911,465	777,626
College of Fine Arts	1,922,855	1,673,076	1,246,290	1,511,413	1,687,853
College of Arts Sciences	2,577,283	2,844,975	3,602,838	8,339,102	8,271,722
Anderson Schools of Management	216,661	303,971	407,267	909,050	356,803
College of Education	1,358,162	2,178,549	2,587,116	3,293,744	3,023,596
School of Engineering	897,358	875,139	1,923,705	2,238,071	2,333,788
School of Law	127,949	244,248	633,582	499,625	443,565
School of Architecture Planning	468,770	312,879	258,593	346,562	192,989
University Libraries	203,169	309,886	436,444	532,617	846,774
Continuing Education	(647,163)	(985,284)	(1,091,929)	(1,294,963)	(1,378,355)
Extended University	1,637,470	2,629,678	(105,462)	(18,473)	654,372
VP Research & Economic Development	4,754	11,526	11,516	12,346	(10,314)
Academic Affairs Monitoring	4,090	0	644,057	371,382	49,088
Grand Total	13,183,247	16,762,523	13,942,703	21,462,189	21,727,702

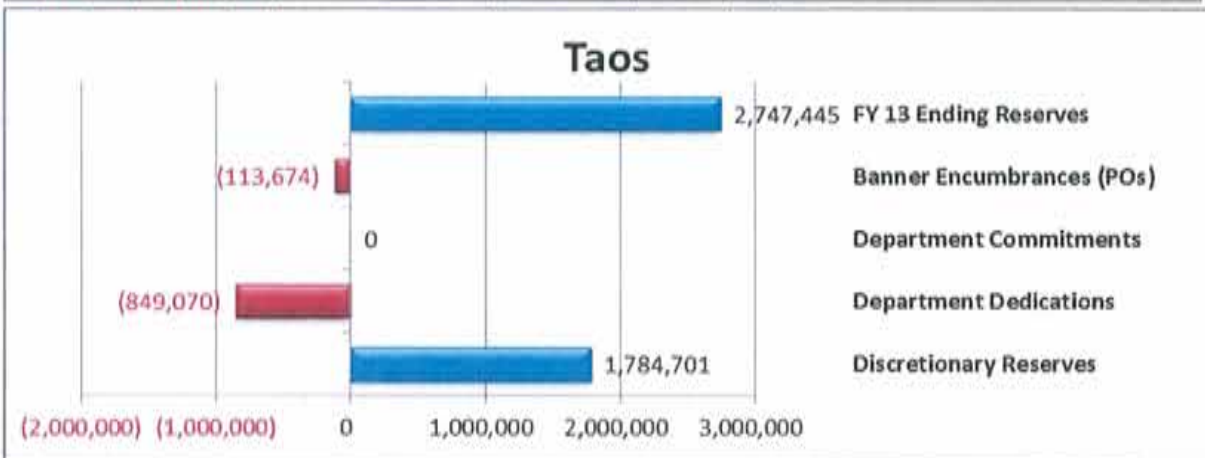
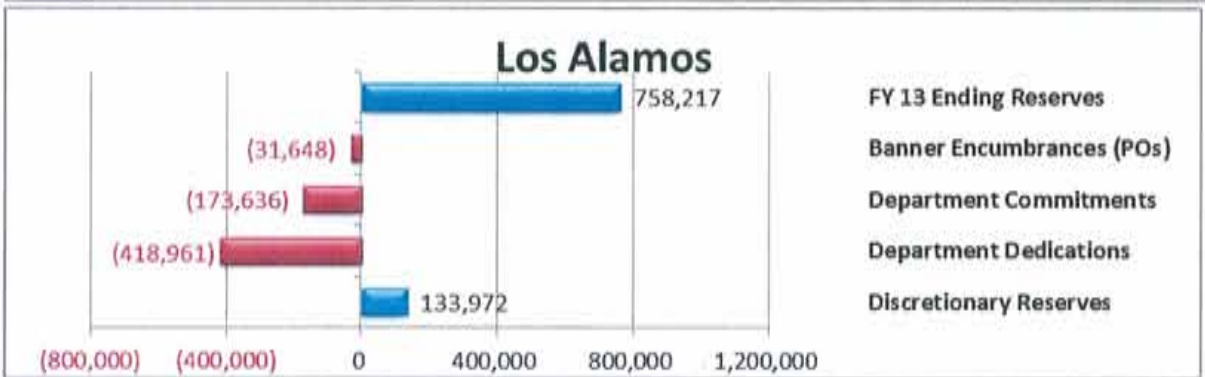
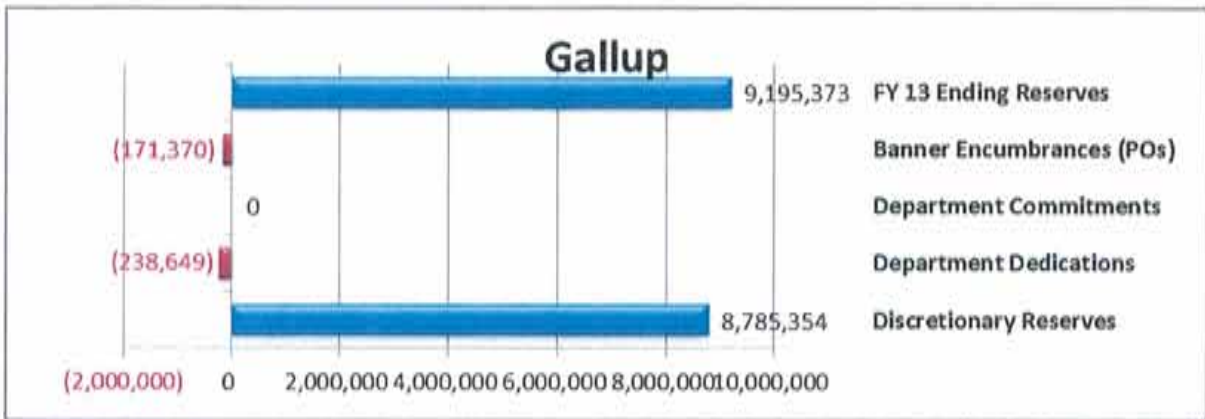
For presentation purposes, the previous graphs provide an excellent visual trend analysis—reserves growing throughout the university, but somewhat uneven across schools, colleges, and divisions. Given the size and growth of reserves, however, a closer analysis is prudent, with the table on the following page quantifying *reserves as a percent of budget*. This analysis reveals that for many schools, colleges, and divisions, reserves, if unspent, have reached undesirable levels. As stated earlier, these reserves should be put to work.

Reserves as a Percentage of Budget			
College/School/Division	Instruction & General	Non Instruction & General	Total Current Unrestricted
President	14.20%	3.33%	17.53%
Academic Affairs	11.49%	12.20%	23.69%
Student Affairs	10.68%	5.53%	16.21%
Undesignated Fund	23.82%	0.20%	24.02%
Administrative	7.22%	-2.45%	4.77%
Advancement	21.96%	147.54%	169.50%
Other HSC and Branches	0.00%	20.63%	20.63%

Categorization of Reserves

The following charts suggest this is being done, although somewhat unevenly, as schools, colleges, and divisions categorized their reserves as committed, dedicated, or discretionary in accordance with UNM Policy 7000. In the case of Main Campus, departments have documented plans are being made to expend reserve, as was the case during the last several fiscal years. However, since reserves have grown or remained constant, absent the undesignated reserve, it is clearly evident that university entities must improve in completing their “commitment and dedication” plans. In the case of the branch campuses, exceptional student credit hour (SCH) growth and the resulting State funding, coupled with changes in executive leadership at the Gallup branch, has resulted in significant discretionary reserves that must be programmed.








Deficit Balances

A final component of Policy 7000 includes a summary of current unrestricted deficits in excess of \$100,000. The chart below represents these deficits, the responsible entities, and a trend analysis/depiction since last reported as of 6/30/2012.

UAP 7000--Main Campus--FYE 2013

Summary of Current Unrestricted Deficits \$100,000 or More

College/Division	Ending Balance June 30, 2013	Change FY12 to FY13
UNM Press	(4,518,189)	↑
Continuing Education	(1,137,667)	↓
Athletics	(2,588,529)	↑
Financial Services	(1,576,945)	↓
Golf Course*	(358,252)	↓
Public Events**	728,419	↓
Printing Plant	0	↓

-  Overall deficit balance grew
-  Overall deficit balance decreased
-  Deficit stayed the same

*\$4.5M Transferred to Plant Fund Exhibit with agreed upon deficit reduction payment schedule

**\$2M Transferred to Plant Fund Exhibit with agreed upon deficit reduction payment schedule

As the table shows, noteworthy operational improvements have been made in several areas, with deficit balances reduced accordingly. Maintaining these positive operational results will require continued focus, while other entities listed must continue to adapt to outside market forces and/or inherent fiscal challenges unique to their industry.

Detail Schedules: The graphs and tables presented were built upon the following UAP 7000 Policy detailed reports, which are included for your use:

- Attachment I - Summary of Current Unrestricted Reserves: Details current unrestricted reserves accordingly to underlying use.
- Attachment II - Summary of Current Unrestricted Reserves by College/School/Division: Provides a further breakdown of unrestricted reserves by college/school/division.

- Attachment III - Summary of Main Campus I&G Reserves by VP Unit: Compares fiscal year ending reserves and provides explanations for changes.
- Attachment IV - Summary of Main Campus I&G Undesignated Fund Reserves: Details change in the central reserve.
- Attachment V - Main and Branch Summary of Current Unrestricted Deficits of \$100,000 or more by College/Division: Lists the deficits and the organizations responsible for them.
- Attachment VI – Summary of I&G Reserves as a Percentage of Budget by College/School/Division.
- Attachment VII – Summary of Current Unrestricted Reserves as a Percentage of Budgets by College/School/Division.
- Attachment VIII – Summary of Categorization of I&G and Non I&G Fund Reserves by College/School/Division.

We look forward to meeting with you to provide a high level overview of the materials and answer any specific questions you might have regarding any of the graphs and/or spreadsheets. Thank you for your consideration.

**Attachment I--Summary of Current Unrestricted Reserves
June 30, 2013**

Main Campus	Reserve June 30, 2012	Reserve June 30, 2013	Change FY 2012-2013
Instruction and General	46,580,375	38,133,299	(8,447,075)
Student Social and Cultural	1,645,628	1,655,106	9,478
Research	20,671,032	24,194,659	3,523,626
Public Service	11,408,631	12,380,487	971,856
Internal Services	8,889,623	8,046,650	(842,973)
Student Financial Aid	16,871,268	17,354,781	483,513
Auxiliary Enterprises	(760,884)	5,344,222	6,105,106
Athletics	(1,869,020)	(2,588,529)	(719,500)
Total	103,436,644	104,520,675	1,084,030

Branches	Reserve June 30, 2012	Reserve June 30, 2013	Change FY 2012-2013
Instruction and General	11,561,531	11,589,610	28,079
Student Social and Cultural	322,173	375,443	53,270
Public Service	851,813	713,973	(137,841)
Internal Services	158,995	200,636	41,640
Student Financial Aid	513,540	693,535	179,995
Auxiliary Enterprises	844,961	927,122	82,161
Total	14,263,013	14,500,317	247,304

Attachment II--Summary of Current Unrestricted Reserves by College/School/Division

UAP 7000 - Main and Branch Campuses - Current Unrestricted Reserves, FYE 2013

College/School/Division										FY 2013	FY 2012	Net Change
	Instruction and General	Student Social and Cultural	Research	Public Service	Internal Services	Student Aid	Auxiliaries	Athletics	Total Current Unrestricted	Total Current Unrestricted		
President's Office	5,408.48	0.00	0.00	12,196.80	0.00	19,572.88	0.00	0.00	37,179.17	112,198.01	(75,018.84)	
University Counsel Office	183,448.72	0.00	148,830.04	0.00	0.00	8.00	0.00	0.00	309,478.76	825,752.80	(516,274.04)	
University Communication & Marketing	274,037.85	0.00	0.00	5,000.00	588.58	0.00	0.00	0.00	279,606.44	204,168.64	75,437.80	
Compliance Office	(399.95)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(399.95)	0.00	(399.95)	
Alumni Relations	37,528.31	0.00	0.00	138,003.93	0.00	80,221.43	0.00	0.00	266,753.67	215,231.74	51,521.93	
Internal Audit Department	89,555.67	0.00	0.00	0.00	0.00	0.00	0.00	0.00	89,555.67	242,720.18	(153,164.51)	
UNM West	460,809.33	0.00	0.00	0.00	0.00	0.00	0.00	0.00	460,809.33	461,541.09	(731.76)	
RWJ Center for Health Policy	0.00	0.00	300,348.90	93,357.94	0.00	0.00	0.00	0.00	393,704.84	492,908.91	(99,204.07)	
Global Education Office	97,782.42	8,052.32	0.00	68,928.52	17,747.86	19,130.09	0.00	0.00	212,641.21	145,018.02	67,623.19	
Hanwood Foundation	25,073.59	0.00	0.00	359,500.82	0.00	112,832.37	11,890.39	0.00	509,297.27	486,671.33	23,225.94	
Optical Science and Engineering	12,011.83	0.00	0.00	0.00	0.00	0.00	0.00	0.00	12,011.83	3,840.22	8,171.61	
UC Advisment Center	(33,028.70)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(33,028.70)	89,328.70	(122,357.40)	
Office of Support for Effective Teaching	16,800.33	0.00	0.62	0.00	0.00	10,187.83	0.00	0.00	26,988.78	41,798.77	(14,809.99)	
Nano Science & Micro Systems	48,950.58	0.00	0.00	0.00	0.00	0.00	0.00	0.00	48,950.58	51,930.18	(2,979.60)	
Parent Association	45,679.49	0.00	0.00	29,637.77	0.00	820.82	0.00	0.00	76,938.06	67,634.06	(9,299.98)	
Undergraduate Education Support	5,947.14	0.00	0.00	0.00	0.00	0.00	0.00	0.00	5,947.14	5,947.14	0.00	
Institutional Research	79,852.54	0.00	0.00	0.00	0.00	0.00	0.00	0.00	79,852.54	13,887.71	66,154.83	
KUNM	0.00	0.00	0.00	170,538.63	0.00	0.00	0.00	0.00	170,538.63	195,986.56	(25,447.93)	
University Press	0.00	0.00	0.00	(4,542,875.78)	0.00	24,686.47	0.00	0.00	(4,518,189.31)	(4,088,144.52)	(430,044.79)	
Graduate Studies	1,363,225.32	0.00	39,458.54	63,951.49	0.00	585,899.13	0.00	0.00	2,056,544.48	1,271,225.43	785,319.05	
CAPS	71,914.25	0.00	0.00	1,087.50	0.00	0.00	0.00	0.00	72,101.75	135,020.77	(62,919.02)	
Latin American Iberian Institute	6,875.95	0.00	6,575.59	178,079.87	0.00	87,159.75	0.00	0.00	281,691.16	355,821.53	(73,930.37)	
Provost Office Staff	2,188,670.20	0.00	13,409.71	37,315.22	0.00	91,411.10	0.00	0.00	2,330,806.23	2,289,316.04	41,490.19	
Spanish Colonial Research Center	0.00	0.00	0.00	(14,804.81)	0.00	0.00	0.00	0.00	(14,804.81)	44,768.15	(59,572.96)	
CARS	0.00	0.00	0.00	0.00	27,392.96	0.00	0.00	0.00	27,392.96	15,134.77	12,258.19	
Office of the University Secretary	50,726.38	0.00	131,800.08	0.00	0.00	0.00	0.00	0.00	182,526.46	92,831.23	89,695.23	
University College	136,928.59	0.00	1,514.29	154,365.46	0.00	181,385.51	0.00	0.00	454,194.85	455,963.80	(1,768.95)	
School of Public Administration	79,487.61	0.00	17,798.31	33,910.37	0.00	214,995.32	0.00	0.00	348,171.61	490,346.53	(144,174.92)	
VP for Equity & Inclusion	278,218.28	15,157.77	358.00	27,997.96	0.00	0.00	0.00	0.00	321,730.02	269,132.00	52,598.02	
VP Division of Enrollment Mgmt	777,626.34	0.00	0.00	373,051.72	0.00	3,457,418.43	0.00	0.00	4,608,096.49	4,175,535.47	432,561.02	
College of Fine Arts	1,667,852.91	273,275.28	62,644.97	932,507.49	15,386.06	1,653,524.44	5,524.46	0.00	4,030,115.61	3,827,238.19	202,877.42	
College of Arts Sciences	6,271,721.65	5,908.52	7,155,104.10	2,457,578.01	406,740.67	2,638,856.12	(56,079.99)	0.00	20,879,829.08	19,850,515.19	1,029,313.89	
Anderson Schools of Management	356,803.26	0.00	67,207.61	707,626.73	0.00	1,633,429.59	0.00	0.00	2,764,967.19	3,207,815.06	(442,847.87)	
College of Education COE	3,023,595.00	0.79	985,326.26	680,255.65	0.00	721,093.30	0.00	0.00	5,430,472.00	5,616,978.61	(218,506.61)	
School of Engineering	2,333,787.89	126.82	8,382,844.17	2,406,240.66	31,583.54	1,892,216.79	0.00	0.00	13,026,779.87	13,344,844.61	(318,064.74)	
School of Law	443,564.96	21,728.24	436,787.17	2,243,777.10	0.00	2,487,758.51	0.00	0.00	5,643,565.98	8,062,230.31	(418,834.33)	
School of Architecture Planning	182,988.61	0.00	138,284.02	445,993.60	0.00	616,105.69	0.00	0.00	1,383,371.92	1,516,961.10	(123,589.18)	

Attachment II--Summary of Current Unrestricted Reserves by College/School/Division

