

The Board of Regents of the University of New Mexico
Meeting in Open Session and Executive Session
March 10, 2014, 9:00 a.m.
Student Union Building, Ballroom C / Executive Session-Luncheon in Cherry Silver Room
AGENDA

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- Randy Royster, President UNM Alumni Association (written report included)
- Carl Alongi, Chair, UNM Foundation Board of Trustees (written report included)
- Priscila Poliana, President, UNM GPSA
- Isaac Romero, President, ASUNM
- Richard Holder, President, UNM Faculty Senate
- Gene Henley, President, UNM Staff Council

Advisors not on the agenda to give comments:

- Angi Gonzales-Carver, President, UNM Parent Association
- Don Duszynski, President, UNM Retiree Association

VII. Public Comment (items not on agenda; limit 3 min. per speaker)

VIII. Vote to close the meeting and proceed in Executive Session

Executive Session-Cherry Silver Room

1. Discussion of purchases exceeding \$2,500 that can be made from only one source, and competitive sealed proposals solicited pursuant to the Procurement Code [13-1-28 NMSA 1978], pursuant to Section 10-15-1.H(6), NMSA (1978)

2. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)
3. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
4. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)

IX. Vote to re-open the meeting and certification that only those matters described in Agenda Item VIII. were discussed in Executive Session and if necessary, final action with regard to those matters will be taken in Open Session.

X. Vote to Adjourn

- XI. Addendum: Minutes approved at the HSC Board of Directors 3/07/14 Meeting..... 29
- a. HSC Board of Directors February 7, 2014 Meeting
 - b. UNM Hospitals Board of Trustees January 31, 2014 Meeting

Minutes of the Regular Meeting of the Board of Regents of the University of New Mexico
February 11, 2014
Student Union Building, Ballroom C

Members present

Jack L. Fortner, President
James H. Koch, Vice President
Bradley C. Hosmer, Secretary/Treasurer
J.E. Gene Gallegos
Conrad D. James
Heidi Overton
Suzanne Quillen

Administration present

Robert G. Frank, President
Chaouki Abdallah, Provost and EVP for Academic Affairs
David Harris, EVP for Administration and COO
Paul Roth, Chancellor for Health Sciences
Elsa Cole, University Counsel
Michael Dougher, VP Research
Jewel Washington, Interim VP HR
Eliseo Torres, VP Student Affairs
Josephine De Leon, VP for Equity and Inclusion
Paul Krebs, VP Athletics
Elizabeth Metzger, University Controller
Ava Lovell, Sr. Exec. Officer of Finance and Administration, HSC

Regents' Advisors present

Richard Holder, President, Faculty Senate
Priscila Poliana, President, GPSA
Isaac Romero, President, ASUNM

Presenters in attendance

Breda Bova, Special Assistant to the Dean of College of Education; Chair Naming Committee
Lisa Kuuttila, CEO & Chief Economic Development Officer of STC.UNM
Tom Neale, Interim Director of Real Estate
Kathy Sandoval-Snider, Director Albuquerque Institute for Math and Science

Others in attendance

Members of the administration, faculty, staff, students, the media and others.

CONFIRMATION OF PRIOR EXECUTIVE SESSION

The Board of Regents met in executive session at 7:45 am. on Tuesday, February 11, 2014, in the Cherry Silver Room of the SUB to discuss limited personnel matters, threatened litigation and the purchase of real property in accordance with Section 10-15-1H(2, 7, and 8) of the Open Meetings Act (NMSA). All of the Regents were present at the meeting and those present certify by approval of these minutes that the matters discussed in the closed meeting were limited only to those specified in the notice of the meeting.

CALL TO ORDER

Regent President Fortner called the meeting to order at 9:06 a.m., a quorum was confirmed and the agenda was unanimously approved.

APPROVAL OF MINUTES

The minutes of the December 6, 2013 special meeting, December 10, 2013 regular meeting and December 20, 2013 special meeting were all unanimously approved (1st Koch, 2nd Gallegos).

PRESIDENT'S ADMINISTRATIVE REPORT

President Frank provided an update to the Board and stated that spring semester headcount is down 1.5%; however, many colleges around the nation are down considerably more than that. Credit hours are flat which is good, and the President congratulated the Provost and his team on their efforts in recruitment and student success. Housing occupancy at 90%, this is up 10% from the same time last year, which is a measure of hard work and better internal management from the Institutional Support Services team who has done a great job. This is great for our students and the budget.

UNM currently has four Truman Scholar applicants and we will hear shortly if they make it to the next stage, Jake Wellman is a Marshall Scholar, and Iric Guthrie was a Rhodes Finalist; Carolyn Muriada, previous ASUNM President, will be studying abroad at Schezwan University; Gregory Montoya Mora, previous ASUNM Vice President got accepted into a PhD program at USC. UNM students continue to do fantastic things and the President is pleased with the efforts of the University. It is a great measure of the work of Academic Affairs. The recent trip to China was a success and will have great outcomes. It was a productive visit and President Frank believes that it will lead to great things for the University.

There was a wonderful turnout and a lot of participation at UNM Day at the Legislature. The Lobos for Legislation also had a successful reception with more Legislators attending than in previous years. The Legislative Session is going well and the budget is in great shape. The Government Affairs team has done a great job and President Frank congratulated them. There are several critical issues that UNM is interested in which include the funding formula and the Lottery Scholarship. The President, EVP David Harris, Provost Chaouki Abdallah, and many others are spending a lot of time at the Legislature in support of UNM. One piece of legislation that the University is particularly interested in is the Endowment Bill, which was passed last year but was vetoed by the Governor. There have been a lot of conversations with Governor Martinez in the interim and she is now supportive of it. What the Endowment Bill allows is for donor funds to the University to be matched by a portion of excess money from the State.

Innovate ABQ is making good progress and is on target with the timeline. The Mayor will also be making some announcements over the next weeks pertaining to Innovate ABQ and the efforts there. UNM's work in this area will lead to great growth for Albuquerque and all of New Mexico.

Last week in the Finance and Facilities (F&F) committee, the President was tasked to work with the student government leaders on the SFRB policy to align effort with influence. The President is currently working with the two student leaders on a policy recommendation to bring back to F&F for review so that the recommendations to the Regents and the work of the SFRB will be done in a matter that all stakeholders feel they are well represented.

Finally, this year graduation ceremonies will be done differently. Historically there has been one large ceremony with Undergraduate students, Masters Students, and Doctoral students. In May there will be two graduation ceremonies, one for PhD and Masters Students on Friday evening, and one for Undergraduates on Saturday morning and we would like Regents' at both ceremonies. There are two fantastic speakers for both ceremonies. Historically, an older person speaks about their life experiences. This year we are breaking the mold and having a younger person speak at the Undergraduate ceremony. His name is Lamek "Humble" Lukanga, and he graduated from UNM with both his undergraduate and master degrees; he has an incredible life story. He walked out of East Africa from a refugee camp and went to Colorado, and then he came to UNM. He currently works in Beverly Hills as a wealth consultant to some of the best athletes in America. For our Graduate ceremony, David Hovda will be the keynote speaker. Dr. Hovda has a PhD in Neuropsychology, is professor of Neurosurgery at UCLA and is a world renowned expert on brain injury. These speakers will bring to New Mexico roots and worldliness which will make for great ceremonies. UNM is doing great things at all levels and the students continue to amaze and impress President Frank and remind him that this is a great University.

COMMENTS FROM REGENTS (There were no comments from Regents)

PUBLIC COMMENT (specific to agenda items)

Michael Gomez, representing Sheet Metal Workers #49, expressed concern about the upcoming capital projects on the agenda. He said leaseback arrangements take fare wages from New Mexico workers because out-of-state general contractors can pay lower wages to workers and pocket the rest for themselves. The in-state workers receive half the wage in a leaseback scenario than they normally would if everything followed in-state wage regulations.

REGENTS COMMITTEE REPORTS (presentation materials included in BOR E-Book)

FINANCE AND FACILITIES COMMITTEE, Regent James H. Koch, Chair

1. Monthly Consolidated Financial Report and Fiscal Watch report.

Liz Metzger gave the presentation. The Monthly Consolidated Financial Report as of December 31, 2013, and the Fiscal Watch Report, which is a quarterly report required by the Higher Education Department (HED). Ms. Metzger discussed the differences between the two reports. The Monthly Consolidated Financial Report is a report on a semester basis and does not include the upcoming semester tuition and fees or activity. The Fiscal Watch Report includes everything posted to the financial system as of December 31 and does include the next semester tuition and fees from pre-registration. The Fiscal Watch Report also includes plant funds and does not include any hospital financial information or adjustments and accruals, unlike the Monthly Consolidated Report. The Fiscal Watch Report also includes an interim balance sheet and an interim cash flow report. The Fiscal Watch Report only needs approval from the Finance and Facilities committee. There were no red flags or anomalies in the Monthly Consolidated Report as of December 31, 2013. Ms. Metzger is working on establishing metrics for the monthly report and hopes to present those soon.

Consent Items – agenda items 2, 3, 5, and 6 (Finance and Facilities)

2. Disposition of Surplus Property for Main Campus on lists dated 12/31/2013 & 1/17/2014.
3. Approval of Projects, a) Architecture Selection for Chemistry Building Renovations (Clark Hall), b) Architecture Selection for Taos Campus Student Success Center Addition and Renovations, and c) Capital Project Approval for Zimmerman Combined Service Point Desk, Phase 2
5. Lobo Development Corporation Annual Meeting of the Member, a) Approval of meeting minutes from February 11, 2013 meeting, and b) approval of FY12-13 audit report.
6. Lobo Energy, Inc. Annual Meeting of the Member, a) approval of meeting minutes from February 11, 2013 meeting, and b) approval of FY12-13 audit report.

The motion to approve consent agenda items 2, 3, 5 & 6 passed unanimously with a quorum of Regents present and voting (1st Koch, 2nd Gallegos).

Action Items – agenda items 4, 7, and 8 (Finance and Facilities)

4. Request for approval: Naming of UNM School of Medicine Basic Medical Sciences Building to the Reginald Heber Fitz Hall.

Dr. Breda Bova, Chair of the Naming Committee, gave the presentation. Requesting Regents' approval for the naming of the Basic Medical Sciences Building (BMSB) to the Reginald Herber Fitz Hall. Dr. Reginald Fitz III was instrumental in the creation of the School of Medicine and was the first Dean. He hired the faculty and raised funds for the construction of the BMSB. Dr. Fitz accomplished

this in a very short time. The request falls under Regents' policy for "Extraordinary University Service."

The motion to approve the naming of the UNM School of Medicine Basic Medical Sciences Building to the Reginald Heber Fitz Hall passed unanimously with a quorum of Regents present and voting (1st Koch, 2nd Gallegos).

7. Approval of STC.UNM Annual Report and Audit Report.

Lisa Kuuttilla gave the presentation, requesting Regents' approval of the STC.UNM Annual Report to the Board of Regents and the Audit Report from KPMG. John Kennedy from KPMG attended the F&F meeting and stated that it was a clean audit opinion.

Ms. Kuuttilla highlighted the STC Board on which Regent Gallegos serves. A summary of the metrics was discussed. In FY2013 there were 138 new invention disclosures, 63 option and license agreements, and 9 startup companies. Patent expenses were kept at budget for those initiated by STC. Income received from licensees was slightly below the goal; however, the combined income of license income plus the patent reimbursements was on target. Flintbox is the platform STC uses to promote the technology availability, and it is a wonderful platform used by research institutions worldwide. The Rainforest Tools are how STC track the metrics: tool one is learn by doing, tool two is enhance diversity, tool three is celebrate role models and peer interactions, tool four is to build tribes of trust, and tool five is to create social feedback groups. Last year at the 2013 Innovation Award Ceremony there were a number of award recipients from the University, including faculty and students who received issued patents in the past year. There are more individuals receiving issued patents which are great role models for others to participate in commercialization activity. Three groups meet on a regular basis, the Checci Venture Lab Advisory Group, the Economic Development Advisory Group, and the UNM Economic Development Council. STC is very active using social media tools and has well over 500 followers on various platforms.

Once a year, STC analyzes data and revenue and creates a forecast at the end of the fiscal year. Patent applications were filed on 80% of received disclosures in FY13, which are inexpensive to file. In FY13, STC generated \$370,000 of new license income. STC is consistent with first time inventors at 30%. The types of income are included in the report including minimum royalties, patent reimbursements, earned royalties, new deals that are non-assertion. The effects of the recession were seen in previous fiscal years. The three-year forecast has been accurate in projecting the future income. They project that STC will be at the \$1.3 million or \$1.4 million run rate without some kind of big equity liquidation or some kind of assertion settlement. Patent reimbursement revenues are a very important indicator of the health of the program because this shows reimbursements from licensees under exclusive licenses for patent costs, which helps replenish the patent budget to file new disclosures. FY 13 was a record \$487,000 of reimbursements but it is important that they manage patent costs carefully. STC has been proactive in managing costs and negotiating better deals with law firms, etc. Running royalties are long term and will give STC a solid program.

The motion to approve the STC.UNM annual report and audit report passed unanimously with a quorum of Regents present and voting (1st Koch, 2nd James).

Ms. Kuuttilla gave an update on the timeline for the Innovate ABQ project and a number of activities underway specifically around Real Estate. Tom Neale addressed the voluntary remediation program sponsored through the State Environmental Department with the First Baptist Church and the Railroad involved, as being underway and scheduled for a public comment period in the next few days. The end result of the process is a covenant not to sue, which gives the University and Lobo Development Corporation (LDC) protection against any liability for any environmental contaminants that are the responsibility of the Railroad. An environmental agreement has been drafted between the LDC and the Railroad that further covers issues related to the development of the site that were unforeseen in the voluntary remediation program (VRP). In the event that

the site is excavated and contaminants are found, the agreement states that the Railroad will be responsible for covering the costs of remediating those contaminants and will set forth the process by which that occurs. The environmental counsel has drafted the document and it will be delivered to the Railroad this week and negotiations will begin in earnest on the details of that. It is estimated that the environmental agreement and voluntary remediation program will be completed by mid April. The findings will be presented to the Regents in April and these documents will be brought forth for review and approval prior to finalizing the closing on the property.

The voluntary remediation program includes a work plan that is approved by the environmental department that covers the mitigation of environmental contaminants. In the case of the First Baptist Church site, the diesel contaminants that have been identified have a process of natural attenuation, which means nothing is done and they dissipate. One thing the University has requested through the VRP process, as well as the indemnity agreement, is a soil vapor study. The consultants believe that this is a better method to determine the extent of environmental contamination, which is in process and will provide further assurances that there are no unidentified subsurface contaminants. The end result of the VRP is a covenant not to sue, which is the document that gives the University protection against liability. These documents will be provided to the Regents.

Ms. Kuuttila stated that all legal documents pertaining to Innovate ABQ are in process and there are a number of attorneys working on them including University Counsel, Randy McDonald, attorneys for the City, and soon the attorneys for the County. An MOU between the parties and the City is currently being drafted and will be presented next week. Another agreement between STC and the University is under preparation now, governing the roles and responsibilities and will come before the Board in March or April. Materials related to creating an identity and a brand for Innovate ABQ is in the works, and will include insight from all of the parties. An RFP has been developed and will go out to local marketing firms to assist in creating an identity and brand. The County Commissioners will be meeting to vote on the \$1 million that the County will fund towards the incubator building. Ms. Kuuttila discussed the master planning process. Perkins & Will and Dekker/Perich/Sabatini have been involved in weekly conference calls for the master planning process to work through preliminary issues to make the kickoff simultaneous with the closing on the property.

Provost Abdallah stated that Academic Affairs is poised to take a leadership role in the academic part of Innovate ABQ. A group of Deans, faculty and others are working on putting together a program that will have both teaching and research components.

In response to Regent inquiry, Ms. Kuuttila believes that there are a number of ways to engage businesses with Innovate ABQ and the Innovation Academy. The first goal is to do fundraising to engage and gain support for the Innovation Academy. Also important are opportunities for students through internships and competitions. A subcommittee has been set up through Academic Affairs, it will be chaired by Carol Parker and under the Provost's office direction.

- Regent Gallegos requested that the structuring of Innovate ABQ or restructuring of STC be presented to all of the Regents committees for a full vetting.

8.a. Endorsement of proposed budget and fees policy recommendations, a) option of guaranteed constant (flat) tuition and fees.

Regent Hosmer gave the presentation requesting Regents' endorsement for the option of guaranteed constant (flat) tuition and fees. This confirms the Regents interest in seeing this option come forward during the budget process. The concept is that any student coming to UNM would have the option to a guaranteed constant flat tuition and fee bill for the nominal period of their degree program. Such an option should provide that the constant tuition and fee amount is guaranteed for the nominal length of the courses for degree, for example a baccalaureate degree would be eight (8) semesters or four years. A surcharge may be required to receive the option. The guaranteed tuition and fee arrangement will be available for all UNM courses of study leading to a degree. If the student has not completed the degree in the nominal time, the tuition and fees charged for future classes will revert to the amount charged all other students. Built into this

is an incentive to complete a degree within the nominal requirements. Finally, both the student and UNM would sign a piece of paper that documents and records the commitments and reasonable expectations of each. It is also the student's commitment to a best effort to complete the degree in the nominal time.

The motion for Regents to endorse a budget recommendation to offer the option of a guaranteed constant (flat) tuition and fees passed unanimously with a quorum of Regents present and voting (1st Hosmer, 2nd Koch).

8.b. Endorsement of proposed budget and fees policy recommendations, b) development of a planning budget for the future.

Regent Hosmer gave the presentation requesting Regents' approval for the endorsement of the development of a planning budget for the future. As part of the development of the annual operating budget for each year, the administration should develop and propose a four-year planning budget. For budget presentations in the spring of 2014, the planning budget would address AY 2015-2016 through AY 2018-2019. The planning budget should be an expenditure budget only, without details but addressing the main budget areas, assuming either zero growth or nominal inflation only. In addition, at least one supplement to this budget should be shown, indicating where increased income would supplement the four-year plan. A planning budget of this type allows UNM to make clear its priorities for future improvements, critical tradeoffs, and the case to be made for additional income from any source. Regent Hosmer emphasized that this is expenditures only, it does not presume upon income, tuition increase, or changes of legislative initiatives. The planning budget deals with what the university can control and has certain knowledge of. It will be a useful device that allows for future planning and priorities to be built into the financial plan. The development of this budget and the presentation and not required to occur in the same timing as the operational budget, but it might be useful to present off-cycle as a preamble to the formal budget development.

Regent James stated that this multi-year planning budget will aid in planning for activities and projects that have non-linear spending and improve planning for the future.

The motion for Regents to endorse development of a planning budget for the future passed unanimously with a quorum of Regents present and voting (1st Hosmer, 2nd Koch).

- Regent Hosmer stated that there was a proposal regarding student fees that was taken off the action agenda. The proposal as it was written did nothing more than confirm the current policy describing what the practice should be for the presentation of the student fees as part of the UNM budget. It was brought up as a proposal to reinforce the conviction of many Regents that the current process is appropriate that the administration present the budget and the students should not play a direct role but present through the administration. Some of the issues involved were not captured by that proposal but involve some rough spots in the process of SFRB which President Frank is working with student leadership to resolve.
- President Frank stated a recommendation with the results of dialogue with student leadership will be brought to the next ASAR and F&F meeting within one month.
- Regent Overton stated that other committees such as the Tuition and Fee Policy committee and the Strategic Budget Leadership Team are involved in the budget development. Regent Overton would like to see that the budget development processes be allowed to proceed throughout the year, beginning in the summer, and with respect given to those decisions made early on in the committees.

Information Items – there were no information items (Finance and Facilities)

HEALTH SCIENCES BOARD, Regent Suzanne Quillen, Chair

Action Item – agenda items 1, 2, 3, 4, 5, and 6 (Health Sciences Board)

1. Request for approval of the nomination of Dr. Raymond Loretto to the UNM Hospital Board of Trustees.

Regent Suzanne Quillen gave the presentation. Requesting Regents' approval of the nomination of Dr. Raymond Loretto to the UNM Hospital Board of Trustees. The All Pueblo Council of Governors nominated him

to the UNMH Board and he is a member of the Pueblo of Jemez. His Curriculum Vitae and other activities are available in the BOR EBook.

The motion to approve the nomination of Dr. Raymond Loretto to the UNM Hospital Board of Trustees passed unanimously with a quorum of Regents present and voting (1st Quillen, 2nd Hosmer).

2. Resolution requesting approval of selection of architect for UNM Psychiatric Center HVAC, System.

Regent Suzanne Quillen gave the presentation. Requesting Regents' approval of the architects for design/planning of the UNM Psychiatric Center HVAC system. This is an upgrade that has several phases involved. Four architects responded to the RFP and Bridges & Paxton was selected based on their total evaluation score. The estimated cost for this phase is \$170,582 funded from UNM Hospital capital funds.

The motion to approve the selection of the architect for UNM Psychiatric Center HVAC, System passed unanimously with a quorum of Regents present and voting (1st Quillen, 2nd James).

3. Resolution requesting approval of capital project for UNMH North Fourth Street Clinic.

Ava Lovell gave the presentation. Requesting Regents' approval for the UNM Hospitals North Fourth Street Clinic project, which is in accordance with Regents' policy 7.12 as required by HED and NM SBOF. The project will be a clinic and UNMH has worked closely with the Near North Valley Neighborhood Association to ensure that the clinic will fit the needs of the community. The construction costs are projected to be \$3.6 million and the total project with the equipment is \$5.5 million funded out of the UNM Hospitals capital funds.

Motion to approve the capital project for UNMH North Fourth Street Clinic passed unanimously (1st James, 2nd Fortner).

4. Resolution requesting approval of capital project for UNMH Hospital Laboratory Renovation.

Ava Lovell gave the presentation. Requesting Regents' approval of a capital project for UNM Hospital Laboratory renovations. The RFP for the architect was previously approved by the Regents, which selected Gregory T. Hicks & Associates. This project is for the renovations of old laboratory areas to bring them up to date. The total estimated project budget is \$2.7 million funded from UNM Hospital capital funds. In response to Regent inquiry, these laboratories were cited by joint commission for code deficiencies, so it is important to do these updates to be in compliance.

Motion to approve the capital project for UNM Hospital Laboratory Renovation passed unanimously with a quorum of Regents present and voting (1st Quillen, 2nd James).

5. Resolution requesting approval of capital project for UNMH Main, Radiology Request, First Floor, Interventional Radiology CT Scanner Installation.

Ava Lovell gave the presentation. Requesting Regents' approval of a capital project for UNMH Main Radiology Interventional Radiology CT Scanning installation. This project involves modifying existing Interventional Radiology Computed Tomography (IR CT) room on the first floor of the Radiology Department. The RFP for the architect was previous approved by the Regents, which selected KGA Architects. The scope of the project includes renovating interior walls in the HVAC system to ensure a safe environment for patients in the Radiology area. The total project budget is estimated at \$965,000 funded out of UNM Hospital capital funds.

Motion to approve the capital project for UNM Hospital Main, Radiology Request, First Floor, Interventional Radiology CT Scanner Installation passed unanimously with a quorum of Regents present and voting (1st Quillen, 2nd James).

6. Resolution requesting approval of capital project for UNMH Fire Sprinkler All Floors.

Ava Lovell gave the presentation. Requesting Regents' approval for UNM Hospital Fire Sprinkler construction. This project will include program validation, planning and complete coverage of fire sprinklers at the Main Hospital. When the Hospital was built in 1960s, the codes did not require fire sprinklers to be installed in all areas. This project will make the Hospital compliant with current fire sprinkler codes. Ms. Lovell stated that the write up included in the book incorrectly labels this a request for architect selection but it is for capital project approval. The project is \$390,000 funded by UNM Hospital capital funds.

Motion to approve the capital project for UNMH Fire Sprinkler All Floors passed unanimously with a quorum of Regents present and voting (1st Quillen, 2nd James).

Information Item – agenda items 7, 8, and 9 (Health Sciences Board)

7. HSC Financial Update.

Ava Lovell gave the presentation. An HSC Financial Update was provided to the Board. This was also presented at the Finance & Facilities committee, which is presented semi-annually. This report discusses the overall health of the HSC. For Cash Flow from Operations HSC is at \$5 million favorable, UNMMG is at \$2 million favorable, UNMH is at \$17 million favorable, which is due to taking out depreciation. For a mature operation like UNMH, it is necessary to add depreciation back in because of capital projects and renovations. The depreciation is used to fund those projects. The SRMC is not quite cash flowing yet but getting closer at a loss of \$664,000, which shows that the SRMC is moving in the right direction. For Operating Net Margin, UNMH is favorable at \$1.372 million by taking out depreciation and SRMC is at a loss of \$5.112 because depreciation is added in. This report provides a good overview of where the HSC sits financially through half of the fiscal year.

8. UNM Hospital Contract with GE Healthcare.

Suzanne Quillen presented the information to the Regents on the UNMH contract with GE Healthcare. The contract is to purchase two ultrasound machines to be used in Women's imaging to improve early detection for women's health. Total cost is \$444,912 funded from UNM Hospital capital funds.

9. Contract with Hospital Housekeeping Systems: UNM Cancer Research and Treatment Center.

Suzanne Quillen presented the information to the Regents on the contract for the Cancer Center Hospital Housekeeping Systems. The incumbent has been providing the services for the last four years. They were selected out of an RFP for annual cost of \$484,304 funded from department operating funds.

ACADEMIC/STUDENT AFFAIRS AND RESEARCH COMMITTEE, Regent Bradley Hosmer, Chair

1. Update from the Provost.

Provost Abdallah provided an update on Academic Affairs. The faculty senate has passed the 120 credit hour bachelor degree requirement. It is now official and does not need further approval. It is a reduction by approximately 3 courses from the required minimum at the University of New Mexico. Departments and Programs are now looking at their programs and eliminating some requirements to match the 120 credit hours. This allows students to take 15 credit hours a semester for 8 semesters and graduate in 4 years. Provost Abdallah believes that this will increase graduation rates. Many other peer universities have changed graduation requirement to 120 credit hours. In response to Regent inquiry, the new 120 credit hour minimum may not fit some current degree programs who need more than 120 credit hours for accreditation. Academic Affairs is not mandating that all programs move to 120 credit hours but that they attempt to get their program to 120 credit hours. In many states, legislation mandated the 120 credit hours requirement.

In the redesign of the College of Education, a TELL (Teaching, Empowering, Leading and Learning Assessment) Survey was developed through the Kellogg Grant. It is an anonymous survey that goes to nearly 32,000 teachers in New Mexico and attempts to measure the teaching conditions at that particular school.

The Foundations of Excellence completed its first year analysis, and many recommendations are currently being implemented. One was the redesign of the New Student Orientation that will begin this Fall 2014 and will be focused on academics. Another recommendation relates to student advising and they are working on moving advisors to where they are needed to bridge the ratio gap between advisors and students. Innovation Academy is something that Academic Affairs is engaged in and is working with Innovate ABQ to create this program.

The Robert Wood Johnson Foundation has changed direction and decided to close 10 of their centers, including the one at UNM. They will sunset the program in 5 years and are committed to finish the current cohort. This is a big effort for the University and Academic Affairs leadership has met with leadership from RWJ Foundation to discuss their new strategic direction. Some reasons for the change are due to national trends and other aspirations. RWJ Center trained researchers to get into health policy in social sciences and economics. They are hoping to transform the center, as it exists into something that has another mission. They will reduce funding over the next five years. There is also an endowment of \$7 million with the foundation and are discussing how to use those funds.

Action Items – agenda items 2 and 3 (ASAR)

2. Approval of C969 – New Bookkeeping Certificate-Gallup

Academic/Student Affairs and Research Action Item 2 was removed from the agenda.

3. Approval of AIMS (Albuquerque Institute for Math & Science) West Side Needs Assessment and Acknowledgement of the Budget Proposal

Kathy Sandoval, Director of AIMS @ UNM for 8 years, gave the presentation. Ms. Sandoval has received a Daniel's Grant for \$175,000 to replicate this successful program. The request is to move forward with the approval of a needs assessment that would look at the eventual replication of AIMS at UNM West hopefully with start date in Fall 2014. There have been great discussions with UNM West administration, particularly Dr. Wynn Goering, about a possible partnership including the practicalities/pragmatics.

Dr. Wynn Goering stated that there have been very great conversations around this topic specifically regarding the details about making it happen and the respective challenges. He is a big fan of AIMS and what they have been able to accomplish and he would like to see a partnership at UNM West.

Regent Hosmer stated that the AIMS charter school is a remarkable achievement that has demonstrated the value of the charter school approach in NM because of the extraordinary successes it has had with academic development of their students.

Regent James stated that Ms. Sandoval has had experience with this type of a K-12/higher education partnership with CNM. She knows how to properly deal with the logistics of having younger children with older, higher education students, so she is the perfect person to lead this type of partnership.

Motion to approve the AIMS West Side assessment and acknowledgement of the Budget Proposal passed unanimously with a quorum of Regents present and voting (1st Quillen, 2nd James).

Information Items – there were no information items (ASAR)

AUDIT COMMITTEE, Regent Gene Gallegos, Chair -- There were no agenda items under Audit Committee

Regent Gallegos stated that the next Audit Committee meeting would be on February 20, 2014.

COMMENTS FROM REGENTS' ADVISORS

ASUNM, President Isaac Romero, Priscila Poliana read comments on behalf of Isaac Romero. Recommended at the last F&F meeting, student leadership are working on revising policy 1310 with President Frank and hope to have improvements to the SFRB policy. The battle of I-25 was very successful; UNM has won against NMSU to collect the most blood. This drive was a result of the hard work by ASUNM Community Experience. ASUNM and GPSA have been working on a rebrand campaign for their organizations. The goal is to improve campus relations and allow more opportunities for students to get involved with ASUNM. The new logo for ASUNM was presented to the Regents and is a work in progress.

GPSA, President Priscila Poliana, Priscila Poliana stated that GPSA has also been working on a rebranding, the first step was an office renovation and they will have an inauguration in 3 or 4 weeks. GPSA has developed a new logo and presented it to the Regents. Apparel is in the works. The new billing platform has completed phase 1, which is a breakdown of tuition and fees on the webpage. It is already one of the most visited UNM webpage. Phase 2 is being worked on, and it involves reformulating the coding and information. Phase 3 is a trial during the summer to see how the system can be improved. Phase 4 is full implementation in Fall 2014. The students are working on policy 1310, there is a working group with students that will present proposals to President Frank.

Regent Fortner commented that, with regard to a logo, the use of the Zia symbol might be prohibited due to an earlier lawsuit with the Zuni Pueblo. Ms. Poliana stated that they would work with UNM Communications & Marketing and UNM Legal Counsel on the matter, and if necessary use a new design.

In response to Regent inquiry, the Student Fee Review Board is comprised of 5 undergraduate students, 2 graduate students, and 2 non-voting members who can put motions forward but cannot vote. The non-voting members are appointed by the GPSA president and are graduate students.

Faculty Senate, President Richard Holder, Dr. Holder is in favor of a robust compensation increase for faculty and staff. Retention of faculty is very important to keep UNM a Class One research university. As the economy gets better the competition is likely to come after the best faculty, and if a robust compensation increase is not a high priority then UNM stands to lose many great faculty members. Dr. Holder understands that a compensation increase is dependent on many factors, but UNM runs the risk of declining to compete with peer institutions in retaining the best faculty.

President Fortner stated that the Regents understand losing faculty is a real threat.

Provost Abdallah stated that this is a problem that will only get worse if nothing is done. Many departments have budgets that are 90% salary and 10% operations, which make it extremely difficult to cut costs to add to salary increases. It is important to retain great faculty but the problem needs to be addressed. Academic Affairs is looking at innovative ways to address the compensation issue.

UNM Alumni Association, President Randy Royster, Report in BOR E-Book.

PUBLIC COMMENT (there was no public comment during this time)

VOTE TO CLOSE THE MEETING AND TO PROCEED IN EXECUTIVE SESSION

Motion to close the meeting and proceed into Executive Session passed unanimously with a quorum of Regents present and voting (1st Quillen, 2nd Overton).

The meeting closed at 10:59 a.m.

Executive Session 11:10 a.m. – 12:19 p.m. in the Cherry Silver Room

1. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2), NMSA (1978).
2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H (7), NMSA (1978).
3. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H (8), NMSA (1978)

Motion to open the meeting passed unanimously, there was certification that only those matters described in the Executive Session agenda were discussed in closed session, and there were no items upon which to take final action in open session (1st James, 2nd Overton).

The meeting opened at 12:20 p.m. (Regent Koch left during Executive Session.)

DISCUSSION AND POSSIBLE ACTION ON PROCESS TO REVIEW REGENTS' POLICIES

Pamina Deutsch, University Policy and Administrative Planning Director, was present to address Regent policy matters as a whole and to discuss a process to review Regents' policies. Ms. Deutsch handed out two documents: (1) a memorandum providing background on previous efforts to conduct a comprehensive review of the Regents' Policy Manual and (2) a table listing all Regents' Policies by title, with the date of last revision and the expected extent of work (ie. minor, partial or major) that would be required for revision for each. The table noted dates of when policies have been reviewed or revisions approved within the last two years. Ms. Deutsch stated there are a large number of policies that have been identified as requiring only minor, non-substantive revisions, such as stylistic inconsistencies, updating job titles, organization names and references. Regents responded by requesting that those policies noted as requiring only minor revisions be forwarded to Regent Fortner for review and if he is in agreement that the changes are minor as stated, the policy revisions would go directly to the Board of Regents for approval.