UAP 7000 - Main and Branch Campuses - Current Unrestricted Reserves, FYE 2013									FY 2013	FY 2012	
College/School/Division	Instruction and General	Student and Cultural	Research	Public Service	Internal Services	Student Aid	Auxiliaries	Athletics	Total Current Unrestricted	Total Current Unrestricted	Net Change
University Libraries	846,774.46	0.00	308,618.31	518,322.27	0.00	380,829.24	0.00	0.00	2,055,744.28	1,610,068.22	445,676.06
Continuing Education	(1,378,354.60)	0.00	151,965.63	53,900.59	0.00	34,882.47	(20,241.25)	0.00	(1,137,887.99)	(1,415,075.75)	277,187.76
Extended University	654,372.23	0.00	22,080.91	0.22	49,412.80	0.00	0.00	0.00	725,868.18	68,061.31	657,806.87
VP Research & Economic Development	(18,312.96)	19,255.16	7,602,384.27	950,365.79	210,065.99	118,016.94	0.00	0.00	8,889,574.19	5,803,492.19	3,086,082.00
Provost Monitoring	49,088.03	0.00	0.00	(576,309.34)	125,704.78	0.00	197,849.00	0.00	(3,687.63)	313,626.24	(317,313.79)
VP Student Affairs Administration	250,358.31	35,825.48	174,012.47	153,534.17	0.00	5,532.30	282,264.62	0.00	881,547.35	935,833.71	(54,286.36)
VP Student Affairs Independent Depts.	302,562.72	287,876.05	0.00	226,131.74	0.00	37,622.22	465,751.19	0.00	1,369,943.92	1,096,476.62	273,467.30
Associate VP Student Services	138,379.94	(22,913.23)	(37.87)	127,253.50	0.00	18,062.40	0.00	0.00	261,144.94	338,566.06	(67,421.12)
Associate VP Student Life	(49,580.19)	870,895.38	0.00	94,871.58	0.00	98,027.42	818,735.20	0.00	1,832,819.35	5,322,526.32	(3,489,706.97)
EVP of Administration Office	71,283.23	0.00	0.00	88,930.00	0.00	78,330.02	0.00	0.00	248,243.25	311,502.58	(63,259.33)
Board of Regents	46,358.65	0.00	0.00	0.00	0.00	0.00	0.00	0.00	46,358.65	10,405.36	35,953.29
Undesignated I&G Balance and Other											
Monitoring Accounts	3,780,280.84	0.00	0.00	0.00	4,448,579.17	0.00	0.00	0.00	14,228,860.01	20,208,221.81	(5,979,361.80)
Police Department	68,786.22	0.00	0.00	0.00	0.00	0.00	0.00	0.00	68,786.22	941.47	67,844.75
Risk Management	1,113,379.27	0.00	0.00	0.00	(19.15)	0.00	0.00	0.00	1,113,360.12	609,153.34	504,206.87
Budget Office	4,126.15	0.00	0.00	0.00	0.00	6,024.00	0.00	0.00	10,150.15	3,858.00	6,292.15
UNM Policy Office	67,338.42	0.00	0.00	0.00	0.00	0.00	0.00	0.00	67,338.42	9,350.45	57,987.97
Intercollegiate Athletics	(15,172.18)	0.00	0.00	728,324.63	0.00	217,749.88	24,874.01	(2,588,529.16)	(1,632,552.80)	(650,633.21)	(881,919.59)
Financial Services	210,966.91	0.00	56,414.55	(2,160,286.19)	315,959.67	0.00	0.00	0.00	(1,578,945.06)	(2,732,968.90)	1,154,023.84
Associate VP for Facilities Mgmt	0.85	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.85	0.85	0.00
Human Resources	267,296.95	0.00	0.00	10,336.39	806,726.85	0.00	0.00	0.00	1,084,360.20	1,248,937.78	(164,577.58)
VP Institutional Support Services	2,768,382.09	53,686.28	13,165.46	2,449,175.82	1,599,311.57	280,900.67	3,633,434.60	0.00	10,798,056.47	2,542,390.86	8,255,665.61
Government & Community Relations	2,131.80	0.00	0.00	21,060.92	0.00	0.00	0.00	0.00	23,192.72	80,815.84	(57,623.12)
Information Technologies	10,640.89	85,461.13	0.00	70,582.16	(166,403.52)	573.31	0.00	0.00	(2,336.03)	2,021,177.44	(2,023,413.47)
Advancement	337,587.16	0.00	0.00	2,370,570.16	0.00	414.38	0.00	0.00	2,708,571.70	3,151,742.79	(443,171.09)
School of Medicine	0.00	0.00	12.71	0.00	181,001.74	4,297.25	0.00	0.00	185,311.70	149,825.77	15,485.93
College of Nursing	0.00	0.00	0.00	0.00	0.00	48,350.35	0.00	0.00	48,350.35	49,033.96	(683.61)
College of Pharmacy	0.00	0.00	0.00	0.00	0.00	2,151.81	0.00	0.00	2,151.81	1,364.02	787.79
Branches	0.00	0.00	(38.20)	0.00	0.00	10,029.89	0.00	0.00	9,991.69	10,241.73	(250.04)
Subtotal For Main Campus Funds	38,133,298.16	1,655,105.98	24,194,658.82	12,380,487.04	8,046,649.55	17,354,780.93	5,344,222.23	(2,588,529.16)	104,620,674.55	103,436,844.10	1,184,030.45
Less Main Foundation Distribution	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total For Main Campus Funds	38,133,298.16	1,655,105.98	24,194,658.82	12,380,487.04	8,046,649.55	17,354,780.93	5,344,222.23	(2,588,529.16)	104,620,674.55	103,436,844.10	1,184,030.45
Gallup Branch	8,124,134.68	97,033.03	0.00	248,264.34	132,990.24	296,149.70	296,801.03	0.00	9,195,373.02	8,100,620.44	1,094,752.58
Los Alamos Branch	168,818.43	13,371.33	0.00	169,374.27	0.00	150,857.35	255,995.60	0.00	758,216.98	895,348.90	(137,131.92)
Taos Branch	2,383,293.26	207,835.67	0.00	55,768.62	0.00	98,443.58	4,673.79	0.00	2,747,444.92	2,170,160.97	577,283.95
Valencia County Branch	913,363.64	57,202.52	0.00	240,535.32	67,645.46	150,283.95	370,251.31	0.00	1,799,282.20	3,088,882.33	(1,289,600.13)
Total Branch Funds	11,589,610.01	375,442.55	0.00	712,972.55	200,635.70	693,534.58	927,121.73	0.00	14,500,317.12	14,253,912.64	246,404.48
Grand Total Main and Branch Funds	49,722,908.17	2,030,548.53	24,194,658.82	13,093,459.59	8,247,285.25	18,048,315.51	6,271,343.96	(2,588,529.16)	119,120,991.67	117,690,756.74	1,430,234.93

Attachment III--Summary of Main Campus I&G Reserves
By VP Unit
June 30, 2013

VP Unit	Reserve June 30, 2012	Reserve June 30, 2013	Annual Savings	Explanations
President	1,480,090	1,030,389	(449,701)	The net change is primarily due to use of reserves for one-time President's initiatives
Provost	21,462,189	21,727,702	265,513	The net change is primarily due to some units increasing reserves, while other units decreased. The units that increased the most was the Provost Units \$700K due to the transfer of funds from A&S \$900K and EM \$190K for the Academic Affairs pullback, which happened before the fiscal year ended. Also Extended University increased \$670K due to salary savings and EWDP courses not making full enrollment. The units that decreased the most was Anderson School of Mgt. \$550K due to use of reserves for a visiting professor and summer research for faculty. Also College of Education decreased by \$280K for transfers to plant for their share of the CTLB building.
Student Affairs	636,560	641,621	5,061	The net change is primarily due to American Indian Student Services and African American Student Services reserves increasing. These units plan to save reserves for recruitment efforts.
Administration	5,215,174	4,615,719	(599,455)	*See Below
Institutional Advancement	8,154	337,587	329,433	Immaterial
Total Designated	28,802,167	28,353,018	(449,149)	
Total Undesignated and Other Monitoring Accounts	17,778,208	9,780,281	(7,997,927)	See Attachment IV for more details.
Grand Total	46,580,375	38,133,299	(8,447,076)	

*Administration Department/Division	Reserve June 30, 2012	Reserve June 30, 2013	Change
EVP of Administration Office	107,639	71,283	(36,356)
Board of Regents	10,405	46,359	35,953
Police Department	941	68,786	67,845
Risk Management	609,153	1,113,379	504,226
Budget Office	2,554	4,126	1,572
UNM Policy Office	9,350	67,338	57,988
Intercollegiate Athletics	(10,811)	(15,172)	(4,361)
Financial Services	167,636	210,967	43,332
Associate VP for Facilities Mgmt	1	1	(0)
Human Resources	177,024	267,297	90,273
VP Institutional Support Services	4,117,772	2,768,382	(1,349,390)
Government & Community Relations	6,378	2,132	(4,246)
Information Technologies	17,131	10,841	(6,290)
Totals	5,215,174	4,615,719	(599,455)

**Attachment IV--Summary of Main Campus I&G Undesignated Reserve
Summary**
June 30, 2013
Index 054006

FY13 Banner Beginning Balance 7/1/12	20,989,095
FY13 Pooled I&G Sweep	(4,650,830)
Adjusted Beginning Balance	16,338,265
FY 13 I&G One-Time Supplemental Compensation (Main)	(2,445,115)
FY12 Pooled I&G Revenues and Expenses	(2,995,773)
FY12 Contingency Allocations	(1,117,096)
Subtotal	(6,557,984)
FY13 Banner Ending Balance	9,780,281
Dedicated - HED Required Reserve for I&G at 3%	(9,776,230)
Net FY14 Discretionary Reserve (after HED dedication)	4,052

Less FY14 Budget Commitments and Dedications

FY14 Commitments and Dedications: One-Time	
Academic Leadership Academy Fellowship Program	(35,000)
International Law Program	(105,400)
Faculty/Staff Club Management Position, 50% Funding	(20,000)
EMBA Scholarship	(24,858)
Online Graduate Application, 75% Funding	(71,250)
ROM Salary/Consultant Funding	(178,675)
President Office	(50,631)
Fellow Program Support	(5,000)
Science & Technology Corporation - Economic Development	(20,000)
FY 14 I&G One-Time Supplemental Compensation (Main Campus/Staff) - Pending	(1,428,215)
Workstations Service for Scholes Hall - Pending	(29,616)
Correspondence Courses EU	(300,000)
Subtotal	(2,268,645)

**Attachment V--Main and Branch Campus Summary of Current Unrestricted Deficits \$100,000 or More
By College/Division
June 30, 2013**

College/Division	Reserve June 30, 2012	Reserve June 30, 2013	Change FY 2012-2013	Comments
UNM Press	(4,068,145)	(4,518,189)	(450,044)	The UNMP's deficit for FY13 totaled \$450,044 and exceeded projections by \$167,620 largely because sales of UNMP titles fell short of goal by \$570K. The Press formally requested an increase of its annual subsidy from \$250,000 to \$700,000 in the FY14 budget but that initiative was tabled pending the recommendations of two consultants who were asked by the Offices of the President and the Provost to evaluate the Press's operation. Both consultants have since issued their reports and concluded the Press is operating efficiently and fulfilling its mission to the university and the subsidy should be increased, but neither consultant recommended a dollar amount. The Press will continue to look for ways to operate more efficiently; keep operating costs to a minimum; work to increase the output of new titles; take advantage of the market for electronic books where possible; boost efforts to identify third-party publication sponsors; and increase sales through more innovative, intense and focused marketing programs.
Continuing Education	(1,415,076)	(1,137,667)	277,409	CE experienced improved financial performance due to increased public program revenue, increased state contracts and the elimination of 6.00 FTE through attrition during FY2013.
Athletics-HED Exhibit 21	(1,869,029)	(2,588,520)	(719,500)	There have been large revenue variables in Athletic's budget that are driven by the economy that directly correspond to ticketing, parking, concessions, merchandise sales and donations. Also, on the expense, higher expenditures occurred for grant-in-aid as well as actual student-athletes' scholarship costs. These were higher due to higher out-of-state tuition and increased demand for summer school. Travel costs continue to increase given the competitive nature of this business and team schedules. Moving forward, changes have been made in the budgeting process in an effort to gain greater accuracy by increasing Athletics personnel expenditure budget. In addition, increasing incentive projections as well as increasing grant-in-aid have been made. Also, parking fees have been increased and strategies are in place to reduce the debt service on The Pit. Aggressive pursuit of outside events for The Pit are ongoing, but will increase in focus during FY14.
Financial Services	(2,732,969)	(1,576,945)	1,156,024	Accumulated Tuition Bad Debt Expense for Main and Branch Campuses. Bad debt expense is now a budgeted line item and it is anticipated that the accumulated bad debt will be paid down completely in FY14.
VP Institutional Support Services	6,262,311	10,796,056	4,535,745	See detailed breakdown on next page.

**Attachment V—Main and Branch Campus Summary of Current Unrestricted Deficits \$100,000 or More
By College/Division
June 30, 2013**

VP Institutional Support Services:	June 30, 2012	June 30, 2013	FY 2012-2013	Comments
Golf Course	(4,863,929)	(358,252)	4,505,677	The UNM Golf Courses was able to bring down their deficit by \$4.5M through a long term payment arrangement. The remainder of the debt will also be addressed over the next few fiscal years with operational efficiencies.
UNM Public Events	(1,732,825)	728,419	2,461,244	Popejoy Hall was able to reduce their deficit through a combination of fundraising success, strong event performances and a long term deficit payment arrangement.
Printing Plant	(1,133,581)	0	1,133,581	Plant closed in 2001. Accumulated deficit over many years. In Fiscal Year 2013 funding to cover the deficit was provided by minor capital interest earnings.
Other ISS Units	13,992,646	10,427,889	(3,564,758)	Info Only
Total VP Institutional Support Services	6,262,311	10,798,056	4,535,745	

Attachment VI--Summary of I&G Reserves as a Percentage of Budget by College/School/Division
UAP 7000--Main and Branch Campuses, FYE 2013

College/School/Division	Instruction and General Budget	Instruction and General Reserve	Reserve as a % of Budget
President's Office	2,209,936.00	5,409.48	0.24%
University Counsel Office	1,265,831.00	163,448.72	12.91%
University Communication & Marketing	1,385,937.00	274,037.85	19.77%
Compliance Office	0.00	(399.95)	N/A
Alumni Relations	677,023.00	37,528.31	5.54%
Internal Audit Department	823,891.00	89,555.67	10.87%
UNM West	892,866.00	460,809.33	51.61%
President's Administration	7,256,284.00	1,030,389.41	14.20%
Global Education Office	1,120,097.00	97,782.42	8.73%
Hanwood Foundation	416,270.00	25,073.59	6.02%
Optical Science and Engineering	32,368.00	12,011.83	37.11%
UC Advisement Center	1,132,197.00	(33,028.70)	-2.92%
Office of Support for Effective Teaching	183,198.00	16,800.33	9.17%
Nano Science & Micro Systems	102,961.00	48,950.58	47.54%
Parent Association	100,000.00	48,679.49	48.68%
Undergraduate Education Support	0.00	5,947.14	N/A
Institutional Research	613,851.00	79,852.54	13.01%
Graduate Studies	3,332,653.00	1,383,225.32	40.91%
CAPS	1,280,747.00	71,014.25	5.54%
Latin American Iberian Institute	963,084.00	9,875.95	1.03%
Provost Office Staff	3,484,712.00	2,188,670.20	62.81%
Office of the University Secretary	581,988.00	50,726.38	8.72%
University College	2,785,667.00	136,928.59	4.92%
School of Public Administration	1,174,753.00	79,467.61	6.76%
VP for Equity & Inclusion	1,115,217.00	278,216.29	24.95%
VP Division of Enrollment Mgmt	6,426,044.00	777,628.34	12.10%
College of Fine Arts	13,639,595.00	1,687,852.91	12.37%
College of Arts Sciences	64,819,856.00	8,271,721.65	12.76%
Anderson Schools of Management	12,476,554.61	356,803.26	2.86%
College of Education	16,341,610.00	3,023,596.00	18.50%
School of Engineering	15,165,173.00	2,333,787.89	15.39%
School of Law	9,317,104.00	443,584.96	4.76%
School of Architecture Planning	4,136,211.00	192,988.61	4.67%
University Libraries	15,767,299.00	846,774.46	5.37%
Continuing Education	4,730,828.00	(1,378,354.60)	-29.14%
Extended University	7,259,805.00	654,372.23	9.01%
VP Research & Economic Development	310,993.00	(10,313.96)	-3.32%
Provost Monitoring	272,406.00	49,088.03	N/A
Provost Units	189,061,741.61	21,727,701.59	11.49%
VP Student Affairs Administration	1,675,845.00	250,358.31	14.94%
VP Student Affairs Independent Depts.	1,111,793.00	302,562.72	27.21%
Associate VP Student Services	2,113,148.00	138,379.94	6.55%
Associate VP Student Life	1,105,953.00	(49,680.19)	-4.49%
Student Affairs	6,006,739.00	641,620.78	10.68%
Undesignated I&G Balance and Other Monitoring Accounts	41,060,076.00	9,780,280.84	23.82%

Attachment VI--Summary of I&G Reserves as a Percentage of Budget by College/School/Division
UAP 7000--Main and Branch Campuses, FYE 2013

College/School/Division	Instruction and General Budget	Instruction and General Reserve	Reserve as a % of Budget
EVP of Administration Office	551,063.00	71,283.23	12.94%
Board of Regents	171,144.00	46,358.68	27.09%
Police Department	2,779,277.00	68,786.22	2.47%
Risk Management	2,392,939.00	1,113,379.37	46.53%
Budget Office	801,902.00	4,126.15	0.51%
UNM Policy Office	167,358.00	67,338.42	42.79%
Intercollegiate Athletics	1,145,315.00	(15,172.16)	-1.32%
Financial Services	9,396,543.00	210,966.91	2.25%
Associate VP for Facilities Mgmt	0.00	0.85	N/A
Human Resources	2,158,198.00	267,296.96	12.39%
VP Institutional Support Services	35,613,272.00	2,768,382.06	7.77%
Government & Community Relations	163,693.00	2,131.80	1.30%
Information Technologies	8,606,887.00	10,840.89	0.13%
EVP Administration	63,937,691.00	4,615,719.38	7.22%
Advancement	1,636,950.00	337,667.16	21.96%
Subtotal For Main Campus Funds	308,878,381.61	38,133,299.16	12.35%
Less Main Foundation Distribution	0.00	0.00	N/A
Total For Main Campus Funds	308,878,381.61	38,133,299.16	12.35%
Gallup Branch	14,704,100.00	8,124,134.68	55.25%
Los Alamos Branch	3,640,000.00	188,818.43	4.64%
Taos Branch	6,423,627.00	2,383,203.26	37.10%
Valencia County Branch	9,337,509.00	913,363.64	9.78%
Total Branch Funds	34,105,436.00	11,589,610.01	33.98%
Grand Total Main and Branch Funds	342,983,817.61	49,722,909.17	14.50%

Attachment VII--Summary of Current Unrestricted Reserves as a Percentage of Budget by College/School/Division
UAP 7000 - Main and Branch Campuses, FYE 2013

College/School/Division	Current Unrestricted Budget	Current Unrestricted Reserve	Reserve as a % of Budget
President's Office	2,539,516.00	37,179.17	1.46%
University Counsel Office	1,643,306.00	309,478.76	18.83%
University Communication & Marketing	1,447,581.00	270,606.44	19.32%
Compliance Office	0.00	(399.95)	N/A
Alumni Relations	882,358.00	266,763.67	30.23%
Internal Audit Department	823,691.00	89,555.67	10.87%
UNM West	892,866.00	460,809.33	51.61%
President's Administration	8,229,317.00	1,442,983.09	17.53%
RWJ Center for Health Policy	654,077.00	393,704.84	71.06%
Global Education Office	1,956,608.00	212,641.21	10.87%
Harwood Foundation	1,274,987.00	509,297.27	39.95%
Optical Science and Engineering	32,368.00	12,011.83	37.11%
UC Advisement Center	1,132,197.00	(33,028.70)	-2.92%
Office of Support for Effective Teaching	201,188.00	26,988.76	13.41%
Nano Science & Micro Systems	102,061.00	48,950.58	47.54%
Parent Association	173,268.00	76,938.08	44.40%
Undergraduate Education Support	0.00	5,947.14	N/A
Institutional Research	613,651.00	79,852.54	13.01%
KUNM	1,565,108.00	170,538.63	10.90%
University Press	3,871,644.00	(4,518,189.31)	-116.70%
Graduate Studies	4,602,580.00	2,056,544.48	44.68%
CAPS	1,280,747.00	72,101.75	5.63%
Latin American Iberian Institute	1,198,669.00	281,691.16	23.50%
Provost Office Staff	3,713,243.00	2,330,806.23	62.77%
Spanish Colonial Research Center	160,228.00	(14,804.91)	-9.24%
CARS	491,727.00	27,392.96	5.57%
Office of the University Secretary	625,293.00	182,626.46	29.19%
University College	3,273,514.00	454,194.85	13.87%
School of Public Administration	1,394,586.00	346,171.61	24.82%
VP for Equity & Inclusion	1,436,294.00	321,730.02	22.40%
VP Division of Enrollment Mgmt	55,100,196.00	4,608,096.49	8.36%
College of Fine Arts	16,288,282.00	4,030,115.61	24.74%
College of Arts Sciences	76,665,383.00	20,879,829.08	27.24%
Anderson Schools of Management	14,420,251.61	2,764,967.19	19.17%
College of Education COE	18,542,460.00	5,400,472.00	29.12%
School of Engineering	22,283,399.00	13,026,779.87	58.46%
School of Law	13,561,331.00	5,643,695.98	41.62%
School of Architecture Planning	5,261,972.00	1,393,371.92	26.48%
University Libraries	18,209,081.00	2,055,744.28	11.29%
Continuing Education	7,577,684.00	(1,137,687.16)	-15.01%
Extended University	7,293,367.00	725,866.16	9.95%
VP Research & Economic Development	15,919,312.00	8,889,574.19	55.84%
Provost Monitoring	272,406.00	(3,667.55)	N/A
Provost Units	301,050,062.61	71,321,085.56	23.69%
VP Student Affairs Administration	2,117,350.00	881,547.35	41.63%
VP Student Affairs Independent Depts.	9,253,795.00	1,369,943.92	14.80%
Associate VP Student Services	4,815,238.00	261,144.94	5.60%
Associate VP Student Life	10,813,872.00	1,832,619.35	16.95%
Student Affairs	26,800,253.00	4,345,255.56	16.21%
Undesignated I&G Balance and Other Monitoring Accounts	69,234,491.00	14,228,660.01	24.02%

Attachment VII--Summary of Current Unrestricted Reserves as a Percentage of Budget by College/School/Division
UAP 7000 - Main and Branch Campuses, FYE 2013