Other policies identified as requiring partial or major revisions would be addressed as needed. Regent Hosmer recommended restarting the review process by addressing those policies which have been identified as requiring major changes, namely Regents' Policy 4.7 (Tuition and Fees). Ms. Deutsch mentioned that a committee has been working on revisions to Regents' Policy 4.7, in addition to several administrative policies that pertain to tuition and fees, and that it was important for the polices to be harmonized. Ms. Deutsch also mentioned that recently several Regents had provided her with changes to Regents' Policy 4.7. Approvals for all policies requiring revisions would have to go through Regents' Committees before going to full Board of Regents. Ms. Deutsch asked if it would be all right if she prepared the initial drafts of the revisions for the policies requiring major revisions and presented them to the Regents for their review and comment. The Regents agreed. Regent Gallegos said the Audit Committee is working on revisions to Regents' Policies 7.2 (Internal Auditing) and 7.3 (Audit Committee).

Regent Gallegos asked for a table providing a dual, cross-referencing of the two UNM Policy systems, the Regents' Policies and the University Administrative Policies and Procedures Manual. Regent Hosmer proposed the need for two new policies, one that addresses "abuse of power" by UNM employees, specifically addressing cases where no legal consequence is enacted. The policy would outline expectations that consequences would be imposed on individuals who abuse their position of power by serving their own self-interest to the detriment of the University. The other new policy would address anyone directly affiliated with the University who conducts himself or herself in such a way that causes disrepute to the University. Ms. Deutsch said the Code of Ethics that is currently being worked on for main campus will address the issue. Regent Hosmer said a Code of Ethics is not sufficient, he would like Regents' Policies to address those issues. There were no motions.

VOTE TO ADJOURN

Motion to adjourn the meeting passed unanimously (1st Hosmer, 2nd James).

The meeting adjourned at 12:38 p.m.

Approved:

Attest:

Jack L. Fortner, President

Bradley C. Hosmer, Secretary/Treasurer

Minutes originated by: Mallory Reviere and Sara Gurule
Minutes finalized by: Mallory Reviere

BOARD OF REGENTS' CALENDAR -- 2014 (confirmed 3.10.14)

Regents' Board & Committee Meetings <i>(location & time)</i>	2014 Jan	2014 Feb	2014 Mar* <i>(Budget Summit)</i>	2014 Apr	2014 May <i>Approve Budget</i>	2014 Jun	2014 Jul	2014 Aug	2014 Sep	2014 Oct	2014 Nov	2014 Dec	Key Leadership	Key Staff
Board of Regents 9:00 a.m. – 1:00 p.m. SUB Ballroom C	Cancelled	Feb 11	Mar* 10 (25)	Apr 8	May 9	Jun 13	tbd	Aug 8	Sep 12	Oct 10	Nov 14	Dec 12	Regent President Jack Fortner; President Frank	Mallory Reviere
Agenda Items Due:		Jan 29	Feb 26	Mar 26	Apr 25	May 30		Jul 25	Aug 29	Sep 26	Oct 31	Nov 28		
Finance & Facilities Committee 9:00 a.m. – 12:00 p.m. Scholes Hall, Roberts Rm	No mtg.	Feb 7	Mar 7	Apr 4	May 6	Jun 10	tbd	Aug 5	Sep 9	Oct 7	Nov 11	Dec 9	Regent Koch, Regent James; EVP Harris	Cenissa Martinez
Agenda Items Due:		Jan 24	Feb 21	Mar 21	Apr 23	May 28		Jul 23	Aug 27	Sep 24	Oct 29	Nov 24		
Health Sciences Board 2:00 p.m. (1 st Friday) HSC Domenici Ctr, Rm 3010	No mtg.	Feb 7	Mar 7	Apr 4	May 2	Jun 6	No mtg.	Aug 1	Sep 5	Oct 3	Nov 7	Dec 5	Regent Quillen; Chancellor Roth	Patrice Martin
Agenda Items Due:		Jan 22	Feb 19	Mar 19	Apr 16	May 21		Jul 16	Aug 20	Sep 17	Oct 22	Nov 18		
Academic/Student Affairs & Research Committee (Thursday) 1:00 p.m.-4:00 p.m. Scholes Hall, Roberts Rm	No mtg.	Feb 6	Mar 6	Apr 3	May 1	Jun 5	tbd	Jul 31	Sep 4	Oct 2	Nov 6	Dec 4	Regent Hosmer; Provost Abdallah	Melissa Vargas Bernadette Jaramillo-Peck
Agenda Items Due:		Jan 27	Feb 21	Mar 21	Apr 18	May 23		Jul 18	Aug 22	Sep 19	Oct 24	Nov 21		
Audit Committee 8:30 a.m. – 12:00 p.m. Scholes Hall, Roberts Rm		Feb 20			May 23								Regent Gallegos; Manu Patel, Dir. Internal Audit	Amy O'Donnell
Agenda Items Due:		Feb 3			May 8									
REGENT ADVISORS (quarterly reports)			ALUMNI			ALUMNI				ALUMNI			ALUMNI	
				PARENT			PARENT				PARENT			
				RETIREE			RETIREE				RETIREE			
			FOUN-DATION			FOUN-DATION				FOUN-DATION			FOUN-DATION	
Check these websites for notices, agendas and meeting minutes: Board of Regents: regents.unm.edu F&F: evpadmin.unm.edu/ff-meetings/index.html ; HSC: hsc.unm.edu/HSCBoD/meetings/notices.html ; ASAR: provost.unm.edu/Board-of-Regents.html Audit: unm.edu/~iaudit/documents.html ;									*Board of Regents: <u>March 10 regular meeting and election of officers;</u> <u>March 25 special meeting for Budget Summit</u>					

President's Administrative Report

Executive Budget Summary

University of New Mexico Consolidated Financial Report

FY 2014 UNM Operating Budget

This report covers current fund operations for the University, including Main Campus, Branch Campuses and HSC Campus.

Instruction and General operations (approximately 90% of this operation resides on Main Campus) projected a use of prior year balances of \$12.2M for the FY 2014 UNM Operating Budget. This \$12.2M use of balance is comprised of a \$11.7M use of balance at the Main Campus, a \$489K use of balance at the Branch Campuses and a use of balance at the HSC Campus of \$21K. The \$11.7M use of balance at Main Campus is primarily due to \$3.0M of one-time monies funding the I&G Budget, Administrative units budgeting approximately \$1.5M of prior year balances and Academic Affairs budgeting approximately \$7.2M of prior year balances.

The next block of information shows our **Unrestricted Research** operations. The activity in these operations is essentially 50% Main Campus and 50% HSC Campus. The FY 2014 UNM Operating Budget showed a use of prior year balances of \$10.6M, of which a \$5.6M use of prior year balance is related to Main Campus and a \$5.0M use of prior year balance is related to HSC Campus. The \$5.6M use of balance at Main Campus is primarily due to Academic Affairs departments budgeting prior year balances. At the HSC Campus approximately \$203K of balances were budgeted by SOM Departments to support Chairs' Letter of Offer packages. The College of Pharmacy budgeted \$348K for faculty bridge funding and faculty start-up funding. Additionally, at the HSC \$4.3M is budgeted for various capital projects during FY 2014.

The third business category shown on the first page of this report is **Unrestricted Public Service**. The operations in this category include special projects funded by State Appropriations, for example Project ECHO, and non-endowed gifts flowing from the UNM Foundation to departments and many small events funded by user fees. The FY 2014 UNM Operating Budget projected a favorable net margin of \$1.5M. This favorable budgeted net margin is comprised of a \$3.9M use of prior year balances at the Main and Branch Campuses and a favorable net margin of \$5.4M at the HSC Campus. The \$3.9M use of balance at the Main and Branch Campuses is primarily due to Academic Affairs departments budgeting prior year balances. There are \$3.4M of budgeted prior year balances within non-endowed spending indices and approximately \$500K of budgeted prior year balances within Academic Affairs General Public Service indices. The favorable net margin budgeted at the HSC Campus is primarily due to Patient Revenues.

Page 2 of this report begins with the **Student Aid** function. The FY 2014 UNM Operating Budget projected a use of prior year balances of \$8.8M. This use of prior year balances is comprised of a \$7.0M use of balance at the Main and Branch Campuses and a budgeted use of balance of \$1.8M at the HSC Campus. The budgeted use of balance at Main Campus is primarily due to Academic Affairs departments and Enrollment Management budgeting prior year balances within endowed spending indices for the payout of major and departmental scholarships in the new fiscal year.

Student Activities are the operations of Student Government and Student organizations. The FY 2014 UNM Operating Budget shows a use of prior year balances of \$122K.

Auxiliaries and Athletics

The FY 2014 UNM Operating Budget for Auxiliaries and Athletics projected a use of prior year balances of \$237K. This use of balance is primarily due to a combination of Athletics budgeting a \$65K use of balance, AVP Ops/Student Life budgeting a use of balance of \$131K and the Branch Campuses budgeting a use of balance of \$41K.

Sponsored programs operations are our contract and grant research and public service projects funded by outside agencies and companies. These operations will always record a zero net revenue over expense by the nature of their funding. The agency pays for a service or research project, and normally any unspent funds must be returned to the agency.

The next block of numbers on the third page is a summary of our **Clinical** operations. These operations are essentially all the patient care activities of the HSC Campus, including the UNM Hospitals, SOM physician professional services, Cancer Center operations and Housestaff/Medical Residents who are being trained in the UNM and VA hospitals. The FY 2014 UNM Operating Budget projected a use of prior year balances of \$8.1M. UNM Hospitals budgeted a favorable net margin of \$13K. The major factor contributing to this use of balance is the School of Medicine budgeting a use of balance of \$8.1M for FY 2014. The budgeted use of balance is primarily due to the SOM Chair Packages for FY 2014.

Statements of Revenues, Expenses and Changes in Net Position Format for Regents
For the seven month period ended January 31, 2014
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 58%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Change From FY 2013 YTD Actual
Instruction and General						
Tuition and Fees Revenues						
Main Campus	153,710,683	154,878,117	1,167,434	101%	145,940,356	8,937,761
Branch Campuses	8,328,453	7,961,730	(366,723)	96%	8,235,232	(273,502)
HSC Campus	13,605,343	14,206,749	601,406	104%	12,668,245	1,538,504
Total Tuition and Fees Revenues	175,644,479	177,046,596	1,402,117	101%	166,843,833	10,202,763
State/Local Appropriations	271,068,741	157,850,663	(113,218,078)	58%	150,953,697	6,896,966
F & A Revenues	40,300,000	24,702,897	(15,597,103)	61%	23,956,405	746,492
Transfers	(49,037,357)	(33,226,186)	15,811,171	68%	(36,165,221)	2,939,035
Other Revenues	18,019,852	13,063,328	(4,956,524)	72%	12,771,003	292,325
Total Instruction and General Revenues	455,995,715	339,437,298	(116,558,417)	74%	318,359,717	21,077,581
Salaries	280,556,884	163,396,077	117,160,807	58%	157,925,176	(5,470,901)
Benefits	92,631,618	49,603,900	43,027,718	54%	43,914,337	(5,689,563)
Other Expenses	95,042,322	48,337,817	46,704,505	51%	46,790,487	(1,547,330)
Total Instruction and General Expenses	468,230,824	261,337,794	206,893,030	56%	248,630,000	(12,707,794)
Net Instruction and General Revenue/(Expense)	(12,235,109)	78,099,504	90,334,613		69,729,717	8,369,787
Research						
State/Local Appropriations	10,292,896	6,641,185	(3,651,711)	65%	6,075,262	565,923
Transfers	25,678,299	10,477,288	(15,201,011)	41%	15,046,599	(4,569,311)
Other Revenues	4,237,463	1,306,186	(2,931,277)	31%	1,256,396	49,790
Total Research Revenues	40,208,658	18,424,659	(21,783,999)	46%	22,378,257	(3,953,598)
Salaries and Benefits	28,682,475	13,714,646	14,967,829	48%	13,547,624	(167,022)
Other Expenses	22,131,976	9,584,689	12,547,287	43%	10,135,020	550,331
Total Research Expenses	50,814,451	23,299,335	27,515,116	46%	23,682,644	383,309
Net Research Revenue/(Expense)	(10,605,793)	(4,874,676)	5,731,117		(1,304,387)	(3,570,289)
Public Service						
State/Local Appropriations	3,667,844	2,138,703	(1,529,141)	58%	2,042,222	96,481
Sales and Services Revenues	16,130,010	8,787,330	(7,342,680)	54%	8,627,699	159,631
Gifts	8,296,995	5,746,988	(2,550,007)	69%	6,325,013	(578,025)
Transfers	2,082,045	1,630,484	(451,561)	78%	77,904	1,552,580
Other Revenues	5,305,384	3,598,120	(1,707,264)	68%	2,865,675	732,445
Total Public Service Revenues	35,482,278	21,901,625	(13,580,653)	62%	19,938,513	1,963,112
Salaries and Benefits	18,400,745	12,372,337	6,028,408	67%	11,937,231	(435,106)
Other Expenses	22,464,594	10,272,041	12,192,553	46%	8,984,983	(1,287,058)
Total Public Service Expenses	40,865,339	22,644,378	18,220,961	55%	20,922,214	(1,722,164)
Net Public Service Revenue/(Expense)	(5,383,061)	(742,753)	4,640,308		(983,701)	240,948

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Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

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Student Aid						
Gifts	3,898,881	3,048,412	(850,469)	78%	3,326,931	(278,519)
State Lottery Scholarship	37,485,948	37,485,948	-	100%	31,861,170	5,624,778
Transfers	15,276,031	9,064,518	(6,211,513)	59%	13,300,150	(4,235,632)
Other Revenues	1,257,262	1,315,017	57,755	105%	184,257	1,130,760
Total Student Aid Revenues	57,918,122	50,913,895	(7,004,227)	88%	48,672,508	2,241,387
Salaries and Benefits	3,659,692	2,774,529	885,163	76%	2,266,258	(508,271)
Other Expenses	63,022,380	56,763,809	6,258,571	90%	52,389,007	(4,374,802)
Total Student Aid Expenses	66,682,072	59,538,338	7,143,734	89%	54,655,265	(4,883,073)
Net Student Aid Revenue/(Expense)	(8,763,950)	(8,624,443)	139,507		(5,982,757)	(2,641,686)
Student Activities						
Fee Revenues	6,266,414	5,272,860	(993,554)	84%	4,218,949	1,053,911
Sales and Services Revenues	1,448,714	982,663	(466,051)	68%	1,084,917	(102,254)
Transfers	458,483	276,378	(182,105)	60%	619,200	(342,822)
Other Revenues	74,024	60,691	(13,333)	82%	71,218	(10,527)
Total Student Activities Revenues	8,247,635	6,592,592	(1,655,043)	80%	5,994,284	598,308
Salaries and Benefits	4,185,076	2,491,222	1,693,854	60%	2,545,726	54,504
Other Expenses	4,184,468	2,173,248	2,011,220	52%	2,214,245	40,997
Total Student Activities Expenses	8,369,544	4,664,470	3,705,074	56%	4,759,971	95,501
Net Student Activities Revenue/(Expense)	(121,909)	1,928,122	2,050,031		1,234,313	693,809
Auxiliaries and Athletics						
Branch Campuses Auxiliary Revenues	2,582,001	1,773,208	(808,793)	69%	2,193,112	(419,904)
Main Campus Auxiliaries Revenues	53,874,662	40,602,070	(13,272,592)	75%	43,195,489	(2,593,419)
Athletics Revenues	31,193,563	21,134,270	(10,059,293)	68%	21,072,042	62,228
Total Auxiliaries and Athletics Revenues	87,650,226	63,509,548	(24,140,678)	72%	66,460,643	(2,951,095)
Branch Campuses Auxiliary Expenses	2,623,366	1,504,015	1,119,351	57%	1,915,209	411,194
Main Campus Auxiliaries Expenses	54,005,162	30,685,697	23,319,465	57%	36,502,027	5,816,330
Athletics Expenses	31,258,763	21,730,347	9,528,416	70%	22,022,966	292,619
Total Auxiliaries and Athletics Expenses	87,887,291	53,920,059	33,967,232	61%	60,440,202	6,520,143
Net Auxiliaries and Athletics Revenue/(Expense)	(237,065)	9,589,489	9,826,554		6,020,441	3,569,048

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Sponsored Programs						
Federal Grants and Contracts Revenues	245,218,468	158,360,434	(86,858,034)	65%	170,286,353	(11,925,919)
State and Local Grants and Contracts Revenues	31,983,112	16,099,183	(15,883,929)	50%	14,251,073	1,848,110
Non-Governmental Grants and Contracts Revenues	29,505,707	19,463,292	(10,042,415)	66%	18,838,977	624,315
Gifts	-	129,161	129,161	N/A	209,840	(80,679)
Transfers	3,740,817	2,878,522	(862,295)	77%	2,829,395	49,127
Other Revenues	4,000	-	(4,000)	0%	-	-
Total Sponsored Programs Revenues	310,452,104	196,930,592	(113,521,512)	63%	206,415,638	(9,485,046)
Salaries and Benefits	144,253,271	76,067,693	68,185,578	53%	73,476,892	(2,590,801)
Other Expenses	166,198,833	120,862,899	45,335,934	73%	132,938,746	12,075,847
Total Sponsored Programs Expenses	310,452,104	196,930,592	113,521,512	63%	206,415,638	9,485,046
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
Clinical Operations						
State/Local Appropriations	25,531,808	14,587,552	(10,944,256)	57%	14,301,057	286,495
Physician Professional Fee Revenues	108,032,042	59,956,877	(48,075,165)	55%	56,945,653	3,011,224
Hospital Facility Revenues	684,748,925	402,816,124	(281,932,801)	59%	373,730,903	29,085,221
Other Patient Revenues, net of Allowance	103,030,503	62,489,773	(40,540,730)	61%	58,756,730	3,733,043
Mil Levy	91,886,992	53,543,891	(38,343,101)	58%	53,070,044	473,847
Investment Income	1,137,891	9,182,320	8,044,429	807%	6,279,133	2,903,187
Gifts	3,435,064	2,504,708	(930,356)	73%	2,731,430	(226,722)
Housestaff Revenues	32,457,691	20,652,308	(11,805,383)	64%	18,745,635	1,906,673
Other Revenues	19,155,866	13,987,943	(5,167,923)	73%	9,984,336	4,003,607
Total Clinical Operations Revenues	1,069,416,782	639,721,496	(429,695,286)	60%	594,544,921	45,176,575
Salaries and Benefits	607,173,020	355,829,999	251,343,021	59%	343,083,349	(12,746,650)
Interest Expense	8,701,516	4,830,739	3,870,777	56%	4,985,059	154,320
Housestaff Expenses	32,457,691	20,100,818	12,356,873	62%	18,770,879	(1,329,939)
Other Expenses	422,389,564	261,969,038	160,420,526	62%	235,956,222	(26,012,816)
Total Clinical Operations Expenses	1,070,721,791	642,730,594	427,991,197	60%	602,795,509	(39,935,085)
Net Clinical Operations Revenue/(Expense)	(1,305,009)	(3,009,098)	(1,704,089)		(8,250,588)	5,241,490
Contingencies						
Total Contingency Revenues	15,130,454	-	(15,130,454)	0%	-	-
Total Contingency Expenses	5,817,161	-	(5,817,161)	0%	-	-
Net Contingencies Revenue/(Expense)	9,313,293	-	(9,313,293)		-	-
Net Current Revenue/(Expense)	(29,338,603)	72,366,145	101,704,748		60,463,038	11,903,107

Statements of Revenues, Expenses and Changes in Net Position Format for Regents
For the seven month period ended January 31, 2014
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 58%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Change From FY 2013 YTD Actual
University of New Mexico - Results of Athletics and Auxiliary Operations						
Results of Athletics Operations:						
Athletics Revenues	34,732,446	23,160,133	(11,572,313)	67%	24,388,145	(1,228,012)
Athletics Transfers	(3,538,883)	(2,025,863)	1,513,020	57%	(3,316,103)	1,290,240
Total Athletics Revenues	<u>31,193,563</u>	<u>21,134,270</u>	<u>(10,059,293)</u>	68%	<u>21,072,042</u>	<u>62,228</u>
Athletics Expenses						
Salaries and Benefits	13,671,699	8,470,288	5,201,411	62%	8,541,423	71,135
Grant-in-Aid	3,976,253	3,286,876	689,377	83%	3,022,357	(264,519)
Other Expenses	13,610,811	9,973,183	3,637,628	73%	10,459,186	486,003
Total Athletics Expenses	<u>31,258,763</u>	<u>21,730,347</u>	<u>9,528,416</u>	70%	<u>22,022,966</u>	<u>292,619</u>
Total Net Athletics Revenue/(Expense)	<u>(65,200)</u>	<u>(596,077)</u>	<u>(530,877)</u>		<u>(950,924)</u>	<u>354,847</u>
Results of Auxiliary Operations:						
VP for Institutional Support Services						
Bookstore Revenues	17,992,468	13,289,560	(4,702,908)	74%	15,055,747	(1,766,187)
Bookstore Transfers	(350,000)	(204,167)	145,833	58%	(166,968)	(37,199)
Total Bookstore Revenues	<u>17,642,468</u>	<u>13,085,393</u>	<u>(4,557,075)</u>	74%	<u>14,888,779</u>	<u>(1,803,386)</u>
Total Bookstore Expenses	17,642,468	11,456,534	6,185,934	65%	13,168,762	1,712,228
Net Bookstore Revenue/(Expense)	<u>-</u>	<u>1,628,859</u>	<u>1,628,859</u>		<u>1,720,017</u>	<u>(91,158)</u>
Faculty & Staff Club Revenues	82,000	64,561	(17,439)	79%	23,033	41,528
Faculty & Staff Club Expenses	82,000	39,717	42,283	48%	18,628	(21,089)
Net Faculty & Staff Club Revenue/(Expense)	<u>-</u>	<u>24,844</u>	<u>24,844</u>		<u>4,405</u>	<u>20,439</u>
Golf Courses Revenues	2,294,163	1,100,318	(1,193,845)	48%	1,158,983	(58,665)
Golf Courses Transfers	(39,252)	(22,585)	16,667	58%	(22,897)	312
Total Golf Courses Revenues	<u>2,254,911</u>	<u>1,077,733</u>	<u>(1,177,178)</u>	48%	<u>1,136,086</u>	<u>(58,353)</u>
Total Golf Courses Expenses	2,254,911	1,314,167	940,744	58%	1,281,690	(32,477)
Net Golf Courses Revenue/(Expense)	<u>-</u>	<u>(236,434)</u>	<u>(236,434)</u>		<u>(145,604)</u>	<u>(90,830)</u>
Housing	11,250,500	11,353,572	103,072	101%	10,507,152	846,420
Housing Transfers	(2,485,566)	(2,744,973)	(259,407)	110%	(4,060,873)	1,315,900
Total Housing Revenues	<u>8,764,934</u>	<u>8,608,599</u>	<u>(156,335)</u>	98%	<u>6,446,279</u>	<u>2,162,320</u>
Total Housing Expense	8,764,934	4,340,034	4,424,900	50%	4,646,282	306,248
Net Housing Revenue/(Expense)	<u>-</u>	<u>4,268,565</u>	<u>4,268,565</u>		<u>1,799,997</u>	<u>2,468,568</u>
Other	950,000	(160,000)	(1,110,000)	-17%	-	(160,000)
Other Transfers	(950,000)	-	950,000	0%	-	-
Total Other Revenues	<u>-</u>	<u>(160,000)</u>	<u>(160,000)</u>	N/A	<u>-</u>	<u>(160,000)</u>
Total Other Expense	-	7,901	(7,901)	N/A	-	(7,901)
Net Other Revenue/(Expense)	<u>-</u>	<u>(167,901)</u>	<u>(167,901)</u>		<u>-</u>	<u>(167,901)</u>

Statements of Revenues, Expenses and Changes in Net Position Format for Regents
For the seven month period ended January 31, 2014
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 58%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Change From FY 2013 YTD Actual
Parking and Transportation Revenues	8,442,677	6,362,296	(2,080,381)	75%	6,082,072	280,224
Parking and Trans Transfers	(2,357,537)	(1,125,758)	1,231,779	48%	(1,116,932)	(8,826)
Total Parking and Trans Revenues	6,085,140	5,236,538	(848,602)	86%	4,965,140	271,398
Total Parking and Trans Expenses	6,085,140	3,266,155	2,818,985	54%	3,343,953	77,798
Net Parking and Trans Revenue/(Expense)	-	1,970,383	1,970,383		1,621,187	349,196
Popejoy Events Revenues	4,444,649	1,859,646	(2,585,003)	42%	6,078,859	(4,219,213)
Popejoy Events Transfers	149,730	149,730	-	100%	29,046	120,684
Total Popejoy Events Revenues	4,594,379	2,009,376	(2,585,003)	44%	6,107,905	(4,098,529)
Total Popejoy Events Expenses	4,594,379	1,799,172	2,795,207	39%	6,018,618	4,219,446
Net Popejoy Events Revenue/(Expense)	-	210,204	210,204		89,287	120,917
Taos & Lawrence Ranch Revenues	53,578	-	(53,578)	0%	36,707	(36,707)
Taos & Lawrence Ranch Expenses	53,578	21,877	31,701	41%	19,443	(2,434)
Net Taos & Lawrence Ranch Revenue/(Expense)	-	(21,877)	(21,877)		17,264	(39,141)
Ticketing Services Revenues	845,295	639,624	(205,671)	76%	668,394	(28,770)
Ticketing Services Transfers	75,348	75,348	-	100%	43,953	31,395
Total Ticketing Services Revenues	920,643	714,972	(205,671)	78%	712,347	2,625
Total Ticketing Services Expenses	920,643	561,365	359,278	61%	512,507	(48,858)
Net Ticketing Services Revenue/(Expense)	-	153,607	153,607		199,840	(46,233)
Young Ranch Revenues	-	-	-	N/A	11,802	(11,802)
Young Ranch Expenses	-	-	-	N/A	4,485	4,485
Net Young Ranch Revenue/(Expense)	-	-	-	N/A	7,317	(7,317)
Total VP for Institutional Support Services Revenues	40,398,053	30,637,172	(9,760,881)	76%	34,328,078	(3,690,906)
Total VP for Institutional Support Services Expenses	40,398,053	22,806,922	17,591,131	56%	29,014,368	6,207,446
Net VP for Institutional Support Services Revenue/(Expense)	-	7,830,250	7,830,250		5,313,710	2,516,540
VP for Student Affairs						
AVP Ops/Student Life Revenues	3,311,105	2,271,724	(1,039,381)	69%	2,117,338	154,386
AVP Ops/Student Life Transfers	(127,500)	(308,259)	(180,759)	242%	(451,063)	142,804
Total AVP Ops/Student Life Revenues	3,183,605	1,963,465	(1,220,140)	62%	1,666,275	297,190
Total AVP Ops/Student Life Expenses	3,314,105	1,396,793	1,917,312	42%	1,448,376	51,583
Net AVP Ops/Student Life Revenue/(Expense)	(130,500)	566,672	697,172		217,899	348,773
Lobo Cash Revenues	33,385	29,473	(3,912)	88%	3,485	25,988
Lobo Cash Expenses	33,385	36,095	2,710	108%	11,274	(24,821)
Net Lobo Cash Revenue/(Expense)	-	(6,622)	(6,622)		(7,789)	1,167
Student Health Center Revenues	7,465,022	5,842,757	(1,622,265)	78%	5,299,977	542,780
Student Health Center Expenses	7,465,022	4,491,723	2,973,299	60%	4,272,383	(219,340)
Net Student Health Center Revenue/(Expense)	-	1,351,034	1,351,034		1,027,594	323,440
Student Union Revenues	2,537,528	1,954,099	(583,429)	77%	1,779,155	174,944
Student Union Expenses	2,537,528	1,806,551	730,977	71%	1,591,117	(215,434)
Net Student Union Revenue/(Expense)	-	147,548	147,548		188,038	(40,490)
Total VP for Student Affairs Revenues	13,219,540	9,789,794	(3,429,746)	74%	8,748,892	1,040,902
Total VP for Student Affairs Expenses	13,350,040	7,731,162	5,624,298	58%	7,323,150	(408,012)
Net VP for Student Affairs Revenue/(Expense)	(130,500)	2,058,632	2,189,132		1,425,742	632,890

Statements of Revenues, Expenses and Changes in Net Position Format for Regents
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University of New Mexico - Consolidated Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 58%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Change From FY 2013 YTD Actual
Provost and Other Units						
Art Museum Revenues	5,500	1,853	(3,647)	34%	821	1,032
Art Museum Expenses	5,500	3,666	1,834	67%	-	(3,666)
Net Art Museum Revenue/(Expense)	-	(1,813)	(1,813)		821	(2,634)
CE Conference Ctr Revenues	200,000	106,869	(93,131)	53%	135,905	(29,036)
CE Conference Ctr Transfers	(43,431)	1,407	44,838	-3%	(49,656)	51,063
Total CE Conference Ctr Revenues	156,569	108,276	(48,293)	69%	86,249	22,027
Total CE Conference Ctr Expenses	156,569	100,937	55,632	64%	125,820	24,883
Net CE Conference Ctr Revenue/(Expense)	-	7,339	7,339		(39,571)	46,910
Maxwell Museum Revenues	40,000	30,932	(9,068)	77%	24,279	6,653
Maxwell Museum Expenses	40,000	11,239	28,761	28%	9,478	(1,761)
Net Maxwell Museum Revenue/(Expense)	-	19,693	19,693		14,801	4,892
Other Revenues	55,000	34,043	(20,957)	62%	7,170	26,873
Other Expenses	55,000	31,771	23,229	58%	29,211	(2,560)
Net Other Revenue/(Expense)	-	2,272	2,272		(22,041)	24,313
Total Provost and Other Units Revenues	257,069	175,104	(81,965)	68%	118,519	56,585
Total Provost and Other Units Expenses	257,069	147,613	109,456	57%	164,509	16,896
Net Provost and Other Units Revenue/(Expense)	-	27,491	27,491		(45,990)	73,481
Auxiliary Totals						
Total Auxiliary & Concessions Revenues	53,874,662	40,602,070	(13,272,592)	75%	43,195,489	(2,593,419)
Total Auxiliary & Concessions Expenses	54,005,162	30,685,697	23,319,465	57%	36,502,027	5,816,330
Net Auxiliary Revenue/(Expense)	(130,500)	9,916,373	10,046,873		6,693,462	3,222,911
Net Athletics Revenue/(Expense)	(65,200)	(596,077)	(530,877)		(950,924)	354,847
Net Auxiliary and Athletics Revenue/(Expense)	(195,700)	9,320,296	9,515,996		5,742,538	3,577,758
Net Branch Campuses Aux Revenue/(Expense)	(41,365)	269,193	310,558		277,903	(8,710)
Net All Auxiliary and Athletics Revenue/(Expense)	(237,065)	9,589,489	9,826,554		6,020,441	3,569,048

UNM Debt Service Schedule

As of January 31, 2014

*Includes Hospital Debt

UNM Bond Issue	Fixed or Variable Rate Issue	Original Issue Amount	Outstanding Principal Balance on June 30, 2013	Principal Payment due on June 1, 2014	Interest Payment paid on December 1, 2013	Interest Payment due on June 1, 2014	FY 2014 Principal & Interest
Sub Lien System Imp Revenue Bonds (3) Series 2012: Interest Range 2.00% to 5.00% Final Maturity Year 2032	Fixed Rate	\$35,215,000	\$33,200,000	\$1,310,000	\$766,050	\$766,050	\$2,842,100
Sub Lien System Imp Revenue Bonds Series 2007 A&B: Interest Range 4.00% to 5.95% Final Maturity Year 2036	Fixed Rate	\$136,710,000	\$131,620,000	\$1,840,000	\$3,281,441	\$3,281,441	\$8,402,882
Sub Lien System Imp Revenue Bonds Series 2005: Interest Range 3.0% to 5.0% Final Maturity Year 2035	Fixed Rate	\$125,575,000	\$115,950,000	\$2,470,000	\$2,678,626	\$2,678,626	\$7,827,252
(1) FHA Insured Hospital Mortgage Revenue Bonds Series 2004: Interest Range 2.0% to 5.0% Final Maturity Year 2031	Fixed Rate	\$192,250,000	\$164,660,000	\$5,240,000 (\$2,580,000 paid 7/1/2013) (\$2,660,000 paid 1/2/2014)	\$3,963,788 (paid 1/2/2014)	\$4,020,600 (paid 7/1/2013)	\$13,224,387
Sub Lien System Rfdg Revenue Bonds Series 2003 A: Interest Range 2.0% to 5.25% Final Maturity Year 2018	Fixed Rate	\$21,660,000	\$8,480,000	\$1,135,000	\$222,600	\$222,600	\$1,580,200
Sub Lien System Revenue Bonds Series 2003 B&C: Interest Range 1.35% to 5.625% Final Maturity Years B 2024 & C 2033	Fixed Rate	\$11,805,000	\$9,505,000	\$285,000	\$232,954	\$232,955	\$750,909
Sub Lien Sys Rfdg Revenue Bonds (2) Series 2002 B: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Final Maturity Year 2026	Variable Rate	\$25,475,000	\$22,090,000	\$1,490,000	\$420,673	\$423,024	\$2,333,697
Sub Lien System Rfdg Revenue Bonds (2) Series 2002 C: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Final Maturity Year 2030	Variable Rate	\$37,840,000	\$35,190,000	\$875,000	\$689,392	\$693,243	\$2,257,635
Sub Lien System Imp Revenue Bonds (2) Series 2001: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Ceiling of 12% Final Maturity Year 2026	Variable Rate	\$52,625,000	\$35,275,000	\$2,085,000	\$377,757	\$705,500	\$3,168,257
System Revenue Bonds Series 2000B: Interest Range 5.5% to 6.35% Final Maturity Year 2019	Fixed Rate	\$53,231,671	\$2,248,820	\$473,652	\$0	\$641,348	\$1,115,000
System Revenue Rfdg Bonds Series 1992 A: Interest Range 5.6% to 6.25% Final Maturity Year 2021	Fixed Rate	\$36,790,000	\$17,460,000	\$1,840,000	\$523,800	\$523,800	\$2,887,600
Grand Total		\$729,176,671	\$575,678,820	\$19,043,652	\$13,157,080	\$14,189,186	\$46,389,918

Note: See attached matrix for funding sources.