College/School/Division	Current Unrestricted Budget	Current Unrestricted Reserve	Reserve as a % of Budget
EVP of Administration Office	891,636.00	248,243.25	27.84%
Board of Regents	171,144.00	46,358.68	27.09%
Police Department	2,779,277.00	68,786.22	2.47%
Risk Management	4,773,454.00	1,113,360.21	23.32%
Budget Office	811,204.00	10,150.15	1.25%
UNM Policy Office	157,358.00	67,338.42	42.79%
Intercollegiate Athletics	30,399,365.00	(1,632,552.80)	-5.37%
Financial Services	15,288,911.00	(1,576,945.06)	-10.31%
Associate VP for Facilities Mgmt	0.00	0.85	N/A
Human Resources	5,891,535.00	1,084,360.20	18.41%
VP Institutional Support Services	119,098,664.78	10,798,056.47	9.07%
Government & Community Relations	491,553.00	23,192.72	4.72%
Information Technologies	34,300,971.00	(2,236.03)	-0.01%
EVP Administration	215,055,072.78	10,248,113.28	4.77%
Advancement	1,597,971.00	2,708,671.70	169.50%
School of Medicine	1,054,418.00	165,311.70	15.68%
College of Nursing	28,770.00	48,350.35	168.06%
College of Pharmacy	11,107.00	2,151.81	19.37%
Branches	0.00	9,991.49	N/A
Other HSC and Branches	1,094,295.00	225,805.36	20.63%
Subtotal For Main Campus Funds	613,061,462.39	104,520,674.55	17.05%
Less Main Foundation Distribution	0.00	0.00	N/A
Total For Main Campus Funds	613,061,462.39	104,520,674.55	17.05%
Gallup Branch	16,257,839.00	9,195,373.02	56.56%
Los Alamos Branch	4,111,219.00	758,216.98	18.44%
Taos Branch	6,825,972.00	2,747,444.92	40.25%
Valencia County Branch	11,672,734.00	1,799,282.20	15.41%
Total Branch Funds	38,867,764.00	14,500,317.12	37.31%
Grand Total Main and Branch Funds	651,929,226.39	119,020,991.67	18.26%

UAP 7000--Main and Branch Campuses, FYE 2013

College/School/Division	Instruction and General				Non-Instruction and General			
	Reserve	Committed	Dedicated	Discretionary	Reserve	Committed	Dedicated	Discretionary
President's Office	5,409.48	5,409.48	0.00	0.00	31,789.69	18,104.96	13,583.78	80.95
University Counsel Office	163,448.72	39,352.50	123,980.00	116.22	146,030.04	0.00	146,030.00	0.04
University Communication & Marketing	274,037.85	136,836.75	101,352.00	35,849.10	5,988.59	5,000.00	0.00	568.59
Compliance Office	(399.95)	0.00	(399.95)	0.00	0.00	0.00	0.00	0.00
Alumni Relations	37,528.31	2,785.41	34,742.90	0.00	229,225.36	8,219.50	223,005.86	0.00
Internal Audit Department	89,555.67	0.00	72,754.29	16,801.38	0.00	0.00	0.00	0.00
UNM West	460,809.33	55,302.84	404,506.49	0.00	0.00	0.00	0.00	0.00
RWJ Center for Health Policy	0.00	0.00	0.00	0.00	393,704.84	163,418.29	230,282.80	204.56
International Programs Studies	97,782.42	0.00	60,013.98	34,768.46	114,858.79	0.00	63,100.80	51,758.79
Harwood Foundation	25,073.59	15,411.75	9,661.84	0.00	494,233.68	16,550.96	467,672.52	0.20
Optical Science and Engineering	12,011.83	0.00	12,011.83	0.00	0.00	0.00	0.00	0.00
UC Advisement Center	(33,028.70)	225.00	(33,253.70)	0.00	0.00	0.00	0.00	0.00
Office of Support for Effective Teaching	15,800.33	0.00	13,126.00	3,574.33	10,188.45	0.00	10,187.83	0.62
Nano Science & Micro Systems	48,950.58	18,198.05	0.00	30,752.53	0.00	0.00	0.00	0.00
Parent Association	46,679.49	385.00	40,000.00	6,284.49	30,258.59	0.00	29,637.77	620.82
Undergraduate Education Support	5,947.14	0.00	0.00	5,947.14	0.00	0.00	0.00	0.00
Institutional Research	79,852.54	10,004.12	58,055.00	11,793.42	0.00	0.00	0.00	0.00
KUNM	0.00	0.00	0.00	0.00	170,538.63	0.00	0.00	170,538.63
University Press	0.00	0.00	0.00	0.00	(4,518,189.31)	0.00	31,892.88	(4,550,081.99)
Graduate Studies	1,383,225.32	13,130.20	1,329,141.34	20,953.78	682,315.16	117,500.00	491,708.45	84,110.71
CAPS	71,014.25	24,881.00	46,033.25	0.00	1,087.50	0.00	1,087.50	0.00
Latin American Iberian Institute	9,675.95	0.00	9,675.95	0.00	271,815.21	34,272.88	103,464.00	134,078.23
Provost Office Staff	2,188,670.20	424,344.81	1,593,307.76	171,017.63	142,136.03	0.00	66,871.30	75,264.73
Spanish Colonial Res Center	0.00	0.00	0.00	0.00	(14,934.91)	0.00	(14,934.91)	0.00
CARS	0.00	0.00	0.00	0.00	27,392.96	1,000.00	26,392.96	0.00
Office of the University Secretary	50,726.38	6,344.46	44,381.92	0.00	131,800.00	131,800.00	0.00	0.00
University College	136,928.59	1,387.87	125,202.79	10,337.84	317,296.26	93,789.97	172,047.80	51,428.49
School of Public Administration	79,467.81	22,800.00	57,467.61	0.00	266,704.00	0.00	266,703.65	0.35
VP for Equity & Inclusion	278,218.29	24,795.13	109,294.16	144,127.00	43,513.73	0.00	42,855.73	658.00
VP Division of Enrollment Mgmt	777,626.34	116,422.81	628,638.70	32,564.83	3,830,470.15	15,907.00	3,814,370.06	193.09
College of Fine Arts	1,687,652.91	237,173.12	1,418,430.48	32,249.31	2,342,282.70	410,710.68	1,912,711.44	19,840.58
College of Arts Sciences	8,271,721.65	4,366,617.12	2,208,019.05	1,696,085.48	12,608,107.43	5,790,076.98	2,720,579.87	4,087,450.48
Anderson Schools of Management	356,803.26	421,032.38	(64,229.09)	0.00	2,408,193.53	705,408.98	1,702,754.87	0.08
College of Education COE	3,023,595.00	272,917.71	2,709,082.06	41,616.23	2,378,876.00	522,028.86	1,808,106.04	48,738.10
School of Engineering	2,333,787.89	740,152.86	972,019.48	602,615.77	10,692,991.88	1,413,674.97	3,458,340.38	5,819,976.62
School of Law	443,564.96	66,775.06	376,789.90	0.00	5,200,031.02	2,671,518.19	2,428,034.85	102,477.98
School of Architecture Planning	192,988.61	0.00	124,803.73	68,084.88	1,200,383.31	3,132.01	675,961.61	521,289.69
University Libraries	848,774.46	152,216.14	694,556.32	0.00	1,208,969.82	333,369.64	675,600.18	0.00
Continuing Education	(1,378,354.80)	152,614.45	(99,824.04)	(1,431,045.01)	246,687.44	123,412.29	152,857.16	(35,582.07)

UAP 7000—Main and Branch Campuses, FYE 2013

College/School/Division	Instruction and General				Non-Instruction and General			
	Reserve	Committed	Dedicated	Discretionary	Reserve	Committed	Dedicated	Discretionary
Extended University	854,372.23	14,501.28	638,870.57	0.00	71,493.93	143.99	30,000.00	41,349.94
VP Research & Economic Development	(19,313.96)	0.00	(18,535.81)	6,821.85	8,899,888.15	1,516,772.82	5,795,446.77	1,587,668.56
Provost Monitoring	49,088.03	20,469.00	28,619.03	0.00	(52,755.58)	0.00	(150,000.00)	97,244.42
VP Student Affairs Administration	250,358.31	54,676.64	151,473.68	44,208.01	631,189.04	13,953.18	557,197.13	60,038.72
VP Student Affairs Independent Depts.	302,562.72	5,343.24	244,153.49	53,065.99	1,067,361.20	101,554.81	902,804.02	63,022.37
Associate VP Student Services	138,379.94	5,547.28	129,832.24	3,000.44	122,765.00	55,798.60	78,029.23	8,937.17
Associate VP Student Life	(49,600.19)	5,000.00	(51,622.39)	(3,057.80)	1,882,299.54	115,875.63	875,308.62	891,115.29
EVP of Administration Office	71,283.23	0.00	64,240.37	7,042.86	178,960.02	13,228.05	162,147.92	1,584.05
Board of Regents	46,358.68	0.00	46,358.68	0.00	0.00	0.00	0.00	0.00
Undesignated I&G Balance and Other Monitoring Accounts	8,780,280.84	2,268,645.00	9,776,230.00	(2,264,594.16)	4,448,579.17	0.00	4,448,579.17	0.00
Police Department	88,788.22	25,537.14	43,249.08	0.00	0.00	0.00	0.00	0.00
Risk Management	1,113,379.37	226,336.32	887,043.05	0.00	(19.16)	0.00	(19.16)	0.00
Budget Office	4,126.15	0.00	4,126.15	0.00	6,024.00	0.00	6,024.00	0.00
UNM Policy Office	67,338.42	0.00	67,338.00	0.42	0.00	0.00	0.00	0.00
Intercollegiate Athletics	(15,172.16)	1,282.82	0.00	(16,454.78)	(1,817,380.64)	249,204.35	(2,809,906.37)	943,321.38
Financial Services	210,906.91	64,067.56	142,292.80	4,596.55	(1,797,811.97)	221,762.66	(2,425,429.04)	415,754.41
Associate VP for Facilities Mgmt	0.85	0.00	0.00	0.85	0.00	0.00	0.00	0.00
Human Resources	267,296.95	5,164.90	215,653.57	46,478.49	817,063.24	652,942.36	129,145.80	34,975.28
VP Institutional Support Services	2,788,382.06	89,795.20	2,675,084.74	3,502.12	8,026,674.41	656,551.90	7,332,171.20	40,951.31
Government & Community Relations	2,131.80	0.00	0.00	2,131.80	21,090.92	129.96	20,000.00	930.96
Information Technologies	10,840.80	0.00	10,840.89	0.00	(13,076.92)	60,000.00	(73,076.92)	0.00
Advancement	337,587.16	337,587.16	0.00	0.00	2,370,984.54	0.00	2,370,984.54	0.00
School of Medicine	0.00	0.00	0.00	0.00	166,311.70	13,392.34	151,919.36	0.00
College of Nursing	0.00	0.00	0.00	0.00	48,350.35	48,350.35	0.00	0.00
College of Pharmacy	0.00	0.00	0.00	0.00	2,151.81	2,151.81	0.00	0.00
Branches	0.00	0.00	0.00	0.00	9,991.49	0.00	0.00	9,991.49
Subtotal For Main Campus Funds	38,133,299.16	10,451,801.19	38,241,370.52	(599,872.55)	66,387,375.39	16,278,789.15	39,319,164.56	10,789,591.68
Less Main Foundation Distribution	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total For Main Campus Funds	38,133,299.16	10,451,801.19	38,241,370.52	(599,872.55)	66,387,375.39	16,278,789.15	39,319,164.56	10,789,591.68
Gallup Branch	8,124,134.68	169,155.55	238,549.37	7,716,329.76	1,071,238.34	2,214.59	0.00	1,069,023.75
Los Alamos Branch	568,818.43	18,762.96	0.00	150,055.47	588,399.55	186,520.84	418,960.94	(18,063.23)
Taos Branch	2,383,293.26	99,022.53	846,909.02	1,437,361.71	364,151.68	2,160.87	247,339.28	347,339.28
Valencia County Branch	913,363.64	19,120.63	709,000.00	195,243.01	685,918.58	27,011.11	156,460.85	702,447.40
Total Branch Funds	11,589,610.01	206,061.67	1,794,559.39	9,488,989.95	2,910,707.11	230,388.25	677,581.66	2,102,727.20
Grand Total Main and Branch Funds	49,722,909.17	10,757,862.86	39,835,929.91	8,929,117.40	69,298,082.50	16,509,167.40	39,996,746.22	12,892,328.88

MEMORANDUM

TO: Board of Regents of the University of New Mexico

THROUGH: President Robert G. Frank

FROM: Linda Warning, Chair of the Governing Board of the Harwood Foundation
of the University of New Mexico

CC: David W. Harris, Executive Vice President for Administration/COO/CFO

DATE: September 20, 2013

RE: Appointment of Ms. Lucile Grieder to fill the term of
Phyllis Nottingham on the Harwood Governing Board.

In May, 2007 the Board of Regents (the “Regents”) adopted resolutions (the “Delegation Resolution”) delegating to the Harwood Board certain responsibility for the governance, oversight, management and operation of UNM’s Harwood Museum.

The Delegation Resolution provides that the Regents shall appoint the members of the Harwood Board, including nine (9) members appointed based on recommendations of the Harwood Board.

Ms. Phyllis Nottingham was reappointed to a three term on July 1, 2013. Ms. Nottingham has resigned from the Board due to her relocation from Taos for a large part of the year. The Harwood Governing Board has approved Ms. Lucile Grieder to fill the remainder of Ms. Nottingham’s term. Information on Ms. Grieder is attached.

We respectfully request that the Board of Regents include the appointment of Ms. Grieder to the Harwood Governing Board on the Board of Regents’ agenda for its meeting on October 8, 2013.

Lucile Leigh Grieder, who moved to Taos in retirement with her late husband, Theodore (Ted) Grieder, twelve years ago, has been involved in numerous civic and non-profit activities, especially in arts-related causes, in her time in the community. In 2007 she served as statewide co-chair of the "Women in Arts" exhibition supported by the national Women in Arts organization based in Washington

As a University of Georgia graduate, Lucile was selected for the Management Training Program of Sears, Roebuck. She spent 20 years with the-then retail giant, becoming the second woman in its history to manage stores, including two in Florida. On marrying Dr. Grieder, a retired University professor, Lucile left Sears and operated her own specialty store, buying and selling rare books for 15 years, before their move to Taos.

Lucile is currently working on an autobiography which will also include many poems of her late husband, who authored several academic books and volumes of poetry, the first of which was published by Alfred Knopf. She has been a member of Harwood's Directors Circle since soon after her arrival in Taos, and also a volunteer with the Couse Foundation and former Soundscapes chamber music group among others, as well as an active member of the Taos Duplicate Bridge Club.

Department of Psychiatry

Date: September 13, 2013

To: Ava J. Lovell, BS, CPA, Senior Executive Officer for Finance and Administration

From: Mauricio Tohen, MD, DrPH, MBA, Professor and Chairman of Psychiatry *MT*

Re: Request to set up quasi-endowments with the UNM Foundation

The Department of Psychiatry requests permission to establish two Quasi-endowments with the UNM Foundation. Over the years, the Department has accumulated reserves from clinical service contracts and professional fees billing. We believe these resources should be invested in supporting the future.

First, the Department wishes to name a Department of Psychiatry Endowed Chair in Behavioral Sciences to support our recently approved hire of a Vice Chair for Behavioral Sciences. The Department will commit \$1,000,000 to support this endowment.

The Department recognizes a national trend to engage all areas of psychiatric and behavioral sciences in integrated clinical services, translational research, education and community engagement. Expanding our faculty to include a leader with experience and expertise in behavioral sciences will help us meet the demands of a more complex and expanding health system. The Vice Chair for Behavioral Sciences will supervise the department's clinical psychologists and other affiliated behavioral health care providers; oversee behavioral health services including patient focused medical homes; oversee multiple programs including our clinical psychology internship and research efforts in experimental psychology, clinical psychology, neuropsychology and, behavioral health; and provide strategic planning and development for both ongoing and new programs.

Second, the Department wishes to name a Department of Psychiatry Endowed Professorship in either Addictions Psychiatry or Rural/Community Psychiatry. The Department will commit \$500,000 to support this endowment.

Caring for the citizens of New Mexico is central to the missions of the UNM Health Sciences Center. Addictions and Rural/Community health are both areas of strategic importance. A Department funded Professorship will enable us to attract well qualified faculty to broaden programs and resources that will build on our nationally recognized Addictions research and Rural/Community Behavioral Health programs.

Research, in particular, holds the key to improving the lives of those who suffer from mental illness, addiction and trauma. Research involving New Mexico's multi-cultural and rural populations is important to identifying factors related to: the causes, diagnoses and treatments of psychiatric disorders; wellness and healthy lifestyles; and the integration of psychiatry/psychology with other fields of medicine.

Please feel free to contact me for further information.



THE UNIVERSITY OF NEW MEXICO ♦ HEALTH SCIENCES CENTER

UNM HOSPITALS

**UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
September 2013**

Informational

1) Medtronic USA, Inc.

Ownership:

710 Medtronic Parkway NE
Minneapolis, MN 55432

Officers Information:

Chairman and CEO: Omar Ishrak
President, U.S. Region: Mike Genau

Source of Funds: UNM Hospitals Capital Budget

Description:

Request to upgrade to current intracranial navigation and computer assisted surgery system, which is used in multiple specialties including Neurosurgery, Orthopedics and ENT. This equipment is used to increase accuracy and facilitate exposure of tumors and traumatic lesions. The system allows the control and visualization necessary to remove the maximum amount of tumor, while preserving function and minimizing potential impact to critical brain structures. Medtronic system currently in use reached its end of life June 30, 2013 and per Medtronic replacement parts are no longer available.

Process: Sole Source posting period met without protest on September 9, 2013 on UNM Sunshine Portal.

Total Cost: \$305,521

2) County of Bernalillo

Source of Funds: UNM Hospitals Operating Budget

Description

Request to provide funding each fiscal year through FY 2016 for female Milagro Residential Treatment Program Participants to receive services at the Department of Substance Abuse Programs (DSAP) at the Metropolitan Assessment and Treatment Services Facility (MATS.) The Milagro Program Participants will benefit from the resources that DSAP can provide by learning life skills and keeping the participants educated on how to maintain a drug free lifestyle.

Previous Contract: New Agreement

Process: This agreement is exempt from the provisions of the procurement code, pursuant to Section 13-1-98.1 NMSA 1978.

Total Cost: \$250,000 per fiscal year.



Office of the Senior Executive Officer
Finance & Administration
Health Science Center
1 University of New Mexico
MSCO9 5300
Albuquerque, NM 87131

TO: Paul B. Roth, M.D., M.S., FACEP
Chancellor for Health Sciences, Dean School of Medicine

FROM: Ava J. Lovell, CPA
Senior Executive Officer for Finance & Administration, UNM/HSC

DATE: September 23, 2013

SUBJECT: Submission of HSC Report – UAPP 7000

Enclosed are the reports as required by University Administrative Policies and Procedures 7000 (Budgets and Reserves). The reports show HSC unrestricted financial activity for fiscal year ending June 30, 2013. The HSC unrestricted Instruction & General (I&G) expense budget is approximately 24% of the total HSC unrestricted operating expense budget. The first attachment shows activity by HSC unit (academic and administration) for I&G funds.

HSC I & G reserves of \$5,090,716 are 4.84% of expense budget which is within the State of New Mexico's recommended reserve range of 3% to 5%. There was an overall Instruction & General increase in reserves for FY 2013 of \$157,984. The net increase in reserves is primarily attributable to open positions in, School of Medicine, College of Nursing and Health Sciences Library and Informatics Center.

Combined HSC unrestricted reserves total \$49,508,176 (attachment #2) which represents 11.37% of an expense budget totaling \$435,402,860. The decrease in reserves for FY 2013 for all HSC University funds is \$8,031,733. This decrease is mainly due to the School of Medicine planned use of reserves for Chair Packages and Capital Initiatives.

The \$49,508,176 reserves (attachment #3) have been categorized by the units within the Health Sciences Center as committed, dedicated, or discretionary. In the category of committed are purchase order encumbrances, which total \$1,086,240. The remaining committed reserves are \$19,847,678 and consist largely of Donor Designated Endowed and Non-endowed funds, Faculty Contracts and Startup Packages, Cost Share and Scholarships.