(1) Source: UNM Hospital - Both UNM Hospital Principal and Interest payments are made on July 1st and January 1st.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays. It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

(3) Series 2012 bonds refunded 2002A bonds.

FY14 UNM Debt Service - Source of Funds

As of January 31, 2014

	Series 2012	Series 2007 A&B	Series 2005	Series 2004	Series 2003 B&C	Series 2003 A	Series 2002 C	Series 2002 B	Series 2001	Series 1992
Student Fees- Facility	X	X	X			X	X	X		X
Student Fees - IT	X		X							
Capitalized Interest		X								
Parking Services	X	X	X				X			X
UNM Hospital				X		X		X		X
Bookstore						X	X			X
Housing & Dining Services	X					X	X			
Building R&R	X					X		X		X
Real Estate Department	X	X			X			X		
Physical Plant Department	X	X	X						X	
Telecommunications	X		X							
Athletics		X								
Information & Technology Funds			X				X			
KNME										X
Popejoy Hall							X			
MTTC Bldg.								X		
Opto Bldg (CHTM Res Park)								X		
CRTC								X		
Continuing Education								X		
Equipment R&R			X							
Golf Course - North & South							X			
HSC	X	X								
Interest on Reserve Funds	X					X	X	X		

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the seven month period ended January 31, 2014
Preliminary and Unaudited

Detail of State/Local Appropriations
Consolidated - Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 58%
Instruction and General				
Instruction & General Appropriations	261,986,155	152,552,488	(109,433,667)	58%
State Special Project Appropriations	1,055,900	615,941	(439,959)	58%
Tobacco Settlement Appropriations	1,130,600	659,517	(471,083)	58%
Mill Levy	6,896,086	4,022,717	(2,873,369)	58%
Total Instruction and General Appropriations	<u>271,068,741</u>	<u>157,850,663</u>	<u>(113,218,078)</u>	<u>58%</u>
Research				
State Special Project Appropriations	6,086,446	3,537,071	(2,549,375)	58%
Tobacco Settlement Appropriations	979,800	571,550	(408,250)	58%
Cigarette Tax Appropriations	3,226,650	2,532,564	(694,086)	78%
Total Research Appropriations	<u>10,292,896</u>	<u>6,641,185</u>	<u>(3,651,711)</u>	<u>65%</u>
Public Service				
State Special Project Appropriations	3,667,844	2,138,703	(1,529,141)	58%
Total Public Service Appropriations	<u>3,667,844</u>	<u>2,138,703</u>	<u>(1,529,141)</u>	<u>58%</u>
Clinical Operations				
State Special Project Appropriations	24,680,108	14,090,727	(10,589,381)	57%
Tobacco Settlement Appropriations	851,700	496,825	(354,875)	58%
Total Clinical Operations Appropriations	<u>25,531,808</u>	<u>14,587,552</u>	<u>(10,944,256)</u>	<u>57%</u>

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the seven month period ended January 31, 2014
Preliminary and Unaudited

Detail of State/Local Appropriations
Main Campus - Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 58%
Instruction and General				
Instruction & General Appropriations	180,474,555	105,276,824	(75,197,731)	58%
State Special Project Appropriations				
African American Student Services	22,873	13,342	(9,531)	58%
Disabled Student Services	192,400	112,234	(80,166)	58%
ENLACE	64,329	37,525	(26,804)	58%
Hispanic Student Center	107,143	62,500	(44,643)	58%
Minority Graduate Recruitment	117,646	68,627	(49,019)	58%
Native American Studies Intervention	354,047	206,527	(147,520)	58%
Pre-College Minority Student Math & Science	197,462	115,186	(82,276)	58%
Total State Special Project Appropriations	<u>1,055,900</u>	<u>615,941</u>	<u>(439,959)</u>	<u>58%</u>
Total Instruction and General Appropriations	<u>181,530,455</u>	<u>105,892,765</u>	<u>(75,637,690)</u>	<u>58%</u>
Research				
State Special Project Appropriations				
Center for Regional Studies (SW Research Ctr)	977,850	570,413	(407,437)	58%
Manufacturing Engineering	556,900	324,858	(232,042)	58%
Morrisey Hall	47,500	27,708	(19,792)	58%
Resource Geographic Information System	65,400	38,150	(27,250)	58%
Utton Transboundary Resource Center	292,400	170,567	(121,833)	58%
Total State Special Project Appropriations	<u>1,940,050</u>	<u>1,131,696</u>	<u>(808,354)</u>	<u>58%</u>
Total Research Appropriations	<u>1,940,050</u>	<u>1,131,696</u>	<u>(808,354)</u>	<u>58%</u>
Public Service				
State Special Project Appropriations				
Bureau of Business Research (Census)	378,200	220,617	(157,583)	58%
College Prep Mentoring/School of Law	119,593	69,762	(49,831)	58%
College Preparatory Mentoring	168,107	98,063	(70,044)	58%
Corrine Wolfe Law Center/Child Abuse Training	170,300	99,341	(70,959)	58%
Family Development Program	438,500	255,792	(182,708)	58%
ISTEC	48,595	28,347	(20,248)	58%
Judicial Selection	22,800	13,300	(9,500)	58%
KNME-TV	1,168,900	681,858	(487,042)	58%
Land Grant Studies Program	131,800	76,883	(54,917)	58%
N. M. Historical Review	47,600	27,767	(19,833)	58%
Small Business Innovation & Research Outreach	125,000	72,917	(52,083)	58%
Southwest Indian Law Clinic	208,200	121,450	(86,750)	58%
Spanish Colonial Research Center (SW Research Ctr)	120,650	70,379	(50,271)	58%
Spanish Resource Center	41,105	23,978	(17,127)	58%
Substance Abuse Program	136,500	79,625	(56,875)	58%
Wildlife Law Education	70,400	41,067	(29,333)	58%
Total State Special Project Appropriations	<u>3,396,250</u>	<u>1,981,146</u>	<u>(1,415,104)</u>	<u>58%</u>
Total Public Service Appropriations	<u>3,396,250</u>	<u>1,981,146</u>	<u>(1,415,104)</u>	<u>58%</u>

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
 For the seven month period ended January 31, 2014
 Preliminary and Unaudited

Detail of State/Local Appropriations
Branch Campuses - Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 58%
Instruction and General				
Instruction & General Appropriations				
Gallup	9,118,300	5,319,008	(3,799,292)	58%
Los Alamos	1,876,000	1,094,333	(781,667)	58%
Valencia	5,350,000	3,120,834	(2,229,166)	58%
Taos	3,418,600	1,994,183	(1,424,417)	58%
Total Instruction & General Appropriations	<u>19,762,900</u>	<u>11,528,358</u>	<u>(8,234,542)</u>	<u>58%</u>
Mill Levy				
McKinley County	2,100,000	1,225,000	(875,000)	58%
Los Alamos County	686,500	400,459	(286,041)	58%
Valencia County	2,539,386	1,481,308	(1,058,078)	58%
Taos County	1,570,200	915,950	(654,250)	58%
Total Mill Levy	<u>6,896,086</u>	<u>4,022,717</u>	<u>(2,873,369)</u>	<u>58%</u>
Total Branch Appropriations	<u>26,658,986</u>	<u>15,551,075</u>	<u>(11,107,911)</u>	<u>58%</u>

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the seven month period ended January 31, 2014
Preliminary and Unaudited

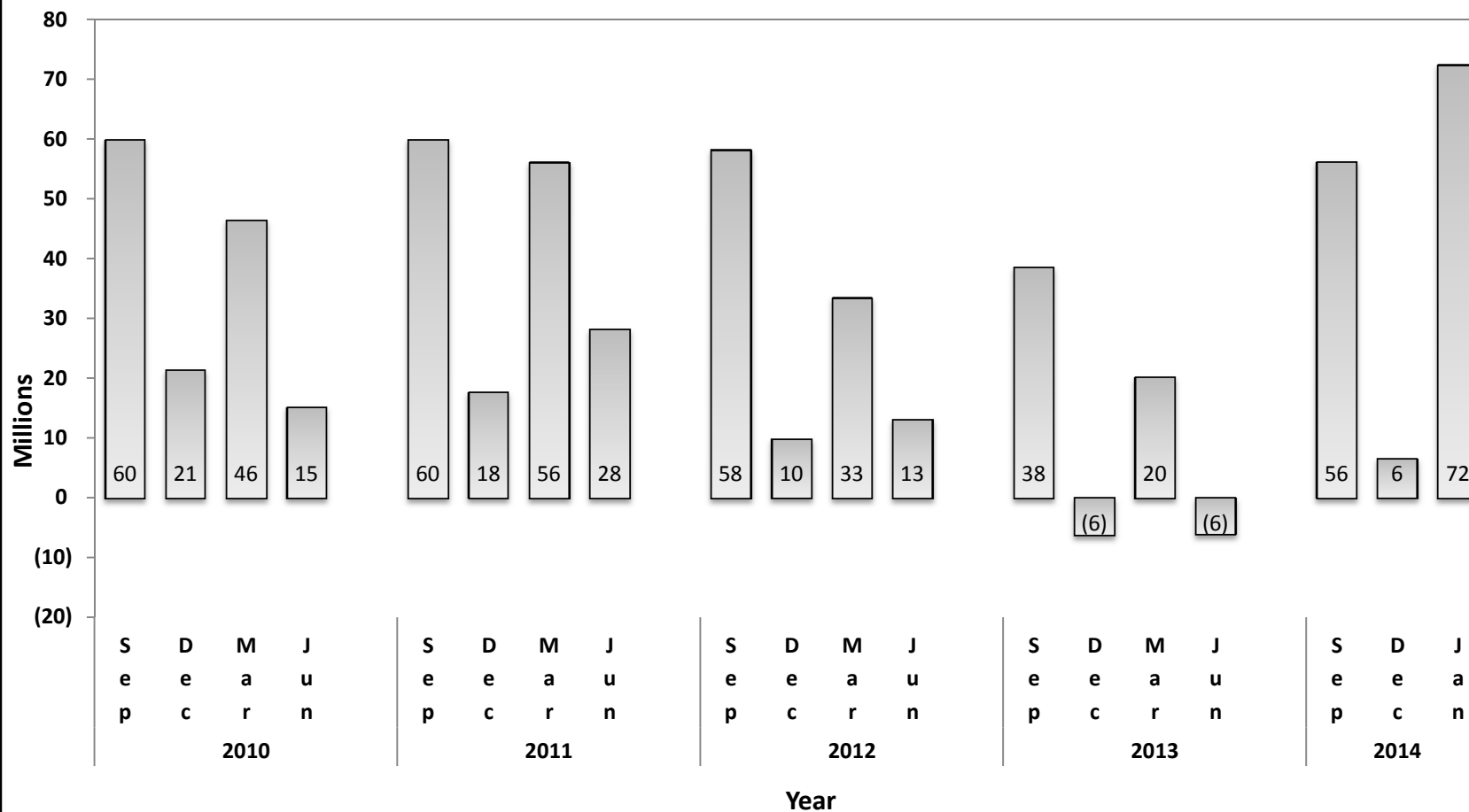
Detail of State/Local Appropriations
Health Sciences Center - Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 58%
Instruction and General				
Instruction & General Appropriations	61,748,700	35,747,306	(26,001,394)	58%
Tobacco Settlement Appropriations				
Instruction & General	610,524	356,139	(254,385)	58%
Pediatric Specialty Education	260,038	151,689	(108,349)	58%
Trauma Specialty Education	260,038	151,689	(108,349)	58%
Total Tobacco Settlement Appropriations	1,130,600	659,517	(471,083)	58%
Total Instruction and General Appropriations	62,879,300	36,406,823	(26,472,477)	58%
Research				
State Special Project Appropriations				
Cancer Center	2,663,774	1,544,025	(1,119,749)	58%
Hepatitis C, Project ECHO	1,482,622	861,350	(621,272)	58%
Total State Special Project Appropriations	4,146,396	2,405,375	(1,741,021)	58%
Tobacco Settlement Appropriations				
Genomics, Biocomputing, Environmental Health	979,800	571,550	(408,250)	58%
Total Tobacco Settlement Appropriations	979,800	571,550	(408,250)	58%
Cigarette Tax Appropriations	3,226,650	2,532,564	(694,086)	78%
Total Research Appropriations	8,352,846	5,509,489	(2,843,357)	66%
Public Service				
State Special Project Appropriations				
Center for Native American Health	271,594	157,557	(114,037)	58%
Total State Special Project Appropriations	271,594	157,557	(114,037)	58%
Total Public Service Appropriations	271,594	157,557	(114,037)	58%
Clinical Operations				
State Special Project Appropriations				
Newborn Intensive Care Unit	3,283,396	1,895,425	(1,387,971)	58%
Office of the Medical Investigator	4,797,800	2,777,368	(2,020,432)	58%
Pediatric Oncology	1,282,197	742,350	(539,847)	58%
Poison and Drug Info Center	1,524,915	880,950	(643,965)	58%
Native American Suicide Prevention	100,000	58,332	(41,668)	58%
UNM Hospitals	13,691,800	7,736,302	(5,955,498)	57%
Total State Special Project Appropriations	24,680,108	14,090,727	(10,589,381)	57%
Tobacco Settlement Appropriations				
Pediatric Oncology	261,400	152,483	(108,917)	58%
Poison and Drug Info Center	590,300	344,342	(245,958)	58%
Total Tobacco Settlement Appropriations	851,700	496,825	(354,875)	58%
Total Clinical Operations Appropriations	25,531,808	14,587,552	(10,944,256)	57%



THE UNIVERSITY of NEW MEXICO

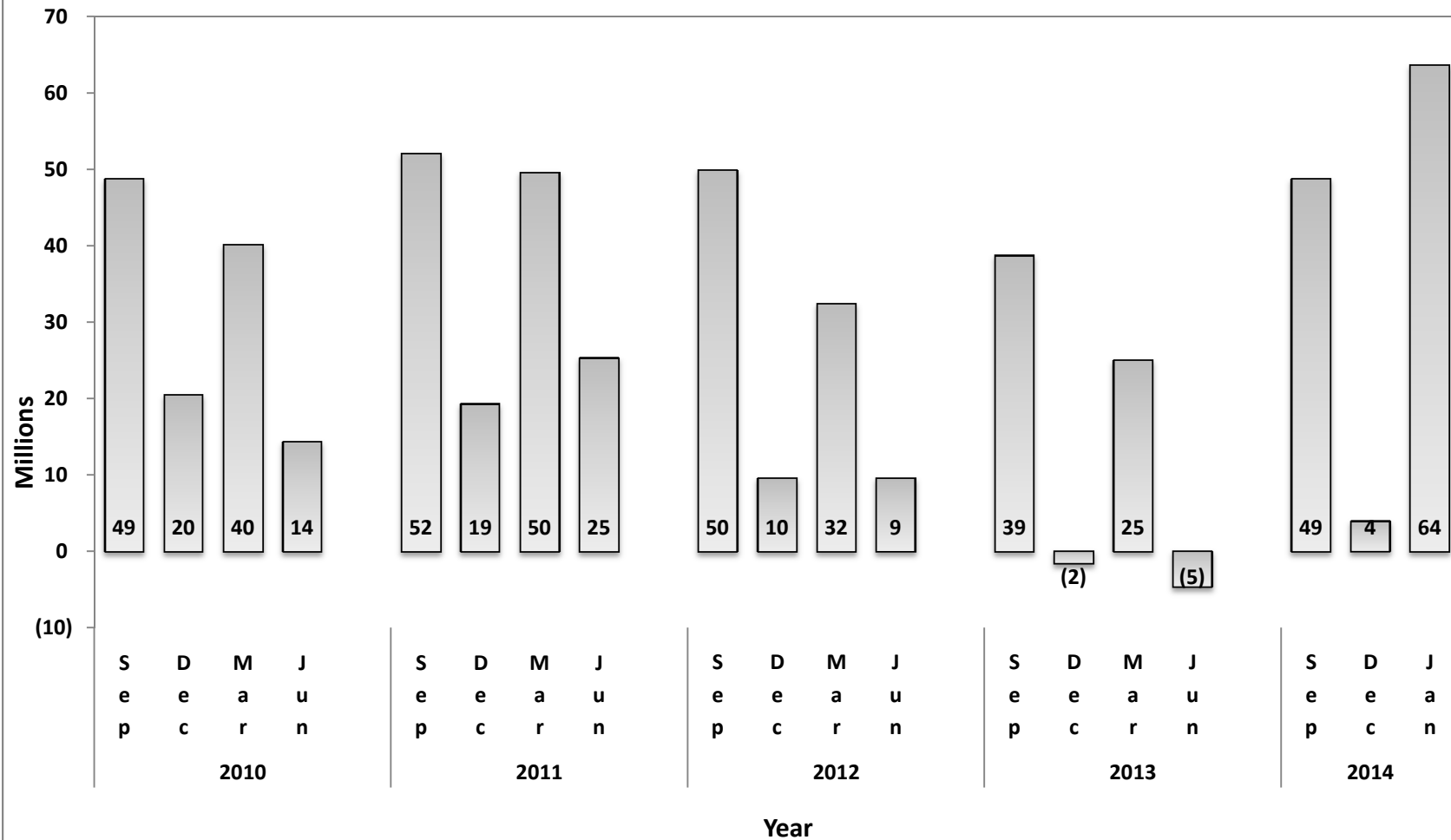
CONSOLIDATED Total Operations - 5 Year Net Revenue / (Expense)





THE UNIVERSITY of NEW MEXICO

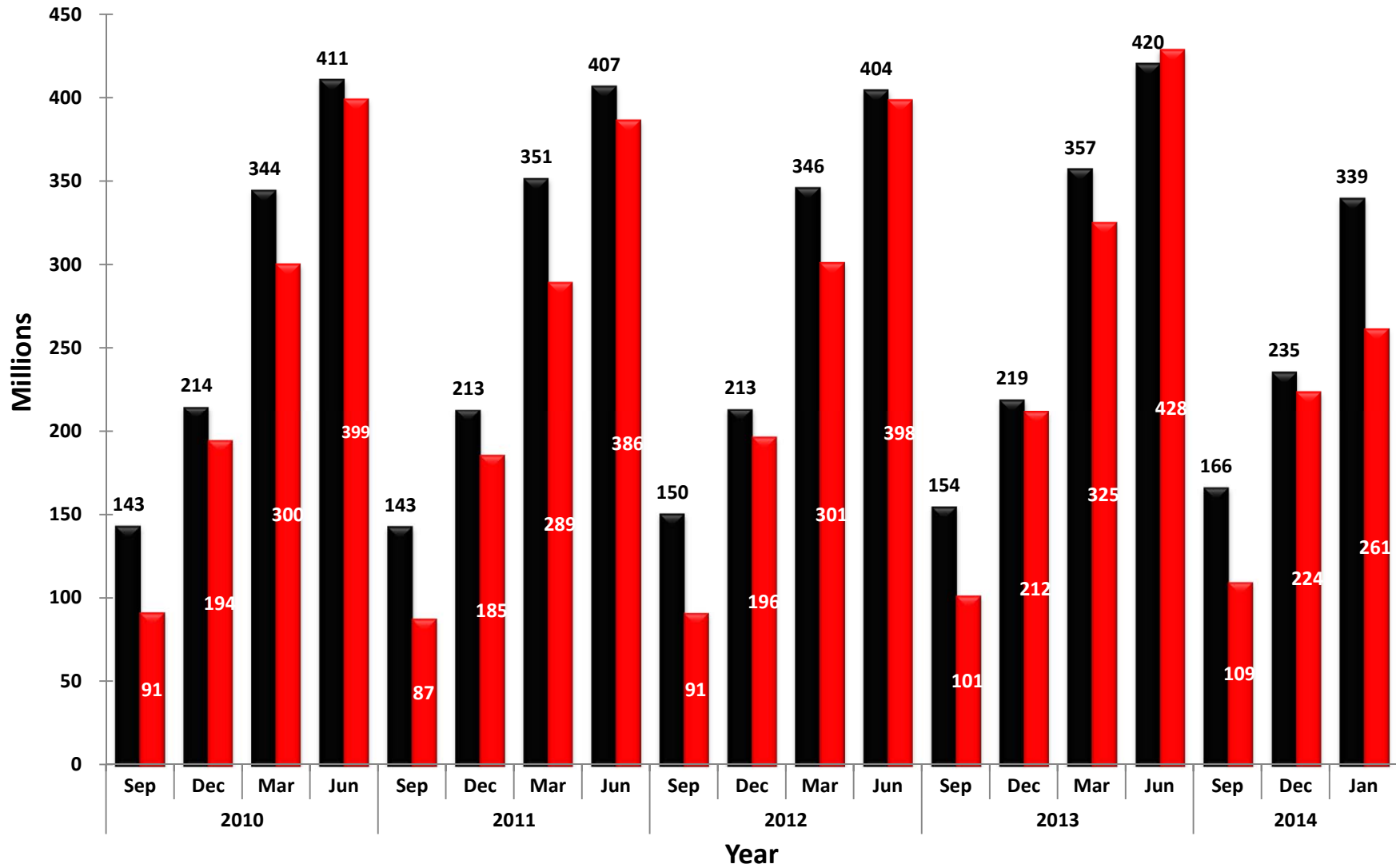
MAIN CAMPUS Operations - 5 Year Net Revenue / (Expense)





YTD I&G Consolidated Revenues / Expenses (5 Year)

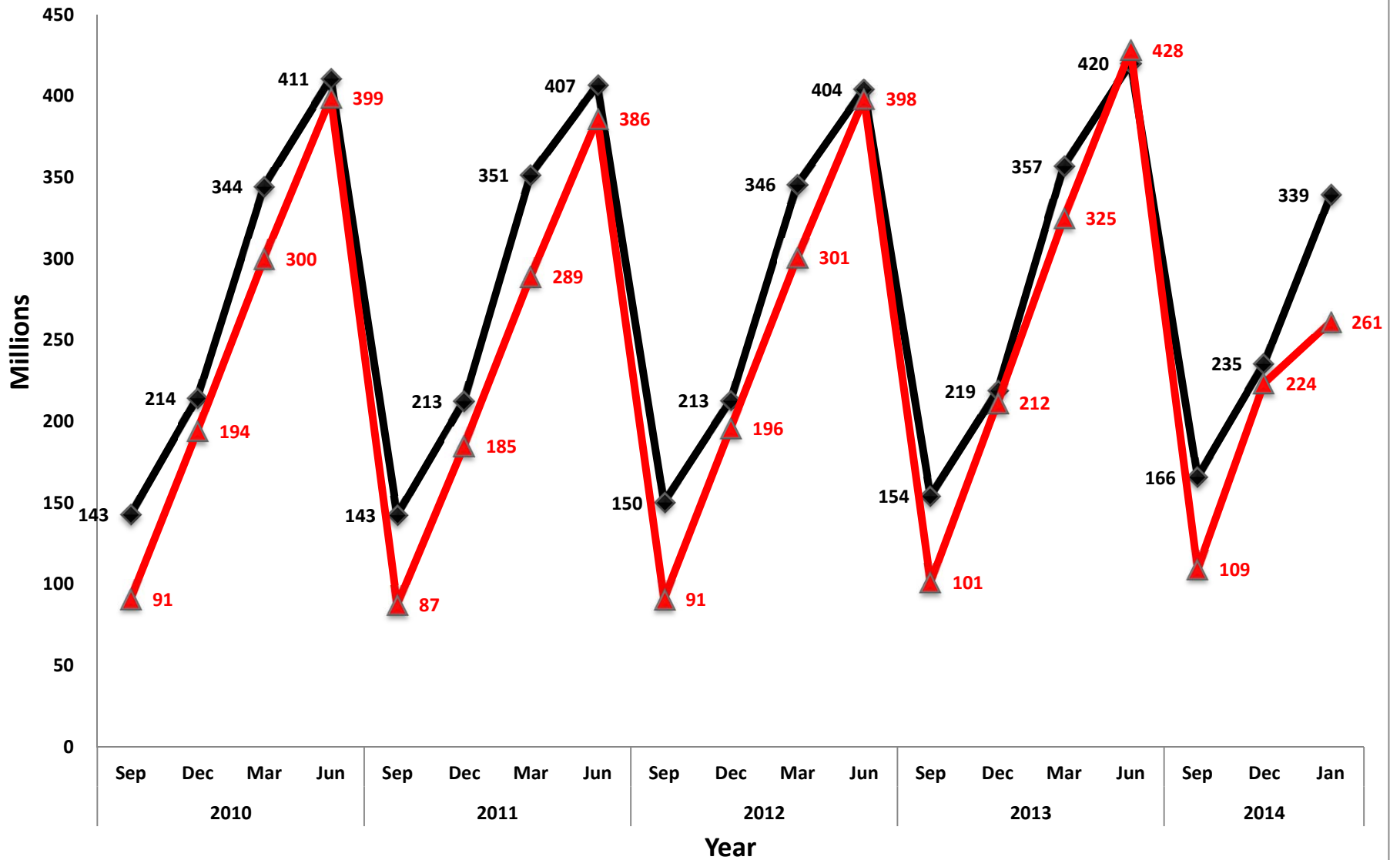
■ YTD I&G Consolidated Revenues
 ■ YTD I&G Consolidated Expenses





YTD I&G Consolidated Revenues / Expenses (5 Year)

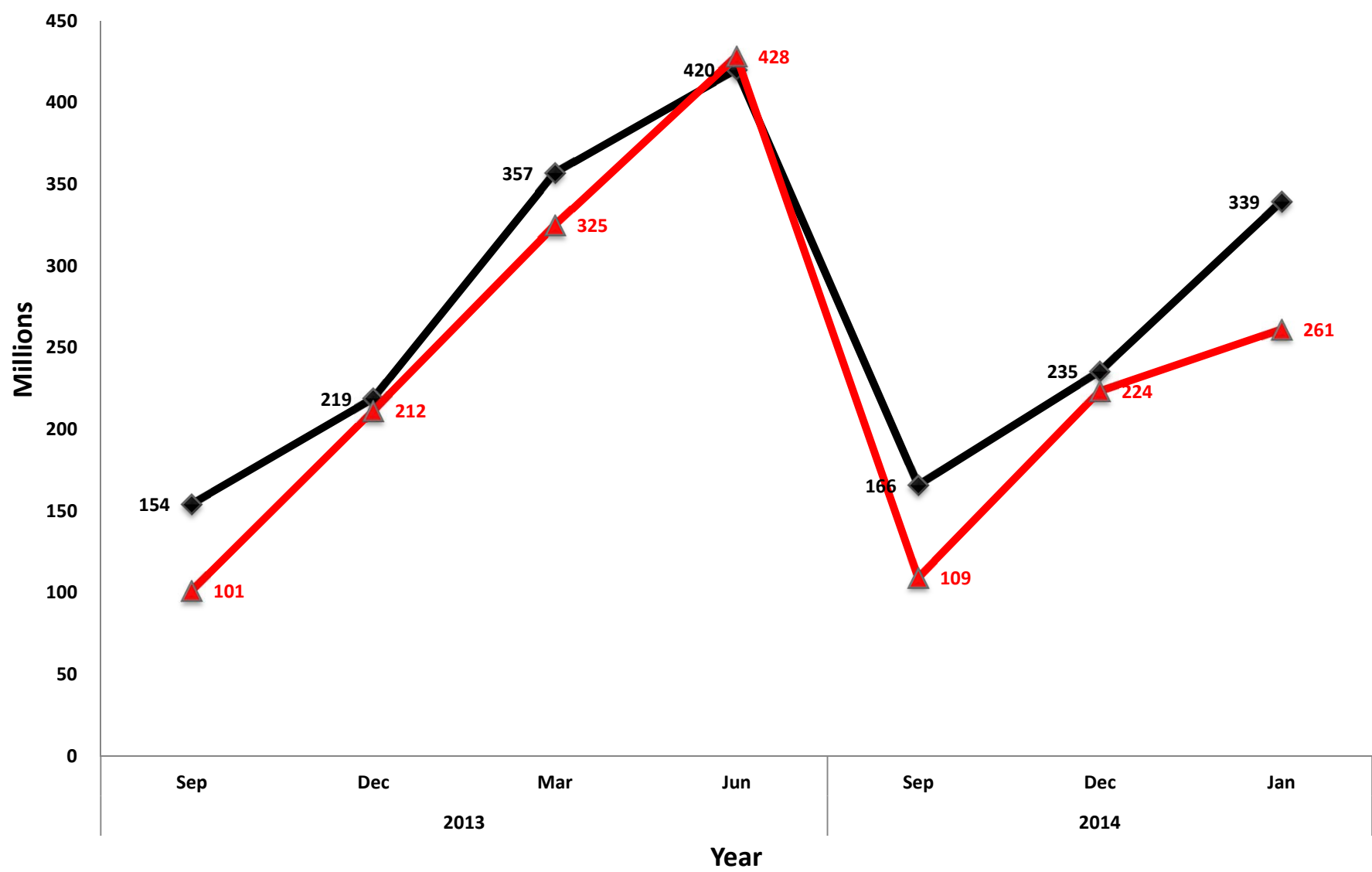
◆ YTD I&G Consolidated Revenues
 ▲ YTD I&G Consolidated Expenses





YTD I&G Consolidated Revenues / Expenses (2 Year)

◆ YTD I&G Consolidated Revenues ▲ YTD I&G Consolidated Expenses






University Services

University Services Business Operations	UNM Surplus Property
UNM Copy Center	UnivServ Shipping and Receiving
UNM Mailing Systems	UNM Inventory Control
UNM Records Management	Chem Stores/CRLS
http://www.unm.edu/~univserv/	Print Management Program

Date: February 14, 2014

To: Bruce Cherrin, Chief Procurement Officer
Purchasing Department

From: Debra L. Fondino 
Associate Director, University Services

Subject: Equipment Disposition – February 2014

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition Detail list for the month of February, 2014.

Consistent with UNM Board of Regents Policy 7.9 and the Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and Surplus Property Act.

Surplus Property Department
Disposition Detail List
as of 02/14/2014

UNM#	Department surplussing equipment	Description	Manufacturer	Model#	Serial#	Year	Acquisition Cost	NBV	Method Of Disposal	Disposal Date
(1) 205986	Pediatrics Neonatology Division	CENTRIFUGE REFRIGERA	Sorvall	RC3B	9400982	5/9/1994	\$14,808.00	\$0.00	Obsolete	2/14/2014
232946	IT Classroom Technologies	COMPUTER PROJECTOR	InFocus	LP7352	2Q8331638	9/30/1998	\$7,820.00	\$0.00	Obsolete	2/14/2014
(2) 241517	Parking Transportation Services	ADAPTER	T2	COMM. ADAPT	94863725	5/16/2000	\$12,635.00	\$0.00	Obsolete	2/7/2014
254521	IT Classroom Technologies	PROJECTION UNIT	Proxima	DP6860	ABPH14200292	3/15/2002	\$5,876.00	\$0.00	Obsolete	2/14/2014
254522	IT Classroom Technologies	PROJECTION UNIT	Proxima	DP6860	ABPH14200194	3/15/2002	\$5,876.00	\$0.00	Obsolete	2/14/2014
N00006663	PPR Faculty #16	Server	Dell	PowerEdge 28	6NYW791	2/1/2006	\$6,330.30	\$0.00	Obsolete	1/31/2014
N00012241	Individual Family Comm Educ IFCE	Presentation System Bundle	Epson	EMP-821 XGA	GDQG680198F	6/20/2007	\$8,833.00	\$0.00	Obsolete	2/7/2014
(3) N00012913	Gallup Computer Service Instruction	Wireless Network Control Manage	INX	NAC 3310	MX272001RM	7/30/2007	\$39,592.19	\$0.00	Obsolete	1/31/2014
N00021513	CRTC Fisc Svcs Med Rec Transcripti	0010010-FUJITSU SCANNER	Fujitsu	FI-6670	F6670002309	6/30/2009	\$8,022.63	\$0.00	Too Costly to Repair	1/24/2014

Disposition value: \$109,793.12

Disposition bookvalue: 0.00

Total Dispositon Items: 9

2/14/14

Additional Notes to Surplus listings dated 2/14/14:

1 – Asset# 205986 is a 1994 Centrifuge from the Department of Pediatrics Neonatology Division. It has become obsolete and will not hold temperature for refrigeration. The related incubator is rusted and cannot provide a sterile environment to culture cells and is therefore unusable in the lab. The entire unit will be inspected and decontaminated if necessary before disposition.

2 – Asset# 241517 is a 13-year old adapter that was used in Parking and Transportation Services (PATS) as a data transfer controller for an antiquated application. The item facilitated data transfer between handheld ticket writing computers and the application server. It has not been in use for over three years as the management application has been upgraded and handheld ticket writers now communicate wirelessly.

3 – Asset# N00012913 is a 2007 Wireless Network Control Manager from the Gallup Information Technology. This device became obsolete and was replaced during the IT infrastructure project completed by Main Campus IT in November 2013, along with the other devices already submitted on the 12/31/13 Surplus listing. The UNM-Gallup network was completely rebuilt with new equipment that is up-to-date and compatible with current technology and took almost a year to complete.

----- Note: Any item of value in this list will be re-sold through all means available, i.e.: Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to re-invest in the mission of the requesting department.



Office of Capital Projects

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEM TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: February 21, 2014
TO: David W. Harris, EVP for Administration, COO & CFO
FROM: Chris Vallejos, Associate Vice President, Institutional Support Services
RE: Requested Approval

RECOMMENDED ACTION:

Recommend to the Board of Regents Finance and Facilities Committee the following:

1. Request for Approval of Construction Manager at Risk Selection for Chemistry Building Renovations (Clark Hall)

Please see attached summary.

cc: Lisa Marbury, Interim Executive Project Director, Institutional Support Services
Vahid Staples, Budget Officer, Office of Planning, Budget & Analysis
Robert Doran, University Architect, Planning & Campus Development
R. Henrard, C. Martinez, C. Carian, T. Sanchez – OCP

**APPROVAL OF CONSTRUCTION MANAGER AT RISK SELECTION for
CHEMISTRY BUILDING RENOVATIONS (CLARK HALL)
UNIVERSITY OF NEW MEXICO
March 10, 2014**

RECOMMENDED ACTION:

As required by New Mexico State Statute, it is recommended that the Board of Regents approve the selection of the following general contractor to perform as Construction Manager at Risk for the Chemistry Building Renovations (Clark Hall). Project approval will be requested at a future meeting of the Board of Regents.

Recommended Firm: Jaynes Corporation General Contractors
Albuquerque, NM

PROJECT DESCRIPTION:

1. This project will include renovations to portions of Clark Hall, the primary residence for the Department of Chemistry and Chemical Biology. Clark Hall, which was constructed in 1951, and added onto in 1967, contains three stories plus a basement with a total of 81,339 gross square feet.
2. The project will primarily include: Renovations of 15,000 to 20,000 square feet of existing research laboratories, offices, and support spaces within the basement, second and third floors of the facility.
3. Existing heating, ventilation, and air-conditioning systems will be replaced along with new controls. New exhaust hoods will also be supplied in research and teaching Labs.
4. Current non-ADA compliant restrooms will be relocated, their size expanded, and they will be made ADA compliant.
5. Existing information technologies and communications systems will be replaced and expanded.
6. Electric power systems will be replaced as well as expanded.
7. New casework and countertops will be installed as well as new sinks and shelving.
8. This project will also supply the scientific equipment used to support the research and teaching being done in the building.
9. Office and lab furniture is included in the budget.

RATIONALE:

1. This recommendation is based on a three part published selection process whereby potential contractors were required to respond to a request for qualifications, provide a fee proposal, and if selected as a finalist, participate in a one hour interview/presentation before the Selection Committee.
2. The Selection Committee evaluated the qualification and fee proposals of the five respondents listed below in alphabetical order:
 - a. Bradbury-Stamm Construction
 - b. Flintco West, Inc.
 - c. Gerald Martin Construction

- d. Jaynes Corporation
- e. McCarthy Building Companies, Inc.
3. The top three firms were then invited to interview before the Selection Committee and are identified below in order of their ranking following the interviews:
 - a. Jaynes Corporation (\$1,117,245)
 - b. McCarthy Building Companies, Inc. (\$1,011,786)
 - c. Bradbury-Stamm Construction (\$1,076,786)
4. The selection criteria included expertise in the construction of laboratory and office facilities, experience with the CM at Risk process, and experience building in a university campus setting.
5. Jaynes contained the best team member skill set, expertise, and proposed work plans for this specialized project.
6. Jaynes was the only contractor that brought in specialized subcontractors (the mechanical and electrical engineers) to the interview. Furthermore, Jaynes was the only contractor that hired these subcontractors for the project, prior to the award of the contract, so that they could be included in the design process. This will save the project both time and money through all phases.
7. Jaynes provided a specialized concept of “Collaborative Scheduling” that allows the architect, engineers, and UNM to collaboratively co-create the entire project schedule. This helps to mitigate scheduling conflicts and schedule adjustments throughout the project.
8. The proposals of the top three firms were close, however Jaynes had the best interview and proposed strategy for this complex project in the view of the committee.
9. The Selection Committee consisted of:
 - Michael Reid, Group Manager, Office of Capital Projects
 - Christopher Carian, Project Manager, Office of Capital Projects
 - Jessie Loutrel Hart, Physical Plant Department
 - Bob Doran, University Architect, Planning and Campus Development
 - Louella Phillip Romero, UNM Information Technology

FUNDING:

The total estimated Project Budget is \$16,000,000:

- \$16,000,000 is funded from 2012 General Obligation Bonds



MEMORANDUM

TO: David Harris, Executive Vice President for Administration

FROM: Bruce Cherrin, Chief Procurement Officer
Jewel Washington, Interim Vice President of Human Resources

DATE: March 6, 2014

SUBJECT: Employee Health Benefits Proposal: Contract Approval

The following is being submitted for approval per Regent Policy 7.4. This will need to proceed to the full Board of Regents.

UNM Employee/Retiree Group Medical Benefits (excluding PBM Services)

In accordance with the University of New Mexico Request for Proposal RFP-1645-14, submittals were received by the January 31, 2014, 3:00 p.m. Mountain Standard Time deadline as follows:

1. Blue Cross Blue Shield of New Mexico a Division of Healthcare Services Corporation
2. EBMS-Aetna
3. Presbyterian Healthcare Services
4. UNM Health Sciences Center-Aetna-Meritain
5. UMR a United Healthcare Company

Summary of Selection Advisory Committee Activity

Proposals were distributed to the evaluators.

The Evaluation Committee consisted of Elaine Phelps, Mike Duran, Joey Evans, Jewel Washington (Non-scoring participant), Rob Schwartz (Retired Faculty), Karen Mann (Staff), and Therese Sears (HSC Staff). The Buyer for the project is Brett Sletten, who also acts as the Procurement Manager to the committee.

In accordance with appropriate selection criteria established for the procurement, the written proposals were evaluated for responsiveness to mandatory requirements as follows:

1. The purchase price, as well as the total long-term cost to UNM to acquire the offeror's services, including ASO fees and provider network discounts: **45 Points**
2. The quality, including performance guarantees and reputation of the offeror and of the offeror's services, to include the breadth and stability of the offeror's network: **20 Points**
3. Completeness and responsiveness of the offeror's proposal in meeting UNM's needs: **25 Points**
4. The financial strength of the offeror: **5 Points**
5. Wellness and Disease Management programs described in the proposal: **5 Points**

In accordance with appropriate selection criteria established for the procurement, the written proposal was evaluated for responsiveness to mandatory requirements.

Committee Recommendation

The committee recommends awarding contracts to Blue Cross Blue Shield of New Mexico, UNM Health Sciences Center Aetna/Meritain, and Presbyterian Healthcare Services as the highest ranked offerors.

Medical Proposal Rationale

- Provides employees with options
- Presbyterian/BCBSNM
 - BCBSNM provides access to Lovelace provider network hospitals and additional providers
 - Presbyterian (incumbent)
 - Operate same as current Presbyterian/Lovelace Plans
 - Employees will have access to LoboCare
 - Minimal disruption to employees
- HSC/Meritain:
 - Support of HSC Patient-Centered Medical Homes Model
 - Improved access
 - Lobo Care Plus provides deeper provider discounts

Challenge to Medical Proposal Rationale

- Positive enrollment: Every employee must reenroll in a medical plan

History

UNM’s Group Medical Plan has been self-insured since FY10 with a Prescription Drug carve-out

The following chart outlines UNM’s Past Cost Mitigation:

Fiscal Year	Cost Mitigation Strategy
2010	Became self-insured
2010	Independent claims audit
2011	Dependent eligibility audit
2011	Early Retiree Reinsurance Program
2012	Plan design changes: adopted coinsurance model for LoboCare Network; recalibrated tiers
2012	Retiree Health Care Task Force
2013	Preferred Professional Fee Schedule for UNM Medical Plan
2014	President’s Retiree Health Care Task Force, VEBA, Pre & Post-65 Retiree Premium Allocation Shift, Experience-Rated Premiums
2015	Medical RFP (BlueCross procurement of Lovelace)

Rising Cost of Health Care

UNM Specific Challenges

- Average employee age: 50
- Under age 65 retirees are on active plan
- UNM provides choices (3 provider networks): Lovelace, Presbyterian, & UNM Health System
- Healthcare Reform has increased costs by approximately 2.2%

Trends

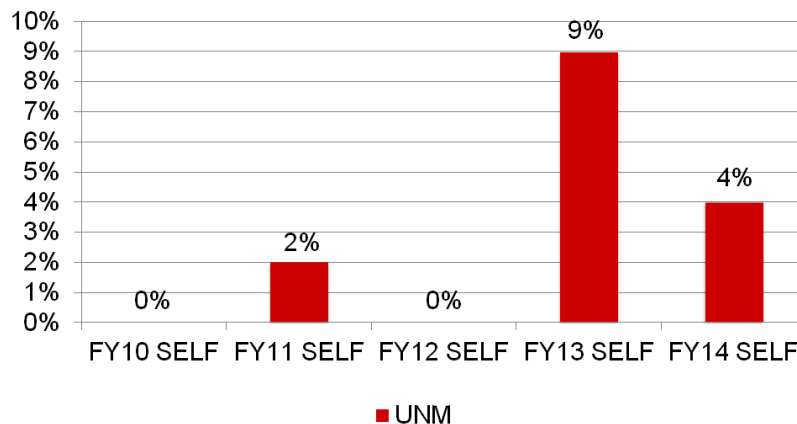
- UNM's premium increases have averaged 3% since self-funding began
- UNM's claim increases have averaged 10.4% since FY11
 - Excluding FY10 due to first year self-insured transition
- Segal national trend survey for FY14 projects cost increase of:
 - Medical without Rx 7.9%
 - Rx carve out 6.3%

The following chart reflects the current enrollment in UNM's Medical Plans:

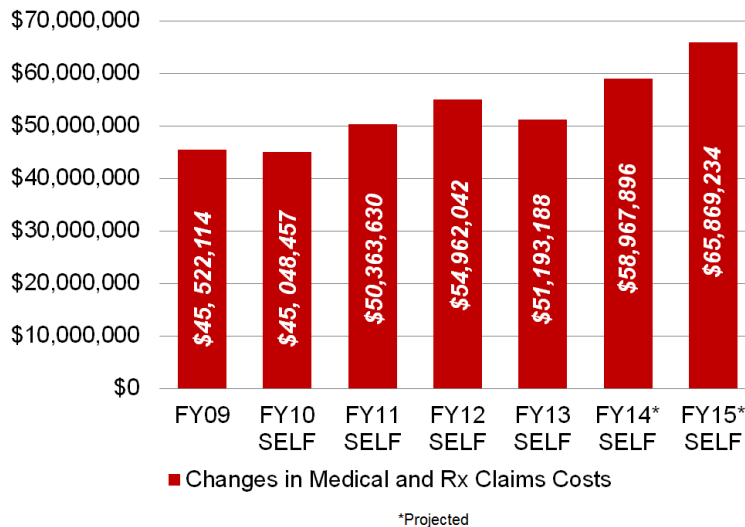
	Lovelace	Presbyterian
Active Employees	3,623	2,028
Active Dependents	3,624	2,176
Total Active Lives	7,247	4,204
Under 65 Retiree	353	238
Under 65 Retiree Dependents	251	201
Total Under 65 Retiree Lives	604	439
Total UNM Medical Plan Lives	7,851	4,643
Medical Plan Employees and Retirees	6,242	
Medical Plan Dependents	6,252	
Grand Total Medical Plan Lives	12,494	

Note: Numbers are UNM only and do not include Affiliates

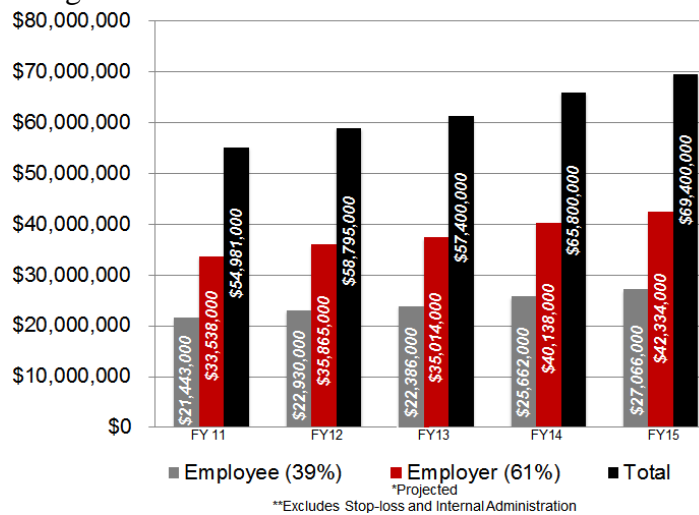
The following chart reflects changes in average premiums:



The following chart reflects changes in Medical and Rx Claims costs:



The following chart reflects changes in Medical and Rx Total costs:



Calendar of Events

Date	Event
March 2014	<ul style="list-style-type: none"> Award Contracts (Purchasing) Begin Negotiating Contract Details Receive Draft Summary of Benefits from Vendors Finalize Projected Contribution Rates for UNM and Employees Banner updates/changes must be completed and tested
March - June 2014	<ul style="list-style-type: none"> Prepare New Plan Document Facilitate Vendor Implementation
April 2014	<ul style="list-style-type: none"> Communicate with Benefits-Eligible Employees Begin Open Enrollment <ul style="list-style-type: none"> Occurs before faculty leave campus for summer
May 2014	<ul style="list-style-type: none"> Audit and Finalize Open Enrollment
June 2014	<ul style="list-style-type: none"> Finalize Vendor Contracts
July - September 2014	<ul style="list-style-type: none"> Complete Post Implementation Audit



Office of the Senior Executive Officer
Finance & Administration
Health Science Center
1 University of New Mexico
MSC09 5300
Albuquerque, NM 87131

To: Dr. Paul Roth, MD, MS, FACEP Chancellor for Health Sciences
David Harris, Executive Vice President, Administrative Office

From: Ava J. Lovell, CPA *Ava J. Lovell*
Senior Executive Officer for Finance & Administration HSC

Subject: Action item for F&F agenda on March 7, 2014

Date: February 27, 2014

Please find the attached proposal with supporting documents requesting revisions to UNM Administrative levels of authority and approvals for several types of business transactions.

Background

Regent policies 7.4, 7.9, 7.11 and 7.12 were last revised in 1996 when the entire UNM Budget for all campuses, including Plant funds and UNM Hospitals was **\$884 million**. Our Fiscal year 2014 consolidated budget for all units is nearly triple that amount at **\$2.54 billion**.

Along with the budget increase, the complexity of our operations has dramatically increased, driving the need for streamlined decision-making and administration. Couple this need while maintaining high level internal controls due to the benefit of vastly superior administrative and financial IT systems that allow real-time audit and electronic approval controls.

UNM Executive Administration across all campuses are in agreement that Regent policies governing levels of authority should be updated to better align with current business practices.

I respectfully request this item be placed on the March 7, 2014 F&F agenda as an action item for approval and recommendation to the Board of Regents.

UNM Levels of Authority in the Ordinary Course of Business

Legend: 'A' signifies approval; 'I' signifies information

Current Policy/Practice	Proposed Policy/Practice	Limitations	Peer/Other Institutions	Required by/Change to	Chan /EVP*	Pres	FACC	BoD	F&F*	BoR
**** Recommended by HSC BoD, November 8, 2013 except for Financial Settlements Change ****										
Financial Settlements										
Financial settlement (see definition) of less than \$50,000 approved by Chancellor/EVP*	Financial settlements less than \$400,000 approved by the Chancellor/EVP* and final approval by the President	With an appropriate risk assessment.	Varies widely, ranging from no mention of authority delegation to complete delegation to administration.	Regents Policy 7.8	A	A				
Financial settlement of more than \$50,000 but less than \$200,000 approved by President										
Financial settlement of more than \$200,000 approved by BoR	Financial settlement of more than \$400,000 approved by BoR	With an appropriate risk assessment. Exception -- settlements paid by the State of New Mexico Risk Management.	Same as above.	Regents Policy 7.8	A	A	A	A	A	A
Academic Operations										
Affiliation Agreements with patient care facilities to provide educational opportunities. Chancellor approval.	Chancellor approval. No change		Not specifically delineated in available peer institution data.	Regents Policy 7.8	A					
Finance: Purchases/Contractual Expenditures/Leases of Equipment (non-occupancy/moveable property)										
Purchases more than \$500,000 must be approved by Full BOR.	Purchases of more than \$750,000, approval by Chancellor/EVP*.		Average is about \$1 million, some approve none, others only Sole Sources or Personal Services.	Regents Policy 7.4. Proposed practice, policy revision required	A	I				
Not in current policy.	Purchases up to \$1,000,000 approval by Chancellor/EVP* and President.		Same as above.	Regents Policy 7.4. Proposed practice, policy revision required	A	A	I		I	
Not in current policy.	Purchases More than \$1,000,000 that were specifically approved as a line item in the annual budget approval process.	Chancellor/EVP* will approve and verify purchase was approved in the budget process. President approval needed.	Same as above.	Regents Policy 7.4. Proposed practice, policy revision required	A	A	I		I	
Not in current policy.	Purchases more than \$1,000,000 not specifically approved as a line item in the annual budget approval process.	BoR approval.	Same as above.	Regents Policy 7.4. Proposed practice, needs policy revision	A	A	A	A	A	A
*: signifies Main/Branch Campus EVP approval and the appropriate committee route through F&F										

UNM Levels of Authority in the Ordinary Course of Business

Legend: 'A' signifies approval; 'I' signifies information

Current Policy/Practice	Proposed Policy/Practice	Limitations	Peer/Other Institutions	Required by/Change to	Chan /EVP*	Pres	FACC	BoD	F&F*	BoR
**** DRAFT March 7, 2013 FOR DISCUSSION ONLY ****										
Capital Projects (see definitions)	Capital Projects (see definitions)									
Selection of Architects for major projects costing \$500,000 or less	Remove this requirement and include it in Capital Project concept/plan approval, below. The UNM bidding process which complies with the State Procurement Code and UNM Administrative policies ensure proper procedures are followed in selecting Architects.	HED and SBOF do not require separate approval of Architects	All approve as part of the project, none require separate Regent approval.	Regents Policy 7.11 Proposed practice, needs policy revision						
For all capital projects exceeding \$300,000 (\$50,000 for Branches): Project concept and plan approval for the addition of square footage, construction of a new building, alteration of an existing building, site improvement or major project including architectural and engineering requirements.	No change in current policy. This step is the Board of Regents approval that is required by the HED and SBOF.	HED requires approval of Major projects exceeding \$300K and SBOF requires approval of Major projects exceeding \$750K.	Average is about \$1 million, high is \$5 million, low is \$250K. Some approve all, some approve as part of the capital budget process only.	New Mexico Administrative Code 5.3.10 and 2.70.4 and Regents 7.12	A	A	A	A	A	A
Project revisions if the total cost increases by 10% or if the size increases by more than 10% or 1,000 NSF; or if the type or purpose of the space changes by 10% or more.	No change in current policy.	HED and SBOF require additional approval for 10% increases.	Not specifically delineated in available peer institution data.	New Mexico Administrative Code 5.3.10 and 2.70.4 and Regents 7.12	A	A	A	A	A	A
Real Estate	Real Estate									
Occupancy/Lease Agreements. Regent's Policy, approval required if the annual amount exceeds \$100,000.	Occupancy/Lease Agreements. Annual lease payments exceed \$250,000 or the multi-year aggregate lease commitment exceeds \$1,250,000.	Not required by NM Statutes for Higher Education.	Average about \$1 million annual lease. Some require no approval, UNM is lowest	Regents Policy 7.9, Not required by NM Statutes; proposed practice will require Regents Policy revision	A	A	A	A	A	A
Purchase, sale, or transfer	No Change.		Most require 100% Real Estate purchase/sale or transfer approval.	New Mexico Administrative Code 5.3.10 and 2.70.4 and Regents 7.9	A	A	A	A	A	A
Moveable Property/Equipment/Furniture and Fixtures	Moveable Property/Equipment/Furniture and Fixtures									
Disposition of surplus property	Change to F&F approval only. After review by Property Manager and Chief Procurement Officer	All items must be reviewed by a committee of three Regents.	Not specifically delineated in available peer institution data.	NMSA 1978 13-6-1, 2	A	I			A	
*: signifies Main/Branch Campus EVP approval and the appropriate committee route through F&F										

ITEM #	TERM	NARRATIVE DEFINITION
1.0	Financial Settlement	An amount paid to settle a legal liability or claim. UNM would be released from liability as the result of a financial settlement.
1.1	Appropriate Risk Assessment	A written assessment of the appropriateness of the amount of the settlement and an assessment of the cost of litigation if the matter were not settled.
2.0	Affiliation Agreements	Letters or memorandums of agreement with no financial cost or remuneration usually in regards to preceptorships for health education students.
3.0	Purchases/Contract Expenditures/Equipment Leases	Purchases of supplies, materials, equipment and services, including leases of equipment.
4.0	Capital Project	Refers to construction of a building, building addition or land/site improvement undertaking where the undertaking results in at least one of the following three occurrences: (1) additional square feet of usable space, (2) the purpose of the space is completely changed or (3) the life of the site improvement or building is significantly extended; and the budget for that undertaking is expected to be greater than \$300,000. The majority of the costs incurred for this undertaking will be recorded in the accounting records as an asset.
4.1	Repair, Replacement or Maintenance Project	If the the majority of costs for the undertaking would not be capitalized as an asset in the accounting records, the undertaking is a repair/maintenance project and not a capital project. Examples: replace a roof, repair stucco, replace HVAC unit, update/renovate an existing lab or office but does not change the purpose of the space. Per NMAC 5.3.10 and 2.70.4 this category also includes the demolition of a building for HED purposes but not for SBoF purposes.
4.2	Major Remodeling Project	Refers to a Capital Project specifically for construction in a building or building addition that significantly extends the life of the structure (adds 50% or more to the original life) or completely changes the purpose of the structure.
4.3	Major Project	Refers to any project funded in whole or part by capital outlay legislation signed into law which costs over \$750,000; or any project funded exclusively by sources other than legislative appropriations which costs over \$300,000.
4.4	Capital outlay legislation signed into law	A project typically funded in a stand-alone capital outlay bill passed by the legislature, signed by the governor using General Fund Surplus, General Obligation Bonds or Severance Tax Bonds.
4.5	Sources other than legislative appropriations	At UNM these funds are typically auxiliary (bookstore, housing, athletic, etc.) clinical patient care funds or grant/contract funds including Federal sources.

PROLEADERSHIP GROUP				
	Architect/Engineer		Construction	Large Purchases
Arizona State University	No approval needed, included in project		Board approval for projects > \$5 million (only project not specific contracts)	Board approval ONLY for Lease/purchase agmts > \$1 million Technology purchases > \$1 million by Bus & Fin Comm
California State-Fullerton	Project approval only		Project approval only	None
University of Colorado	Approval by Chancellor		Board approval as part of budget process, contracting done at campus level	President approval > \$5 million Procurement Dept < \$5 million
Indiana University	Board notification only		Trustees and State approval projects > \$1.5 million	Board notification only, unless project uses student fees > \$750K
Johns Hopkins University	All purchases delegated to administration		Board approval for projects > \$2 million, contracts delegated to administration	All purchases delegated to administration
University of Kentucky	Trustees approval all projects > \$600K (A&E included in project)		Trustees approval all projects > \$600K (A&E included in project)	Board approval ONLY for lease/purchases > \$250K and Real Property leases > \$100K
University of Nebraska-Lincoln	Board approval for project plans at @ \$2 million including A&E		Board approval for project plans at @ \$2 million--do not approve contracts, only reported to them	Board approval ONLY for sole sources & personal services > \$400K
Penn State University	President approval		Board approval for all projects, contracts delegated to administration	President approval
University of Utah	http://higheredutah.org/sbr/policy/pdf/R710.pdf		Trustees approval projects > \$250K	
Virginia Tech University	President approval		Board approval for all projects, contracts delegated to administration	President approval
Washington	Delegated to administration Purchases > \$1 million reported to Board quarterly		Delegated to administration Purchases > \$1 million reported to Board quarterly	Delegated to administration Purchases > \$1 million reported to Board quarterly

NEW MEXICO COLLEGES					
	Architect/Engineer		Construction		Large Purchases
CNM	Board approval > \$250K		Board approval for projects > \$200K, once for budget, another time for contractor		
Eastern New Mexico University	Board approval > \$250K		Board approval > \$250K		Board approval > \$250K
Luna Community College (LV)			Board approval for projects > \$2000K		Board approval for projects > \$2000K
NM Highlands University	Board approval > \$500K		Board approval > \$500K		Board approval > \$500K Information for sole source > \$20K
NM Tech	Approval for State Funds > \$250K Notification for Federal Funds > \$100K		Approval for State Funds > \$250K Notification for Federal Funds > \$100K		Approval for State Funds > \$250K Notification for Federal Funds > \$100K
NM State University	Notification for > \$100K		Board approval > \$300K		Notification for > \$10K
San Juan College (Farmington)	Approval for > \$75K		Approval for > \$75K		Approval for > \$75K

2014 F&F Athletics Presentation



2014 F&F Athletics Presentation



The Mission of UNM Athletics

The University of New Mexico Athletics Department is committed to student-athlete success and competitive excellence by creating an inclusive environment through recruiting, developing, and retaining quality people; providing a great fan experience; inspiring community engagement; and serving as a source of pride for New Mexico.

2014 F&F Athletics Presentation



Core Values

These are the core values of UNM Athletics. They guide our actions and our decision-making, and how we conduct ourselves as a department.

- **Student-Athlete Experience** - We provide an environment that promotes personal, academic, athletic, and social development. We prepare Lobos for Life!
- **Integrity** - We are honest, respectful, and accountable.
- **Excellence** - We maximize our efforts and talents to ensure success of the Lobos.
- **Respect** - We value the importance of diversity, fairness, goodwill, and sportsmanship.
- **Lobo Pride** - We support an atmosphere that embraces school spirit, honors tradition, and develops Lobos for Life.
- **Community and Campus Engagement** – We are dedicated to integrating our activities and volunteerism into campus life and the community.

2014 F&F Athletics Presentation



UNM Athletics Goals

- Goal 1 ACADEMIC SUCCESS:** Support student-athletes in the development and achievement of their educational goals.
- Goal 2 BRANDING:** Extend the athletics brand into visions of strength, accomplishment, positive memories, and academic endeavors.
- Goal 3 COMMUNICATIONS:** Improve communications with all UNM constituents.
- Goal 4 COMMUNITY & CAMPUS ENGAGEMENT:** Be an active leader to develop and build long-lasting relationships for success.
- Goal 5 COMPETITIVE EXCELLENCE:** Relentlessly strive to be the best every day.

2014 F&F athletics Presentation



UNM Athletics Goals

- Goal 6 FACILITIES:** Provide superior venues to attract student-athletes, coaches, fans, and premier events.
- Goal 7 FINANCIAL STABILITY:** Be fiscally responsible and accountable to our constituents.
- Goal 8 HUMAN RESOURCES:** Recruit, hire, compensate, develop, retain, recognize, and reward outstanding employees.
- Goal 9 INTEGRITY:** Be honest, respectful, and accountable.
- Goal 10 STUDENT-ATHLETE EXPERIENCE:** Provide an environment that promotes personal, academic, athletic, and social development; Prepare Lobos for Life!

UNM Student-Athlete Academic Highlights 2012-2013



- School Record 5 Academic All-Americans
- 2012 Athletics Federal Graduation Rate: 60%
- NCAA Graduation Success Rate (GSR): 74%
- Cumulative GPA of 3.23 for Spring 2013
- 30 student-athletes carry a cumulative GPA of 4.0 or better
- #1 in MWC with 132 Scholar-Athlete Award winners
- #1 in MWC with 163 All-Academic Award winners
- 99 graduates in the most-recently completed academic year

2013-2014 Highlights to date



5 Conference Players of the Year/Individual Honors

5 Conference Team Champions

5 Conference Coaches of the Year

12 All-Americans

1 Academic All American

Record Fall GPA of 3.25

38 student athletes with a cumulative GPA of 4.0 or better

Ave 4 year SA Grad Rate of 55%-compared to 45% for student body

3 National Finishes

Women's Cross Country	NCAAs	10th
Men's Cross Country	NCAAs	11th
Men's Soccer	NCAAs	Tied for 3 rd
Skiing	NCAAs	???
Men's Track	NCAAs	???