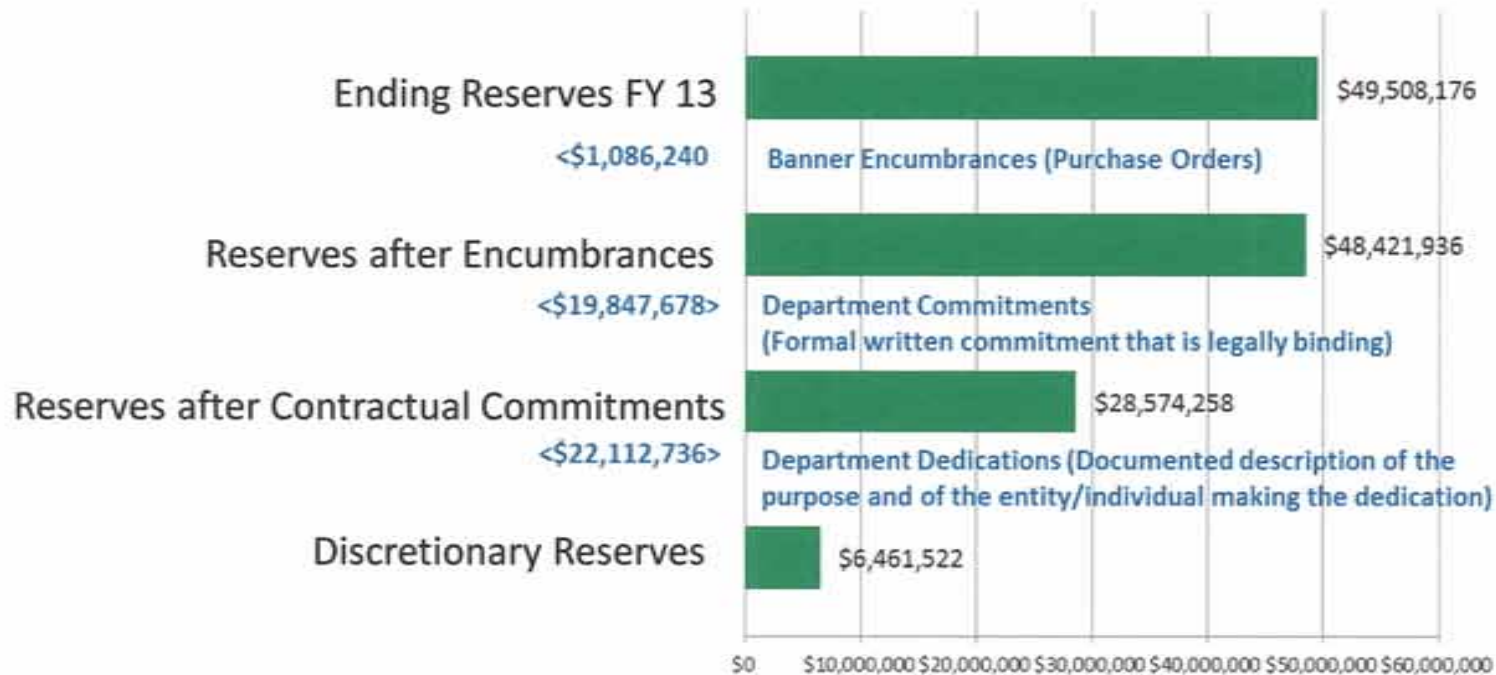
There are \$22,112,736 of funds which have been dedicated by units within the HSC. Discretionary reserves are \$6,461,522 or 1.5% of the total expense budget.

If you would like more information, please contact Ava at Alovell@salud.unm.edu

cc

Ava Lovell, Senior Executive Officer for Finance & Administration, HSC
Bob Fondino, Chief Budget & Facilities Officer, HSC
Vanessa Hawker, Budget and Administrative Services Director, HSC

UNM Health Sciences FY 2013 Categorization of Reserves



Note: UNMH, UNMMG and SRMC operations reserves are reported and presented separately

UNM Health Sciences Center
Instruction and General
Unrestricted Reserves Summary
Fiscal Year Ended June 30, 2013 (Unaudited)

Does not include Main Campus Funds Under HSC Organization Codes, UNM Hospitals, or UNM Medical Group

		Revised Budget	Beginning Reserves	Revenue	Transfers	Expense	Ending Reserves	% of Budget	Change in Reserves	% of Budget	Commitments	Net Reserves Less: Commitments
AFA	VP HSC Administration	39,459,884	195,753.28	28,984,766.11	6,578,829.62	35,681,108.96	78,240.05	0.20%	(117,513.23)	-0.30%	91,202.60	(12,662.55)
AFB	HS Library and Informatics Center	5,748,837	1,073,951.56	5,437,505.53	(4,359.63)	5,070,946.27	1,436,149.19	24.98%	362,197.63	6.30%	177,052.95	1,259,095.24
AFC	School of Medicine	44,728,747	405,222.69	53,163,788.26	(8,519,796.44)	44,408,140.73	641,073.78	1.43%	235,851.09	0.53%	372,140.72	268,933.06
AFD	College of Nursing	7,834,182	609,612.63	7,618,002.27	387,382.21	7,629,045.82	985,951.29	12.59%	378,338.66	4.80%	774,074.89	211,876.40
AFE	College of Pharmacy	7,404,250	2,648,192.15	9,274,029.36	(1,923,168.79)	7,049,701.74	1,949,350.96	26.33%	(698,941.17)	-9.44%	361,420.23	1,567,930.75
AFI	HSC VP Research	-	0.00	84,644.65	(84,644.65)	49.22	(49.22)	0.00%	(49.22)	0.00%	0.00	(49.22)
Total		105,175,880	4,932,732.31	103,562,736.18	(3,665,767.68)	99,838,994.74	5,090,716.07	4.84%	157,983.76	0.15%	1,795,891.39	3,294,824.68

UNM HEALTH SCIENCES CENTER
ENDING RESERVES BY ORG LEVEL 3 & FUND
FY 2013
(Unaudited)

Exhibit	Fund	AFA VP HSC Admin	AFB HSLIC	AFC School of Medicine	AFD College of Nursing	AFE College of Pharmacy	AFI HSC VP Research	Grand Total
Instruction & General	3U0044 HU I and G	78,240.05	1,436,149.19	641,073.78	985,951.29	1,949,350.98	(49.22)	5,090,716.07
	Instruction and General Total	78,240.05	1,436,149.19	641,073.78	985,951.29	1,949,350.98	(49.22)	5,090,716.07
Research	3U0023 HU Research General	3,606,570.17	74,791.61	900,336.66	205,826.91	732,845.78	1,808,666.66	7,329,037.79
	3U0047 HU F&A	11,333.16		4,543,584.52	300,481.25	1,502,575.16	356,399.67	6,714,373.76
	3U0081 HU Endowed Spending Research			352.38				352.38
	3U0083 HU Research State Appropriations			(39,611.93)				(39,611.93)
	3U0298 NM Tumor Registry [SEER] Billing			10,413.95				10,413.95
	3U0299 HU Research Residuals			704,390.61			349,790.40	1,054,181.01
	3U0812 HU CRTC State Appropriations			13,175.55				13,175.55
Research Total	3,617,903.33	74,791.61	6,132,641.74	506,308.16	2,235,420.94	2,514,856.73	15,081,922.51	
Public Service	3U0001 HU Non Endowed Spending Research			3,755,835.73		35,247.23	162,123.53	3,953,206.49
	3U0002 HU Non Endowed Spending Education	224,484.47	21,056.86	5,946,720.39	680,346.90	296,141.73		7,168,750.35
	3U0008 HU HSC Monitoring	339,244.25						339,244.25
	3U0011 HU UNMH AR & AP General	(65,087.07)		567,511.69				502,424.62
	3U0023 HU Research General			314.63				314.63
	3U0029 HU Clinical Residuals	793,304.83	136,499.51	8,389,355.84	219,095.78	227,711.82		9,765,967.78
	3U0032 HU UNMMG Purchased Services	3,009.00	5,279.33	(907,869.56)				(899,581.23)
	3U0033 HU UNMMG Special Arrangements			1,739,433.85		2,671.89		1,742,105.74
	3U0034 HU UNMH SOM General	33,003.93		2,808,101.52				2,841,105.45
	3U0038 HU Neonatology Transport Billing			(2,424,853.87)				(2,424,853.87)
	3U0048 HU Peds Onc Patient Billing			0.00				0.00
	3U0050 HU CME Conferences	8,304.83		237,180.92				245,485.75
	3U0051 HU VA Contracts			142,852.64				142,852.64
	3U0064 HU CRTC Patient Billing			(7,698,643.49)				(7,698,643.49)
	3U0068 VA Clinical Contracts e-Verify			66,137.26				66,137.26
	3U0070 HU Sandoval Regional MC	0.00		(124,083.21)				(124,083.21)
	3U0080 HU SOM Student Fees			0.00				0.00
3U0085 HU Clinical/Public Svs State Approp	142,625.34		(53,847.26)		114,136.68		202,914.76	
3U0087 HU SRMC Hospital Operations			(136,656.25)				(136,656.25)	
3U0299 HU Research Residuals			289,115.97				289,115.97	
3U0300 HU Education General	157,420.97	694.81	596,886.27	1,049,173.34	115,004.70	2,005.31	1,921,185.40	
3U0301 HU Non Endow Spending Clinical Svc	12,349.78		552,657.83				565,007.61	
3U0303 HU Clinical Service General	346,079.52		1,704,188.43	113,213.19	547,928.52		2,711,409.66	
Public Service Total	1,894,739.85	163,530.51	15,450,319.33	2,051,829.21	1,338,842.57	164,128.84	21,873,410.31	
Internal Service	3U0014 HU Program Evaluation			(15.02)				(15.02)
	3U0016 HU Flow Cytometry			(3,233.56)				(3,233.56)
	3U0017 HU Kugr Genomics			(34,238.42)				(34,238.42)
	3U0022 HU Florescent Microscopy			(26,500.91)				(26,500.91)
	3U0036 HU PPD Maintenance and Planning	(27,725.69)						(27,725.69)
	3U0040 HU Electron Microscopy			6,480.95				6,480.95
	3U0055 HU DNA Services			0.00				0.00
	3U0057 HU EBL Services			0.00				0.00
	3U0059 HU Ctr for Development & Disability			3,780.47				3,780.47
	3U0060 HU LIME Assessment and Learning			(45.07)				(45.07)
	3U0069 HU CC Animal Cores			(11,859.71)				(11,859.71)
	3U0072 HU CEHP Cost Center					945.42		945.42
	3U0086 HU Vidyo Install-Access	340.00						340.00
Internal Service Total	(27,385.69)	0.00	(48,681.27)	0.00	945.42	0.00	(75,121.54)	
Student Aid and Services	3U0003 HU Endowed Spending Education	24,873.77	21,899.45	2,642,087.20	428,871.54	273,196.85	1,032.09	3,391,960.90
	3U0081 HU Endowed Spending Research	265,410.39	7,441.08	5,556,932.44	9,630.02		83,772.99	5,923,186.92
	3U0106 HU Student Aid	0.00		1,117,425.97				1,117,425.97
	3U0302 HU Endowed Spending Clinical Svc	4,531.51		333,253.25				337,784.76
	Student Aid and Services Total	294,815.67	29,340.53	9,549,698.86	438,501.56	273,196.85	84,805.08	10,770,358.55
Independent Operations	3U0004 HU Medical Investigator Billing	0.00		(1,437,450.18)				(1,437,450.18)
	3U0005 HU Housestaff Operations	0.00		(60,980.11)				(60,980.11)
	3U0011 HU UNMH AR & AP General	(671.00)		(1,467,155.33)				(1,467,826.33)
	3U0123 HU Housestaff UNMHAR			430,096.97				430,096.97
	Independent Operations Total	(671.00)	0.00	(1,535,488.64)	0.00	0.00	0.00	(1,536,158.67)
Grand Total	5,957,642.21	1,703,811.84	29,292,633.79	3,992,590.22	5,797,756.76	2,783,741.43	49,508,176.25	

The Financial Report will be available at the meeting

Provost's report will be at the meeting

C250: Academic Leave For Principal Lecturers

Approved By: Faculty Senate

Last Updated: Draft 9/24/13

Responsible Faculty Committee: Operations

Office Responsible for Administration: Faculty Contracts

Revisions to the Policy Rationale, Policy Statement, Applicability, and Definitions sections of this document must be approved by the full Faculty Senate.

POLICY RATIONALE

The main purpose of academic leave is to encourage professional growth and increased competence among lecturers by subsidizing significant research, creative work, or some other program of study that is judged to be of equivalent value.

POLICY STATEMENT

1. Any Principal Lecturer is eligible to apply for Academic leave, which is the opportunity to apply for a professional development teaching release with pay to pursue other academic and/or professional opportunity activities. A Principal Lecturer is eligible to apply for such releases every six years of full-time service. Lecturers who qualify have the right to apply for academic leave; however, academic leave is not granted automatically upon the expiration of the necessary period of service. Rather, the lecturer shall present, as part of the application, evidence of recent sound teaching or other activities that materially support UNM's academic mission. Also, this program shall give reasonable promise of accomplishing the major purpose of the leave, cited in the Policy Rationale section above. Academic leave will not be granted to subsidize graduate work or work on advanced degrees.

1(a). For lecturers whose faculty appointments are not in the Health Sciences Center (HSC), academic leave may be approved for up to one semester at full salary.

1(b). For lecturers whose faculty appointments are in the HSC, academic leave may be approved for up to six months at full salary.

2. Academic leaves will be approved only with the clear understanding that the lecturer will at the completion of the academic leave return to the UNM for a period of service at least as long as the duration of the leave.

3. Academic leave is counted toward retirement. While a person is on academic leave, UNM will continue to pay its share toward retirement, group insurance, and social security benefits.

4. Lecturers on 12-month contracts may not accrue annual leave while on academic leave.

5. Appeal: If at any stage of the approval process, the applicant believes that his or her proposal has not been considered properly according to the provisions of this Policy, that matters of academic freedom are involved, that improper considerations have entered into a negative decision, or that other demonstrable conditions prevented a fair and impartial evaluation, he or she may appeal to the Committee on Academic Freedom and Tenure for a review of the matter.

APPLICABILITY

This Policy applies to all academic UNM units, including the Health Sciences Center (HSC) and Branch Campuses.

DEFINITIONS

Full-time Service: Service time equivalent to that of a faculty member employed on a contract designated as 1.0 full-time equivalent (FTE). For example, a faculty member whose contract is designated 0.5 FTE would have to multiply his or her service by a factor of two or reduce the leave time taken by one-half to meet the full-time service requirements listed in this policy.

Revisions to the remaining sections of this document may be amended with the approval of the Faculty Senate Policy and Operations Committee in consultation with the responsible Faculty Senate Committee listed in Policy Heading.

WHO SHOULD READ THIS POLICY

- Board of Regents
- Professors and academic staff
- Academic deans and other executives, department chairs, directors, and managers

RELATED DOCUMENTS

Section B: "Policy on Academic Freedom and Tenure."
Policy C280 "Leave Without Pay."

CONTACTS

Direct any questions about this policy to your chair and/or dean or the Office of Faculty Contracts.

PROCEDURES

1. As a general rule, the faculty members of the department concerned will be expected to absorb the teaching load of the individual on leave, and the departmental chairperson (or the dean in non-departmentalized colleges) shall present with each recommendation for academic

leave a statement of the planning in this regard. A department may, for example, decide to alternate courses or to cancel certain offerings. Further, it is expected that the department shall prepare its program over a period of years so that essential courses are not be neglected because of the temporary absence of a member of the faculty.

2. To avoid adverse effects on the educational objectives of individual departments, the administration finds it necessary to place a practicable limit on the number of academic leaves granted in any one department for any one semester. Other conditions having been fulfilled, it is general practice that requests for leave be considered on the basis of length of service.

3. Approval of Application: Primary responsibility for determining the merit of a proposed program from the point of view of the validity of the program and the probable value of the program to the faculty member and to UNM lies in the department and should be accomplished by a departmental committee. The departmental chairperson shall forward to the dean the departmental evaluation together with the chair's recommendation and a statement as to how the teaching obligations of the department will be achieved in the event the proposal is approved. The dean with the advice of a college-wide faculty committee shall then evaluate the proposal both on its merits and on its effect on the operation of the college.

3(a). For non-HSC faculty, the dean shall then send the departmental and college recommendations to the Provost/Executive Vice President for Academic Affairs so that the original and one copy of the proposal together with all recommendations shall reach that office by February 1 for a leave commencing in Semester I of that year and by October 1 for a leave commencing in Semester II of the following year. The Office of the Provost shall verify that the applicant is eligible for the proposed leave and that provisions of this Policy have been properly followed. The Provost/Executive Vice President for Academic Affairs shall forward all materials to the President with an evaluation of the proposed leave from a University-wide point of view. The President makes the final decision.

3(b). In the HSC, the dean shall send the departmental and college recommendations to the Vice Chancellor for Academic Affairs (VCAA) so that the original and one copy of the proposal together with all recommendations shall reach that office at least two months prior to the proposed start of the leave. The VCAA shall verify that the applicant is eligible for the proposed leave and that provisions of this Policy have been properly followed, and forward all materials to the Chancellor for Health Sciences, who shall forward them to the President with an evaluation of the proposed leave from a University-wide point of view. The President makes the final decision.

4. Upon returning to UNM, every lecturer granted an academic leave shall submit promptly to the Provost/Executive Vice President for Academic Affairs or the Chancellor for Health Sciences, with copies to department chairperson and dean, a full report of the research, creative work, publications, or other results of the period of leave. The report submitted shall be placed in the lecturer's personnel file.

5. See item 2 under Policy C280 "Leave Without Pay".

6. Those lecturers who receive all or part of their salaries directly from agencies outside of UNM will be granted academic leave with salary guaranteed only to the extent of UNM funding of the previous year; full funding is possible only when funds are available within the UNM budget.

7. Time toward each new academic leave begins immediately after return to full-time service regardless of the semester of return.

HISTORY

DRAFT HISTORY

January 19, 2013 – Draft awaiting Faculty Senate and Board of Regents approval.

March 11, 2013 – Tabled by the Board of Regents.

COMMENTS TO:
handbook@unm.edu

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Honors College Update

ASAR Committee of the
Board of Regents
October 3, 2013

The Honors College Exists. Almost.

Full approval by Faculty Senate, ASAR (thank you) and Board of Regents, Spring 2013,

Catalog Copy included in current AY catalog,

Recognition of degree, minor by Arts & Sciences in time for December grads,

In Process: Coding for Degree Audit and management of Honors Data in the system.

Growth in Honors

Student Enrollment Growth

- Fall 2012: Enrolled 353 Freshmen in Honors *Program*
- Fall 2013: Enrolled almost 600 Freshmen in the Honors *College* (today's unofficial data uses base of 557)

Faculty Growth

- Two conversions from Lecturer to Tenure Stream
- Two new Tenure Stream hires

Curricular Growth

- 59 classes this fall (prior semester max ~40)
- New program collaboration with athletics
- Spring Semester: New Core, Major reqts

2013 Freshman Characteristics

Average High School GPA: 3.97 (higher than '12)

Average ACT score: 27.8 (virtually identical to '12)

Act of 30 or higher: 129 (26% of ACT-takers)

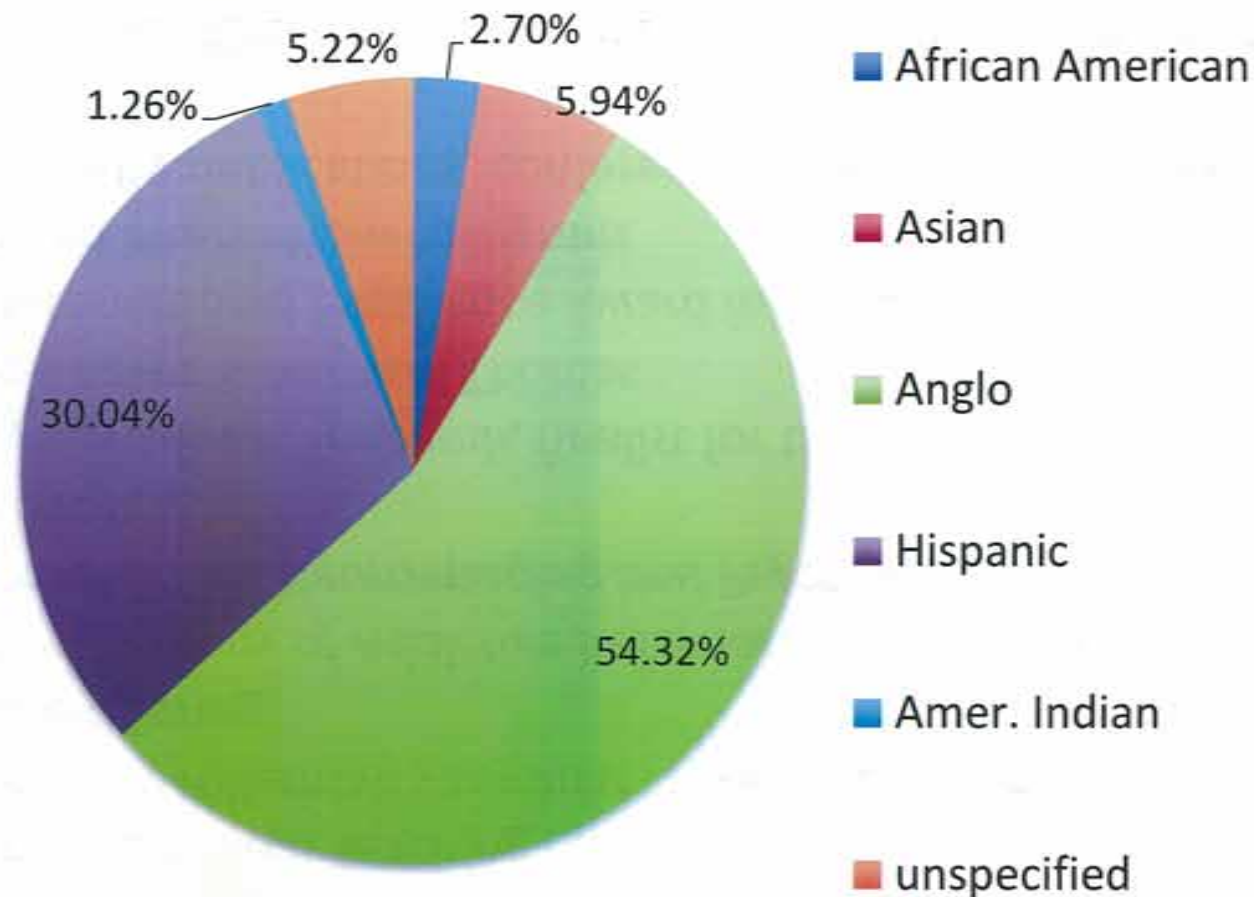
ACT less than 25: 54 (~11% of ACT-takers)

Almost 80% from a New Mexico High School

~4% California, 3% Colorado, 2% Texas

Only 10% out of state last year

Student Diversity



Numerical breakdown: 15 African-Americans, 33 Asians, 302 Anglos, 167 Hispanics, 7 American Indians and 29 who did not specify.