LEARFIELD SPORTS

Year	Finish	Non-BCS	Mountain West
2006	59 th	6 th	4 th
2011-12	41 st	2 nd	1 st
2012-13	51 st	3 rd	1 st
2013-14 (Fall)	17 th	2 nd	1 st

National Finishes in 2013

Men's Golf	T-5 th
Skiing	6 th
Women's Cross Country	10 th
Men's Cross Country	11 th
Men's Outdoor Track & Field	28 th
Men's Soccer	Final Four
Men's Basketball	2 nd Round
Men's Indoor Track & Field	49 th
Baseball	T-49 th

FY14 Revenue

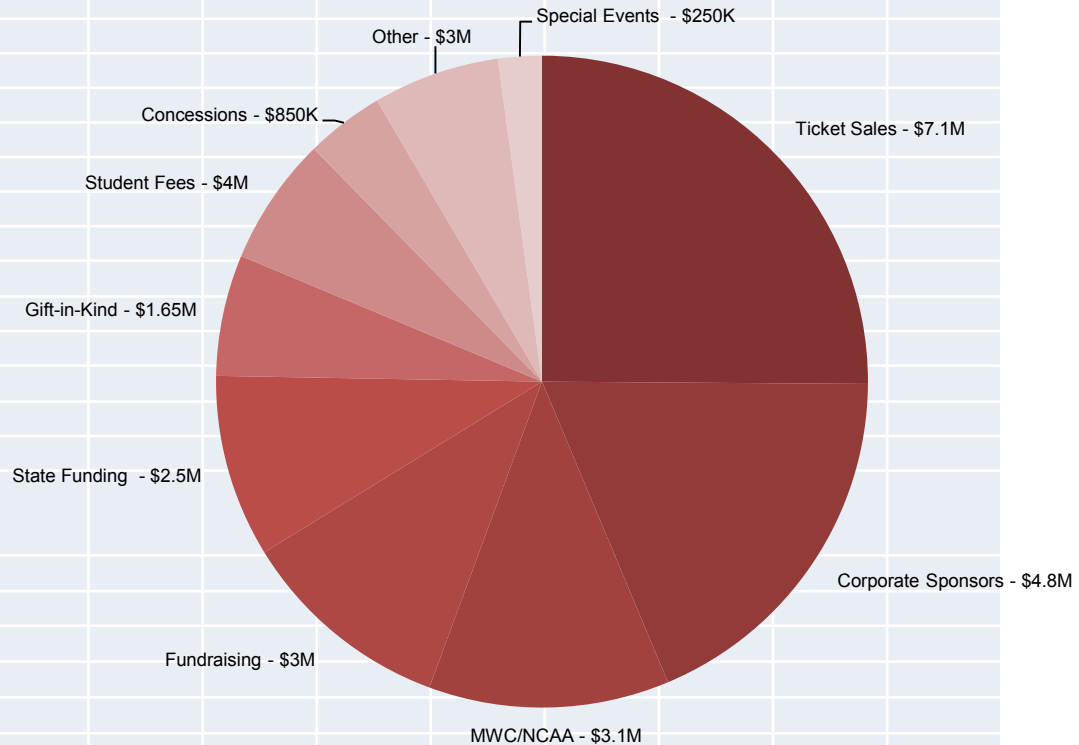


FY14 Budget

Revenues	Amount
Ticket Sales	\$ 7,114,160
Corporate Sponsors	\$ 4,846,000
Other**	\$ 3,035,854
MWC/NCAA	\$ 3,100,000
Student Fees	\$ 4,000,000
Fundraising	\$ 3,050,000
State Funding	\$ 2,538,300
Gift-in-Kind	\$ 1,650,000
Concessions	\$ 850,000
Special Events	\$ 250,000
TOTAL	\$ 30,434,314

**Other includes game guarantees, merchandise and parking revenues

FY14 Athletics Budgeted Revenue



FY14 EXPENSES

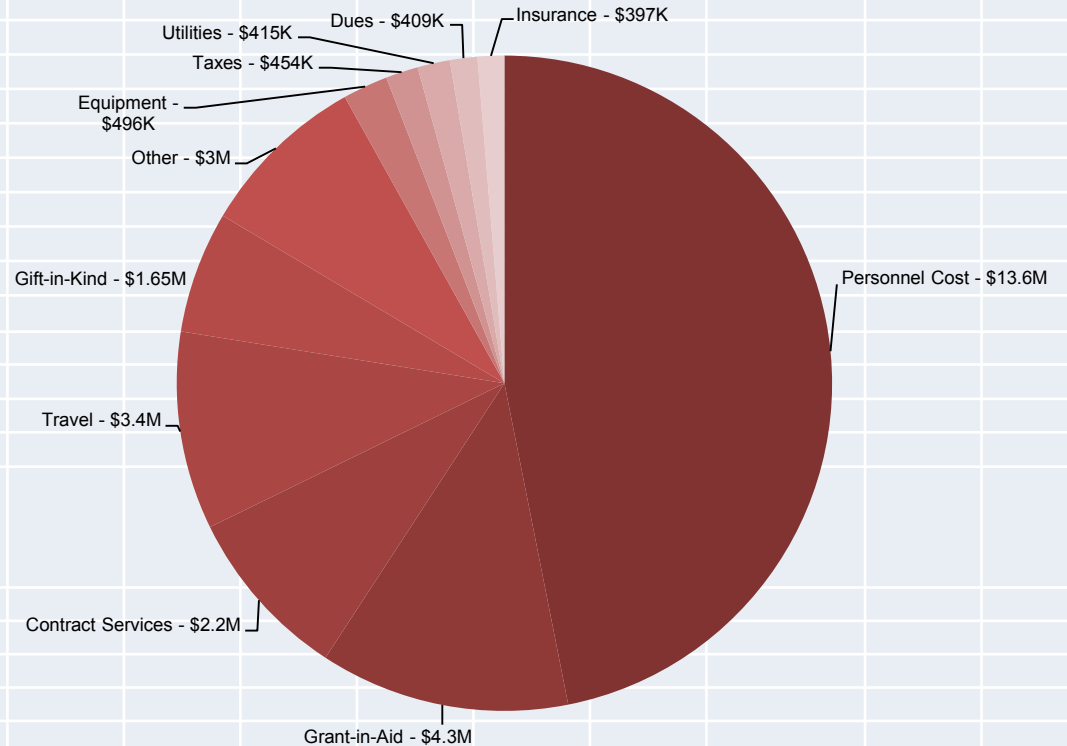


FY14 Budget

Expenses	Amount
Personnel Cost	\$ 13,646,442
Grant-in-Aid	4,322,053
Travel	3,425,972
Other**	3,050,965
Contract Services	2,167,277
Gift-in-Kind	1,650,000
Equipment/Materials	495,728
Taxes	454,458
Utilities	415,000
Insurance	397,014
Dues	409,405
TOTAL	\$ 30,434,314

**Other includes game guarantees paid, telecom, supplies, copier, computer maintenance, etc.

FY14 Athletics Budgeted Expenses



Student Tailgate at Lobo Football



Mountain West Student Fee / Institution / Government Support Comparison Chart



School	FY 13 Student Fees (1)	FY 13 Institution & Government (1)	Total State and Student Fees	Current Learfield 2014 Ranking /Competitive Success	Learfield Final Ranking 2013
University of New Mexico	3,079,000	3,400,000	6,479,000	17	51
University of Nevada	2,424,570	6,000,000	8,424,570	NR	257
Boise State University	3,227,977	6,000,000	9,227,977	109	83
Fresno State University	4,063,999	5,900,000	9,963,999	148	192
San Jose State University	5,689,727	6,100,000	11,789,727	NR	106
Colorado State University	5,008,595	7,000,000	12,008,595	49	150
Utah State	3,960,877	9,000,000	12,960,877	133	173
University of Wyoming	1,411,602	12,000,000	13,411,602	NR	182
San Diego State University	9,622,766	7,500,000	17,122,766	89	60
University of Nevada Las Vegas	2,527,911	18,000,000	20,527,911	148	87
Air Force Academy	438,813	23,000,000	23,438,813	76	73
General Note: In Total State & Student Fees order from Low to High					

(1) Mountain West Conference Schools(MWC). Source: The Mountain West Conference

Mountain West Student Fee / Institution Comparison Chart



MWC School	FY 13 Student Fees
University of Nevada	2,424,570
Boise State University	3,227,977
Fresno State University	4,063,999
San Jose State University	5,689,727
Colorado State University	5,008,595
Utah State	3,960,877
University of Wyoming	1,411,602
San Diego State University	9,622,766
University of Nevada Las Vegas	2,527,911
Average	4,215,336

General Note: Average omits the University of New Mexico and the Air Force Academy

UNM 2012-13 Student Fee: \$3,079,000

UNM 2013-14 Student Fee \$4,000,000



UNM STUDENT FEES

2011-12	\$1.8 million
2012-13	\$3.079 million
2013-14	\$4.0 million



USA TODAY SPORTS™

LEARFIELD SPORTS DIRECTORS' CUP

The Directors' Cup was developed as a joint effort between the National Association of Collegiate Directors of Athletics (NACDA) and USA TODAY. These are the Division I standings. Standings published mid-season are unofficial. For complete standings and scoring structure, go to www.nacda.com.

1. Stanford	461.25
2. Michigan State	382.50
3. Florida State	351.50
4. North Carolina	333.00
5. Virginia	323.00
6. Penn State	315.00
6. Wisconsin	315.00
8. Notre Dame	310.00
9. Duke	293.00
10. Colorado	286.00
11. Brigham Young	265.00
12. Washington	258.00
13. Michigan	256.00
14. Oregon	255.50
15. Southern California	228.00
16. UCLA	222.50
17. New Mexico	216.50



18. Georgetown	216.00
19. Arkansas	213.50
20. Providence	208.50
21. Maryland	198.00
21. Texas A&M	198.00
23. Texas	197.50
24. Oklahoma State	195.00
25. Indiana	193.50

USA Today Sports: Learfield Sports Directors' Cup Budget Comparison of Learfield's Top 25 Through Fall 2013

1. Stanford	NA (Private)	14. Oregon	\$94M
2. Michigan St.	\$93M	15. USC	NA (Private)
3. Florida St.	\$100M	16. UCLA	\$71M
4. North Carolina	\$82M	17. New Mexico	\$31M
5. Virginia	\$80M	18. Georgetown	NA (Private)
6. Penn State	\$108M	19. Arkansas	\$99M
7. Wisconsin	\$103M	20. Providence	NA (Private)
8. Notre Dame	NA (Private)	21. Maryland	\$68M
9. Duke	NA (Private)	22. Texas A&M	\$119M
10. Colorado	\$57M	23. Texas	\$163M
11. BYU	NA (Private)	24. Oklahoma St.	\$87M
12. Washington	\$82M	25. Indiana	\$72M
13. Michigan	\$140M		



GO LOBOS!



The University of New Mexico

Purchasing Department
MSC01 1240
1 University of New Mexico
Albuquerque, NM 87131-0001
Telephone (505) 277-2036
FAX (505) 277-7774

MEMORANDUM

To: David Harris, Executive Vice President for Administration
From: Bruce Cherrin, Chief Procurement Officer *BC*
Subject: Contract Information
Date: February 21, 2014

The following contracts have been submitted for information to the Regents Finance and Facilities Committee per Regents Policy 7.4.

President's Office – Cirra, Inc.

RFP #1507-12 was issued to provide Executive Consulting Services to the President for transition and leadership management planning and creation of the Future Picture-UNM 2025.

Deliverables included:

- Facilitate dialogue with UNM leadership pertaining to the alignment of University planning initiatives with overall strategic management approach to University leadership and Governance.
- Delineate data architecture, sources and software necessary to develop an integrated performance monitoring program.
- Provide physical and virtual technology and services necessary to create the future Picture-UNM 2025.

Original date of contract of this RFP was June 4, 2012 in the amount of \$130,000 plus pre-approved out of pocket expenses. There were two amendments to this contract dated February 5, 2013 and June 4, 2013 to expand the scope of work and completion due date to ensure the plan was finished and such implementation and performance monitoring is established.

Source of Funding – President's Office Operating index 031001

Total Cost: \$285,075



Office of Capital Projects

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEM TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: February 21, 2014

TO: David W. Harris, EVP for Administration, COO & CFO

FROM: Chris Vallejos, Associate Vice President, Institutional Support Services

RE: Office of Capital Projects Executive Summary Report

INFORMATION ITEM:

The attached report is submitted for information only.

1. Office of Capital Projects Executive Summary Report dated March 2014

cc: Lisa Marbury, Interim Executive Project Director, Institutional Support Services
Rick Henrard, Interim Director, Office of Capital Projects
Tony Sanchez, Associate Project Manager, Office of Capital Projects
Christina Martinez, Unit Administrator, Office of Capital Projects

UNM Capital Projects Executive Summary Report

As of March 2014

All Projects

<i>Total Active Projects</i> <u>40</u>	<i>Total Funding</i> <u>\$50,139,822</u>	<i>New Projects</i> <u>3</u>
<i>Total SF</i> <u>358,171</u>	<i>Total Cost</i> <u>\$73,038,322</u>	<i>Completed Projects</i> <u>4</u>

Major Capital Projects > \$500,000

<i>Total Active Projects</i> <u>13</u>	<i>Total Funding</i> <u>\$47,195,859</u>	<i>New Projects</i> <u>1</u>
<i>Total SF</i> <u>314,499</u>	<i>Total Cost</i> <u>\$70,094,359</u>	<i>Completed Projects</i> <u>0</u>

Minor Capital Projects between \$1000 and \$500,000

<i>Total Active Projects</i> <u>27</u>	<i>Total Funding</i> <u>\$2,943,963</u>	<i>New Projects</i> <u>2</u>
<i>Total SF</i> <u>43,672</u>	<i>Total Cost</i> <u>\$2,943,963</u>	<i>Completed Projects</i> <u>4</u>

Major Capital Report

Capital Projects

Schedule Info	Project Name	Architect	Contractor	SF	Project Funding	Est. Project Cost
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Programming

1	Planning Start / Finish: 6/1/2013 – 5/16/2014 Design Start / Finish: 6/30/2014 – 5/1/2015 Const. Start / Finish: 6/22/2015 – 12/23/2016	Farris Hall Renovations Planning Taos Civic Plaza Building Renovation - Phase 1	Rohde May Keller McNamara Architecture	77237 8300	\$801,500 \$3,200,000	\$23,700,000 \$3,200,000
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<i>Total Projects</i>	2	<i>Total SF</i>	85,537	<i>Total Cost</i>	\$26,900,000
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Design

3	Planning Start / Finish: 3/1/2013 – 5/1/2013 Design Start / Finish: 7/17/2013 – 2/4/2014 Const. Start / Finish: 3/17/2014 – 11/17/2014	Biology Building Completion (Cattetter Hall)	FBT Architects	11000	\$3,850,000	\$3,850,000
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<i>Schedule Info</i>	<i>Project Name</i>	<i>Architect</i>	<i>Contractor</i>	<i>SF</i>	<i>Project Funding</i>	<i>Est. Project Cost</i>
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Design

4	Planning Start / Finish: 11/6/2012 – 6/15/2013 Design Start / Finish: 2/12/2014 – 1/20/2015 Const. Start / Finish: 3/18/2015 – 4/22/2016	Chemistry Building Renovations (Clark Hall)	Vigil and Associates	81339	\$16,000,000	\$16,000,000
5	Planning Start / Finish: - Design Start / Finish: 12/16/2013 – 4/18/2014 Const. Start / Finish: 5/27/2014 – 9/12/2014	HSC IDTC 3rd Floor Lab Renovation DoIM	FBT Architects	2315	\$507,147	\$507,147
6	Planning Start / Finish: 3/1/2013 – 8/1/2013 Design Start / Finish: 8/5/2013 – 10/18/2013 Const. Start / Finish: 5/19/2014 – 8/4/2014	Los Alamos Science Labs	DNCA Architect	2142	\$750,000	\$750,000
7	Planning Start / Finish: 5/14/2013 – 6/14/2013 Design Start / Finish: 8/28/2013 – 4/4/2014 Const. Start / Finish: 5/26/2014 – 5/6/2015	Science and Mathematics Learning Center Phase II	Van Gilbert	12450	\$5,000,000	\$5,000,000

Schedule Info	Project Name	Architect	Contractor	SF	Project Funding	Est. Project Cost
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Design

8	Planning Start / Finish: - Design Start / Finish: 10/1/2013 - 5/30/2014 Const. Start / Finish: 6/1/2014 - 11/28/2014	Taos Klauer Campus Renovations and Upgrades (Maintenance, Landscape, IT, and Infrastructure Upgrades)	Various	Various	\$1,768,212	\$1,768,212
9	Planning Start / Finish: 5/1/2013 - 11/22/2013 Design Start / Finish: 2/12/2014 - 6/27/2014 Const. Start / Finish: 8/4/2014 - 4/30/2015	Taos Klauer Campus Student Services Center Addition and Renovations	Living Design Group	14445	\$3,688,000	\$3,688,000
10	Planning Start / Finish: 6/1/2012 - 6/1/2013 Design Start / Finish: 11/5/2013 - 4/4/2014 Const. Start / Finish: 5/19/2014 - 8/1/2014	Zimmerman Library Combined Service Point (CSP) Ph 2	OUA/PPD Engineering	OCP - GC	17478	\$1,400,000

Total Projects	8	Total SF	141,169	Total Cost	\$32,963,359
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Schedule Info	Project Name	Architect	Contractor	SF	Project Funding	Est. Project Cost
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Construction

11	Planning Start / Finish: - Design Start / Finish: 6/1/2013 - 10/14/2013 Const. Start / Finish: 12/16/2013 - 10/17/2014	1650 University Tenant Build-Out	FBT Architects	HB Construction	104897	\$7,400,000	\$7,400,000
12	Planning Start / Finish: - Design Start / Finish: 5/1/2012 - 6/28/2013 Const. Start / Finish: 9/23/2013 - 3/7/2014	McKinnon Family Tennis Center	Molzen-Corbin	OCP-GC		\$1,331,000	\$1,331,000
13	Planning Start / Finish: 10/30/2013 - 2/21/2013 Design Start / Finish: 5/17/2013 - 9/16/2013 Const. Start / Finish: 2/10/2014 - 4/15/2014	North Golf Course	Sites Southwest	Duininck, Inc.		\$1,500,000	\$1,500,000
		Total Projects	3	Total SF	104,897	Total Cost	\$10,231,000

Projects Completed

Project Location: **HSC**

Project Start/End	Project Name	Project Mgr.	Architect	Contractor	SF	Project Cost
1 1/7/2014	COP B-12 Office Renovation	Brian Scharmer	DNCA Architect	Insight Construction	875	\$278,196

Totals for HSC	Total Projects	1	Total SF:	875	Total Cost:	\$278,196
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Project Location: **Main Campus**

Project Start/End	Project Name	Project Mgr.	Architect	Contractor	SF	Project Cost
2 11/14/2013 1/3/2014	Bookstore Lighting Upgrade	Sharon Rodgers		OCP - GC	27456	\$273,816
3 9/24/2013 1/20/2014	Honors College Renovation	Kim Sylvester	OUA/PPD Engineering	OCP - GC	13600	\$249,170
4 2/17/2014	Zimmerman Restrooms Renovation	Maria Dion	Gregory T. Hicks Architects	OCP - GC	1245	\$291,336

Totals for Main Campus	Total Projects	3	Total SF:	42,301	Total Cost:	\$814,322
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Grand Total SF:	43,176	Grand Total:	\$1,092,518
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New Projects

<i>Project Start/End</i>	<i>Project Name</i>	<i>OCP PM</i>	<i>PCD PM</i>	<i>Project Type</i>	<i>SF</i>	<i>Project Cost</i>
Project Location: Branch Campus						
1 1/1/2014 4/30/2015	Taos Civic Plaza Building Renovation - Phase 1	Eric Schwaner	Taudy Miller	Remodel	8300	\$3,200,000
Totals for Branch Campus		<i>Total Projects</i>	1	<i>Total SF:</i>	8,300	<i>Total Cost:</i> \$3,200,000
Project Location: Main Campus						
2 12/13/2013 8/1/2014	Alvarado & Santa Clara Hall Window Replacement	Tony Sanchez		Repair/Replace		\$281,467
Totals for Main Campus		<i>Total Projects</i>	1	<i>Total SF:</i>		<i>Total Cost:</i> \$281,467
Project Location: South Campus						
3 12/13/2013	MTTC 2nd Chiller Installation	Eric Schwaner		Utility	0	\$136,662
Totals for South Campus		<i>Total Projects</i>	1	<i>Total SF:</i>		<i>Total Cost:</i> \$136,662
Grand Total Projects			3			

OCP Major Capital Monthly Exception Report

March 2014

Executive Summary

Total Yellow Projects 1

Total Red Projects 0

Project Start/End	Issue Status	Project Name	Architect	Contractor	SF	Project Cost
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Construction

1	3/1/2012 3/7/2014	Yellow	McKinnon Family Tennis Center	Molzen-Corbin	OCP-GC	\$1,331,000
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Capital Projects

McKinnon Family Tennis Center



Building: Athletics
 Building No: n/a
 Sq Ft:

Project Team

Project Mgr:	Mike Reid
Construction Mgr:	Eric Schwaner
Architect/Engineer:	Molzen-Corbin
General Contractor:	OCP-GC
ITS:	Steve Benjamin
PPD:	David Penasa
PCD:	

Budget

Est. Construction \$ \$1,103,526
 A/E Est. MACC
 Est. Project \$ \$1,331,000
 Spent to Date:
 Funding To Date: \$1,331,000
 Budget Confidence:

Project Description

The proposed scope of work for the McKinnon Family Tennis Center is Phase One of three phases. The completed project will consist of a clubhouse and ten playing courts. Phase One will provide six new playing courts. The project will be located in the area just North of the Softball field to take advantage of the plaza being created between Softball and baseball as well as parking and ticketing at the PIT. The pond at the South end of the PIT parking lot will be demolished and the water redirected to another pond.

Timeline

Phase	Planned		Revised	
	Start	End	Start	End
Programming:				
Design:	5/1/2012	8/15/2012	5/1/2012	6/28/2013
Construction:	9/9/2013	1/15/2014	9/23/2013	3/7/2014

Construction Metrics

Percent Complete: 85 %
 Contingency Burn Rate: 85 %

• Stage of Development

Current Phase: Construction

- 01/22/14 - Electrical gear has been installed and should be energized the week of February 3, 2014.
- 01/22/14 - Fencing installation has begun around the lower bowl area.
- 01/22/14 - Asphalt paving scheduled to begin in the mall entry area next week, weather permitting.
- 01/22/14 - Concrete work at both the entry mall areas and tennis court continuing.
- 02/07/14 - Tennis court lighting was tested and burnt -in overnight on 2/6/2014.
- 02/07/14 - Tennis court area is being prepped to receive base coarse and asphalt paving next week.
- 02/07/14 - Concrete curbs and walks being poured at upper mall area.

• Approvals

Scope Approval Date: 5/15/2012

Design Approval:

Regents Approval: 2/7/2012

SBOF Approval: 4/16/2013

Programming Approval:

CDAC Approval:

HED Approval: 3/10/2013

Bid Approval:

• Issues

Issue Status: **Yellow**



Budget Issue



Schedule Issue

Trend:



Better



Worse

- 02/07/14 - Hardscape, Asphalt and fencing to be complete NLT 3/7/14. Court surfacing and painting are temperature dependent (60 degrees and rising) and may need to be completed at a later date.



The UNM Regents' Endowment Fund Portfolio
Quarterly Report for
2nd Quarter of Fiscal Year 2014
[10/1/2013 – 12/31/2013]

Total Portfolio Value (12/31/2013): \$2,415,167.30

Investment Total Returns

	2nd Quarter FY 2014	FY 2014 (to date)	% Return Since Inception (2006)
Regents' Portfolio	9.7%	16.2%	70.7%
S&P 500 Index	10.5%	16.3%	64.0%
Active Return	-0.8%	-0.1%	6.7%

Portfolio Dollar Value Weights (12/31/2013):

As individual equity securities:	41.0%
As exchange-traded funds (ETFs):	58.6%
As cash:	<u>0.4%</u>
	100.0%

Transactions during quarter

Please see Appendix 2.

Disbursements during quarter

None.

The Regents' Portfolio value on December 31 was \$2,415,167.30. The choice of Janet Yellen to succeed Ben Bernanke as Fed Chairperson gave a boost to the stock market as investors concluded the Fed would continue its accommodative monetary policy. The S&P 500 total return for the quarter was 10.5%. Although the UNM Regents' Portfolio's return of 9.7% underperformed the benchmark by 0.8%, we are pleased to report the fund is up 16.2% for the first half of FY 2014 and has a 70.7% total return since inception in 2006.

The portfolio's sector weights (see page 2) are approximately equal to the S&P 500 benchmark's sector weights, with the exceptions of the over-weighted info technology sector and under-weighted in the financial sector. Details of the portfolio's holdings are listed in the Appendix 1.

On Oct. 3, 3 stocks were liquidated as they had reached their target prices (Linear Technology, Wells Fargo, and JPMorgan Chase). Proceeds were invested in the info tech and financial sector ETFs. On Dec. 3, 3 stocks were liquidated per the security analysts' recommendations (Autoliv, Disney, and EMC). Three stocks were purchased for an expected growth in e-commerce (Amazon, Baidu, and EBay). Two stocks were purchased to diversify the Big Data position (Oracle and Splunk). Three drug wholesaler stocks (Amerisourcebergen, Cardinal Health, and McKesson) were purchased for expected growth resulting from the Affordable Care Act. Details are given in Appendix 2.

Sector Dollar-Weights (12/31/2013):

	Regents' Portfolio	S&P 500	Delta
Consumer Discretionary	12.4%	12.3%	0.1%
Consumer Staples	9.9%	9.6%	0.3%
Energy	9.8%	10.1%	-0.2%
Financials	13.5%	16.3%	-2.8%
Health Care	12.9%	13.4%	-0.5%
Industrials	10.4%	10.9%	-0.5%
Information Technology & Telecommunication	24.3%	21.0%	3.3%
Materials	3.7%	3.5%	0.2%
Utilities	2.6%	3.0%	-0.4%
Cash	0.4%	0.0%	0.4%

Investment Center Director and Instructor

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Portfolio Managers

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 Damiaan Gallegos
 Nicholas Gordon
 Tim Hebenstreit
 Sofie Hjorth
 Steven Lackey
 Malika Ladha
 Caleb Lines
 Sam Lucero
 Garrett Mahoney
 Michael Omlor
 Juho-Pekka Penttinen
 Kevin Raisch
 Josh Rowe
 Sahar Saidi
 Gavin Scanlan
 Rushabh Shah
 Brian Von Hein

Securities Analysts

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 Chad Clark
 Cody Cravens
 Cory Dean
 Bobak Khodaie
 John Marek
 Saheb Saini
 Dominic Sategna
 MJ Schmidt
 Songtao Shan
 Noel Szczepanski
 Brian Von Hein

Appendix 1: Detailed Holdings (12/31/2013)

	Symbol	Description	Quantity (Shares)	Price (\$/Share)	Value (\$)	Weight
1	FCASH	Cash	3094.46	1	\$ 9,009.85	0.4%
2	AAPL	APPLE INC	33	561.02	\$ 18,513.66	0.8%
3	ABC	AMERISOURCEBERGEN CORP	133	70.31	\$ 9,351.23	0.4%
4	ACXM	ACXIOM CORP	341	36.98	\$ 12,610.18	0.5%
5	ADM	ARCHER DANIELS MIDLAND	238	43.4	\$ 10,329.20	0.4%
6	AGU	AGRIUM INC COM NPV ISIN #CA0089161081 SEI	64	91.48	\$ 5,854.72	0.2%
7	AHS	AMN HEALTHCARE SVCS INC COM	375	14.7	\$ 5,512.50	0.2%
8	AMZN	AMAZON.COM INC	38	398.79	\$ 15,154.02	0.6%
9	ASGN	ON ASSIGNMENT INC	213	34.92	\$ 7,437.96	0.3%
10	BIDU	BAIDU INC SPONS ADS REPR 0.10 ORD CLS A 1	88	177.88	\$ 15,653.44	0.6%
11	CA	CA INC COM	248	33.65	\$ 8,345.20	0.3%
12	CAH	CARDINAL HEALTH INC	144	66.81	\$ 9,620.64	0.4%
13	CCRN	CROSS COUNTRY HEALTHCARE INC	418	9.98	\$ 4,171.64	0.2%
14	CHKP	CHECK POINT SOFTWARE TECHNOLOGIES OF	405	64.499	\$ 26,122.09	1.1%
15	CTXS	CITRIX SYSTEMS INC	301	63.25	\$ 19,038.25	0.8%
16	CVX	CHEVRON CORP NEW	294	124.91	\$ 36,723.54	1.5%
17	DE	DEERE & COMPANY	289	91.33	\$ 26,394.37	1.1%
18	EBAY	EBAY INC	285	54.865	\$ 15,636.53	0.6%
19	FCX	FREEPORT MCMORAN COPPER & GOLD INC.	330	37.74	\$ 12,454.20	0.5%
20	FDX	FEDEX CORP	291	143.77	\$ 41,837.07	1.7%
21	GE	GENERAL ELECTRIC CO	1338	28.03	\$ 37,504.14	1.6%
22	GOOG	GOOGLE INC CL A	26	1120.71	\$ 29,138.46	1.2%
23	HON	HONEYWELL INTL INC	445	91.37	\$ 40,659.65	1.7%
24	INFA	INFORMATICA CORP	200	41.5	\$ 8,300.00	0.3%
25	IPCM	IPC THE HOSPITALIST CO INC COM	121	59.39	\$ 7,186.19	0.3%
26	IYW	ISHARES US TECHNOLOGY ETF	2049	88.44	\$ 181,213.56	7.5%
27	KMP	KINDER MORGAN ENERGY PARTNERS L P	416	80.66	\$ 33,554.56	1.4%
28	MCK	MCKESSON CORP	57	161.4	\$ 9,199.80	0.4%
29	MON	MONSANTO CO NEW	134	116.55	\$ 15,617.70	0.6%
30	MSFT	MICROSOFT CORP	1450	37.41	\$ 54,244.50	2.2%
31	MSTR	MICROSTRATEGY INC CL A NEW	77	124.24	\$ 9,566.48	0.4%
32	NDAQ	NASDAQ OMX GROUP INC	1215	39.8	\$ 48,357.00	2.0%
33	NTAP	NETAPP INC COM	200	41.14	\$ 8,228.00	0.3%
34	ORCL	ORACLE CORPORATION	245	38.26	\$ 9,373.70	0.4%
35	PEP	PEPSICO INC	537	82.94	\$ 44,538.78	1.8%
36	POT	POTASH CORP OF SASKATCHEWAN COM NPV	159	32.96	\$ 5,240.64	0.2%
37	PPG	PPG INDUSTRIES	188	189.66	\$ 35,656.08	1.5%
38	RIO	RIO TINTO ADR EACH REP 1 ORD	248	56.43	\$ 13,994.64	0.6%
39	SLB	SCHLUMBERGER LIMITED COM USD0.01	363	90.11	\$ 32,709.93	1.4%
40	SPLK	SPLUNK INC COM USD0.001	120	68.67	\$ 8,240.40	0.3%
41	SYK	STRYKER CORP	635	75.14	\$ 47,713.90	2.0%
42	SYMC	SYMANTEC CORP	968	23.58	\$ 22,825.44	0.9%
43	T	AT&T INC COM	1029	35.16	\$ 36,179.64	1.5%
44	TDC	TERADATA CORP DEL COM	131	45.49	\$ 5,959.19	0.2%
45	TMH	TEAM HEALTH HOLDINGS INC COM USD0.01	151	45.55	\$ 6,878.05	0.3%
46	UNP	UNION PACIFIC CORP	265	168	\$ 44,520.00	1.8%
47	VZ	VERIZON COMMUNICATIONS	509	49.14	\$ 25,012.26	1.0%
48	WAG	WALGREEN COMPANY	869	57.44	\$ 49,915.36	2.1%
49	XBI	SPDR SER TR S&P BIOTECH ETF	516	130.2	\$ 67,183.20	2.8%
50	XLE	SECTOR SPDR TR SHS BEN INT ENERGY	1519	88.51	\$ 134,446.69	5.6%
51	XLF	SECTOR SPDR TR SHS BEN INT FINANCIAL	12721	21.86	\$ 278,081.06	11.5%
52	XLI	SECTOR SPDR TR SHS BEN INT INDUSTRIAL	1166	52.26	\$ 60,935.16	2.5%
53	XLK	SECTOR SPDR TR SHS BEN INT TECHNOLOGY	2050	35.74	\$ 73,267.00	3.0%
54	XLP	SECTOR SPDR TR SHS BEN INT CONSUMER ST	3142	42.98	\$ 135,043.16	5.6%
55	XLU	SECTOR SPDR TR SHS BEN INT UTILITIES	1647	37.97	\$ 62,536.59	2.6%
56	XLV	SELECT SECTOR SPDR TR HEALTH CARE FOR	2481	55.44	\$ 137,546.64	5.7%
57	XLY	SELECT SECTOR SPDR TR CONSUMER DISCRE	4262	66.83	\$ 284,829.46	11.8%

Appendix 2: Transactions During the Quarter Ending 12/31/2013

Symbol	Description	Quantity (Shares)	Price (\$/Share)	Value (\$)
Purchases (12/03/2013)				
ABC	AMERISOURCEBERGEN CORP	133	\$ 70.26	\$ (9,351.88)
AMZN	AMAZON.COM INC	38	\$ 385.61	\$ (14,661.13)
BIDU	BAIDU INC SPONS ADS REPR 0.10 ORD CLS A	88	\$ 167.70	\$ (14,765.55)
CAH	CARDINAL HEALTH INC	144	\$ 64.62	\$ (9,313.95)
EBA Y	EBA Y INC	285	\$ 51.93	\$ (14,808.00)
MCK	MCKESSON CORP	57	\$ 163.88	\$ (9,349.11)
ORCL	ORACLE CORPORATION	245	\$ 34.99	\$ (8,580.48)
SPLK	SPLUNK INC COM USD0.001	120	\$ 70.96	\$ (8,523.27)
XLK	SECTOR SPDR TR SHS BEN INT TECHNOLOGY	700	\$ 34.59	\$ (24,221.65)
XLY	SELECT SECTOR SPDR TR CONSUMER DISCRETI	1,820	\$ 64.63	\$ (117,625.63)
Sales (12/03/2013)				
AHS	AMN HEALTHCARE SVCS INC COM	(376)	\$ 13.54	\$ 5,082.62
ALV	AUTOLIV INC	(100)	\$ 90.77	\$ 9,068.89
ALV	AUTOLIV INC	(100)	\$ 90.79	\$ 9,078.84
ALV	AUTOLIV INC	(300)	\$ 90.80	\$ 27,240.12
ASGN	ON ASSIGNMENT INC	(214)	\$ 32.34	\$ 6,913.54
CCRN	CROSS COUNTRY HEALTHCARE INC	(418)	\$ 7.50	\$ 3,125.57
DIS	DISNEY WALT CO	(915)	\$ 69.54	\$ 63,623.24
EMC	E M C CORP MASS	(1,357)	\$ 23.68	\$ 32,130.00
INTC	INTEL CORP	(1,628)	\$ 23.50	\$ 38,249.38
IPCM	IPC THE HOSPITALIST CO INC COM	(100)	\$ 61.59	\$ 6,150.94
TMH	TEAM HEALTH HOLDINGS INC COM USD0.01	(150)	\$ 46.53	\$ 6,971.12
Purchases (10/03/2013)				
XLF	SECTOR SPDR TR SHS BEN INT FINANCIAL	2,000	\$ 19.95	\$ (39,901.55)
XLF	SECTOR SPDR TR SHS BEN INT FINANCIAL	1,000	\$ 19.95	\$ (19,953.65)
XLF	SECTOR SPDR TR SHS BEN INT FINANCIAL	750	\$ 19.97	\$ (14,982.53)
XLK	SECTOR SPDR TR SHS BEN INT TECHNOLOGY	600	\$ 32.22	\$ (19,339.89)
XLK	SECTOR SPDR TR SHS BEN INT TECHNOLOGY	400	\$ 32.22	\$ (12,886.00)
XLK	SECTOR SPDR TR SHS BEN INT TECHNOLOGY	350	\$ 32.23	\$ (11,288.42)
Sales (10/03/2013)				
JPM	JPMORGAN CHASE & CO	(574)	\$ 51.96	\$ 29,819.38
LLTC	LINEAR TECHNOLOGY CORP	(317)	\$ 39.41	\$ 12,492.78
LLTC	LINEAR TECHNOLOGY CORP	(778)	\$ 39.42	\$ 30,656.38
WFC	WELLS FARGO & CO NEW	(1,100)	\$ 41.07	\$ 45,173.10



Division of Enrollment Management

Guaranteed Tuition and Standard Tuition Comparison

FY 13 Tuition and Fees	
2013 Resident	\$6,447

Voluntary Guaranteed Tuition					
	2013 Cohort FY 14	2013 Cohort FY 15	2013 Cohort FY 16	2013 Cohort FY 17	4 Year Total
Resident	\$7,091.70	\$7,091.70	\$7,091.70	\$7,091.70	\$28,366.80
% increase	10%	0%	0%	0%	
\$ increase	\$644.70	-	-	-	

Traditional Block Tuition and Fees Equivalent					
	2013 Cohort FY 14	2013 Cohort FY 15	2013 Cohort FY 16	2013 Cohort FY 17	4 Year Total
Resident	\$6,447.00	\$6,859.61	\$7,298.62	\$7,765.73	\$28,370.97
% increase		6.4%	6.4%	6.4%	
\$ increase		\$412.61	\$439.01	\$467.11	

THE REGENTS OF THE UNIVERSITY OF NEW MEXICO

RESOLUTION RELATING TO REDEMPTION OF THE REGENT'S FHA INSURED HOSPITAL MORTGAGE REVENUE BONDS (UNIVERSITY OF NEW MEXICO HOSPITAL PROJECT) SERIES 2004

A RESOLUTION OF THE REGENTS OF THE UNIVERSITY OF NEW MEXICO AUTHORIZING THE FULL OR PARTIAL REDEMPTION OF THE REGENT'S FHA INSURED HOSPITAL MORTGAGE REVENUE BONDS (UNIVERSITY OF NEW MEXICO HOSPITAL PROJECT) SERIES 2004 AND FULL OR PARTIAL PREPAYMENT OF THE SERIES 2004 MORTGAGE NOTE AND MORTGAGE RELATED TO THE BONDS; REPEALING ALL ACTION INCONSISTENT WITH THIS RESOLUTION; AUTHORIZING THE TAKING OF OTHER ACTIONS IN CONNECTION WITH THE REDEMPTION OF THE BONDS AND THIS RESOLUTION.

WHEREAS, the University of New Mexico, at Albuquerque, New Mexico (the "University"), is declared to be and is confirmed as a state educational institution by Section 11 of Article XII of the Constitution of the State of New Mexico (the "State"), as amended; and

WHEREAS, the Regents of the University of New Mexico (the "Regents") are a body corporate in which is vested the management and control of the University; and

WHEREAS, the Regents, pursuant to a Trust Indenture dated as of November 1, 2004, (the "Indenture") between the Regents and Wells Fargo Bank, National Association (the "Trustee") issued a series of bonds entitled Regents of the University of New Mexico FHA Insured Hospital Mortgage Revenue Bonds (University of New Mexico Hospital Project), Series 2004 (the "Bonds"), in part for the purpose of making a mortgage loan (the "FHA Mortgage Loan") to the Regents to finance the construction of the Project (defined below) and, in connection therewith, the Regents delivered to Trustee a Mortgage Note in the original principal amount of \$183,399,000.00 (the "FHA Note"), a Mortgage given to secure the FHA Note (the "FHA Mortgage"), a Security Agreement granting a security interest in certain fixtures, equipment and other personalty of the Regents (the "Security Agreement"), a Building Loan Agreement relating to the construction and development of the Project (the "Building Loan Agreement"), a Regulatory Agreement (the "Regulatory Agreement") between the Regents and FHA (defined below), and a Department of Housing and Urban Development Supplementary Agreement (the "Supplementary Agreement") between the Regents and FHA, each such instrument being dated as of November 18, 2004 (the FHA Note, the FHA Mortgage, the Security Agreement, the Building Loan Agreement, the Regulatory Agreement, the Supplementary Agreement, and all documents executed in connection with the FHA Mortgage Loan are collectively referred to as the "FHA Loan Documents"); and

WHEREAS, the Regent's obligation under the FHA Note and the FHA Mortgage are insured by the Department of Housing and Urban Development ("HUD") acting through the Federal Housing Commissioner ("FHA") under Section 242 of the National Housing Act, as amended (the "FHA Insurance"), pursuant to the terms of that certain Commitment for

Insurance, as amended, (the “FHA Commitment”) dated September 30, 2004, as amended, issued by FHA to Mortgage Servicer (defined below), and assigned to Trustee; and

WHEREAS, the proceeds of the FHA Mortgage Loan were used by University of New Mexico Hospital (the “Hospital”) to finance the cost of the expansion of the Regent’s hospital facilities designated as FHA Project No. 116-13001, and commonly referred to as the University of New Mexico Children’s Hospital and Critical Care Pavilion (together, the “Project”), which Project is more fully described in the FHA Loan Documents, and pursuant to the FHA Loan Documents, the Regents agreed to make payments to provide sufficient funds, together with funds held under the Indenture, to pay the principal of and interest on the Bonds; and

WHEREAS, payment of principal of and interest on the Bonds is guaranteed by a municipal bond insurance policy issued by FINANCIAL SECURITY ASSURANCE INC. (the “Bond Insurer”); and

WHEREAS, to evidence and provide for the repayment of the FHA Mortgage Loan to be made to it, the Regents delivered to Trustee (an FHA-approved Mortgagee), as FHA mortgagee, the FHA Loan Documents, which documents will reflect the financial terms of the Bonds; and

WHEREAS, Prudential Huntoon Paige Associates, Ltd., a Delaware Corporation, (the “Mortgage Servicer”) is duly qualified and authorized and has been approved by FHA to act as mortgagee under the National Housing Act; and

WHEREAS, in addition to the Indenture and the FHA Loan Documents, the Regents entered into a Debt Service Account Forward Delivery Agreement dated as of November 18, 2004 (the “Debt Service Forward Delivery Agreement”) by and among the Trustee, the Regents and Wachovia Bank, National Association (the “Provider”) for investment of debt service payments related to the Bonds; and

WHEREAS, in addition to the Indenture, the FHA Documents and the Debt Service Forward Delivery Agreement, a Master Repurchase Agreement dated as of November 18, 2004 (the “Master Repurchase Agreement”), between AIG Matched Funding Corporation (“AIG”) and the Trustee was entered into for investment of the debt service reserve fund related to the Bonds; and

WHEREAS, the Hospital has requested that all or a portion of the Bonds be redeemed as soon as practicable in accordance with the provisions of the Indenture, the FHA Documents, the Debt Service Forward Delivery Agreement, and the Master Repurchase Agreement with appropriate notice to the Trustee, FHA, the Mortgage Servicer, the Bond Insurer, the Provider, AIG, (or their successors, if any) and other interested parties.

NOW, THEREFORE, BE IT RESOLVED BY THE REGENTS OF THE UNIVERSITY OF NEW MEXICO, AS FOLLOWS:

Section 1. Ratification. All action heretofore taken (not inconsistent with the provisions of this Resolution and the Indenture) by the Regents and officers, staff, employees and contractors of the University and the Hospital directed toward the redemption of the Bonds, expenditure of available funds for redemption of the Bonds, prepayment of the FHA Note, and modification of the FHA Loan Documents, the Debt Service Forward Delivery Agreement, and the Master Repurchase Agreement, is hereby ratified, approved and confirmed.

Section 2. Redemption of the Bonds. Pursuant to Article V of the Indenture, the Bonds shall be redeemed, in whole or in part, on the earliest practicable redemption date after adoption of this Resolution. Such redemption shall occur only upon receipt of all required consents from the Trustee, the Bond Insurer, the Mortgage Servicer, the Provider, AIG, FHA (or their successors, if any) and any other interested party.

Section 3. Expenditure of Funds. The Regents hereby authorize the expenditure of up to \$100,000,000 of cash on hand for the full or partial redemption of the Bonds. The exact final amount of funds to be used for redemption of Bonds shall be determined by officers and employees of the University and the Hospital.

Section -4. Amendment or Modification of Documents. The FHA Loan Documents, the Debt Service Forward Delivery Agreement, the Master Repurchase Agreement, and any other relevant document shall be amended or modified as necessary to comply with this Resolution and to reflect the partial or full redemption of the Bonds.

Section -5. Authorization of Officers and Execution of Documents. The officers of the Regents, the University and the Hospital, on behalf of the Regents, are hereby authorized and directed to execute and deliver any modification of or amendments to any of the Indenture, the FHA Loan Documents, the Debt Service Forward Delivery Agreement, and the Master Repurchase Agreement. From and after the adoption of this Resolution, the officers, agents and employees of the Regents, the University and the Hospital are hereby authorized, empowered, and directed to do all such acts and things and to execute all such documents as may be necessary to carry out and comply with the provisions of this Resolution, the Indenture, the FHA Loan Documents, the Debt Service Forward Delivery Agreement, and the Master Repurchase Agreement.

Section -6. Severability. If any section, paragraph, clause or provision of this Resolution shall for any reason be held to be invalid or unenforceable, the invalidity or unenforceability of such section, paragraph, clause or provision shall not affect any of the remaining provisions of this Resolution.

Section -7. Repealer Clause. All bylaws, orders, and resolutions of the Regents or the University, or parts thereof, inconsistent herewith are hereby repealed to the extent only of such inconsistency. This repealer shall not be construed to revive any bylaw, order or resolution, or part thereof, heretofore repealed.

Section -8. Governing Law. This Resolution shall be governed by the laws of the State without reference to choice of law principles.

Section 9. Effective Date. This resolution shall take immediate effect.

ADOPTED AND APPROVED this ___ day of _____, 2014.

THE REGENTS OF THE UNIVERSITY OF NEW
MEXICO

[SEAL]

By _____
President

Attest:

By _____
Secretary

K:\dox\client\73740\112\W2083421.DOCX

DRAFT



UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
February 2014

Approval

(1) Image Stream Medical, Inc.

Mailing Address:
One Monarch Drive
Littleton, MA 0160

Officers Information:
President and CEO: Eddie Mitchell

Source of Funds: Equipment - UNM Hospital Capital Funds, Service Contract – UNM Hospital Operational Funds

Description

The Operating Room Surgical Suite Integration equipment will be installed for all six operating rooms in the Pediatric Operating Room department. The need for video integration or the management of video sources in operating rooms has increased due to the growth of medical equipment systems providing video feeds requiring simultaneous review during procedures. The goal is to select the best provider who demonstrated universal and open platforms to accommodate light cameras, physiological monitoring, Electronic Medical Record (EMR) two-way access, Radiology PACS (Picture Archival Communication Systems), endoscopes, image guided systems, and surgical microscopes. This system will be able to integrate all video source equipment regardless of manufacturer or type of video cable/output utilizing universal connection points which result in quicker turn-around times between OR procedures. Surgical staff have complete control of managing the routing of video sources to address the growing amount of displays required in the OR procedure rooms. With advancements over the past 18 months, our chosen vendor proved the LiveStream digital network provided the best collaboration between surgical operating rooms and remote clinicians while supporting and meeting all HIPAA requirements for patients. This technology will eliminate instances of clinicians having to be paged to the OR and scrub in for assisting the surgical team. They can now see the same video sources from remote locations and communicate live to the surgeons via a headset or two-way speakers installed in the surgical room. Another recent key advancement is the ability to network directly to Electronic Medical Record and scheduling to our Cerner systems. This integration equipment includes important functionality which will for the first time allow our surgical teams to export video pictures and video stream directly into the patient's electronic medical record real-time or at a later time and date as required. This same technology will provide new resources and advancements for our teaching requirements at UNM Hospitals.

Previous Contract: None, new technology/service

Process: UNMH RFP #P271-13

RFP Respondents: There were five respondents to the RFP; Karl Storz, Stryker, Image Stream, Black Diamond Video, and Maquet.

Selection Criteria: Selection was based upon:

20% experience, quality, stability and reputation,

10% Information Technology security,

25% product,

10% integration/implementation work plan,

15% services, ongoing support model and

20% price.

Selection Reason: Image Stream Medical was the highest scoring vendor with 96 points.

Total Capital Cost: \$732,627 (includes NMGRT)

Total Operating Cost (Service Contract): \$294,915 (includes NMGRT)

Term: 5 Year term, \$58,983 annual amount

(2) J M Smith Corporation, d/b/a QS/1 Data Systems

Mailing Address:

990 N. Bowser Rd. Suite 760
Richardson, TX 75081

Officers Information:

President: Tammy Devine
Vice President, Operations: Revonda Spratt
Vice President, Finance: Brent Thomasson

Source of Funds: UNM Hospital Capital and Operational Funds

Description

The QS/1 NRx Solution will enhance our pharmacy's overall productivity with tools to simplify workflow, process claims, and manage inventory. The NRx Solution will integrate with other QS/1 products, including Point-of-Sale, SystemOne for home medical equipment, Multi-Site Management, Interactive Voice Response, Retrieve Rx, and Web Refill. These services provide the most comprehensive approach to streamlining the out-patient pharmacy services.

This purchase will replace the following standalone systems.

RX Express (HBS) – computerized prescription database used to enter and bill prescriptions,
Emporos – point of sale system,
Microlog – provides interactive adjudication of claims,
Automed – automated dispensing and packaging system,
and Optifill – inventory management system.

Process: UNMH RFP #P253-13

RFP Respondents: QS/1 and Cerner Etreby

Selection Reason: Selection was based upon: 10% experience, quality, stability and reputation, 10% Information Technology security requirements, 20% product, 5% central fill components for multiple sites 5% prison/nursing home components, 15% implementation plan/work plan, 15% services and ongoing support model and 20% price proposal.

Term: Request for purchase of software/hardware for the initial install and a three year maintenance contract with option to renew annually for additional five years.

Cost:

System Cost (Software/Hardware/Install) = \$694,095 from Capital Funds

Maintenance & Support Annual = \$130,277 or \$390,832 over three years from Operating Funds

Total Three Year Cost: \$1,084,927



THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER

UNIVERSITY HOSPITAL

SURPLUS PROPERTY DEPARTMENT DISPOSITION DETAIL LIST

Asset#	Department turning in Equipment	Description	Acquisition Date	Acquisition Cost	Net Book Value	Proposed Method of Disposal	Disposal Date
3036	Depreciation	Hypo-Hyperthermia Unit	6/1/1980	3,100.00	-	broken beyond repair	March 10, 2014
4402	Medical/Cardiac ICU	I-Med Infusion Pump	1/1/1983	1,568.00	-	beyond useful life	March 10, 2014
4413	storage	I-Med Infusion Pump	1/1/1983	1,568.00	-	beyond useful life	March 10, 2014
4424	storage	I-Med Infusion Pump	1/1/1983	1,568.00	-	beyond useful life	March 10, 2014
6657	Newborn ICU	Imed Infusion Pumps #9270	11/28/1983	1,611.00	-	beyond useful life	March 10, 2014
6670	storage	Imed Infusion Pumps #9270	11/28/1983	1,611.00	-	beyond useful life	March 10, 2014
7169	storage	N293 Neuro Drive	4/2/1984	1,264.00	-	beyond useful life	March 10, 2014
7480	Family Practice Clinic	I.V. Infusion Pump Stand	5/14/1984	264.00	-	beyond useful life	March 10, 2014
7646	Radiology - Ultrasound	300 Rev Collimator For Ca	6/22/1984	4,746.00	-	beyond useful life	March 10, 2014
10019	Trauma/Surgical ICU	Ice Dispenser W Drain	1/21/1985	2,041.00	-	broken beyond repair	March 10, 2014
10021	Trauma/Surgical ICU	Ice Dispenser W Drain	1/21/1985	2,041.00	-	broken beyond repair	March 10, 2014
10906	storage	IV Infusion Pump	9/30/1985	2,356.00	-	beyond useful life	March 10, 2014
10465	Depreciation	Zenith Pc Desk Sys	12/27/1985	2,362.00	-	broken beyond repair	March 10, 2014
10465	storage	Zenith Pc Desk Sys	12/27/1985	2,362.00	-	beyond useful life	March 10, 2014
10500	Pediatrics Clinic	Refrigerator Right Hand	3/3/1986	425.00	-	beyond useful life	March 10, 2014
10501	Pediatrics Clinic	Refrigerator Right Hand	3/3/1986	425.00	-	beyond useful life	March 10, 2014
10502	Pediatrics Clinic	Refrigerator Right Hand	3/3/1986	425.00	-	beyond useful life	March 10, 2014
10734	Pediatrics Clinic	Welch-Allyn Transducer	5/29/1986	1,599.00	-	beyond useful life	March 10, 2014
10658	storage	Medifuge-Centrifuge	6/2/1986	525.00	-	beyond useful life	March 10, 2014
10680	Pediatrics Clinic	Centrifuge - Blood Fisher	6/13/1986	773.00	-	beyond useful life	March 10, 2014
10702	ED-North	Auto Patient Infusion Pump	6/18/1986	2,356.00	-	beyond useful life	March 10, 2014
11451	Newborn Nursery/Level 1	Infusion Pump/Razel	8/8/1986	414.00	-	beyond useful life	March 10, 2014
11452	Newborn Nursery/Level 1	Infusion Pump/Razel	8/8/1986	414.00	-	beyond useful life	March 10, 2014
11064	Newborn Nursery/Level 1	Hazel Infusion Pump	12/18/1986	414.00	-	beyond useful life	March 10, 2014
11066	Newborn Nursery/Level 1	Hazel Infusion Pump	12/18/1986	414.00	-	beyond useful life	March 10, 2014
11264	Depreciation	Washer Sterilizer	3/1/1987	492.00	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
11356	storage	Centrifuge/Labofuge B	5/7/1987	380.00	-	beyond useful life	March 10, 2014
11384	Food and Nutrition	Groen Kettle 40 Gal	5/21/1987	3,366.00	-	broken beyond repair	March 10, 2014
11386	Satellite Fac-Plant Op & Maint	Bed w/Acc Hill-Rom	5/26/1987	2,154.00	-	beyond useful life	March 10, 2014
11362	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11363	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11364	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11366	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11367	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11368	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11369	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11370	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11371	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11372	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11373	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11374	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11375	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11377	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11378	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11379	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11380	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11381	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11382	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11383	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11427	storage	Medex Mini Infusion Pump	6/29/1987	1,073.00	-	beyond useful life	March 10, 2014
11428	storage	Medex Mini Infusion Pump	6/29/1987	1,073.00	-	beyond useful life	March 10, 2014
11569	storage	Centrifuge Microhematorcri	1/19/1988	2,199.00	-	beyond useful life	March 10, 2014
12263	Medicine Clinic	Imed Model 960 (Infusion	2/24/1988	2,356.00	-	beyond useful life	March 10, 2014
12212	General Surgery (6-S)	Infusion Pump	3/3/1988	2,152.00	-	beyond useful life	March 10, 2014
12219	CRC/CTC (5-E)	Infusion Pump	3/3/1988	2,152.00	-	beyond useful life	March 10, 2014
12196	Pediatric ICU	Infusion Pump	3/4/1988	4,519.00	-	beyond useful life	March 10, 2014
12582	storage	Aspirator 2	5/12/1989	2,974.00	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
11644	Anesthesia	B-D Rate Infuser	6/28/1989	1,450.00	-	beyond useful life	March 10, 2014
11645	Anesthesia	B-D Rate Infuser	6/28/1989	1,450.00	-	beyond useful life	March 10, 2014
11646	Anesthesia	B-D Rate Infuser	6/28/1989	1,450.00	-	beyond useful life	March 10, 2014
11647	Anesthesia	B-D Rate Infuser	6/28/1989	1,450.00	-	beyond useful life	March 10, 2014
11700	Depreciation	Imed Pump	1/1/1990	1,200.00	-	broken beyond repair	March 10, 2014
11733	Sterile Processing	Imed Pump	1/1/1990	1,200.00	-	beyond useful life	March 10, 2014
12386	Intermediate Care Nursery	Infusion Pump W/Auto Syri	6/28/1990	1,945.00	-	beyond useful life	March 10, 2014
12388	Intermediate Care Nursery	Infusion Pump W/Auto Syri	6/28/1990	1,945.00	-	beyond useful life	March 10, 2014
12389	Intermediate Care Nursery	Infusion Pump W/Auto Syri	6/28/1990	1,945.00	-	beyond useful life	March 10, 2014
12390	Intermediate Care Nursery	Infusion Pump W/Auto Syri	6/28/1990	1,945.00	-	beyond useful life	March 10, 2014
12391	Intermediate Care Nursery	Infusion Pump W/Auto Syri	6/28/1990	1,945.00	-	beyond useful life	March 10, 2014
12392	Intermediate Care Nursery	Infusion Pump W/Auto Syri	6/28/1990	1,945.00	-	beyond useful life	March 10, 2014
12393	Intermediate Care Nursery	Infusion Pump W/Auto Syri	6/28/1990	1,945.00	-	beyond useful life	March 10, 2014
12394	Intermediate Care Nursery	Infusion Pump W/Auto Syri	6/28/1990	1,945.00	-	beyond useful life	March 10, 2014
12404	Pediatric ICU	Infusion Pump W/Auto Syri	6/29/1990	1,951.00	-	beyond useful life	March 10, 2014
12405	Pediatric ICU	Infusion Pump W/Auto Syri	6/29/1990	1,951.00	-	beyond useful life	March 10, 2014
12406	Pediatric ICU	Infusion Pump W/Auto Syri	6/29/1990	1,951.00	-	beyond useful life	March 10, 2014
12407	Pediatric ICU	Infusion Pump W/Auto Syri	6/29/1990	1,951.00	-	beyond useful life	March 10, 2014
12408	Pediatric ICU	Infusion Pump W/Auto Syri	6/29/1990	1,951.00	-	beyond useful life	March 10, 2014
11864	General Pediatrics Unit	Infusion Pump	7/25/1990	2,156.00	-	beyond useful life	March 10, 2014
12545	Operating Room	Infusion Pump	1/22/1991	1,455.00	-	beyond useful life	March 10, 2014
17187	Operating Room	Insufflator Co2 Hi Flow. (Iapa	1/31/1991	5,937.50	-	beyond useful life	March 10, 2014
17198	Operating Room	Light Source, Fiberoptic	1/31/1991	5,605.00	-	broken beyond repair	March 10, 2014
17387	Endoscopy Center	Medical Tv Camera	3/7/1991	9,900.00	-	broken beyond repair	March 10, 2014
15021	ED-North	Infusion Pump	5/1/1991	2,019.00	-	beyond useful life	March 10, 2014
15243	Childrens Hosp Heart Cntr	Ultrasound System	5/22/1991	30,000.00	-	broken beyond repair	March 10, 2014
18108	General Pediatrics Unit	Infusion Pump	6/1/1991	2,019.00	-	beyond useful life	March 10, 2014
18154	General Pediatrics Unit	Infusion Pump	6/1/1991	2,019.00	-	beyond useful life	March 10, 2014
18075	Intermediate Care Nursery	Infusion Pump	6/4/1991	2,019.00	-	beyond useful life	March 10, 2014
18086	Intermediate Care Nursery	Infusion Pump	6/4/1991	2,019.00	-	beyond useful life	March 10, 2014
18097	General Pediatrics Unit	Infusion Pump	6/4/1991	2,019.00	-	beyond useful life	March 10, 2014

Asset#	Department turning in Equipment	Description	Acquisition Date	Acquisition Cost	Net Book Value	Proposed Method of Disposal	Disposal Date
18220	Endoscopy Center	Endoscope	6/18/1991	12,700.00	-	broken beyond repair	March 10, 2014
15542	Pediatric Cardiology	Software	7/16/1991	36,000.00	-	broken beyond repair	March 10, 2014
12023	Operating Room	Video Camera/Light Box	1/28/1992	9,369.00	-	beyond useful life	March 10, 2014
12057	Labor and Delivery	Lighting Fixture	2/1/1992	1,543.00	-	beyond useful life	March 10, 2014
16187	ED-North	Infusion Pump	3/25/1992	2,024.50	-	beyond useful life	March 10, 2014
12097	Family Practice Clinic	Procedure Light	4/1/1992	1,935.00	-	beyond useful life	March 10, 2014
16487	storage	Infusion Pump	6/11/1992	2,027.50	-	beyond useful life	March 10, 2014
16542	Pediatric ICU	Infusion Pump - Syringe	6/11/1992	2,019.00	-	beyond useful life	March 10, 2014
12073	CRC/CTC (5-E)	Infusion Pump	6/17/1992	2,226.00	-	beyond useful life	March 10, 2014
12074	CRC/CTC (5-E)	Infusion Pump	6/17/1992	2,226.00	-	beyond useful life	March 10, 2014
12075	CRC/CTC (5-E)	Infusion Pump	6/17/1992	2,226.00	-	beyond useful life	March 10, 2014
12641	Operating Room	Aspirator - Ultrasonic - Optha	7/1/1992	13,225.00	-	broken beyond repair	March 10, 2014
12657	Intermediate Care Nursery	Pump - Infusion	7/1/1992	2,027.50	-	beyond useful life	March 10, 2014
12736	Medical/Cardiac ICU	Infusion Pump - Volumetric	1/18/1993	2,350.00	-	beyond useful life	March 10, 2014
12724	Operating Room	Cell Saver - Autotransfusion M	1/22/1993	25,000.00	-	broken beyond repair	March 10, 2014
12805	Operating Room	Defibrillator Monitor W/Pacema	3/2/1993	7,427.32	-	beyond useful life	March 10, 2014
12839	ED-North	Pump - Infusion	3/3/1993	2,025.00	-	beyond useful life	March 10, 2014
12819	Operating Room	Laser Bronchoscope Co2	4/29/1993	6,956.22	-	beyond useful life	March 10, 2014
12863	Medical/Cardiac ICU	Pressure Infusion System	5/5/1993	1,500.00	-	beyond useful life	March 10, 2014
12857	Operating Room	C02 Laser 40-Watt	5/6/1993	33,517.43	-	broken beyond repair	March 10, 2014
12940	Operating Room	Table - Surgical	6/1/1993	10,171.95	-	trade in	March 10, 2014
12941	Operating Room	Light - Surgical Fixed	6/1/1993	8,000.00	-	beyond useful life	March 10, 2014
12942	Operating Room	Light - Surgical Fixed	6/1/1993	8,000.00	-	beyond useful life	March 10, 2014
12929	Operating Room	Electrosurgical Unit - General	6/1/1993	5,915.36	-	beyond useful life	March 10, 2014
12930	Operating Room	Electrosurgical Unit - General	6/1/1993	5,915.35	-	beyond useful life	March 10, 2014
12909	Physical Therapy	Arm Ergometer	6/14/1993	1,090.00	-	beyond useful life	March 10, 2014
12905	Intermediate Care Nursery	Infusion Pump - Syringe	6/18/1993	1,894.00	-	beyond useful life	March 10, 2014
12906	Intermediate Care Nursery	Infusion Pump - Syringe	6/18/1993	1,894.00	-	beyond useful life	March 10, 2014
12951	Pediatric ICU	Infusion Pump - Syringe	6/24/1993	1,896.16	-	beyond useful life	March 10, 2014
12980	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
12981	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
12982	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
12983	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
12984	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
12985	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
12986	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
12988	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
12989	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
12990	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
13118	Operating Room	Defibrillator (also see asset	1/1/1994	8,828.00	-	broken beyond repair	March 10, 2014
13117	Operating Room	Defibrillator	1/1/1994	7,656.50	-	broken beyond repair	March 10, 2014
13116	Operating Room	Defibrillator	1/1/1994	7,463.32	-	beyond useful life	March 10, 2014
13088	Pulmonary Diagnostics	Massage/Percussion Machine	1/1/1994	2,060.00	-	broken beyond repair	March 10, 2014
13126	Anesthesia	Blood Warmer	2/1/1994	4,162.30	-	beyond useful life	March 10, 2014
13157	Anesthesia	Fluid Warmer & Infuser	2/1/1994	4,000.00	-	beyond useful life	March 10, 2014
13402	General Surgery (6-5)	General Surgery Refrigerator	3/1/1994	1,168.00	-	broken beyond repair	March 10, 2014
13616	Perfusionists	Perfusion System	6/1/1994	91,532.26	-	trade in	March 10, 2014
13640	Operating Room	Bed Neonate	6/1/1994	8,950.00	-	broken beyond repair	March 10, 2014
13611	Pulmonary Services	Blender - Bird Sentry	6/1/1994	2,652.00	-	broken beyond repair	March 10, 2014
13577	Information Technology	Printer - Zebra Barcode	6/1/1994	1,692.44	-	beyond useful life	March 10, 2014
13772	Operating Room	Coagulator - Argon Beam	10/1/1994	11,335.00	-	broken beyond repair	March 10, 2014
13803	Operating Room	Coagulator - Argon Beam	1/1/1995	11,335.00	-	broken beyond repair	March 10, 2014
13807	Lab - Hematology	Plasma Thawer	1/1/1995	4,860.00	-	broken beyond repair	March 10, 2014
13853	Operating Room	Sterilizer - Gravity	3/1/1995	15,062.00	-	beyond useful life	March 10, 2014
14627	NE Heights Clinic	Stretcher Hydraulic	2/1/1996	2,574.25	-	beyond useful life	March 10, 2014
14708	storage	Siemens Minimed III Infusion P	4/1/1996	2,510.00	-	beyond useful life	March 10, 2014
20710	Depreciation	MONITORING SYSTEM	5/1/1996	9,278.00	-	broken beyond repair	March 10, 2014
14422	Operating Room	Microlaryngeal Laset Set	5/1/1996	6,802.49	-	beyond useful life	March 10, 2014
14406	Speech/Language Pathology	JVC VCR	5/1/1996	2,044.00	-	broken beyond repair	March 10, 2014
14655	Pediatric Cardiology	MAC 8 ECG Analysis System	6/1/1996	9,288.98	-	broken beyond repair	March 10, 2014
14485	Operating Room	Light Source, Xenon, 300 Watt	6/1/1996	5,132.57	-	beyond useful life	March 10, 2014
14486	Operating Room	Light Source, Xenon, 300 Watt	6/1/1996	5,132.57	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
14488	Operating Room	Light Source, Xenon, 300 Watt	6/1/1996	5,132.57	-	beyond useful life	March 10, 2014
14489	Operating Room	Light Source, Xenon, 300 Watt	6/1/1996	5,132.57	-	beyond useful life	March 10, 2014
14490	Operating Room	Light Source, Xenon, 300 Watt	6/1/1996	5,132.57	-	beyond useful life	March 10, 2014
14491	Operating Room	Light Source, Xenon, 300 Watt	6/1/1996	5,132.57	-	beyond useful life	March 10, 2014
14492	Operating Room	Light Source, Xenon, 300 Watt	6/1/1996	5,132.56	-	beyond useful life	March 10, 2014
14857	storage	Uprgrade Trams 400SL to 450SL	8/1/1996	1,087.50	-	beyond useful life	March 10, 2014
10174	CTH Rehab-Ortho Unit	Pump Infusion Withdr	8/2/1996	1,925.00	-	beyond useful life	March 10, 2014
10177	CTH Rehab-Ortho Unit	Pump Infusion Withdr	8/2/1996	1,925.00	-	beyond useful life	March 10, 2014
15142	Labor and Delivery	Rapid Infuser Blood Warmer	2/1/1997	4,960.00	-	beyond useful life	March 10, 2014
15144	Medical/Cardiac ICU	Rapid Infuser Blood Warmer	2/1/1997	4,960.00	-	beyond useful life	March 10, 2014
15457	Operating Room	Vac Steam Processor	5/1/1997	20,357.00	-	beyond useful life	March 10, 2014
15480	Sterile Processing	Sterile Drier	5/1/1997	6,838.95	-	broken beyond repair	March 10, 2014
15480	Sterile Processing	Sterile Drier	5/1/1997	6,838.95	-	beyond useful life	March 10, 2014
15440	Rehabilitation Services	Lift Walker - Hydraulic	5/1/1997	4,019.00	-	beyond useful life	March 10, 2014
15488	Operating Room	Surgistool with Motorcycle Sea	5/1/1997	1,600.00	-	beyond useful life	March 10, 2014
15601	Operating Room	Table, Battery Operated OR, 10	6/1/1997	25,976.00	-	trade in	March 10, 2014
15602	Operating Room	Table, Battery Operated OR, 10	6/1/1997	25,976.00	-	trade in	March 10, 2014
15668	Endoscopy Center	Eagle 400N Color Patient Monit	6/1/1997	16,653.65	-	broken beyond repair	March 10, 2014
15671	Operating Room	Surgery ann Imaging Table	7/1/1997	30,293.60	-	broken beyond repair	March 10, 2014
15734	Pulmonary Services	Bipap, Ventilator	7/1/1997	4,681.70	-	broken beyond repair	March 10, 2014
15735	Pulmonary Services	Bipap, Ventilator	7/1/1997	4,681.69	-	broken beyond repair	March 10, 2014
15757	Operating Room	Laser Diode Photocoagulator	8/1/1997	24,225.00	-	beyond useful life	March 10, 2014
20788	Parking and Transport	1997 Ford Aerostar Plate #G-31	8/1/1997	14,394.00	-	broken beyond repair	March 10, 2014
15968	Urology	Flex Cysto-Nephroscope	3/1/1998	7,613.75	-	beyond useful life	March 10, 2014
16097	Perfusionists	Heart-Lung Machine - Perfusion	5/1/1998	79,852.00	-	beyond useful life	March 10, 2014
16157	Fixed Wing Transport	Pump Syringe with Charger	6/1/1998	1,897.43	-	broken beyond repair	March 10, 2014
20321	storage	COMPUTER CPU/SYSTEM	6/1/1998	1,337.00	-	beyond useful life	March 10, 2014
16204	Surgical Specialties	Microscope w/Stand	7/1/1998	1,420.96	-	beyond useful life	March 10, 2014
16207	Surgical Specialties	M5 Microscope Body 5 Step w/St	8/1/1998	27,202.50	-	beyond useful life	March 10, 2014
16382	Operating Room	Orthopedic Table System	3/1/1999	81,511.00	-	broken beyond repair	March 10, 2014
16341	Labor and Delivery	C300 Infant Incubator	3/1/1999	7,722.40	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
16390	ED-North	System 1025 Fluid Warmer Infus	3/1/1999	4,800.00	-	beyond useful life	March 10, 2014
16340	Rehabilitation Services	Tectrix Bikemax - R3000	3/1/1999	2,502.11	-	broken beyond repair	March 10, 2014
16474	Sterile Processing	Sonic Cleaner Rinser/Dryer	5/1/1999	21,943.50	-	broken beyond repair	March 10, 2014
16475	Sterile Processing	Sonic Cleaner Rinser/Dryer	5/1/1999	21,943.50	-	broken beyond repair	March 10, 2014
16538	PACU (Recovery Room 1)	Zoll M Series Basic Defibrilla	5/1/1999	7,624.11	-	broken beyond repair	March 10, 2014
16528	Pediatrics Clinic	Zoll M Series Basic Defibrilla	5/1/1999	7,624.11	-	beyond useful life	March 10, 2014
16530	Endoscopy Center	Zoll M Series Basic Defibrilla	5/1/1999	7,624.11	-	broken beyond repair	March 10, 2014
16507	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16508	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16510	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16511	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16512	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16513	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16514	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16515	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16516	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16517	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16518	storage	Ambulatory APII Pump	5/1/1999	3,589.52	-	beyond useful life	March 10, 2014
16519	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.52	-	beyond useful life	March 10, 2014
16521	Anesthesia	Infusion OR Pump	5/1/1999	1,869.43	-	beyond useful life	March 10, 2014
16522	Anesthesia	Infusion OR Pump	5/1/1999	1,869.43	-	beyond useful life	March 10, 2014
16523	Anesthesia	Infusion OR Pump	5/1/1999	1,869.42	-	beyond useful life	March 10, 2014
16524	Anesthesia	Infusion OR Pump	5/1/1999	1,869.42	-	beyond useful life	March 10, 2014
16525	Anesthesia	Infusion OR Pump	5/1/1999	1,799.68	-	beyond useful life	March 10, 2014
16526	Anesthesia	Infusion OR Pump	5/1/1999	1,799.68	-	beyond useful life	March 10, 2014
17210	Endoscopy Center	SIF-100 Video Push Enteroscope	8/1/1999	22,657.82	-	broken beyond repair	March 10, 2014
17225	Endoscopy Center	TJF Duodenovideoscope	8/1/1999	19,963.26	-	broken beyond repair	March 10, 2014
17226	Endoscopy Center	TJF Duodenovideoscope	8/1/1999	19,963.26	-	broken beyond repair	March 10, 2014
17204	Endoscopy Center	CF-Q140 Colonvideoscope	8/1/1999	16,206.64	-	broken beyond repair	March 10, 2014
17205	Endoscopy Center	CF-Q140 Colonvideoscope	8/1/1999	16,206.64	-	broken beyond repair	March 10, 2014
17208	Endoscopy Center	GIF-2T100 Therapeutic Gastrosc	8/1/1999	15,223.22	-	broken beyond repair	March 10, 2014