Other Honors news:

- Michael Thomas
 - Promoted to Principal Lecturer
 - Recipient, Barnes & Noble Discover Great New Writers Award
- Leslie Donovan
 - Named Presidential Teaching Fellow
 - Revived Tony Hillerman's seminar "Meet the Authors"
- Sarah Feldstein Ewing
 - Editor, *Psychology of Addictive Behaviors Special Edition*
 - Book contract for *Neuroimaging and Psychosocial Addiction Treatment*
- Amaris Ketcham
 - Faculty advisor for *Scribendi*, finalist for the Pacemaker award
- Celia Lopez-Chavez & Michael Thomas
 - UNM International Excellence Award for *Conexiones*, UNM's longest-running faculty-led international program
- Numerous faculty publications, conference presentations, grant activity and creative works
- New endowed scholarships supporting student scholarships and faculty research.
- Forum remodel is underway!

Physical and Virtual One-Stop Services

Regent's Academic/Student Affairs & Research Committee

October 3, 2013

Student One Stop

Purpose: Provide students with a physical one-stop location on campus where they may seek help and advice.

Location: Mesa Vista Hall, directly inside the front door.

Goals:

- Alleviate the “run around” that many students report they are subjected to at UNM.
- Provide a welcoming atmosphere that makes new and returning students comfortable in seeking assistance.
- Efficiently provide correct information and/or referrals.
- Promote student success at UNM.

Student One Stop

Project was led by the Office of Student Academic Success, in collaboration with:

- Enrollment Management Division
- Student Services (College Enrichment Program, Career Services, Dean of Students)
- University Advisement Center
- Graduate Resource Center
- ENLACE NM
- CNM
- APS

Student One Stop

- The physical One Stop began serving students on August 12, 2013, one week prior to the start of the semester.
- It is integrated with the new Student Success Center, which had its grand opening in Mesa Vista Hall on Sept. 3, 2013.



Student One Stop

- **As of last week, a total of 1,784 students had been served.**
- **Most common questions, by week:**
 - Week 1:** Directions (42.2%), Advising (15.6%), Financial Aid (13.2%), Records (9.4%), Other (15.2%)
 - Week 2:** Directions (60.4%), Advising (9.4%), Financial Aid (13.8%), Other (13.8%)
 - Week 3:** Directions (75.6%), Advising (6.2%), Other (8%)
- **After the third week, the number of visits dropped off significantly.**

Student One Stop

- A project has been initiated to create a virtual One Stop.
- The virtual One Stop is currently accessible via the URL: <http://students.unm.edu>
- We intend to use our experiences with the physical One Stop and data in FastInfo to inform the continued development of the virtual One Stop.
E.g., to determine when certain FAQ items should be displayed more prominently.

Student One Stop



Students

Home

Getting Started

Academic Support

Financial Aid

Health & Wellness

Albuquerque Community

Activities & Events

Parking & Transportation

Online Tools

- [MyUNM](#)
- [UNM Learn](#)
- [UNM Facebook](#)
- [LoboAchieve](#)

Find more at computing.unm.edu

[Tuition FAQs](#)

[UNM](#) > Home

+ Getting Started

+ Academic Support

+ Financial Aid

+ Health & Wellness

+ Albuquerque Community

+ Activities & Events

+ Parking & Transportation



Division of Enrollment Management

**Guaranteed Tuition and Standard Tuition Comparison
Cost Per Resident Full-Time for 4 years**

FY 13 Tuition and Fees	
2013 Resident	\$6,447

Voluntary Guaranteed Tuition					
	2013 Cohort FY 14	2013 Cohort FY 15	2013 Cohort FY 16	2013 Cohort FY 17	4 Year Total
Residents	\$7,091.70	\$7,091.70	\$7,091.70	\$7,091.70	\$28,366.80
% increase	10%	0%	0%	0%	
\$ increase	\$644.70	-	-	-	

Traditional Block Tuition and Fees Equivalent					
	2013 Cohort FY 14	2013 Cohort FY 15	2013 Cohort FY 16	2013 Cohort FY 17	4 Year Total
Resident	\$6,447.00	\$6,859.61	\$7,298.62	\$7,765.73	\$28,370.97
% increase		6.4%	6.4%	6.4%	
\$ increase		\$412.61	\$439.01	\$467.11	

Fiscal Impact

Revenue Implications of Guaranteed Tuition Option w 10% Increase and 10% Participation

	FY 14	FY 15	FY 16	FY 17	FY 18	Total Revenue
Guaranteed 10% Standard 90%	\$22,874,794	\$41,404,980	\$58,000,019	\$73,815,140	\$78,303,101	\$274,398,034
Standard w 4% Annual Increase	\$22,648,311	\$41,066,413	\$57,616,959	\$73,436,013	\$77,900,923	\$272,668,619

5-year Budgetary Impact



Standard
\$272,668,619



Guaranteed 10%
Standard 90%
\$274,398,034



Guaranteed 30%
Standard 70%
\$277,856,865

Materials will be available at the meeting.

**UNIVERSITY OF NEW MEXICO
ALUMNI ASSOCIATION
BOARD OF REGENTS REPORT
October 8, 2013**

Vision (Why we exist): *The Alumni Association is a vital partner in the continued excellence of the University of New Mexico through the significant engagement of alumni.*

Mission (What we are striving for): *To serve as a bridge between alumni and the university ensuring the continued success of the university and enriching the lives of alumni.*

<p>Strengthen collaboration with campus constituents to meet the needs of UNM.</p>	<p>College Fair/Recruitment season continues through November, with chapters and alumni around the country participating/hosting local fairs encouraging out-of-state students to consider UNM as a college option.</p>
<p>Foster enduring involvement with, pride in and commitment to UNM, its colleges, schools and programs.</p>	<p>On August 28, 2013, the UNM Alumni Association joined forces with the School of Architecture and Planning and presented a program on 3D Printing as part of the Association’s Lobo Living Room program. Over 135 people attended</p> <p>Flavor of some of the 50 plus events which took place during Homecoming week: Several school/colleges/departments honored their graduates with various workshops, award ceremonies, tours, and reunions: College of Nursing, Anderson School of Management, College of Engineering, Global Education Office, College of Pharmacy, Spanish and Portuguese Language Department, Zimmerman Library, Maxwell Museum, Office of the Medical Investigator, Public Administration, UNM Robert Wood Johnson Foundation Center, and College of Fine Arts.</p> <p>Close to 200 Daily Lobo Staff alumni and guests attended the first ever Daily Lobo reunion, held at the Alumni Center, Hodgin Hall on Thursday, Sept. 26. The even proved to be a wonderful catalyst for old friends and colleagues to reconnect.</p> <p>Heritage Club and Class of ’63 Brunch was held for alumni who graduated 50 or more years ago from UNM attended a brunch in their honor at Zimmerman Library’s West Wing, followed by a historical tour/documentary celebrating Zimmerman Library’s 75th anniversary.</p> <p>Trailblazer alumni, who once served as student ambassadors to the Alumni Association and Office of the President, attended a special reunion at Hodgin Hall Alumni Center during the All Alumni Open House on Friday, Sept. 27.</p>

	<p>Over 150 guests participated in the Honors College annual open house and reception at the Wynn Dudley Honors Forum.</p> <p>UNM School of Law 25th Anniversary of Class of 1988 participated in an event at Hotel Andaluz, a special tour of the Court of Appeals, and joined fans and alums at the SW Tailgate and Silent Auction prior to the UNM vs. UNLV Homecoming Football game.</p>
<p>Engage students in ways that will develop lifetime ties to UNM.</p>	<p>The Student Transition and Engagement Program (STEP), a 3 hour credit course, offered each semester, features alumni volunteers presenting their expertise in areas of finance, career services, community service and life skills.</p> <p>Students were engaged with various homecoming events as well with hosting several activities including Student Walk of Fame, karaoke, King and Queen elections, pep rally, movie in the pool, and of course, the student homecoming dance.</p>
<p>Expand the tradition of philanthropy toward UNM among alumni.</p>	<p>The annual Young Alumni Networking Reception took place on Friday, September 27, at Hodgkin Hall. In October, the community service committee and social networking committee will coordinate a Halloween Costume Drive for Cuidando Los Ninos, a daycare for homeless families, at a social at the Parq Central Apothecary Lounge.</p>
<p>Communicate effectively with our diverse group of alumni.</p>	<p>Throughout the year and especially during Homecoming week, the Alumni Association and the Alumni Relations office through the Campus Collaborative Initiative focus on reaching out to the campus community for collaboration with many events. Focus of the outreach was to increase educational tours, presentations and showcasing academic and program accomplishments at UNM.</p>
<p>Develop and steward resources to ensure a sustained and dynamic association.</p>	<p>The Alumni Chapter Green Chile Roasts concluded with successful events in Chicago and Sacramento on September 21. Over 800 alumni and friends around the country took part in the events. They enjoyed taking home over 8 tons of roasted chili.</p>
<p>Encourage community service and leadership among alumni and students.</p>	<p>A campus wide community service project during Homecoming week entailed the collection of travel-size toiletries to support the APS Title I Homeless Project. Over 400 pounds of hygiene products were collected throughout the week at drop off locations around North, Main and South Campus. The Homecoming Committee also collected hundreds of rolls of toilet paper for the Ronald McDonald House, as well as preparing a meal for the house the week before homecoming.</p>

Garner greater recognition and visibility for Alumni Association programs and service to the university and community.

A number of chapters are gearing up for **Football TV Watch** activities in their communities. Things will kick off for them as the Lobos take on NMSU on October 5th. To date, Phoenix, Dallas/Ft. Worth, New York City, Chicago and Denver have chosen sites for their watches. The New York and Chicago Chapters have included NMSU alums in their invitation and are anxious to take the Rio Grande Rivalry 'on the road'.

The **Greater Albuquerque Area Alumni Chapter** (GAAAC) has been established. Sixty three people attended the first meeting. A formal election of the Board of Directors and Committee Chairs will be held in October. The first official chapter event was the annual Welcome Back Days. Fifteen alumni volunteered for the event.

To date, over 200 UNM **Greater Albuquerque Area Chapter** alumni have signed up for the list serve to be involved in the various areas of the chapter: Community Service and Philanthropy, Networking and Programming, Professional Development, and Alumni Recognition.

The **Lobo Living Room** for August was a **3D Printing** presentation by the School of Architecture and Planning. Over 140 attended the event. The October series of the Lobo Living Room is "**Chile Chat**" with Jackie and Gene Baca of Bueno Foods, The program will be on Tuesday, October 29, at the Alumni Center in Hodgkin Hall. The event will feature a presentation on the history and culture of chili in New Mexico, with a chile roasting demonstration on the patio.

UNM Alumni Association **Zia, Lobo, and Inspirational Young Alumnus Awards** were presented at the All University Breakfast on September 28, 2013 to the following:

- Zia Awards recipients were Gig Brummell, Leslie Hoffman, Madeline King, Jay Rosenblum, Peter Sanchez, Honorable Frank Sedillo.
- Lobo Award recipient was Chris Schueler.
- Inspirational Young Alumnus Award recipient was Abigail Lanin Eaves.

Several individuals were recognized throughout the week of Homecoming:

The **Black Alumni Chapter** presented their Living Legends Award to Michael Cooper and their Trailblazer award to Hannah Best and Thomas Michael Williams.

The **College of Nursing** presented their alumni department award to Terri Fortner.

Regent Jack Fortner became an **Honorary Lettermen** at the Alumni Lettermen Homecoming meeting/reception.

Larry and Dorothy Rainosek, owners of the Frontier and Golden Pride Restaurants and longtime supporters of UNM, were made **Honorary Alumni** by the Alumni Association during the Homecoming football game during half-time. The Honorary Alumni distinction has not been awarded in over 20 years. A very coveted award – giving Larry and Dorothy all the rights of being an alum except holding office in the Association by the Alumni Association Board of Directors.

Supporting documentation for this item will be submitted on or before the day of the Board of Regents' Meeting.

**Minutes of the Meeting of the
UNM Health Sciences Center Board of Directors
September 6, 2013
Domenici Center for Health Sciences Education Bldg., Room 3010**

Board of Directors present: Suzanne Quillen, Chair, Lt.Gen.Brad Hosmer, Conrad James, Michael Olguin, Ann Rhoades, and Mel Eaves (via phone)

UNM Leaders present: President Bob Frank

HSC Leaders present: Chancellor Paul Roth and other members of the HSC senior leadership

Others present: members of the HSC faculty and staff

Regent Suzanne Quillen, Chair, called the meeting of the Health Sciences Center Board of Directors (the "Board") to order at 2:05 p.m. The Chair established that a quorum of the members of the Board was present and that Director Eaves was attending by phone.

Approval of Agenda

A motion was made to adopt the published agenda. The motion was seconded. There was no discussion. The Motion passed with a vote of 6-0-0.

Approval of Minutes of Prior Meeting

A motion was made to approve the Minutes of the August 2, 2013 meeting of the HSC Board of Directors. The motion was seconded. There was no discussion. The Motion passed with a vote of 6-0-0.

Comments from Directors

Discussion was held regarding the Board's agenda setting. Regent/Chair Quillen shared that discussions have taken place among the Board, the President and the Chancellor regarding establishing a calendar of agenda items that the Board would like placed upon the Board's agenda and discussed on a routine basis. Currently those items are being identified and prioritized. A draft will be brought to the Board.

Chancellor's Report

Chancellor Roth's report included an update on the ongoing discussions with Rehoboth McKinley Hospital in Gallup. Due diligence has not yet begun due to the complexity of the Letter of Intent. The Letter of Intent is now being signed which will launch the due diligence phase. A 90-day period is established in which all parties will engage in a due diligence exercise. Regular reports will be brought to the President and the Board.

Dr. Roth announced that an NIH grant (TOP Project) has been awarded, intended to develop “best practices” when caring for 28-week neonates. Dr. Richard Larson provided a brief overview of the study and status. Dr. Roth added that the objection on part of an advocacy group related to the degree of informed consent that was available for participants and their legal guardians, noting that the consent form used in this study has been approved not only by the HSC IRB but by 19 other IRBs around the United States and that the forms are written at the proper level of understanding. The Board will be kept updated. Dr. Larson added that NIH requested all HSC related to the trial, NIH examined the files, and did not have any comment on their examination. Dr. Roth announced that the University Health System Consortium (UHC) – the largest national organization that includes academic health centers and their respective teaching hospitals – ranked the HSC as #2 out of the 119 institutions in the United States for our children’s programs regarding quality and safety; case volume ranked #27. He announced that the Sandoval Regional Medical Center will be awarded the “Guardian of Excellence Award” by Press Ganey. Dr. Roth thanked Director Rhoades for agreeing to be the keynote speaker at the 2013 UNM Administrative Support Professional Conference. The conference was initiated by the UNM Administrative Support Alliance, an organization launched from the HSC campus and now adopted throughout the University.

HSC Student Council Update

Mr. Kyle Leggott, President, reported briefly on the business and plans of the Council -- promoting the organization to new students, generating new ideas, volunteer work, and upcoming events.

Public Comment

There was no public comment.

Action Items

HSC Legislative Funding Requests

Dr. Roth reviewed the nine HSC Legislative Funding Requests (handouts distributed to the Board; adding an explanation of RPSPs), noting that these had been reviewed by President Frank and will continue with the approval process through the Board and up to the Regents:

- 1) Medical School I & G (\$3,994,700) – Dr. Roth gave a history of how the School of Medicine (SoM) has had a separate line item (HB 2) and even though the SoM has grown the manner in which it is funded has not changed. This year SoM is requesting an increase of approximately \$4 million to allow provision an increase in compensation for faculty (many faculty currently hardly reach the 25th percentile nationally for compensation). He noted that I&G accounts for less than 20 percent of total faculty compensation. The SoM is developing a long-term strategy along with Provost Abdallah in how we want to project our targets for faculty so UNM has common objectives/targets. Discussion on 33rd percentile, total compensation and formula to have the lowest paid faculty closer

to the 33rd percentile; it would cost \$20 million to get all faculty to the 33rd percentile; discussion of how much I&G the HSC receives (about \$80 million); explanation of process to distribute funds to faculty and performance metrics; annual reports to Legislature; this formula based upon acquiring \$16 million recurring funds elsewhere; economic climate of health care; data on attrition rates and School of Medicine national market standings included on annual information presented to the Legislature.

Dr. Roth noted that the nine items presented at today's meeting are listed in order of priority. He added that legislative requests numbers 1, 2, 3, 4, 5, 6, and 7 are the same request submitted last year; discussion on what was partially funded last year.

- 2) Nurse Practitioner Education (\$1,504,800) – An attempt to double the Nurse Practitioner and Certified Nurse Midwifery Programs. To do so, there is a need to hire additional faculty; a significant part of the required funding would need to be repeated every year to sustain the additional faculty.
- 3) BA/DDS Degree Planning Funds (\$400,000) – Reflects the alternative to constructing a free standing dental school at the HSC; builds upon the existing BA/MD Program. Request is for marginal costs for the entire program building on the platform of the BA/MD Program, allowing admittance of students interested in dentistry. The HSC is in discussion with existing dental schools outside of New Mexico which would serve as regional dental schools for New Mexico and students would be automatically admitted into these dental schools and return to the HSC residency programs in New Mexico. Dr. Roth iterated that he has emphasized to the Legislature, as he did with the BA/MD Program and for which they positively responded, not to give funding this year unless additional funds for eight consecutive years can be provided because a class of students must be allowed to advance in the program.
- 4) Project ECHO (\$1,100,000) – This project lost approximately \$1.6million in a contract with the U.S. Department of Health because of budget cuts and this compromised the many services Project ECHO provides to the residents of New Mexico. One time funds allowed the project to temporarily continue. Last year the Legislature gave \$500,000; this year the HSC returns to the Legislature to seek the balance of funds that have been lost. Dr. Roth recognized Dr. Sanjeev Arora's extraordinary success internationally with Project ECHO.
- 5) Center for Health Workforce Analysis (\$322,600) – Good news/bad news – good news in that, by Statute, the HSC was requested to assume the responsibility of the Department of Health in their workforce analysis; bad news – the responsibility was transferred to the HSC but no funds were associated with that transfer. The requested funding is for staff and a portion of one faculty member in order to run the Center. Dr. Roth recognized Dr. Arthur Kaufman for his efforts in securing extramural funding that has allowed the HSC to begin the work of the Center; permanent, recurring funding is needed.