Asset#	Department turning in Equipment	Description	Acquisition Date	Acquisition Cost	Net Book Value	Proposed Method of Disposal	Disposal Date
17201	Endoscopy Center	GIF-Q140 Evis Gastrovideoscope	8/1/1999	15,144.55	-	broken beyond repair	March 10, 2014
17218	Endoscopy Center	GIF-P140 Evis Video Gastroscoop	8/1/1999	14,947.87	-	broken beyond repair	March 10, 2014
17197	Endoscopy Center	GIF Q-140 Evis Gastrovideoscop	8/1/1999	12,990.00	-	broken beyond repair	March 10, 2014
18140	Family Practice Clinic	Workstations - Family Practice	9/1/1999	9,428.43	-	beyond useful life	March 10, 2014
17654	Endoscopy Center	GF-UC30P GI Ultrasound Fibersc	1/1/2000	56,006.25	-	beyond useful life	March 10, 2014
17651	Endoscopy Center	CF-Q140L Colonovideoscope	1/1/2000	18,444.00	-	broken beyond repair	March 10, 2014
17659	Pulmonary Services	T-Bird Ventilator AVS II Trans	1/1/2000	17,526.00	-	broken beyond repair	March 10, 2014
17650	Endoscopy Center	GIF-Q140 Evis Gastrovideoscope	1/1/2000	16,747.50	-	broken beyond repair	March 10, 2014
17657	Pulmonary Services	T-Bird Ventilator AVS II Trans	1/1/2000	16,601.00	-	broken beyond repair	March 10, 2014
17658	Pulmonary Services	T-Bird Ventilator AVS II Trans	1/1/2000	16,601.00	-	broken beyond repair	March 10, 2014
20816	Depreciation	WATER HEATER	1/1/2000	6,898.00	-	broken beyond repair	March 10, 2014
18167	Pediatrics Clinic	Monitor, Multiparameter	1/1/2000	2,794.34	-	beyond useful life	March 10, 2014
17956	IT - Patient Financial Svcs	March Consulting CIP 1567 - SM	3/1/2000	16,377.63	-	beyond useful life	March 10, 2014
17749	Depreciation	Bili-Lite Model 34 w/Timer	3/1/2000	1,858.02	-	beyond useful life	March 10, 2014
17750	Depreciation	Bili-Lite Model 34 w/Timer	3/1/2000	1,858.02	-	beyond useful life	March 10, 2014
17827	Operating Room	Printer, Video	4/1/2000	5,450.77	-	beyond useful life	March 10, 2014
17828	Operating Room	Printer, Video	4/1/2000	5,450.77	-	beyond useful life	March 10, 2014
18156	ENT Clinic	"S" Chair w/Motorized Base CIP	4/1/2000	3,037.47	354.37	beyond useful life	March 10, 2014
18157	ENT Clinic	"S" Chair w/Motorized Base CIP	4/1/2000	3,037.47	354.37	beyond useful life	March 10, 2014
628	Truman St. Clinic	Modular Furniture - ID Clinic	7/1/2000	22,285.35	-	beyond useful life	March 10, 2014
18203	Medical Records/Support Svcs	Increase Voicewriter Voice Sto	7/1/2000	13,040.00	-	beyond useful life	March 10, 2014
617	Truman St. Clinic	Chairs & Table - ID Clinic Cap	7/1/2000	5,374.62	895.68	beyond useful life	March 10, 2014
20832	Depreciation	Dishwasher	7/12/2000	6,280.54	-	broken beyond repair	March 10, 2014
18334	Clinical Neuroscience Center	Actplus2.5 (NIBP monitor)	10/1/2000	4,233.00	-	beyond useful life	March 10, 2014
20828	Depreciation	Dell 733 GX110 PC	11/15/2000	27,863.46	-	broken beyond repair	March 10, 2014
20829	Depreciation	Dell 733 GX110 PC	11/16/2000	27,863.46	-	broken beyond repair	March 10, 2014
18727	Urology	Falcon Ultrasound System	1/1/2001	67,918.90	-	beyond useful life	March 10, 2014
197	Endoscopy Center	Endostat II Bipolar/Monopolar	5/1/2001	6,000.00	-	broken beyond repair	March 10, 2014
19098	Pediatric ICU	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19099	Newborn ICU	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19100	Intermediate Care Nursery	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
19101	Intermediate Care Nursery	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19102	General Pediatrics Unit	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19103	General Pediatrics Unit	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19104	General Pediatrics Unit	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19105	Sterile Processing	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19106	Sterile Processing	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19107	Sterile Processing	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19109	Sterile Processing	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19110	Sterile Processing	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19111	Pediatric ICU	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
5	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
6	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
7	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
8	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
9	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
10	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
11	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
12	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
13	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
14	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
16	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
17	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
18	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
20	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
21	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
22	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
23	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
24	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
25	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
27	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
96	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
97	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
98	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
99	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
100	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
101	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
102	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
104	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
105	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
106	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
107	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
108	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
109	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
110	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
111	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
112	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
113	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
116	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
117	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
118	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
119	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
120	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
121	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
122	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
123	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
124	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19112	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19113	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19114	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19115	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19116	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
19117	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19118	General Pediatrics Unit	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19121	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19122	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19123	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19124	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19125	Pediatric ICU	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19126	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19127	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19128	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19129	General Pediatrics Unit	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19130	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19132	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19133	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19134	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19135	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19136	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19137	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19138	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19139	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19140	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19141	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19143	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19144	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19145	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19146	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19147	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19148	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19149	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19150	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19151	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
19221	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19222	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19223	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19224	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19225	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19226	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19227	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19228	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19229	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
444	Operating Room	Landmark Ent Module for Stealt	6/1/2001	40,000.00	-	beyond useful life	March 10, 2014
312	General Pediatrics	Apnea Monitor	6/1/2001	2,522.50	-	beyond useful life	March 10, 2014
795	Sterile Processing	Vacuum Sterilizer	7/1/2001	60,945.00	14,220.57	broken beyond repair	March 10, 2014
800	Urology	Duet Multiple Clinical System	7/1/2001	35,524.24	-	beyond useful life	March 10, 2014
2168	Marketing	Workstations CIP 1587	7/1/2001	19,847.03	-	broken beyond repair	March 10, 2014
1952	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1954	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1957	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1959	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1960	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1961	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1963	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1967	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1968	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1970	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1971	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1972	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1974	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1975	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
997	Anesthesia	DSH40 Clr 2 Batt IBP 7020 12SL	8/1/2001	11,722.73	-	beyond useful life	March 10, 2014
998	Anesthesia	DSH40 Clr 2 Batt IBP 7020 12SL	8/1/2001	11,722.73	-	beyond useful life	March 10, 2014
1976	Newborn ICU	AS50 Infusion Pump	8/1/2001	2,250.00	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
1977	Newborn ICU	AS50 Infusion Pump	8/1/2001	2,250.00	-	beyond useful life	March 10, 2014
1978	Newborn ICU	AS50 Infusion Pump	8/1/2001	2,250.00	-	beyond useful life	March 10, 2014
1042	Adult Oncology Med/Surg	Clinical Information Center V2	9/1/2001	9,893.30	-	beyond useful life	March 10, 2014
1271	Operating Room	Stryker Power Equipment (Drill	10/1/2001	62,404.09	-	beyond useful life	March 10, 2014
1990	Pediatric ICU	Pump, Infusion Syringe	10/1/2001	2,250.00	-	beyond useful life	March 10, 2014
2000	Pediatric Specialty Care	Monitor CPU & Communication	11/1/2001	2,666.46	-	beyond useful life	March 10, 2014
2004	Pediatric Specialty Care	Monitor CPU & Communication	11/1/2001	2,666.45	-	beyond useful life	March 10, 2014
2005	Pediatric Specialty Care	Monitor CPU & Communication	11/1/2001	2,666.45	-	beyond useful life	March 10, 2014
2006	Pediatric Specialty Care	Monitor CPU & Communication	11/1/2001	2,666.45	-	beyond useful life	March 10, 2014
1385	Sterile Processing	Re: Assets 18542-18757 capital	12/1/2001	54,000.00	-	broken beyond repair	March 10, 2014
2076	General Pediatrics	Photo-Therapy Lamp, Free Stand	2/1/2002	3,471.14	-	broken beyond repair	March 10, 2014
1813	Operating Room	Fluoroscan Premier Imaging Sys	4/1/2002	63,500.00	-	beyond useful life	March 10, 2014
1816	Endoscopy Center	CF-Q160AL Video Colonoscope Ad	4/1/2002	24,600.00	-	beyond useful life	March 10, 2014
2048	Newborn ICU	Case Cart/Trash Linen	5/1/2002	2,669.00	-	broken beyond repair	March 10, 2014
1843	Operating Room	Dual 650 Surgical Light	6/1/2002	12,886.15	-	broken beyond repair	March 10, 2014
1844	Operating Room	650 Ceiling Mounted Light	6/1/2002	7,635.50	-	broken beyond repair	March 10, 2014
2488	Endoscopy Center	Therapeutic Video Duodenoscope	7/1/2002	27,850.00	-	beyond useful life	March 10, 2014
19241	Newborn ICU	Lactina Breast Pumps (2)	7/1/2002	1,524.40	-	beyond useful life	March 10, 2014
2539	Endoscopy Center	CF Q1602L zoom video colonosco	8/1/2002	23,529.68	-	beyond useful life	March 10, 2014
2563	Operating Room	linear t-probe	10/1/2002	10,155.21	-	beyond useful life	March 10, 2014
2596	Operating Room	Kit Tri Cam SL IPM	11/1/2002	14,041.32	-	broken beyond repair	March 10, 2014
2600	Operating Room	Kit 300W Xenon LS	11/1/2002	5,176.49	-	beyond useful life	March 10, 2014
2598	Operating Room	Kit 300W Xenon LS	11/1/2002	5,176.48	-	beyond useful life	March 10, 2014
2599	Operating Room	Kit 300W Xenon LS	11/1/2002	5,176.48	-	beyond useful life	March 10, 2014
2621	Endoscopy Center	SSD-5000-OLY Digital Ultrasoun	12/1/2002	88,736.00	-	beyond useful life	March 10, 2014
2622	Endoscopy Center	Lightweight Video Ultrasound C	12/1/2002	55,800.00	-	beyond useful life	March 10, 2014
2620	Endoscopy Center	Probe Drive For EU-M60 Compati	12/1/2002	11,600.00	-	broken beyond repair	March 10, 2014
2713	Development 700 Lomas Ste 10	Furnishings	12/1/2002	7,468.84	-	broken beyond repair	March 10, 2014
2631	Operating Room	Maquet CMAX Universal Surgical	2/1/2003	24,000.00	8,133.43	beyond useful life	March 10, 2014
2633	Operating Room	Radiolucent Imaging Top (500#)	2/1/2003	15,300.00	-	beyond useful life	March 10, 2014
3850	OSIS PACU	Narkomed GS Anesthesia Unit Ca	5/1/2003	38,027.51	-	beyond useful life	March 10, 2014

Asset#	Department turning in Equipment	Description	Acquisition Date	Acquisition Cost	Net Book Value	Proposed Method of Disposal	Disposal Date
3851	OSIS PACU	Narkomed GS Anesthesia Unit Cap	5/1/2003	38,027.50	-	beyond useful life	March 10, 2014
3852	OSIS PACU	Narkomed GS Anesthesia Unit Cap	5/1/2003	38,027.50	-	beyond useful life	March 10, 2014
3853	OSIS PACU	Narkomed GS Anesthesia Unit Cap	5/1/2003	38,027.50	-	beyond useful life	March 10, 2014
3866	OSIS PACU	Monitor, Central Nurse's Stati	5/1/2003	8,810.40	-	beyond useful life	March 10, 2014
3867	OSIS PACU	Monitor, Central Nurse's Stati	5/1/2003	8,810.40	-	beyond useful life	March 10, 2014
3854	OSIS Operating Room	Cryostar Cryosurgical System C	5/1/2003	5,975.00	-	beyond useful life	March 10, 2014
19304	Endoscopy Center	Insight EFT Software Bundle	7/1/2003	46,753.34	-	beyond useful life	March 10, 2014
3979	Endoscopy Center	Therapeutic Video Duoendoscope	8/1/2003	24,120.00	-	beyond useful life	March 10, 2014
3981	Endoscopy Center	Therapeutic Video Duoendoscope	8/1/2003	24,120.00	-	beyond useful life	March 10, 2014
3983	Endoscopy Center	GIF-Q160 Video Gastrovideoscop	8/1/2003	18,400.00	-	broken beyond repair	March 10, 2014
3856	OSIS Operating Room	APC2 Argon Plasma Coag Unit Ca	8/1/2003	16,313.00	-	beyond useful life	March 10, 2014
3990	Endoscopy Center	CLV-160 Light Source Fiberopti	8/1/2003	8,896.00	-	broken beyond repair	March 10, 2014
19293	Operating Room	Printer, Video, Instant Hard C	8/1/2003	6,631.25	-	beyond useful life	March 10, 2014
3996	Endoscopy Center	Sony 18 Inch Flat Panel LCD Ca	8/1/2003	5,260.00	-	broken beyond repair	March 10, 2014
2771	Anesthesia	Pump, Epidural Ambulatory PCA	8/1/2003	2,872.50	23.93	beyond useful life	March 10, 2014
2905	Endoscopy Center	Lightweight Video Radial Ultra	9/1/2003	65,250.00	-	beyond useful life	March 10, 2014
2897	Operating Room	NIMS Muting System	9/1/2003	22,500.00	-	beyond useful life	March 10, 2014
2853	Anesthesia	SLR8M Sngl Card	9/1/2003	10,002.72	-	beyond useful life	March 10, 2014
3229	Operating Room	Uretero-Nephroscope, Semi-Rigi	9/1/2003	9,751.16	-	beyond useful life	March 10, 2014
3230	Operating Room	Uretero-Nephroscope, Semi-Rigi	9/1/2003	9,751.15	-	beyond useful life	March 10, 2014
2893	Operating Room	Camera Head Tri	9/1/2003	9,458.88	-	broken beyond repair	March 10, 2014
2916	Lab - Blood Bank	Thermoline Plasma Thawer	9/1/2003	5,460.00	-	broken beyond repair	March 10, 2014
3016	Operating Room	Leadpoint 4 Electromyograph	11/1/2003	48,271.89	-	beyond useful life	March 10, 2014
3031	Operating Room	Leksell Stereotactic System	12/1/2003	48,355.65	-	beyond useful life	March 10, 2014
3233	Operating Room	Cystoscope	1/1/2004	31,959.09	-	broken beyond repair	March 10, 2014
3234	Operating Room	Resectoscope	1/1/2004	16,374.49	-	beyond useful life	March 10, 2014
3090	Operating Room	OPMI Sensera ENT Microscope	2/1/2004	51,825.96	-	beyond useful life	March 10, 2014
3099	Operating Room	Flex-X Uretero-Reno Fiberscope	2/1/2004	14,092.98	-	broken beyond repair	March 10, 2014
4160	Operating Room	Shelving	3/1/2004	26,499.19	14,795.61	broken beyond repair	March 10, 2014
3416	Sterile Processing	Watkins Pro Wash/Disinfector	6/1/2004	58,863.99	16,759.77	broken beyond repair	March 10, 2014
3468	OSIS Operating Room	System Elite Premium Gold	6/1/2004	10,738.76	-	beyond useful life	March 10, 2014

Asset#	Department turning in Equipment	Description	Acquisition Date	Acquisition Cost	Net Book Value	Proposed Method of Disposal	Disposal Date
3460	Radiology - Ultrasound	P4-2 Broadband Phased Array	6/1/2004	9,750.00	-	beyond useful life	March 10, 2014
4819	Operating Room	Modular Furniture - Capitalize	10/1/2004	6,225.65	1,089.51	beyond useful life	March 10, 2014
4350	Operating Room	Innomed Hip Retractor System	12/1/2004	14,942.00	-	beyond useful life	March 10, 2014
4487	Anesthesia	Acuson CV70, 115V Ultrasound	2/1/2005	75,621.00	-	trade in	March 10, 2014
5562	Endoscopy Center	Work Stations - Capitalize CIP	7/1/2005	23,082.12	5,770.58	broken beyond repair	March 10, 2014
19388	Childrens Hosp Heart Cntr	MAC 5000 System (EKG)	7/1/2005	10,335.50	-	beyond useful life	March 10, 2014
4978	Clinical Engineering	DINAMAP 410 Monitor	8/1/2005	4,398.50	-	beyond useful life	March 10, 2014
5004	OSIS Operating Room	Tricam Three-Chip Zoom, Autocl	10/1/2005	9,591.03	-	beyond useful life	March 10, 2014
5005	OSIS Operating Room	Tricam Three-Chip Zoom, Autocl	10/1/2005	9,591.03	-	beyond useful life	March 10, 2014
5006	OSIS Operating Room	Tricam Three-Chip Zoom, Autocl	10/1/2005	9,591.03	-	beyond useful life	March 10, 2014
4994	Labor and Delivery	Microscope Trinoc Plan Obj	10/1/2005	1,037.68	-	broken beyond repair	March 10, 2014
5599	Operating Room	Adult Cysto Instrument Set	11/1/2005	37,809.83	-	beyond useful life	March 10, 2014
5124	Endoscopy Center	Evis Exera II Gastrovideoscope	11/1/2005	22,590.00	-	broken beyond repair	March 10, 2014
5133	Operating Room	Zimmer Skin Graft Mesher	11/1/2005	11,512.74	-	broken beyond repair	March 10, 2014
5119	Urology	Microflo, Digital Automatic Ur	11/1/2005	3,199.00	-	beyond useful life	March 10, 2014
5117	Pulmonary Services	Vest Airway Clearance System A	11/1/2005	3,195.00	-	broken beyond repair	March 10, 2014
5118	Pulmonary Services	Vest Airway Clearance System A	11/1/2005	3,195.00	-	broken beyond repair	March 10, 2014
5146	Sterile Processing	Mini-Pasteurmatic 120V/60	12/1/2005	6,104.25	2,501.09	broken beyond repair	March 10, 2014
5157	Operating Room	Xenon Light Source - AHBI Syst	1/1/2006	10,121.64	5,398.29	beyond useful life	March 10, 2014
5186	Medicine Clinic	Microscope w/4X, 10X, 40X, 100	1/1/2006	4,157.04	-	beyond useful life	March 10, 2014
5222	Operating Room	Sony Color Printer (part of as	3/1/2006	6,450.00	-	beyond useful life	March 10, 2014
5260	Endoscopy Center	Evis Exera II Colonovideoscope	4/1/2006	19,765.42	-	broken beyond repair	March 10, 2014
5300	Sterile Processing	Washer/Disinfector	6/1/2006	52,591.41	29,509.67	broken beyond repair	March 10, 2014
5370	Labor and Delivery	HDI 5000 Upgrade for PACS	6/1/2006	4,125.00	-	broken beyond repair	March 10, 2014
5917	Development 700 Lomas Ste 10	Modular Furniture - Capitalize	7/1/2006	8,748.45	3,062.01	broken beyond repair	March 10, 2014
20533	UPC Inpatient/Geriatrics	Hoyer Chrome Power Patient Lif	8/1/2006	1,710.00	-	broken beyond repair	March 10, 2014
5772	Operating Room	Neuro Micro Instrument Set	9/1/2006	9,242.76	-	broken beyond repair	March 10, 2014
6065	Operating Room	ISM Medical Video Producer Sof	9/1/2006	5,315.00	-	beyond useful life	March 10, 2014
6067	Operating Room	Navigations System Upgrade Ste	10/1/2006	60,859.20	-	beyond useful life	March 10, 2014
5872	Endoscopy Center	Evis Exera II Light Source	10/1/2006	11,397.50	-	broken beyond repair	March 10, 2014
5865	Urology	Olympus Video System Center	12/1/2006	57,035.35	-	beyond useful life	March 10, 2014

Asset#	Department turning in Equipment	Description	Acquisition Date	Acquisition Cost	Net Book Value	Proposed Method of Disposal	Disposal Date
5856	Anesthesia	Blood Gas Analyzer	3/1/2007	6,100.00	-	beyond useful life	March 10, 2014
5857	Anesthesia	Blood Gas Analyzer	3/1/2007	6,100.00	-	beyond useful life	March 10, 2014
5912	Finance & Accounting	5K Folder Sealer	4/1/2007	6,190.11	3,817.21	beyond useful life	March 10, 2014
6046	Arterial Blood Gas Lab	Roche Omni 6 Display Analyzerr	6/1/2007	10,000.00	-	broken beyond repair	March 10, 2014
7243	Operating Room	CO2 Surgical Laser Capitalize	7/1/2007	78,162.18	-	beyond useful life	March 10, 2014
19510	Operating Room - BBRP	BV Pulsera Mobile X-Ray Unit (7/1/2007	70,000.00	-	beyond useful life	March 10, 2014
7049	Operating Room - BBRP	BV Pulsera Mobile X-Ray Unit (7/1/2007	56,750.00	-	beyond useful life	March 10, 2014
6686	Operating Room - BBRP	System 15-XPS 3000 Bone Drill	7/1/2007	16,269.70	-	beyond useful life	March 10, 2014
6603	Operating Room - BBRP	Harmonic Scalpel Generator Cap	7/1/2007	13,174.48	-	beyond useful life	March 10, 2014
7872	Endoscopy	Dash 3000	7/1/2007	5,172.76	-	broken beyond repair	March 10, 2014
6359	Administration	2-Projector Presentation Syste	8/1/2007	48,551.18	-	broken beyond repair	March 10, 2014
6243	Operating Room	MFI Convex Array Transducer	8/1/2007	11,749.30	-	beyond useful life	March 10, 2014
6282	Operating Room	Force Fx-C Generator	9/1/2007	7,251.33	-	beyond useful life	March 10, 2014
6272	Operating Room	Force FX-C Generator	9/1/2007	7,251.32	-	beyond useful life	March 10, 2014
6321	Anesthesia	LMA C-Trach Kit	11/1/2007	8,195.00	2,902.41	beyond useful life	March 10, 2014
20108	CTH Physical Therapy	RT300 System with FES for Lowe	12/1/2007	16,645.01	8,183.74	broken beyond repair	March 10, 2014
6799	Anesthesia	v7m Transducer - Transesophage	2/1/2008	37,900.00	631.66	beyond useful life	March 10, 2014
6789	Operating Room	4-Way Laparoscopic Transducer	2/1/2008	15,017.95	250.29	beyond useful life	March 10, 2014
7789	OSIS Operating Room	Image 1 S3 Three-Chip Camera H	3/1/2008	11,210.38	373.67	beyond useful life	March 10, 2014
7790	OSIS Operating Room	Image 1 S3 Three-Chip Camera H	3/1/2008	11,210.38	373.67	beyond useful life	March 10, 2014
7097	Operating Room	Karl Storz Video Mediastinosco	4/1/2008	59,141.09	-	beyond useful life	March 10, 2014
7149	General Medicine (5-W)	16 Bed SPO2 Central Station	4/1/2008	49,396.93	2,469.85	beyond useful life	March 10, 2014
19808	Depreciation	Pump, Medfusion	4/1/2008	2,926.00	1,389.93	broken beyond repair	March 10, 2014
19645	storage	Pump, Medfusion	4/1/2008	2,926.00	1,292.39	beyond useful life	March 10, 2014
19684	storage	Pump, Medfusion	4/1/2008	2,926.00	1,292.39	beyond useful life	March 10, 2014
19686	storage	Pump, Medfusion	4/1/2008	2,926.00	1,292.39	beyond useful life	March 10, 2014
7109	Operating Room	Shadow Line Retractor w/Distra	5/1/2008	26,287.11	1,752.46	broken beyond repair	March 10, 2014
7795	OSIS Operating Room	Image 1 H3 Three-Chip Camera H	5/1/2008	12,900.00	860.00	beyond useful life	March 10, 2014
7548	Endoscopy Center	Evis Exera II HHD Colonovideos	6/1/2008	31,365.92	-	beyond useful life	March 10, 2014
7549	Endoscopy Center	Evis Exera II HHD Colonovideos	6/1/2008	31,365.92	-	beyond useful life	March 10, 2014
7600	Anesthesia	LF-V Tracheal Intubation Video	6/1/2008	15,322.00	1,276.82	beyond useful life	March 10, 2014

Asset#	Department turning in Equipment	Description	Acquisition Date	Acquisition Cost	Net Book Value	Proposed Method of Disposal	Disposal Date
7601	Anesthesia	LF-V Tracheal Intubation Video	6/1/2008	15,322.00	1,276.82	beyond useful life	March 10, 2014
7818	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.96	1,093.23	broken beyond repair	March 10, 2014
7819	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.96	1,093.23	broken beyond repair	March 10, 2014
7820	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.96	1,093.23	broken beyond repair	March 10, 2014
7821	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.96	1,093.23	broken beyond repair	March 10, 2014
7822	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.96	1,093.23	broken beyond repair	March 10, 2014
7823	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.96	1,093.23	broken beyond repair	March 10, 2014
7824	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.96	1,093.23	broken beyond repair	March 10, 2014
7826	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.96	1,093.23	broken beyond repair	March 10, 2014
7816	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.95	1,093.23	broken beyond repair	March 10, 2014
7817	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.95	1,093.23	broken beyond repair	March 10, 2014
7603	Anesthesia	OTV-SI Office Video System	6/1/2008	8,435.78	702.97	beyond useful life	March 10, 2014
7604	Anesthesia	OTV-SI Office Video System	6/1/2008	8,435.78	702.97	beyond useful life	March 10, 2014
7988	Anesthesia	FourSight TEE Software Upgrade	7/1/2008	39,800.00	-	beyond useful life	March 10, 2014
8062	OR - Intuitive Surgical	daVinci Instrument Trays & Ins	9/1/2008	17,216.34	-	broken beyond repair	March 10, 2014
8060	OR - Intuitive Surgical	Endoscope, Stereo, 0 Deg, Scho	9/1/2008	15,000.00	-	broken beyond repair	March 10, 2014
8061	OR - Intuitive Surgical	Endoscope, Stereo, 30 Deg, Sch	9/1/2008	15,000.00	-	broken beyond repair	March 10, 2014
8054	Radiology - Admin	EasyAccess Orthopaedic Bundle	10/1/2008	37,440.00	-	beyond useful life	March 10, 2014
8055	Radiology - Admin	EasyAccess Orthopaedic Bundle	10/1/2008	37,440.00	-	beyond useful life	March 10, 2014
8056	Radiology - Admin	EasyAccess Orthopaedic Bundle	10/1/2008	37,440.00	-	beyond useful life	March 10, 2014
8057	Radiology - Admin	EasyAccess Orthopaedic Bundle	10/1/2008	37,440.00	-	beyond useful life	March 10, 2014
8033	Radiology - Admin	EasyAccess Diagnostic & Report	10/1/2008	24,750.00	-	beyond useful life	March 10, 2014
8034	Radiology - Admin	EasyAccess Diagnostic & Report	10/1/2008	24,750.00	-	beyond useful life	March 10, 2014
8035	Radiology - Admin	EasyAccess Diagnostic & Report	10/1/2008	24,750.00	-	beyond useful life	March 10, 2014
8017	Surgical Specialties	Maxi Move 2008 - No Scale	10/1/2008	6,164.19	3,030.68	beyond useful life	March 10, 2014
19928	Operating Room	CP-5328 Polycom Video Unit wit	1/1/2009	5,503.95	1,100.82	beyond useful life	March 10, 2014
19931	Operating Room	CP-5328 Polycom Video Unit wit	1/1/2009	5,503.95	1,100.82	beyond useful life	March 10, 2014
19912	Kidney Transplant Svcs	Silver SVGA 256MB Computer	2/1/2009	6,300.00	1,365.00	broken beyond repair	March 10, 2014
8375	Orthopedics (3-S)	BVI 3000 Bladderscan	3/1/2009	16,773.63	-	beyond useful life	March 10, 2014
8412	Operating Room	Evis Exera II Video Bronchosco	5/1/2009	19,680.00	-	beyond useful life	March 10, 2014
8525	Anesthesia	Portable GVL System w/Mobile S	6/1/2009	14,868.95	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
8654	Operating Room	Image 1 H3 HD 3-Chip Camera He	7/1/2009	14,708.25	4,412.44	beyond useful life	March 10, 2014
8655	Operating Room	Image 1 H3 HD 3-Chip Camera He	7/1/2009	14,708.25	4,412.44	beyond useful life	March 10, 2014
8661	Operating Room	Image 1 H3 HD 3-Chip Camera He	7/1/2009	13,104.43	3,931.29	broken beyond repair	March 10, 2014
8662	Operating Room	Image 1 H3 HD 3-Chip Camera He	7/1/2009	13,104.43	3,931.29	broken beyond repair	March 10, 2014
8663	Operating Room	Image 1 H3 HD 3-Chip Camera He	7/1/2009	13,104.43	3,931.29	broken beyond repair	March 10, 2014
8664	Operating Room	Image 1 H3 HD 3-Chip Camera He	7/1/2009	13,104.43	3,931.29	broken beyond repair	March 10, 2014
8665	Operating Room	Image 1 H3 HD 3-Chip Camera He	7/1/2009	13,104.43	3,931.29	broken beyond repair	March 10, 2014
8650	Operating Room	Hopkins II 10MM 0 Degree Teles	7/1/2009	6,081.64	-	beyond useful life	March 10, 2014
8651	Operating Room	Hopkins II 10MM 30 Degree Tele	7/1/2009	6,081.63	-	beyond useful life	March 10, 2014
8652	Operating Room	Hopkins II 5MM 0 Degree Telesc	7/1/2009	6,081.63	-	beyond useful life	March 10, 2014
8653	Operating Room	Hopkins II 5MM 30 Degree Teles	7/1/2009	6,081.63	-	beyond useful life	March 10, 2014
8637	storage	IQ Kinetic Slide Stainer	8/1/2009	8,202.00	3,222.25	beyond useful life	March 10, 2014
8697	Anesthesia	Trans-Esophageal Transducer	9/1/2009	25,600.00	8,533.30	beyond useful life	March 10, 2014
9087	Operating Room - BBRP	PCF-H180AL Evis Exera II Colon	6/1/2010	34,917.11	4,849.60	beyond useful life	March 10, 2014
9086	Operating Room - BBRP	GIF-N180 Evis Exera II Gastro	6/1/2010	24,085.61	3,345.22	beyond useful life	March 10, 2014
9084	Operating Room	Ultra 360 Patient Positioning	6/1/2010	9,704.25	4,690.36	beyond useful life	March 10, 2014
9085	Operating Room	Ultra 360 Patient Positioning	6/1/2010	9,704.25	4,690.36	beyond useful life	March 10, 2014
9328	Digestive Disease Procedures	Evis Exera II Colonoscope	7/1/2010	32,622.58	5,437.11	beyond useful life	March 10, 2014
9320	Digestive Disease Procedures	Evis Exera II Endoscope	7/1/2010	27,671.34	4,611.87	beyond useful life	March 10, 2014
9321	Digestive Disease Procedures	Evis Exera II Endoscope	7/1/2010	27,671.34	4,611.87	beyond useful life	March 10, 2014
9322	Digestive Disease Procedures	Evis Exera II Endoscope	7/1/2010	27,671.34	4,611.87	beyond useful life	March 10, 2014
9323	Digestive Disease Procedures	Evis Exera II Endoscope	7/1/2010	27,671.33	4,611.87	beyond useful life	March 10, 2014
9542	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9543	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9544	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9545	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9547	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9548	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9549	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9550	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9551	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014