- 6) Health Extension Rural Offices (HEROs) (\$496,600) – This is a program built on New Mexico State University’s (NMSU) Agriculture Extension Agencies’ offices, a highly successful program in most Land Grant Universities throughout the United States. NMSU has agricultural agents who are faculty who live in local communities (there is one agent in every New Mexico county) working with farmers, ranchers, etc. to advance and provide higher productivity to crops, husbandry, etc. This model was copied when the HEROs program was established and agents live in the communities, work with the hospitals, local physicians and providers, etc. Over 600 activities in 176 communities throughout New Mexico. The primary job of HEROs is to coordinate activities to maximize the effectiveness of students, faculty, and staff and to work with the communities to discover new ways in which the HEROs program can be helpful to address their specific health care needs. Discussion on qualifications of HEROs. Dr. Roth recognized Mrs. Rising in Hobbs, NM, a past dean of Education, and current president of the African-American Women’s Club in New Mexico, who has been very effective in coordinating the HEROs efforts in Hobbs and Lea County.
- 7) Office of the Medical Investigator (\$302,000) – Last year’s funding request was to allow OMI to accomplish two goals: return bodies to their point of origin and utility costs. Dr. Roth provided a brief explanation of how the State of New Mexico established OMI as a centralized office. The New Mexico Office of the Medical Investigator is considered to be the “gold standard” for performance in the United States (*i.e.*, teaching, Fellows, state-of-the-art technology and facility) for forensic pathology. Did not receive full funding and the HSC is returning to the Legislature this year. The total requested reflects the additional costs associated with utilities coverage.
- 8) UNM Pain Center (\$1,000,000) – This is a new request to cover costs of sustaining the UNM Pain Center that provides treatment for patients who suffer from abuse of narcotics. Over the past couple of years, legislation has been passed to establish more intense control over how clinicians are to provide narcotics, including a formalized training program for any physician who would be in a position of prescribing narcotics. New Mexico does not have a true state-of-the-art, multidisciplinary pain center that includes psychiatrists, physical therapists, neurologists, primary care physicians, etc. who work in a team to manage patients with chronic pain. Dr. Roth recognized Dr. Joanna Katzman, Director of the UNM Pain Center. The current Pain Center is unable to meet even the needs of patients in Albuquerque. This request is in order to expand services to not only better meet Central New Mexico’s needs but to provide services, through a variety of mechanisms, for the rural communities in New Mexico, and expand training needs.
- 9) Center for Childhood Maltreatment (\$440,000) – Dr. Roth discussed how the concept of childhood maltreatment is relatively new versus traditional thinking of childhood abuse and mistreatment as separate issues. The HSC has a fairly successful and renowned program, led by Dr. Renee Ornelas, around sexual abuse, neglect, abuse in children. In Pediatrics, as a discipline, the broader term of “maltreatment” incorporates sexual abuse, neglect, physical abuse and psychological abuse, etc. A formal Fellow, a specialist in the field of Childhood Maltreatment, and we are consolidating all existing programs that relate to abuse and neglect, and working with the Children, Youth and Family as well as the Department of Health, in determining ways in which we can be working with state

agencies in partnership to address the broader notion of maltreatment of children in New Mexico. This Center would not only address the specific acute needs of children in central New Mexico but would serve as infrastructure and support for the State.

Ensuing discussion included whether or not these requests were planned to be included in HB 2, what would be considered as individual legislation that may have sponsors to carry an item through, and budget projections for next fiscal year. Discussion on OMI and delays in reporting the official cause of death and complaints from families; very often delays are the result of delays in receiving toxicology results which OMI has no control over. Discussion on budget projections; pipeline regarding Nurses and success of the New Mexico Nursing Education Consortium (NMNEC); critical need for classroom space and the fact that over the past eight years' capital requests (*i.e.*, final phase of Domenici Center that would provide additional classroom space) have been denied. Discussion of providing to the Legislature what the payoff would be for giving funding (*i.e.*, what would result be if there were a reduction in faculty turnover, payoff for funding the building of Phase 3, etc.)

A motion was made to approve the HSC Legislative Funding Requests. The motion was seconded with a vote of 6-0-0 in favor. Motion passed.

Request to Approve Revised Regents Policy 3.5, "UNM Health Sciences Center Board of Directors" and First Amended and Restated Bylaws of the UNM HSC Board of Directors

Dr. Roth provided background on the recommended revisions to Regents Policy 3.5 and the First Amended and Restated Bylaws of the UNM HSC Board of Directors. The requested changes to the Regents' Policy reflect the discussions held in the past around the idea that the HSC Board of Directors should have a majority of Regents as voting members to the Board. This change in the Policy leads to the need to amend the Bylaws of the UNM HSC Board of Directors to reflect the voting status of the UNMH Board of Trustees' representative from *ex officio* with vote to *ex officio* without vote. A motion was made to approve the recommended revisions to Regents Policy 3.5 and the First Amended and Restated Bylaws of the UNM HSC Board of Directors. The motion was seconded. Ensuing discussion included clarification that initiation of this recommended change was in no way the result of any past or anticipated problem with the current structure or with the UNM HSC Board of Directors; clarification of definition of "*ex officio*;" comment that community members are important to the makeup of the Board; wishes of current members of the Regents and this resulting compromise to ease Regent concerns with communication pathways; the need to continue studying governance in the future; board composition and public membership. A vote was held with 6-0-0 in favor. Motion passed.

Request to Approve HSC Strategic Plan

Dr. Richard Larson provided an overview of the HSC Strategic Plan for approval. The HSC Strategic Plan, requested by the Board, shows goals, strategies and measures and indicators of success but does not delve down deeper into the tactics and metrics. He reviewed the Measure of Vision 2020 Success that aligns each goal with measures. The HSC has established the status on each metric with a baseline and

establish a target for each year, understanding that the targets may evolve through progression. They reflect the Board's input throughout the process. Yellow on the document indicates measures that the Board will be updated on more frequently than annually. Discussion included congratulations to the HSC for producing very usable metrics for judging outcomes at a high level with a recommendation that these items are placed on the Board's agenda for review. A motion was made to approve the HSC Strategic Plan. The motion was seconded with a vote of 6-0-0 in favor. Motion passed.

Reports from HSC Board of Directors Committees

Finance, Audit, and Compliance Committee (FACC)

FACC Action Item

Resolution Recommending Approval of UNMH Contracts with Pacific Interpreters, Inc. and Press Ganey Associates, Inc.

Regent/FACC Chair James and Ella Watt provided brief background for the recommended UNMH Contracts with Pacific Interpreters, Inc. and Press Ganey Associates, Inc.

A Motion was made to approve the Resolution for Approval of UNMH Contracts with Pacific Interpreters, Inc. and Press Ganey Associates, Inc., a copy of which is attached and made a part of the official Minutes. The motion was seconded with a vote of 6-0-0 in favor. Motion passed.

FACC Information Items

UNM Contract with Owens and Minor Distribution, Inc.

Ms. Ella Watt provided a brief analysis of the contract with Owens and Minor Distribution, Inc.

HSC Financial Update

Ms. Ava Lovell presented an HSC financial year-end FY13 report. Her report included HSC metrics, UNM HSC 2013 Fiscal Year-to-Date Financial Summary through June 30, 2013 (Preliminary and Unaudited) for HSC only, UNM Hospitals only, UNMMG only, SRMC only, and Consolidated. Discussion included topics of SRMC start-up, days cash on hand and how it is derived, discretionary funds, benchmarks, uncompensated care, accounts receivable greater than 90 days, use of balances, use of cash on hand and use of reserves, recap of discussions during FACC deliberations, use of reserves, trend lines, reserves, categorization, non-recurring expenditures, academics at HSC, and impact of ACA. *ACTION REQUIRED: add a trend line of operating margin.*

SRMC Update

Dr. Mike Richards provided an update on the Sandoval Regional Medical Center, Inc. clinical activities, including admission trends, total daily census, case mix index, Emergency Department visits, total surgical cases, total Radiology procedures, areas of greatest opportunity, transfers between SRMC/UNMH and UNMH/SRMC, initiation of Physician-of-the-Day Program. Mr. Kevin Rogols added announcements on Human Resources changes that have been implemented at SRMC and improvements in collections. Ms. Jamie Silva-Steele has been appointed as Chief Operating Officer and Ms. Ella Watt as Chief Financial Officer. Discussion on CMI increase.

96-Bed Hospital Project Update

Dr. Michael Richards provided a brief update on the 96-Bed Hospital Project including a recent meeting with Mr. Keith Gardner from the Governor's office to visit the UNMH facilities. The significant points of conversation were: 1) verification that HSC had well-documented the need for additional inpatient bed capacity; 2) facility overall assessment and why the HSC was not pursuing a complete replacement hospital (an approximately \$800 million dollar project); 3) detailed discussion on why the HSC is evaluating Lands West versus building a 96-bed tower in proximity to current physical plant; and 4) if there would be a move to Lands West how would it tie into the long-term Master Facility Plan. Decision made not to advance the project at this time until the revised Master Facility Plan is prepared and the reassessment of the ability to place a new facility in the existing footprint.

Physician Assistant (PA) Accreditation Update

Dr. Leslie Morrison, in connection with Dr. John Leggott, Director of the PA Program (not in attendance at the meeting), provided an update on the Physician Assistant Program's receipt of a citation (included 22 points cited, outlined as administrative, curriculum, instruction, and evaluation) that resulted in the PA Program being placed on probation approximately one year ago. The HSC has submitted a response addressing solutions to all concerns. The PA Program will apply for removal of the probationary status in February 2014 and expect a site visit in April 2014. A final decision will be made in September 2014. Discussion included clarification that the program's probationary status did not affect the students who have graduated or will graduate from what remains an accredited program and a question on whether or not any other programs at the HSC are under probation. Dr. Roth added that there are no programs in probationary status.

Regent/Chair Quillen made a change to the agenda, moving the overview of OMI up to this point in the agenda.

General Information Item:

An Historical Overview: Office of the Medical Investigator

Dr. Ross Zumwalt presented on the history of the Office of the Medical Investigator that included how it originated (established in 1974), statutory history, OMI Board's composition and history, responsibilities of OMI, involvement in discovery of the Hantavirus, identifying drug overdoses, education, involvement in the General Pathology residency program, Forensic Pathology Fellowship Program, research, and consultations with other agencies and providing autopsy services for other hospitals, and tribal and federal agencies. Dr. Zumwalt provided statistics on autopsies, projections for next year, efforts to improve services through updating OMI policies and procedures, importance of the performance of autopsies and discerning cause of death related to lowering number of lawsuits, etc. His presentation also included information on an accreditation, budget and needed funding, total revenues. Drs. Roth and Zumwalt introduced Dr. Keith Pinckard, OMI Deputy Chief, who has recently joined the faculty. Dr. Roth reiterated that OMI is considered the "gold standard" for excellence in the United States as it relates to Forensic Pathology and he recognized Dr. Zumwalt, Dr. Keith Pinckard, and Ms. Amy Boule.

FACC Information Items – Continued

FDA Update: Inspection and Corrective Actions

Dr. Richard Larson provided an update on the FDA inspection, that occurred in May, and the status of the HSC response, noting that the HSC Research Enterprise gets an inspection or accreditation visit for some aspect of the enterprise approximately 40-50 times per year. Inspections generally last between three days and three weeks. The FDA inspection occurred at a time when the HSC was transferring to a new IT system in supporting IRB documentation and this resulted in several findings. All findings have been addressed including the development of a competency-based training program for all IRB members. Updates will be provided in the future.

Governance & Nominating Committee (GNC)

Request for Approval of the Nomination of Erich P. Marchand, MD to the Carrie Tingley Hospital Advisory Board.

Regent/GNC Chair Hosmer presented a brief background on the proposed nomination of Dr. Erich P. Marchand to the Carrie Tingley Hospital Advisory Board and noted that the GNC recommends approval. Director Olguin added that the UNMH Board of Trustees has approved this nomination and that Dr. Marchand comes highly recommended. Dr. Mike Richards commented that Dr. Marchand is a faculty member in the Department of Neurosurgery, a graduate of UNM, and comes with about 27 years of affiliation with the HSC and is highly regarded. A motion was made to approve the nomination of Dr. Erich P. Marchand to the Carrie Tingley Hospital Advisory Board. The motion was seconded with a vote of 6-0-0 in favor. Motion passed.

General Information Items – Continued

Review: HSC BoD Action Required Log

The Board reviewed the current HSC BoD Action Required Log and Regent/Chair Quillen provided an update on the items listed. Discussion included setting a due date for the request to “bring recommendations on how to structure a centralized financial system for better control [HS organization/financial chart]” to the October Board meeting. An Action Required item was added regarding “HSC proposal on suggested decision thresholds for Board and Regent approvals.” Dr. Roth noted that Ms. Lovell had prepared a draft list earlier but that with the departure of Regent Chalmers the issue had been stymied. Dr. Roth plans to review the draft with President Frank and Mr. Harris, process it through Administration, and then return to the Board with recommendations. President Frank recommended that the Board submit this as a formal request to the Regents. Further discussion was held on ensuring compliance with the Open Meetings Act. The sense of the Board was that a proposal to revise the decision thresholds across the University, including the HSC, should come forward from the HSC Board of Directors to the UNM Board of Regents.

Regent/Chair Quillen noted that the remaining General Information Items were minutes from the most recent meetings of the UNMH Board of Trustees, UNM Medical Group, Inc. Board of Directors, UNM Sandoval Regional Medical Center, Inc. Board of Directors, the OMI Board of Directors, and the Carrie Tingley Hospital Advisory Board and are included as information materials.

No Executive Session was held.

A motion was made to adjourn the meeting. The motion was seconded. No discussion; with a vote of 5-0-0 in favor. Motion passed. Note: Director Eaves was no longer in attendance and did not vote.

Minutes were prepared by Patrice Martin and finalized on September 11, 2013.

Approval of Minutes:

Regent Suzanne Quillen, Chair

Date

Attachment:

1. Resolution Recommending Approval of UNMH Contracts with Pacific Interpreters, Inc. and Press Ganey Associates, Inc.



**FINANCE, AUDIT & COMPLIANCE COMMITTEE
OF THE
BOARD OF DIRECTORS**

**RESOLUTIONS RECOMMENDING APPROVAL OF
UNM HOSPITALS' PROPOSED
PURCHASE TRANSACTIONS**

WHEREAS, the management of UNM Hospital desires to enter into the purchase transactions identified and described on Exhibit A attached to these Resolutions (each, a "Proposed Purchase" and, collectively, the "Proposed Purchases"), the methodology for each of which appears to be consistent with the New Mexico Procurement Code;

WHEREAS, the Finance Committee of the UNM Hospital Board of Trustees previously considered each of the Proposed Purchases and determined to recommend approval of the same to the UNM Hospital Board of Trustees;

WHEREAS, the UNM Hospital Board of Trustees considered each of the Proposed Purchases and determined to approve and recommend approval of the same to the UNM Health Sciences Center Board of Directors (the "Board"), by and through the UNM Health Center's Board of Directors' Finance, Audit, and Compliance Committee ("FACC");

WHEREAS, the FACC has considered these prior approvals and recommendations for approval, the materials and information provided to the FACC at its meeting relative to the Proposed Purchases, satisfied itself that the requirements of the New Mexico Procurement Code with respect to each of the Proposed Purchases have been complied with, finds and concludes that the Proposed Purchases is in the best business and operational interest of UNM Hospital, its stakeholders and the UNM Health System and should be approved.

NOW, THEREFORE, BE IT RESOLVED that the FACC hereby approves and recommends to the Board the approval of UNM Hospital's Proposed Purchases on the terms and subject to the conditions described in the Exhibit A materials provided to this FACC.

ADOPTED BY A VOTE OF THE MEMBERS OF THE HEALTH SCIENCES CENTER BOARD OF DIRECTORS' FINANCE, AUDIT & COMPLIANCE COMMITTEE AT A MEETING HELD ON SEPTEMBER 3, 2013.

By: 
Conrad James, its Chair



THE UNIVERSITY OF NEW MEXICO ♦ HEALTH SCIENCES CENTER

UNM HOSPITALS

**UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
August 2013**

Approval

1) Pacific Interpreters™, Inc.

Ownership:

One Lower Ragsdale Drive, Building Two
Monterey, CA 93940

Officers Information:

Chairman: Dennis G. Dracup
President & CEO: Scott Klein

Source of Funds: UNM Hospitals Operating Budget

Description

Request for one year agreement (with an option to renew annually for three years) for telephonic and video interpreting in over 200 languages. Pacific Interpreters™, Inc., is a comprehensive language services provider, helping to eliminate language barriers for Limited English Proficient (LEP) and Deaf individuals.

Previous Contract: Pacific Interpreters™, Inc.

Previous Term: Two years

Previous Operating Contract Amount: \$520,000 per fiscal year. Telephone interpretation at \$1.08/minute and medical document translation at \$0.22/word with a \$100/minimum.

Process: UNMH RFP #P231-12

RFP Respondents: Pacific Interpreters™, Inc., Language Line Services, Inc., Interpreters Unlimited, Universe Technical Translation, Inc., Certified Languages International (CLI), Spring Institute for Intercultural Learning, AVAZA Language Services Corporation, CTS Language Link, The Bridge Interpreter Referral Service, Inc., Catholic Community Services of AZ d.b.a. Community Outreach Program for the Deaf NM, Geneva Worldwide, Inc., Optimal Phone Interpreters, Language Select, LLC and Language Services Associates.

Selection Reason: Pacific Interpreters™, Inc. proposal was the most advantageous for UNM Hospitals both with their cost and with their expertise in over 200 languages.

Total Cost: Dependent on volumes, ranges up to \$550,000 per fiscal year. Telephone interpretation at \$0.90/minute for Spanish, \$0.95/minute for all other languages. Medical document translation at \$.14/word for Spanish and \$0.19/word for all other languages with a \$65/minimum.

2) Press Ganey Associates, Inc.

Ownership:
404 Columbia Place
South Bend, IN 46601

Officers Information:
Chief Executive Officer: Patrick T. Ryan
President: Joe Greskoviak

Source of Funds: UNM Hospitals Operating Budget

Description

Requesting three year approval for Press Ganey Associates to administer patient satisfaction surveys that provide valuable insight into every aspect of patient satisfaction from inpatient and outpatient to ambulatory and emergency department. The survey results help UNM Hospitals understand patients' perceptions of their care experience so that the appropriate steps can then be taken to drive improvement efforts based on meaningful and actionable data.

Process: Novation Contract #U0921X

Total Cost: Dependent on volume of surveys, ranges from \$500,000 to \$540,000 per fiscal year.



Agenda Item	Subject/Discussion	Action/Responsible Person
Voting Members Present:	Michael Olguin, Jerry McDowell, William Lang, Michelle Coons, Jane Wishner, Debbie Johnson	
Ex-Officio Members Present:	Michael Richards, Stephen McKernan, Paul Roth, Donna Sigl, Carolyn Voss	
County Officials Present:	Emily Madrid	
I. Call to Order	A quorum being established, Michael Olguin, Chair, called the meeting to order at 9:11 a.m.	
II. Adoption of Agenda	The Chair, Mr. Michael Olguin, requested a motion to adopt the agenda, as submitted.	Dr. Warren Laskey motioned to adopt the agenda as submitted. Ms. Debbie Johnson seconded the motion. There being no objections, the motion carried.
III. Announcements	Mr. Stephen McKernan made an announcement that the hospital received TJC certification for Hip, Knee, and Stroke programs. He also announced that Jerry Geist's board term had expired and that a social event in his honor will be scheduled for September 27 th . Jane Wishner announced her resignation as she has accepted a position in DC to help implement the ACA.	
IV. Public Input	Bill Browne, Administrative Director of 1199 provided a history related to four years of hospital employees being denied wage increases. He further stated that during this time, Administrators and Executive Directors received incentives and that currently, employees are expected to work harder with fewer resources.	

Agenda Item	Subject/Discussion	Action/Responsible Person
	<p>Stated that the employees would like to hear that the hospital is budgeting for raises, which he believes will help eliminate turnover.</p> <p>Nicola Trevisan, SAC Rep 1199 requested that UNM Hospital changes the message to the employees. She stated the current message is that raises have not been budgeting, even though the hospital finances have recovered from a loss this year. She further stated that hospital employees are working hard, but do not feel appreciated and that downsizing, outsourcing, and failure to provide fair wage increases is inexcusable.</p>	
<p>V. Approval of Minutes</p>	<p>UNM Hospitals Board of Trustees meeting minutes for June 28, 2013, as amended.</p> <p>UNM Hospitals Board of Trustees Strategic Planning Committee meeting minutes for July 9, 2013.</p> <p>UNM Hospitals Board of Trustees Nominating Committee meeting minutes for August 2, 2013.</p>	<p>Ms. Debbie Johnson motioned to approve the minutes of the June 28, 2013, Board meeting, as amended. Mr. William Lang seconded the motion.</p> <p>Ms. Michelle Coons motioned to approve the minutes of the July 9, 2013, Board of Trustees Strategic Planning Committee meeting minutes as amended. Ms. Debbie Johnson seconded the motion.</p> <p>Mr. William Lang motioned to approve the minutes of the August 2, 2013, Board of Trustees Nominating Committee meeting minutes. Ms. Debbie Johnson seconded the motion.</p>
<p>VI. Consent Agenda</p>	<p>Following review of the consent items, the Chair, Mr. Michael Olguin requested a motion to approve all consent items.</p>	<p>Dr. Warren Laskey motioned to approve the consent agenda. Ms. Michelle Coons seconded the motion. The motion passed unanimously.</p>
<p>VII. Board Initiatives</p>	<p>Mr. Steve McKernan introduced newly appointed board member, Mr. Jerry McDowell. The Board of Trustees welcomed Mr. McDowell.</p> <p>Mr. Steve McKernan presented changes to the Health System organizational chart, which is in the book.</p> <p>Mr. Steve McKernan presented the nomination of Dr. Erich Marchand, a pediatric neurosurgeon, as a medical provider, to the UNM Carrie Tingley Hospital.</p>	<p>Mr. William Lang motioned to approve the nomination. Ms. Debbie Johnson seconded the motion. The motion passed unanimously.</p>

Agenda Item	Subject/Discussion	Action/Responsible Person
	<p>Ms. Karen Ellingboe provided a presentation on Patient Centered Medical Home model of care for primary care. Presentation attached.</p> <p>Mr. Rodney McNease provided a presentation on Health Care Reform and how it related to the uninsured. Dr. Roth commented on the presentation and noted the hospital will be challenged to manage the population of people who choose not to enroll in the new healthcare programs, which will be very expensive. The group not covered, but not eligible for Medicaid will be about 10,000 people. Presentation attached.</p>	
VIII. Administrative Reports	<p>HSC Chancellor Report – Dr. Paul Roth noted that the Regents recent met in a special meeting and endorsed the Health System to engage with other affiliations to allow expansion in areas of research, operations, and maintenance in other organizations to become a statewide resource. As it relates to Rehoboth, this is what prompted the discussion. Discussions with Rehoboth are through UNM, not UNM Hospitals.</p> <p>He further commented that the new changes to reimbursements may put the State of New Mexico into crisis. May hospitals in various counties may be forced to cut their services or close their doors entirely, which may increase the UNMH patient load greatly.</p> <p>CEO Report – Mr. Stephen McKernan noted the CEO Report is in the book. Ms. Jane Wishner requested comment on the public input by the Union Reps. Mr. McKernan stated we are currently in negotiations with the union. Union contract language dictates discussions related to negotiations should take place only at the table, not in other venues, and respectfully declined further comment at this time, based on contract language.</p>	<p>Dr. Paul Roth, Chancellor for Health Sciences</p> <p>Mr. Stephen McKernan, CEO UNM Hospital</p>
IX. Updates	<p>Financial Report Card –The financial dashboard was presented by Ms. Ella Watt.</p>	Ms. Ella Watt
X. Committee Reports	<p>Performance Oversight & Community Engagement Committee The Performance Oversight & Community Engagement Committee met on August 22, 2013 for credentialing purposes only.</p> <p>Finance and Audit Committee The Finance and Audit Committee report is in the Board book. Ms. Michelle Coons stated that in discussion at the Finance Committee meeting, the consensus of the Board members was that recurring operations costs, including wage increases, cannot be linked to non-recurring income.</p>	<p>Mr. William Lang</p> <p>Ms. Michelle Coons</p>

Agenda Item	Subject/Discussion	Action/Responsible Person
XI. Other Business	None	
XII. Closed Session	At 11:16 am, Mr. Michael Olguin, Chair, requested a motion to close the open session of the meeting to the public for purposes of discussion and determination, where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA.	Ms. Jane Wishner motioned to move to closed session. Dr. Warren Laskey seconded the motion. The motion passed unanimously.
XIII. Certification	After discussion and determination where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA, the Board certified that no other items were discussed, nor were actions taken.	
XIV. Vote to Re-Open meeting	At 12:30pm, the Chair, Michael Olguin, returned the meeting to open session and requested the Minutes of this meeting to reflect certification that only those categories of matters identified in item X of the duly published Agenda for this meeting were discussed and/or determined in Closed Session. Mr. Michael Olguin asked for a Motion to be made that the Board accept the Minutes of the meeting of those Committees that were presented in Closed Session to acknowledge for the record that those minutes were, in fact, presented to, reviewed, and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the Minutes of those Committees meetings, as well as the action on the 401a Deferred Compensation Funding.	
XV. Adjournment	The next scheduled Board meeting will be September 27, 2013, @ 9:00 am. There being no further business, Mr. Michael Olguin adjourned the meeting at 12:34pm.	

Michelle Coons, Secretary
UNM Hospital Board of Trustees

Regular Meeting of the Board of Directors
Minutes
July 10, 2013
10:00 a.m. – 12:00 p.m.

DIRECTORS PRESENT: Michael Richards, Chair
Pope Moseley, M.D.
Loretta Cordova De Ortega, M.D.
John Russell, M.D.
Carolyn Voss, M.D.
Cheryl Willman, M.D.
Martha McGrew, M.D.
Tom Williams, M.D.
Robb McLean, M.D.
Bob Avery, M.D.

DIRECTORS ABSENT: Chris Pacheco

OFFICERS PRESENT: Anthony Masciotra, CEO
Robert Fritch, D.O., CMO
Frederick Rupp, M.D., Secretary
Marjorie Goldstein, CFO

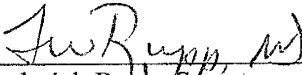
OFFICERS ABSENT:

OTHERS PRESENT: Ari Vazquez
Robert McMullen
Rosella Villalobos


1.0	Called To Order and Confirmation of Quorum	
	A quorum being established, Chairman Michael Richards called the meeting to order at 10:10 a.m.	
2.0	Vote to Approve the Minutes of June 05, 2013 Meeting	
	Chairman, Michael Richards called for a motion to approve the minutes from the June 05, 2013 Board of Directors meeting, as submitted.	Upon a motion and a second, the minutes were approved by the Board members.
3.0	Public Comment	
	None	
4.0	Report from the Finance Committee	
4.1	Acceptance of the June 26, 2013 FC meeting minutes. Dr. Moseley called for a motion to accept the FC meeting minutes as presented.	Upon a motion and a second, the FC meeting minutes were approved by the Board members.
4.2	<u>Meaningful Use Discussion:</u> Dr. Willman commented concerns around the Meaningful Use discussion held at the June 26 th Finance Committee meeting. Concern is that a request was made six-months ago from the Cancer Center for MU funds to cover IT support costs. Following a brief discussion, Dr. Richards reminded the Board that we have a policy in place approved by both the Finance Committee and the Board. Dr. Richards made the following recommendations: 1. Dr. Moseley to convene a workgroup to review and/or revise the MU Policy. 2. Present the MU Policy at the next Committee of Chairs meeting for discussion. 3. Use the entire July 24 th Finance Committee meeting to discuss & make decisions on Meaningful Use dollars. 4. Bring MU discussion to Sept. 4 th UNMMG Board of Directors meeting for finalization.	
4.3	<u>May 2013 Financials</u> - Marjorie Goldstein reported on the Financial Highlights: <ul style="list-style-type: none"> • WRVU's – almost 12% higher than prior year; 3.4% is the increase for just the core group. • Overall collections – were \$11.9M for the month of May. The Collections Trend Graph was reviewed to show comparison to prior years. A request was made to show this graph as an “apples-to-apples” graph. • SRMC collections – highest month in May thus far. • Purchased services per wRVU for core – slightly lower than compared to prior year. Financial Indicators: Accounts Receivable – declines in Days in A/R. SRMC collections – continual growth Other data/graphs reviewed were: SRMC wRVUs & Collections, Statement of Revenues, expenses & changes in net assets, Schedule of reserves and Physician support at SRMC.	

5.0	Report from the Executive Committee	
	<p>Anthony Masciotra gave a report of activities by the Executive Committee:</p> <ul style="list-style-type: none"> ◦ Lawson general ledger discussion – <i>Marjorie Goldstein provided detailed information:</i> <ul style="list-style-type: none"> ◦ Lawson (now Infor) is a leading Enterprise Resource Planning software company. The software was implemented by UNMH in Jan, 2012; SRMC started their implementation in July, 2012. ◦ UNMMG go-live date is Jan., 2014. ◦ Does not replace GE/IDX; key applications involve finance, HR and supply chain. ◦ Strata will be used for the budget module. ◦ Cost, recurring cost and total cost were reviewed. ◦ Recruitment and hiring of a Director of Communications. Plans are to hire under UNMMG, but share across HSC. ◦ Approval was made by the Executive Committee to approve the Lawson software and hiring of a Director of Communications. 	<p>Upon a motion and a second, the EC meeting minutes were approved by the Board members.</p>
6.0	Contracting Update	
	<p>A proper introduction was made of Robert McMullen; Robert replaced Kathleen Christ as the AVP for Office of Clinical Contract Services.</p> <p>Robert McMullen gave an update of activities & transitions in OCCS (<i>handout</i>):</p> <ul style="list-style-type: none"> - Pamela Stanley was hired as Director of Managed Care Contracting. - Contracting with Centennial Care includes BH and Health Insurance Exchange plans. - BCBS and Presbyterian contracts have been re-negotiated. <p>Payor contracting highlights were reviewed.</p> <p>Provider Contracts Summary – lists Provider Service Contracting agreements.</p> <p>Health Insurance Exchange (HIX) Silver Preliminary Premiums – Robert gave a brief explanation of the table presented.</p>	
7.0	Chief Executive Officer Report	
	<p>Anthony Masciotra presented the CEO report.</p> <ul style="list-style-type: none"> ◦ A presentation was made to HSC Regents on Healthcare Reform. ◦ Planning with UNMH regarding the implementation of Siemens billing system. ◦ Planning for Lawson General Ledger. ◦ Planning for Strata budget system. ◦ Continued implementation of the Dental EMR. ◦ Internal Audit Report that was present to the Board of Regents – positive feedback from the Regents. <p>Dr. Richards suggested to present MU policy and scorecard at next UNMMG Board meeting.</p>	
8.0	Chief Medical Officer Report	
	<p>Robert Fritch, C.O. presented the CMO report – Annual Quality Report:</p> <ul style="list-style-type: none"> - Patient Satisfaction Measures ranked very high. - Access Rate - rated well. - 3rd Availability – steady trend; calculation issues for Truman Health Services - Bump Rate – Provider initiated changes. - No Show Rate – high for CRH and Truman Health Services - Patient Cancellation Rate – high for CFL and Truman Health Services - Hand Hygiene – working progress - PSN Graphs – were reviewed - 2014 Goals – list was reviewed. 	<p>Upon a motion and a second, the Annual Quality Report was approved by the Board members.</p>
9.0	Physician Advisory Group Report	
	<p>Dr. Robb McLean gave a report on the PAG activities:</p> <ul style="list-style-type: none"> - Dr. Pitcher gave a presentation on the Inpatient Value Stream. <p>Items to be presented at future PAG meetings are on Funds Flow, Academic Affairs on hiring process and Ambulatory Practices.</p>	

10.0	Executive Session	
	Chairman Richards called for a motion to close the Open Session and convene in Executive Session.	It was moved and properly seconded to move the mtg into the Executive Session. Motion carried.
11.0	Vote to Reconvene in Open Session	
	Chairman Richards called for a motion to reconvene the Open Session of the Board meeting. Only those matters described in section 10.0 were discussed in Executive Session. Approval of Board Meeting Minutes – Executive Sessions (<i>Michael Richards, M.D.</i>) <ul style="list-style-type: none"> • June 05, 2013. 	It was moved and properly seconded to reconvene in Open Session of the Board meeting. Motion carried.
12.0	Other Business	
	None.	
13.0	Adjournment/Next Meeting	
	Chairman, Michael Richards called for a motion to adjourn the meeting, seconded; unanimously approved. Meeting was adjourned at 11:30 a.m. Next Board meeting will be held on September 04, 2013 at 10:00 a.m. - 12:00 p.m. at Domenici Center-Room 3010.	



 Dr. Frederick Rupp, Secretary



 Rosella Villalobos, Minute Taker

SRMC Board of Directors Meeting Minutes

August 21, 2013

8:30 a.m.-10:30 a.m.

UNM Sandoval Regional Medical Center, 4th Floor Board Room

Teleconference 1-888-557-8511 Passcode 2502228#

Rio Rancho, New Mexico

1.0	CALL TO ORDER AND CONFIRMATION OF QUORUM	
	Dr. Paul Roth called the meeting to order and a quorum was confirmed at 8:32 a.m.	Accept as information
2.0	VOTE TO APPROVE THE AGENDA	
	Dr. Paul Roth presented the Agenda to the Board for review	Upon a motion and a second, the Board voted to approve the agenda. Motion passed unanimously
3.0	VOTE TO APPROVE THE MINUTES OF JULY 17, 2013 MEETING	
	Dr. Paul Roth presented the Minutes to the Board for approval	Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously
4.0	COMMENTS FROM DIRECTORS	
	None	
5.0	PUBLIC COMMENT	
	None	
6.0	OLD BUSINESS	
	None to report	
7.0	REPORT FROM SRMC COMMITTEES	
7.1	Mr. McKernan gave a verbal update on the August 13, 2013 Finance Committee meeting and stated that the Committee discussed establishing a depository relationship with Bank of America and recommends the same to the Board. Mr. McKernan presented the minutes of July 16, 2013 for acceptance by the Board.	Upon a motion and a second, the Board voted to approve and establish a depository relationship with Bank of America. Upon a motion and a second, the Board voted to accept the minutes. Motion passed unanimously
8.0	CEO's REPORT	
8.1	<u>Acknowledgement</u> Mr. Rogols announced that UNM Sandoval Regional Medical Center has been identified as a recipient of The Guardian of Excellence Award for our Inpatient patient experience. This honor is bestowed annually on Press Ganey client organizations that have reached or exceeded the 95th percentile rank for a full year of reporting data. Our Inpatient	Accept as information

	<p>performance stands out among hospitals nationwide. The Board congratulated the hospital staff on this award.</p> <p>Mr. Rogols congratulated the UNM SRMC Team.</p>	
<p>8.2</p>	<p><u><i>DNV Survey</i></u></p> <p>Mr. Rogols report that SRMC successfully completed the annual DNV accreditation survey and stated that most of the deficiencies were documentation related. DNV surveyors were very complimentary of SRMC staff and processes for only being open for one year. The Board commended Mr. Rogols and the SRMC team for a job well done.</p>	<p>Accept as information</p>
<p>8.3</p>	<p><u><i>Recovery Plan Dashboard</i></u></p> <p>Mr. Rogols reviewed the progress that was made in regards to the Recovery Plan.</p> <ul style="list-style-type: none"> • Total case mix index increased in the month of July. • July activity levels were very strong. Admission's, procedures and census all increased from prior months. • Surgical cases (excluding GI) increased 29.1% in the month of July. • Arbitration with Lovelace Health Plan continues. • The Indian Health Service contract is complete. <ul style="list-style-type: none"> ○ Jemez, Isleta, Kewa and Sandia Pueblos' agreements are complete. • The recruitment of the Director of Communication is on hold pending improvement of cash flow. <ul style="list-style-type: none"> ○ Specialist, Leslie Payne starts September 3, 2013. • The SRMC Executive Team met and identified twenty-five 2014 FTEs that will be placed on hold until we have a measure of how the fiscal year starts. 	<p>Accept as information</p>
<p>8.4</p>	<p><u><i>Operational Summary</i></u></p> <p>Mr. Rogols stated that during the month of July, SRMC placed a Case Management Nurse in the UNMH Emergency department on a daily basis. The role of the individual is to work with UNMH ED staff and physicians to identify patients who could benefit from transferring to SRMC for care. The process of patient identification was very successful. As such, for the first time since opening, the number of referrals from UNMH exceeded the number referrals to UNMH.</p> <p>Upon review of clinic utilization, by specialty, all specialties, excluding Family Medicine, demonstrate increasing utilization trends for the month.</p> <p>Mr. Rogols reported that all areas of Patient Satisfaction continue to perform very well and expressed that SRMC continues to provide a high level of service.</p>	<p>Accept as information</p>

<p>8.5</p>	<p><u>Board Comments/Questions</u></p> <p>Ms. Charlotte Garcia asked for an explanation of the many providers granted privileges by the Board in regards to the clinics not being fully staffed. Mr. Rogols explained the difference between full and part-time physicians and the various reasons providers request privileges. Mr. Rogols also reiterated his recommendation that SRMC transition, over time, to a more full time medical staff expressing the benefits to SRMC and the Sandoval community of such a transition.</p> <p>Dr. Roth expressed concern about the consistent decline in the family medicine clinic activity and asked for the current plan of action that is being taken to correct this trend. Dr. Ogburn will bring that plan back to the Board for discussion.</p> <p>Ms. Charlotte Garcia suggests creating a more family friendly environment throughout the hospital. As such, Dr. Voss reminded the Board that SRMC currently does not offer children’s services and we, as a whole, need to be very clear on the message that is being sent to the community.</p> <p>Dr. Roth is asking the HSC Marketing group to bring back a plan for SRMC Communication and Marketing.</p>	<p>Accept as information</p> <p>Dr. Ogburn will bring back to the Board a plan to improve family medicine utilization.</p> <p>Mr. Billy Sparks and Ms. Melissa Romine will present a tentative communication plan for SRMC at the next Board meeting.</p>
<p>9.0 FINANCIAL REPORT</p>		
<p>9.1</p>	<p>Ms. Ella Watt reviewed and explained the FY 2013 Year End Financial Statements in great detail.</p> <p>Following post-closing entries made to the June financials in preparation for the audit, UNM SRMC experienced a loss of \$15,092MM.</p> <p>Ms. Watt reviewed the reasons for many of the hospital financial statement changes made throughout the past few weeks including the delay in the hospital’s ability to bill for Medicare and Medicaid, even though SRMC received deemed status on August 17, 2012.</p> <p>Ms. Watt noted that while prior financial statements included a monthly trending of cash balances, the prior month’s “days cash on hand metric” was incorrectly calculated at 134 days. As of June 30, 2013, UNM SRMC has a cash balance of \$9MM which is equal to 42.9 days cash on hand. Ms. Watt added that 180 days cash on hand is what a Community Hospital would typically like to have.</p> <p>Dr. Roth explained that while he was disappointed with SRMC’s financial position, he stated that the SRMC Feasibility Study assumed some delay in receivables and cash collection, but not to the extent in</p>	<p>Accept as information</p>

	<p>which UNM SRMC experienced. Mr. McKernan noted that cash from patient activity typically starts coming in within 3 months after the delivery of service. In the case of UNM SRMC this didn't happen until 10 months after commencement of service due to significant delays in Medicare and Medicaid provider inclusion following deemed status survey. Dr. Roth feels like this is the major reason UNM SRMC is running into these financial difficulties.</p> <p>The Board appreciated Ms. Watt sharing the direct method of reporting cash and suggested using this method from date forward.</p>	
10.0	CLOSED SESSION	
10.1	<p>Motion was made to close the Open Session and convene in Closed Session; the motion was seconded and passed unanimously.</p> <p>Dr. Paul Roth presented the Closed Session Agenda to the Board for review</p>	<p>Upon a motion and a second, the Board voted to approve the agenda. Motion passed unanimously.</p>
11.0	RECONVENE IN OPEN SESSION	
11.1	<p>Certification that those matters discussed in Closed Session and issuance of final action of such items including:</p> <p>Motion that the Board approve the Resolution for Interim CFO.</p>	<p>Upon a motion and a second, the Board voted to approve the Resolution of Interim CFO to Ms. Ella Watt. Motion passed unanimously.</p>
11.2	<p>Motion that the Board accept the Minutes of the meeting of the Committees that were presented in Closed Session and to acknowledge for the record that those minutes were, in fact, presented to, reviewed and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the Minutes of those Committee meetings.</p> <p>Motion to authorize and approve the Corporation entering into one or more Master Services Agreements with the UNM Health System to acquire the services described in the financial reorganization and to authorize the Corporation to perform such Master Services Agreements in accordance with their terms.</p>	<p>Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously.</p> <p>Upon a motion and a second, the Board voted to approve the financial reorganization. Motion passed unanimously.</p>

	<p>Dr. Roth asked the Board to approve the list of Providers requesting medical staff membership and privileges identified and discussed in Closed Session including:</p> <ul style="list-style-type: none"> • Foster, Gregory AA-C Hosp. Based/Anesthesiology UNMH • Yen, Tony MD Hosp. Based/Anesthesiology UNMH • Alcock, John MD Hosp. Based/Emer. Med. UNMH • Baca, Justin MD Hosp. Based/Emer. Med. UNMH • Bodily, Jerry MD Hosp. Based/Emer. Med. UNMH • Fleeger, Melissa MD Hosp. Based/Emer. Med. UNMH • Hodnick, Ryan DO Hosp. Based/Emer. Med. UNMH • Dresden, George CNP Hosp. Based/Hospitalist UNMH • McFadden, Toni, CNP Hosp. Based/Hospitalist ABQHP • Bellows, Charles MD Surgery/General UNMH • Medrano, Jonett FA Surgery/Allied Health ABQHP • Demas, Christopher MD Surgery/Plastic UNMH • Boncher, Nicholas MD Surgery/Urology UNMH • Rana, Muhammad MD Surgery/Vascular UNMH • McGlasson, Nolan PAC Medicine/Cardiology CCC • Shaffi, Saeed MD Medicine/Nephrology UNMH • Rohrscheib, Mark MD Medicine/Nephrology UNMH • Malkoff, Marc MD Medicine/Neurology/CC UNMH • Ramirez-Moya, Lorerky Del Milagro MD Medicine/Psychiatry UNMH • Jeffries, Joyce DDS Surgery/Oral & Maxillofacial <p>Resignation</p> <ul style="list-style-type: none"> • Doezema, David MD Hosp. Based/Emergency Med. Retired • Flores, Rolando CNP Medicine/Family Medicine Opened new practice • Gonzales, David V. MD Medicine New Position • McFadden, Brook MD Surgery/GYN Moved out of state • McGlasson, Nolan PAC Medicine/Allied Health Change of group affiliation <p>Motion was made to approve general ratification of items in closed session, second; unanimously approved.</p>	<p>Motion was made and seconded to approve the list of Providers for membership and privileges. Motion passed unanimously.</p> <p>Accept as information</p>
<p>12.0</p>	<p>ADJOURNMENT/NEXT MEETING</p>	
	<p>Motion was made to adjourn the meeting, second; unanimously approved. Meeting adjourned at 11:07 a.m.</p> <p>The next meeting will be held on September 18, 2013 at 8:30-10:30 a.m. at UNM SRMC, Board Room // Teleconference at 1-888-557-8511 Passcode 2502228#</p>	

MEMBERS/GUESTS IN ATTENDANCE

Board Members Present:

Dr. Paul Roth, Chair
Mr. Steve McKernan
Dr. Carolyn Voss
Dr. Brad Cushnyr
Ms. Charlotte Garcia
Mr. Manu Rainbird

Staff Members Present:

Mr. Kevin Rogols
Ms. Ella Watt
Mrs. Erica Hamilton
Mrs. Correen Bales
Dr. Tony Ogburn

Board members Absent:

Dr. Thomas Williams
Dr. Martha McGrew

Guests Present:

Ms. Elsa Kircher Cole
Ms. Rosalyn Nguyen
Mr. Bob Anthony
Dr. Michael Richards
Mr. Scot Sauder
Ms. Melissa Romine
Mrs. Rose Cristobal

**The Office of the Medical Investigator
State of New Mexico**

June 25, 2013

Board Meeting Minutes

PRESENT:

Paul Roth, M.D.	Chancellor for HSC; Dean of SOM, Board Chairman
Arthur Allison	Cabinet Secretary, New Mexico Department of Indian Affairs
Retta Ward	Cabinet Secretary, New Mexico Department of Health
Robert Shilling	Chief, New Mexico State Police
Sam Baca (Telephonically)	Chairman of the Board of Thanatopractice/Board of Funeral Services

ABSENT:

None

GUESTS:

Ross Zumwalt, M.D.	Chief Medical Investigator, OMI
Kerri Ashburn	Unit Administrator, OMI
Amy Boule	Director of Operations, OMI
Michelle Aurelius, M.D.	Assistant Chief Medical Investigator, OMI
Evan Matshes	Forensic Pathologist, OMI
Sam Andrews	Forensic Pathologist, OMI
Hannah Kastenbaum	Forensic Pathologist, OMI
Sharon Pruitt	Accountant, OMI
Yvonne Villalobos	Senior Operations Manager, OMI
Rebecca Romans	Supervisor of Technical Services, OMI
Cecilia Wu	Pathology Fellow, OMI
Benjamin Soriano	Pathology Fellow, OMI
Katherine Callahan	Pathology Fellow, OMI
Stephen Adams	Morphology Technician, OMI
Lori Proe, D.O.	Forensic Pathologist, OMI
Scot Sauder	Senior Associate, University Counsel Office
Warren Rushing	New Mexico Donor Services

Minutes taken by: Kerri Ashburn, Unit Administrator, OMI

1. Call to Order and Confirmation of a Quorum: Dr. Paul Roth
 - a. Meeting was called to order at 3:04 pm.
2. Adoption of the Agenda: Dr. Paul Roth
 - a. Mr. Baca motioned the adoption of the agenda and Dr. Zumwalt seconded. The Board adopted the agenda.

3. Approval of the Minutes from the March 26, 2012 Meeting: Dr. Paul Roth
 - a. Mr. Baca motioned for the approval of the minutes and Mr. Allison seconded. The minutes were approved unanimously.
4. Chief Medical Investigator Administrative Report: Dr. Ross Zumwalt
 - a. Dr. Zumwalt indicated that the OMI is projecting a budget deficit for this year, as was discussed at the prior board meeting. OMI is having a difficult time keeping up with the budget for service contracts and utilities. The autopsy case load has increased 11% over the last six months; it was noted that a lot of these cases are prescription drug overdoses. Although OMI is fully staffed with faculty, it has seen increased supply, investigator and transportation costs. It is also estimated that the next year's budget will also be tight. OMI is working to keep the toxicology costs down by utilizing the State Lab, and has seen a decrease in turn-around time as a result. OMI also is working to keep costs down by not filling the vacant anthropology position, and by cutting back on IT costs. There has also been an increase in revenues in working with consult cases. Additionally, Dr. Zumwalt thanked Dr. Roth and the School of Medicine for assisting with costs related to research as CT Tech costs and the research assistant position salaries were picked up by School. OMI appreciates the support that Dr. Roth and the School of Medicine have given in making the OMI a top priority for additional funding during the next legislative session.
 - b. On June 18, Taos pueblo presented to OMI staff and faculty on "After Death Care and Culture in the Taos Pueblo Community." Several individuals from Taos Pueblo including a Public Health Nurse, a Community Health Representative, a Tribal Councilman, and two Pueblo Sheriffs attended the presentation. There was standing room only at the presentation, with over 37 from the OMI present. OMI learned how to prepare decedents for release to pueblo representatives following autopsies, including the removal of medical paraphernalia and keeping in line with traditional practices for burial. OMI hopes to have other pueblos give similar presentations, and will work with Secretary Allison to include and invite other tribes. OMI also has the capability to utilize new imaging techniques to avoid autopsies on selected Native American individuals, when appropriate.
5. Public Comment
 - a. There was no public comment.
6. Election of Officers
 - a. The statute requires that the Board elect Officers from its members. Mr. Sauder stated that the bylaws of the OMI Board of Directors are in the process of being finalized. The Board sought to nominate and elect a new Chair and Vice Chair.
 - i. Chief Shilling nominated Secretary Ward for Chair and Secretary Allison seconded. Mr. Baca moved to close the nomination and Secretary Allison seconded. All in favor, motion carried that Secretary Ward be the newly elected Chair.
 - ii. Chief Shilling nominated Dr. Roth for Vice Chair and Secretary Allison seconded. Mr. Baca moved to close the nomination. All in favor, motion carried that Dr. Roth be the newly elected Vice Chair.
7. Approval of Grievance Policy
 - a. The Board had been presented with a draft of the OMI grievance policy at a previous meeting. At that time, OMI was asked to review further and create an online procedure and grievance policy. OMI has since done this, and a tool has been added to the OMI webpage so that individuals can log on to and express a grievance, compliment or other

concern. OMI has noted that there have already been several inquiries, but no real complaints. Dr. Aurelius provided the Board with a brief overview and demonstration of the page. Once the form has been filled out and is submitted, the information is provided to Amy Boule and Dr. Aurelius for further investigation. Dr. Aurelius thanked Chief Shilling for providing the template for OMI to use. Chief Shilling moved to approve the policy and was seconded by Secretary Allison. All were in favor; the motion carries to adopt the new grievance policy.

8. Saving Lives Through Donor Services Presentation

- a. Dr. Hannah Kastenbaum and Warren Rushing were introduced and provided a presentation regarding the Donor Services Program. The OMI has had a positive and long-standing relationship with New Mexico Donor Services (NMDS). The total number of tissue donors has increased recently and autopsy donors are relatively stable. NMDS has facilitated the transplant of allografts in New Mexico over the last three years and is continually growing the program. The vast majority of donors are pre-autopsy release on consented donors. NMDS and Lions Eye Bank will be coming to educate the new fellows that will be coming to OMI next week. OMI is honored to work with NMDS for uncompromising quality and genuine care for the community by harvesting bone, soft tissues, organs, skin, eyes, heart valves, veins, and connective tissues. NMDS is also working to coordinate with beating heart donors as well.

9. DIRT Presentation

- a. Dr. Evan Matshes was introduced and provided an introduction to the new death investigation reporting tool (DIRT). DIRT is a web-based, database oriented system which is used to collect information from every death scene in the state and it provides oversight for every step of death investigation. Training has been done with the investigators to ensure that they are prepared for go-live. Any time a body is reported to OMI, a new record will be generated and cases are accessioned in a uniform format. Data is then collected and dumped into archive using 18 unique modules. Once the elements are completed, a report will be generated that will provide a summary and diagnosis regarding the death in common language. Additionally, DIRT offers a way for the doctors to prioritize and expedite cases and promote better service.

10. Dates for next Board Meeting

- a. The Board discussed the dates for the next two meetings. September 24, 2013 and December 17, 2013 were proposed. Secretary Allison noted that he will be unable to attend the September 24th meeting. Dr. Roth moved to accept the two dates and Chief Shilling seconded. All were in favor; the motion carried.

11. Vote to Close for Executive Session

- a. The Board determined that there were not any topics for closed session.

12. Other Discussion

13. Chief Shilling indicated that he had recently received letters from a community activist regarding mandatory toxicology for individuals who have died publicly. Dr. Zumwalt stated that when OMI is involved in a case, the assigned pathologist determines what toxicology testing is required depending on the type of case and the information needed to certify the cause and manner of death, as well as what's required to meet public health standards while conforming to budgetary constraints. OMI does not have the resources or capability to perform complete toxicology testing on each decedent. It was discussed that grant funding is relatively grim at this point, and OMI would need approximately \$200K to perform complete toxicology testing with the appropriate turn-around time of 10 days.

14. Motion to Adjourn

- a. Secretary Allison moved to adjourn the meeting and Mr. Baca seconded. All were in favor, the motion carried. The meeting adjourned at 3:50 pm.



THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER

UNM HOSPITALS

CARRIE TINGLEY HOSPITAL ADVISORY BOARD

Meeting Minutes

July 22, 2013

CTH Outpatient Board Room – 1st floor

Agenda/Subject #	Discussion				Status	Due Date	Responsible Party
Attendance 7/22/13	CTH Advisory Board: Sarah Nelson-Howse, Neall Doren, David Schifani, Frederick Sherman, MD, Lisa Rossignol, Dana Allen, Nancy Greger, MD, Michael Shannon, Phil Prevender and Heidi Overton. UH: Doris Tinagero, Maribeth Thornton, Dale Hoekstra, MD, Edward Rose, MD, and Denise Taylor, MD.				N/A		
I. Call to Order	The meeting was called to order by Sarah Nelson-Howse at 4:10 pm.						
II. Approval of the May 20, 2013 Minutes.	David Schifani made a motion to approve the May 20, 2013 meeting minutes; Dana Allen seconded the motion, no opposition, vote was unanimous.				May 20, 2013 meeting minutes were approved as written.		
III. Public Input	No public input.						
IV. Reports A. Finance: Year to Date through October 2012		YTD	YTD Budget	Prior YTD	Ella will attend the September meeting. Advisory Board members asked her to review a legislative update and to break down how the 5.5 million dollars is spent (funds given to CTH by the state)		
	Orthopaedics	520	837	754			
	Rehabilitation	334	462	429			
	Newborns	494	363	371			
	Pediatrics	2,463	1,907	1,917			
	Average length of stay	5.60	6.68	4.85			
	Discharges	680	534	695			
	Outpatient Clinic Visits						
	2nd Floor Peds	6,267	6,447	6,571			
	Ortho	11,771	12,949	13,132			
1st Floor Peds	8,851	9,199	9,169				



	<table border="1"> <tr> <td>Outreach</td> <td>361</td> <td>365</td> <td>403</td> </tr> <tr> <td rowspan="2">Operations</td> <td>134 (I/P)</td> <td>199</td> <td>175</td> </tr> <tr> <td>233 (O/P)</td> <td>298</td> <td>322</td> </tr> <tr> <td>Implants</td> <td>306</td> <td>364</td> <td>331</td> </tr> </table>	Outreach	361	365	403	Operations	134 (I/P)	199	175	233 (O/P)	298	322	Implants	306	364	331						
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<p>B. Medical Director Peds Orthopaedic</p>	<p>Doris Tinagero gave an explanation as to what the up and down arrows meant as board members were not sure what this was. Maribeth indicated that the inpatient mix was not what was expected at the beginning of the year. Short discussion on "Affordable Healthcare Act". Advisory Board members were told that it should not affect CTH much.</p> <p>Dr. Hoekstra reported:</p> <ol style="list-style-type: none"> 1). September 2013, Dr. Antony and he will be attending a conference hosted by the Scoliosis Research Society. 2). Dr. Kallur and Dr. Silva will be going to the Shriners Hospital in Utah to receive training on the Riser Table which is utilized for early onset scoliosis. Once they have received the additional training they will be initiating a program at Carrie Tingley patients with early onset scoliosis. 3). Dr. Jason Wilson, Urologist, will be on a personal leave for 2-months, which means that there will be no Urologist for Spina Bifida Clinics. <p>Reviewed the cast room statistics with members.</p>				<p>Information. Continue to monitor clinics.</p>																	
<p>Dr. Rose</p>	<p>Dr. Rose reported that the transition program that has been addressed for the last 4-5 years is looking like the first transition clinic will be in the next month or two. Renal/Nephrology transition program has the man power, space etc. to proceed.</p> <p>Dr. Rose has been tasked recently to get the gastroenterology program back up and running since a couple gastroenterologist have left UNM. Physicals have left for varied reasons over the last few months. Dr. Rose is the only gastroenterologist left and his patient load has lessened over the last year since he now has more administrative duties. The clinic is using telemedicine, mid-</p>				<p>The Advisory Board asked for an update from Dr. Rose in September on:</p> <ol style="list-style-type: none"> 1). Renal/Nephrology transition program and update on physician recruitment for the pediatric specialty clinic(s) <p>Suggestion was made to get an Adhoc</p>																	



	<p>levels and fellows to keep the program open. A lengthy discussion ensued on why physicians are leaving and what can be done to replace them. Not only the gastroenterology program needs physicians, but the pediatric urology, nephrology, GI and endocrinology programs are in need of qualified pediatric physicians.</p>	<p>committee together to help recruit with Administrative support.</p>		
<p>Physical Medicine and Rehabilitation</p>	<p>Dr. Denise Taylor reported that a new pediatric neurologist, Dr. Parvin Azizi, will be coming to UNM. Her interest is in diversified specialty of behavioral and neurodevelopmental.</p>			
<p>C). Executive Directors</p>	<p>Doris and Maribeth reported on the Patient Satisfaction (Press Ganey) survey, outpatient, inpatient and medical practice. CTH is doing well.</p> <p>2). CTH outpatient facility update: The kitchen remodel was completed in June. The Elevator renovation will begin once all parts have been received.</p> <p>3). New Technology in Rehab Services. With the help from the CTHF, the therapy department has obtained Functional Electrical Stim (FES) cycle for legs. This equipment is used for patients with spinal cord injury, or other diagnoses that result in impaired lower extremity functioning. The RT300 delivers electrical stimulation to muscles, which in turn elicits a muscle contraction, allowing the patient to operate the cycling pedals. There is documented evidence that this form of exercise facilitates improved cardio-pulmonary function, develops lower extremity muscles, maintains skin integrity through improved circulation, thus helping to prevent skin breakdown; in some cases facilitates functional improvement in standing and ambulation.</p> <p>4). The CTHF set aside a small fund specifically for physician research. The research request submitted this year was requested by Dr. Szalay. The title is "The effect of vibration therapy on bone density in children with disabling conditions." The CTH Pediatric Orthopaedics has numerous research projects</p>	<p>Training on the equipment (RT300) will be completed this week.</p> <p>Mr. Schifani asked how far out is the first available specialty clinic. He would like to see a report on 1st, 2nd and 3rd available in the CTH specialty clinics. Doris will follow-up in September.</p>		



	<p>in all stages of completion. These projects are in line with the mission of the CTH Bone Health Center.</p>																		
<p>D). CTH Foundation</p>	<p>Phillip Prevender reported that the 19th Annual Mudd Volleyball was a success and raised over \$550,000. CTH Foundation has a float this year which helped with recognition. CTHF is working with a marketing firm to grow recognition as well.</p> <p>Sports camp was also a great success with increased attendance. CTHF is seeing more and more need for these types of programs.</p> <p>Festival of Trees is scheduled for December 5-8, 2013. The Foundation anticipates 55 trees, more wreaths and decorative displays for the home.</p> <table border="1" data-bbox="428 743 1102 1052"> <thead> <tr> <th>Area of Impact</th> <th># of Children Served YTD</th> <th>Amount Funded YTD</th> </tr> </thead> <tbody> <tr> <td>Support to Carrie Tingley Hospital</td> <td>780</td> <td>\$ 26,061</td> </tr> <tr> <td>Patient & Family Assistance</td> <td>97</td> <td>\$ 98,990</td> </tr> <tr> <td>Quality of Life Programs</td> <td>122</td> <td>\$ 29,496</td> </tr> <tr> <td>Totals</td> <td>999</td> <td>\$ 234,547</td> </tr> </tbody> </table> <p style="text-align: right; margin-right: 20px;"><i>annual request pledge payment</i></p>	Area of Impact	# of Children Served YTD	Amount Funded YTD	Support to Carrie Tingley Hospital	780	\$ 26,061	Patient & Family Assistance	97	\$ 98,990	Quality of Life Programs	122	\$ 29,496	Totals	999	\$ 234,547			
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<p>E). Chair</p>	<p><u>CTH Bylaws:</u> Sarah reported that Doris and Maribeth met with Mr. McKernan regarding the CTH Bylaws. Roselyn Ngyen, HSC Legal, worked on the draft that was submitted to Mr. McKernan, which he has the final approval. Roselyn indicated that the CTH Bylaws looked good other than some Regent policy changes and adapting the Open Meeting Act which needs to be compliant with the NM law.</p> <p>The Bylaws will be worked on through HSC Legal and Mr. McKernan's office. Once completed they will bring back to the</p>	<p>Questions on Bylaws to address</p> <ol style="list-style-type: none"> 1). Ex-officio member, do they vote or not? Is there clarification in new Bylaws? 2). Clarification on roles of the CTH Advisory Board, what does Parent Member, 																	



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	<p>CTH Advisory Group and will be moved through the process.</p> <p>In discussion, Lisa indicated that she would like to know what her role is as a parent member. What is expected of her. She wants to know that she is being useful to the board. How can she change things.</p>	<p>Community and Healthcare stand for? What are they expected to contribute? Members would like to see a draft review <u>before</u> the September 23rd meeting via email.</p>		
V. Old Business	N/A			
VI. New Business	N/A			
VII. Vote to Close	N/A			
VIII. Vote to Re-Open Session	N/A			
IX. Adjournment	<p><u>Action:</u> Motion was made and seconded to adjourn today's meeting. Vote was unanimous, no opposition</p>	<p>Meeting was adjourned at 5:50 pm</p>		

Respectfully Submitted by:

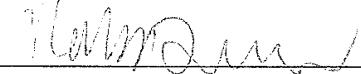


 Diane J. Romero, Administrative Assistant

APPROVED:



Chair



 Member