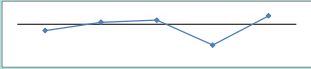
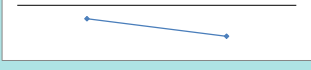



Asset#	Department turning in Equipment	Description	Acquisition Date	Acquisition Cost	Net Book Value	Proposed Method of Disposal	Disposal Date
9552	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9553	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9554	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9555	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9562	Operating Room	System 6 Sagittal Saw	7/1/2010	5,210.00	868.35	beyond useful life	March 10, 2014
9563	Operating Room	System 6 Sagittal Saw	7/1/2010	5,210.00	868.35	beyond useful life	March 10, 2014
9564	Operating Room	System 6 Sagittal Saw	7/1/2010	5,210.00	868.35	beyond useful life	March 10, 2014
9565	Operating Room	System 6 Sagittal Saw	7/1/2010	5,210.00	868.35	beyond useful life	March 10, 2014
9566	Operating Room	System 6 Sagittal Saw	7/1/2010	5,210.00	868.35	beyond useful life	March 10, 2014
9567	Operating Room	U Driver	7/1/2010	5,210.00	868.35	beyond useful life	March 10, 2014
9568	Operating Room	U Driver	7/1/2010	5,210.00	868.35	beyond useful life	March 10, 2014
9556	Operating Room	System 6 Recip	7/1/2010	5,010.00	834.99	beyond useful life	March 10, 2014
9559	Operating Room	System 6 Recip	7/1/2010	5,010.00	834.99	beyond useful life	March 10, 2014
9560	Operating Room	System 6 Recip	7/1/2010	5,010.00	834.99	beyond useful life	March 10, 2014
9561	Operating Room	System 6 Recip	7/1/2010	5,010.00	834.99	beyond useful life	March 10, 2014
9253	Operating Room	Image 1 H3-Z HD 3-Chip Camera	8/1/2010	13,589.23	7,021.08	broken beyond repair	March 10, 2014
9533	Operating Room	Dual Trigger Rotary	8/1/2010	5,753.60	1,118.77	broken beyond repair	March 10, 2014
9534	Operating Room	Dual Trigger Rotary	8/1/2010	5,753.60	1,118.77	broken beyond repair	March 10, 2014
9536	Operating Room	Dual Trigger Rotary	8/1/2010	5,753.60	1,118.77	broken beyond repair	March 10, 2014
9537	Operating Room	Dual Trigger Rotary	8/1/2010	5,753.60	1,118.77	broken beyond repair	March 10, 2014
9538	Operating Room	Dual Trigger Rotary	8/1/2010	5,753.60	1,118.77	broken beyond repair	March 10, 2014
9540	Operating Room	U Driver	8/1/2010	5,203.61	1,011.81	beyond useful life	March 10, 2014
9541	Operating Room	U Driver	8/1/2010	5,203.61	1,011.81	beyond useful life	March 10, 2014
9539	Operating Room	System 6 Sagittal Saw	8/1/2010	5,203.60	1,011.81	beyond useful life	March 10, 2014
9430	Digestive Disease Procedures	AccuTrac pH-Z Recorder	3/1/2011	8,629.00	7,047.00	beyond useful life	March 10, 2014
26239	ED-North	Stretcher, Hydraulic	8/1/2012	5,545.75	4,555.44	beyond useful life	March 10, 2014

Disposal count:	702
Disposal Acquisition Cost:	\$ 5,877,587.80
Disposition Book Value:	\$ 281,229.97

**Health Sciences Center
Metrics (Preliminary and Unaudited)**

Benchmark	Expected	FY 2010 2nd Quarter YTD	FY 2011 2nd Quarter YTD	FY 2012 2nd Quarter YTD	FY 2013 2nd Quarter YTD	FY 2014 2nd Quarter YTD	Fiscal Year Trend	FY 2014 January 31st YTD	
Approximate Cash Flow/(Use) from Operations * <i>(Amounts are in thousands)</i>	HSC	▲	\$8,793	\$3,718	\$3,767	\$4,557	\$5,039		\$9,749
	UNMMG	▲	\$1,984	\$5,325	\$730	(\$525)	\$1,971		\$4,065
	UNMH	▲	\$15,140	\$17,731	\$17,513	\$12,411	\$17,125		\$19,858
	SRMC	▲	NA	NA	NA	\$1,142	(\$664)		\$109
* Approximate Cash Flow/(Use) from Operations = Operating Net Margin plus Depreciation Expense									
Operating Net Margin <i>(Amounts are in thousands)</i>	HSC	▲	\$8,793	\$3,718	\$3,767	\$4,557	\$5,039		\$9,749
	UNMMG	▲	\$1,780	\$5,078	\$448	(\$793)	\$1,768		\$3,827
	UNMH	▲	(\$1,056)	\$317	\$650	(\$3,651)	\$1,372		\$1,417
	SRMC	▲	NA	NA	NA	(\$2,226)	(\$5,112)		(\$5,112)

**Health Sciences Center
Metrics (Preliminary and Unaudited)**

Benchmark	Expected	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	Fiscal Year Trend	FY 2014	
		2nd Quarter YTD	2nd Quarter YTD	2nd Quarter YTD	2nd Quarter YTD	2nd Quarter YTD		January 31st YTD	
Net Income/(Use of Reserves) <i>(Amounts are in thousands)</i>	HSC	↑	\$2,609	(\$1,097)	(\$1,371)	(\$1,180)	\$710		\$3,516
	UNMMG	↑	\$1,280	\$5,078	(\$226)	\$864	(\$4,144)		(\$2,962)
	UNMH	↑	(\$1,056)	\$317	\$647	(\$3,481)	\$1,372		\$1,417
	SRMC	↑	NA	NA	NA	(\$2,226)	(\$5,112)		(\$5,112)
Uncompensated Care <i>(Amounts are in thousands)</i>	UNMMG & UNMCC	↓	\$15,480	\$18,991	\$18,280	\$23,423	\$21,261		\$25,312
	UNMH	↓	\$72,081	\$81,828	\$82,647	\$94,331	\$102,164		\$121,757
	SRMC	↓	NA	NA	NA	\$187	\$5,577		\$7,360

**Health Sciences Center
Metrics (Preliminary and Unaudited)**

Benchmark	Expected	FY 2010 2nd Quarter	FY 2011 2nd Quarter	FY 2012 2nd Quarter	FY 2013 2nd Quarter	FY 2014 2nd Quarter	Fiscal Year Trend	FY 2014 January 31st
Days Cash on Hand Days of Expense in Discretionary Reserves Year End Benchmark - 10 Days	HSC ↑	NA	13.96	13.28	7.39	5.93		7.04
ACMPE 30 Days *	UNMMG ↑	37.30	45.50	16.20	35.90	45.30		53.82
UHC 75 Days **	UNMH ↑	45.34	63.43	50.96	46.41	46.86		54.31
UHC 75 Days **	SRMC ↑	NA	NA	NA	NA	16.25		35.93

* American College of Medical Practice Executives

** University HealthSystem Consortium

Accounts Receivable Greater Than Ninety Days

(Amounts are in thousands)





HSC ↓	\$1,116 9%	\$1,931 13%	\$2,367 25%	\$764 6%	\$894 7%		\$1,369 11%
UNMMG ↓	\$4,912 18%	\$3,368 14%	\$5,826 20%	\$4,466 16%	\$5,728 21%		\$6,129 19%
UNMH*** ↓	\$44,747 28%	\$76,528 30%	\$67,765 28%	\$59,528 24%	\$64,069 28%		\$66,462 28%
SRMC**** ↓	NA	NA	NA	NA	\$1,251 27%		\$1,157 20%

*** UNMH Account Receivable Greater Than Ninety Days excludes liens

**** SRMC Account Receivable Greater Than Ninety Days reflects Net Accounts Receivable - all other receivables are shown at Gross

**Health Sciences Center
Metrics (Preliminary and Unaudited)**

(Amounts are in thousands)

	Expected	FY 2010 2nd Quarter YTD	FY 2011 2nd Quarter YTD	FY 2012 2nd Quarter YTD	FY 2013 2nd Quarter YTD	FY 2014 2nd Quarter YTD	Fiscal Year Trend	FY 2014 January 31st YTD
Proposals Submitted	↑	\$103,234	\$98,221	\$88,644	\$101,386	\$107,012		\$137,178
Awards	↑	\$61,755	\$85,599	\$69,091	\$71,338	\$67,626		\$74,179
Earned F&A	↑	\$10,148	\$11,414	\$11,109	\$10,142	\$10,664		\$12,655
Contract & Grant Expense <i>(Includes Cost Share, Program Income and F&A Expense)</i>	↑	\$54,764	\$59,426	\$58,895	\$60,411	\$56,805		\$67,584



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - University HSC Only*
2014 Fiscal Year-to-Date Summary through January 31, 2014 (Preliminary and Unaudited)
(In thousands)

* UNIVERSITY HSC ONLY INCLUDES: SOM, CON, COP, HSLIC, ADMIN, RESEARCH

	University HSC FY 2014 Re-Forecasted Budget	University HSC FY 2014 YTD 1/31/14 Actual	University HSC FY 2013 Year End Actual	University HSC FY 2014 YTD Actual to FY 2014 Re-Forecasted Budget Benchmark Rate (58%)	University HSC FY 2014 YTD Actual to FY 2013 Year End Actual Benchmark Rate (58%)
1 UNM MEDICAL GROUP REVENUES	124,203	59,957	110,686	48%	54%
2 UNM HOSPITALS REVENUES	108,975	73,685	100,233	68%	74%
3 SRMC REVENUES	16,136	9,916	11,848	61%	84%
4 UNM CANCER CENTER CLINICAL REVENUES	0	0	13,300	N/A	0%
5 TUITION AND FEES	13,738	14,258	13,177	104%	108%
6 CIGARETTE TAX APPROPRIATIONS	3,227	2,532	3,363	78%	75%
7 RPSP APPROPRIATIONS	17,736	9,986	15,924	56%	63%
8 I&G APPROPRIATIONS	62,879	36,407	60,089	58%	61%
9 I&G MAIN CAMPUS TRANSFERS	17,666	10,802	18,615	61%	58%
10 F&A REVENUES (OH RETURN)	20,800	12,655	21,459	61%	59%
11 HSC/UNM INTERNAL TRANSFERS	(2,071)	(4,130)	(3,270)	199%	126%
12 MILL LEVY	0	0	0	N/A	N/A
13 OTHER REVENUES	12,381	7,837	13,316	63%	59%
14 CONTRACT AND GRANT REVENUES	123,387	72,758	131,070	59%	56%
TOTAL REVENUES	519,057	306,663	509,810	59%	60%
15 TOTAL COMPENSATION EXPENSES	336,181	194,627	319,157	58%	61%
16 SUPPLIES/MEDICAL SUPPLIES	9,544	6,091	11,723	64%	52%
17 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
18 HOUSESTAFF	0	0	0	N/A	N/A
19 TRAVEL	4,895	2,506	4,656	51%	54%
20 STUDENT COSTS	2,610	1,693	2,123	65%	80%
21 PATIENT CARE COSTS	871	397	852	46%	47%
22 TELEPHONE/COMMUNICATION COSTS	2,002	1,342	2,294	67%	59%
23 PURCHASED SERVICES	19,954	12,718	24,091	64%	53%
24 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
25 SUB AWARDS/SERVICE CONTRACTS	515	690	1,499	134%	46%
26 O&M & LEASES	6,323	4,390	6,900	69%	64%
27 UTILITIES	4,262	2,775	4,874	65%	57%
28 DEPRECIATION	0	0	0	N/A	N/A
29 INTEREST EXPENSE	2,168	1,265	2,169	58%	58%
30 OTHER EXPENSES	12,377	837	297	7%	282%
31 CONTRACT AND GRANT EXPENSES	114,996	67,583	122,407	59%	55%
TOTAL EXPENSES	516,699	296,914	503,042	57%	59%
OPERATING NET MARGIN	2,358	9,749	6,768		
NON-RECURRING ITEMS					
32 CAPITAL EXPENDITURES	1,720	904	1,386	53%	65%
33 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	5,695	3,246	6,995	57%	46%
36 NON-RECURRING TRANSFERS	520	2,083	4,478	401%	47%
TOTAL NON-RECURRING ITEMS	7,935	6,233	12,859	79%	48%
NET INCOME/(USE OF RESERVES)	(5,577)	3,516	(6,091)		



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNM Hospitals Only
2014 Fiscal Year-to-Date Summary through January 31, 2014 (Preliminary and Unaudited)
(In thousands)

Clinical Operations

	UNM Hospitals FY 2014 Re-Forecasted Budget	UNM Hospitals FY 2014 YTD 1/31/14 Actual	UNM Hospitals FY 2013 Year End Actual	UNM Hospitals FY 2014 YTD Actual to FY 2014 Re-Forecasted Budget Benchmark Rate (58%)	UNM Hospitals FY 2014 YTD Actual to FY 2013 Year End Actual Benchmark Rate (58%)
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	693,548	402,816	653,478	58%	62%
3 SRMC REVENUES	0	0	0	N/A	N/A
4 UNM CANCER CENTER CLINICAL REVENUES	0	0	0	N/A	N/A
5 TUITION AND FEES	0	0	0	N/A	N/A
6 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
7 RPSP APPROPRIATIONS	13,241	7,736	12,966	58%	60%
8 I&G APPROPRIATIONS	0	0	0	N/A	N/A
9 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
10 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
11 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
12 MILL LEVY	92,028	53,544	91,229	58%	59%
13 OTHER REVENUES	19,633	14,877	24,199	76%	61%
14 CONTRACT AND GRANT REVENUES	16,312	10,125	5,798	62%	175%
TOTAL REVENUES	834,762	489,098	787,670	59%	62%
15 TOTAL COMPENSATION EXPENSES	410,098	240,349	392,663	59%	61%
16 SUPPLIES/MEDICAL SUPPLIES	139,668	81,119	130,787	58%	62%
17 UNIVERSITY CLINICIANS PROGRAM	56,670	33,034	53,208	58%	62%
18 HOUSESTAFF	27,036	15,530	24,861	57%	62%
19 TRAVEL	754	414	674	55%	61%
20 STUDENT COSTS	0	0	0	N/A	N/A
21 PATIENT CARE COSTS	48,332	29,142	40,001	60%	73%
22 TELEPHONE/COMMUNICATION COSTS	3,522	2,052	3,554	58%	58%
23 PURCHASED SERVICES	31,730	17,818	23,715	56%	75%
24 OTHER MEDICAL SERVICES	22,510	13,497	23,680	60%	57%
25 SUB AWARDS/SERVICE CONTRACTS	9,258	5,453	7,947	59%	69%
26 O&M & LEASES	16,577	9,572	15,039	58%	64%
27 UTILITIES	6,491	3,740	6,118	58%	61%
28 DEPRECIATION	31,876	18,441	32,885	58%	56%
29 INTEREST EXPENSE	7,567	4,436	7,770	59%	57%
30 OTHER EXPENSES	21,823	13,084	20,578	60%	64%
31 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
TOTAL EXPENSES	833,912	487,681	783,480	58%	62%
OPERATING NET MARGIN	850	1,417	4,190		
NON-RECURRING ITEMS					
32 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
33 MEANINGFUL USE REVENUE	(1,039)	0	(255)	0%	0%
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
TOTAL NON-RECURRING ITEMS	(1,039)	0	(255)	0%	0%
NET INCOME/(USE OF RESERVES)	1,889	1,417	4,445		



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNMMG Only
2014 Fiscal Year-to-Date Summary through January 31, 2014 (Preliminary and Unaudited)
(In thousands)

Clinical Operations

	UNMMG FY 2014 Re-Forecasted Budget	UNMMG FY 2014 YTD 1/31/14 Actual	UNMMG FY 2013 Year End Actual	UNMMG FY 2014 YTD Actual to FY 2014 Re-Forecasted Budget Benchmark Rate (58%)	UNMMG FY 2014 YTD Actual to FY 2013 Year End Actual Benchmark Rate (58%)
1 UNM MEDICAL GROUP REVENUES	159,913	91,198	155,686	57%	59%
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	0	0	0	N/A	N/A
4 UNM CANCER CENTER CLINICAL REVENUES	0	0	0	N/A	N/A
5 TUITION AND FEES	0	0	0	N/A	N/A
6 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
7 RPSP APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G APPROPRIATIONS	0	0	0	N/A	N/A
9 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
10 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
11 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
12 MILL LEVY	0	0	0	N/A	N/A
13 OTHER REVENUES	2,760	1,443	4,046	52%	36%
14 CONTRACT AND GRANT REVENUES	0	0	0	N/A	N/A
TOTAL REVENUES	162,673	92,641	159,732	57%	58%
15 TOTAL COMPENSATION EXPENSES	16,520	9,036	16,233	55%	56%
16 SUPPLIES/MEDICAL SUPPLIES	0	0	0	N/A	N/A
17 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
18 HOUSESTAFF	0	0	0	N/A	N/A
19 TRAVEL	0	0	0	N/A	N/A
20 STUDENT COSTS	0	0	0	N/A	N/A
21 PATIENT CARE COSTS	16,458	10,205	10,940	62%	93%
22 TELEPHONE/COMMUNICATION COSTS	0	0	0	N/A	N/A
23 PURCHASED SERVICES	114,182	65,911	117,399	58%	56%
24 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
25 SUB AWARDS/SERVICE CONTRACTS	0	0	0	N/A	N/A
26 O&M & LEASES	474	254	496	54%	51%
27 UTILITIES	0	0	0	N/A	N/A
28 DEPRECIATION	704	238	412	34%	58%
29 INTEREST EXPENSE	0	0	0	N/A	N/A
30 OTHER EXPENSES	18,764	3,170	4,439	17%	71%
31 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
TOTAL EXPENSES	167,102	88,814	149,919	53%	59%
OPERATING NET MARGIN	(4,429)	3,827	9,813		
NON-RECURRING ITEMS					
32 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
33 MEANINGFUL USE REVENUE	0	0	(2,699)	N/A	0%
34 USE OF UNMMG RESERVES	0	6,789	12,406	N/A	55%
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
TOTAL NON-RECURRING ITEMS	0	6,789	9,707	N/A	70%
NET INCOME/(USE OF RESERVES)	(4,429)	(2,962)	106		



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - SRMC Only
2014 Fiscal Year-to-Date Summary through January 31, 2014 (Preliminary and Unaudited)
(In thousands)

Clinical Operations

	SRMC FY 2014 Re-Forecasted Budget	SRMC FY 2014 YTD 1/31/14 Actual	SRMC FY 2013 Year End Actual	SRMC FY 2014 YTD Actual to FY 2014 Re-Forecasted Budget Benchmark Rate (58%)	SRMC FY 2014 YTD Actual to FY 2013 Year End Actual Benchmark Rate (58%)
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	46,237	28,245	18,927	61%	149%
4 UNM CANCER CENTER CLINICAL REVENUES	0	0	0	N/A	N/A
5 TUITION AND FEES	0	0	0	N/A	N/A
6 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
7 RPSP APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G APPROPRIATIONS	0	0	0	N/A	N/A
9 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
10 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
11 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
12 MILL LEVY	8,060	4,399	20,833	55%	21%
13 OTHER REVENUES	380	235	272	62%	86%
14 CONTRACT AND GRANT REVENUES	0	0	0	N/A	N/A
TOTAL REVENUES	54,677	32,879	40,032	60%	82%
15 TOTAL COMPENSATION EXPENSES	26,737	15,215	23,364	57%	65%
16 SUPPLIES/MEDICAL SUPPLIES	12,768	7,043	6,980	55%	101%
17 UNIVERSITY CLINICIANS PROGRAM	0	944	117	N/A	807%
18 HOUSESTAFF	76	58	44	76%	132%
19 TRAVEL	27	16	52	59%	31%
20 STUDENT COSTS	0	0	0	N/A	N/A
21 PATIENT CARE COSTS	4,328	2,410	2,544	56%	95%
22 TELEPHONE/COMMUNICATION COSTS	76	43	110	57%	39%
23 PURCHASED SERVICES	2,629	1,786	3,944	68%	45%
24 OTHER MEDICAL SERVICES	245	146	905	60%	16%
25 SUB AWARDS/SERVICE CONTRACTS	1,054	644	429	61%	150%
26 O&M & LEASES	247	200	443	81%	45%
27 UTILITIES	810	456	599	56%	76%
28 DEPRECIATION	8,859	5,221	7,958	59%	66%
29 INTEREST EXPENSE	4,259	2,454	3,675	58%	67%
30 OTHER EXPENSES	2,134	1,355	2,866	63%	47%
31 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
TOTAL EXPENSES	64,249	37,991	54,030	59%	70%
OPERATING NET MARGIN	(9,572)	(5,112)	(13,998)		
NON-RECURRING ITEMS					
32 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
33 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
TOTAL NON-RECURRING ITEMS	0	0	0	N/A	N/A
NET INCOME/(USE OF RESERVES)	(9,572)	(5,112)	(13,998)		



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - Consolidated HSC
2014 Fiscal Year-to-Date Summary through January 31, 2014 (Preliminary and Unaudited)
(In thousands)

Clinical and Academic Operations

	Consolidated HSC FY 2014 Re-Forecasted Budget	Consolidated HSC FY 2014 YTD 1/31/14 Actual	Consolidated HSC FY 2013 Year End Actual	Consolidated HSC FY 2014 YTD Actual to FY 2014 Re-Forecasted Budget Benchmark Rate (58%)	Consolidated HSC FY 2014 YTD Actual to FY 2013 Year End Actual Benchmark Rate (58%)
1 UNM MEDICAL GROUP REVENUES	284,116	151,155	266,372	53%	57%
2 UNM HOSPITALS REVENUES	802,523	476,501	753,711	59%	63%
3 SRMC REVENUES	62,373	38,161	30,775	61%	124%
4 UNM CANCER CENTER CLINICAL REVENUES	0	0	13,300	N/A	0%
5 TUITION AND FEES	13,738	14,258	13,177	104%	108%
6 CIGARETTE TAX APPROPRIATIONS	3,227	2,532	3,363	78%	75%
7 RPSP APPROPRIATIONS	30,977	17,722	28,890	57%	61%
8 I&G APPROPRIATIONS	62,879	36,407	60,089	58%	61%
9 I&G MAIN CAMPUS TRANSFERS	17,666	10,802	18,615	61%	58%
10 F&A REVENUES (OH RETURN)	20,800	12,655	21,459	61%	59%
11 HSC/UNM INTERNAL TRANSFERS	(2,071)	(4,130)	(3,270)	199%	126%
12 MILL LEVY	100,088	57,943	112,062	58%	52%
13 OTHER REVENUES	35,154	24,392	41,833	69%	58%
14 CONTRACT AND GRANT REVENUES	139,699	82,883	136,868	59%	61%
TOTAL REVENUES	1,571,169	921,281	1,497,244	59%	62%
15 TOTAL COMPENSATION EXPENSES	789,536	459,227	751,417	58%	61%
16 SUPPLIES/MEDICAL SUPPLIES	161,980	94,253	149,490	58%	63%
17 UNIVERSITY CLINICIANS PROGRAM	56,670	33,978	53,325	60%	64%
18 HOUSESTAFF	27,112	15,588	24,905	57%	63%
19 TRAVEL	5,676	2,936	5,382	52%	55%
20 STUDENT COSTS	2,610	1,693	2,123	65%	80%
21 PATIENT CARE COSTS	69,989	42,154	54,337	60%	78%
22 TELEPHONE/COMMUNICATION COSTS	5,600	3,437	5,958	61%	58%
23 PURCHASED SERVICES	168,495	98,233	169,149	58%	58%
24 OTHER MEDICAL SERVICES	22,755	13,643	24,585	60%	55%
25 SUB AWARDS/SERVICE CONTRACTS	10,827	6,787	9,875	63%	69%
26 O&M & LEASES	23,621	14,416	22,878	61%	63%
27 UTILITIES	11,563	6,971	11,591	60%	60%
28 DEPRECIATION	41,439	23,900	41,255	58%	58%
29 INTEREST EXPENSE	13,994	8,155	13,614	58%	60%
30 OTHER EXPENSES	55,098	18,446	28,180	33%	65%
31 CONTRACT AND GRANT EXPENSES	114,996	67,583	122,407	59%	55%
TOTAL EXPENSES	1,581,962	911,400	1,490,471	58%	61%
OPERATING NET MARGIN	(10,793)	9,881	6,773		
NON-RECURRING ITEMS					
32 CAPITAL EXPENDITURES	1,720	904	1,386	53%	65%
33 MEANINGFUL USE REVENUE	(1,039)	0	(2,954)	0%	0%
34 USE OF UNMMG RESERVES	0	6,789	12,406	N/A	55%
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	5,695	3,246	6,995	57%	46%
36 NON-RECURRING TRANSFERS	520	2,083	4,478	401%	47%
TOTAL NON-RECURRING ITEMS	6,896	13,022	22,311	189%	58%
NET INCOME/(USE OF RESERVES)	(17,689)	(3,141)	(15,538)		

GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
1	UNM MEDICAL GROUP REVENUES	Clinical revenue primarily based on Physician or Provider efforts and collection through UNMMG	Net patient services revenue including SCI & UPL from UNMMG Clinical operations	N/A	N/A
2	UNM HOSPITALS REVENUES	Revenue from University Hospital to the School of Medicine	N/A	Hospital facility revenue based on patient billings by UNMH	N/A
3	SRMC REVENUES	Revenue from SRMC to the School of Medicine	N/A	N/A	Hospital facility revenue based on patient billings by SRMC
4	UNM CANCER CENTER CLINICAL REVENUES	Cancer Center patient revenue posted from the global billings and Infusion Suite net income. Clinical Adult Infusion Suite/RO/MO	N/A	N/A	N/A
5	TUITION AND FEES	Tuition & Fees, including tuition differentials	N/A	N/A	N/A
6	CIGARETTE TAX APPROPRIATIONS	Revenues received from the State as a calculated portion of Tax Revenue on the sale of tobacco products for use in Cancer Research	N/A	N/A	N/A
7	RPSP APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A	Revenue received for current operations made available to UNMH by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A
8	I&G APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for I&G operations	N/A	N/A	N/A
9	I&G MAIN CAMPUS TRANSFERS	Partial transfer of tuition and formula workload generated by Nursing, Pharmacy and SOM	N/A	N/A	N/A
10	F & A REVENUES (OH RETURN)	Revenue from recovery of indirect costs (F&A) incurred by a Contract or Grant also known as overhead return	N/A	N/A	N/A
11	HSC/UNM INTERNAL TRANSFERS	All transfers to/from HSC Unrestricted Funds excluding the I&G Main Campus Transfer and Transfers for Debt Service	N/A	N/A	N/A
12	MILL LEVY	N/A	N/A	Mil levy taxes collected on behalf of the Bernalillo Country Treasurer and remitted to UNMH as required by the Hospital Funding Act.	Mil levy taxes collected on behalf of the Sandoval Country Treasurer and remitted to SRMC as required by the Hospital Funding Act.
13	OTHER REVENUES	All other Revenues - not contained in any other category - Sales & Services (not Internal Service Center P18 sales), Gains on Sponsored Projects, Gifts, Endowment Spending Distributions, Investment Income, etc.	Other operating revenues	All other revenues not contained in any other category: Investment income, equity loss in Tricare, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, rent revenue, all other non-operating revenue	All other revenues not contained in any other category: Investment income, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, rent revenue, all other non-operating revenue
14	CONTRACT AND GRANT REVENUES	All Restricted Funds Revenue and Unrestricted Contract Revenue for example VA contracts	N/A	Revenue associated with restricted and unrestricted contracts and grants	N/A

GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY	UNM	UNMMG	UNM Hospitals	SRMC
15 TOTAL COMPENSATION EXPENSES	Salary, wages & benefits	Salaries and benefits considered to be operating and certain clinical expenses of UNMMG	Salary, wages & benefits	Salary, wages & benefits
16 SUPPLIES/MEDICAL SUPPLIES	Office supplies, computer supplies, dues & memberships, postage charges, recruitment expenses, non capital equipment, computers, food, lab supplies, uniforms, training materials, etc.	N/A	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.
17 UNIVERSITY CLINICIANS PROGRAM	N/A	N/A	Special clinician program to support SOM	Special clinician program to support SOM
18 HOUSESTAFF	N/A	N/A	Reimbursement of patient care services provided by residents who are employed by SOM.	Reimbursement of patient care services provided by SOM through UNMMG.
19 TRAVEL	Travel - in state, out of state, foreign, business meals, rental vehicles, new employee moving expenses, vehicle fuel etc.	N/A	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.
20 STUDENT COSTS	Student tuition/fee expense, student travel, scholarships/fellowships, housing, insurance for students, student awards, etc.	N/A	N/A	N/A
21 PATIENT CARE COSTS	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care including Tricare laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (snf) for IV dependent patients, radiation oncology and patient assistance	Patient Care costs for inpatient, outpatient care including Tricare laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (snf) for IV dependent patients, radiation oncology and patient assistance
22 TELEPHONE/COMMUNICATION COSTS	Telephone, voicemail, cellular, long distance, paging and data networking charges	N/A	Telephone, voicemail, cellular, long distance, paging and data networking charges	Telephone, voicemail, cellular, long distance, paging and data networking charges
23 PURCHASED SERVICES	Alarm fees, internet fees, architectural services, auditing services, printing/copying/binding fees, conference/event fees, honoraria, insurance charges (general liability, professional liability), legal services, electronic journals & books, consultant fees, etc.	Includes payment to UNM SOM for physician and other provider services	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Cerner, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Cerner, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.
24 OTHER MEDICAL SERVICES	N/A	N/A	Pathology contract, physician services, Executive Medical Directors, Medical Directors, dialysis services for UNM Care patients, OMI MOU, Adult Infusion net	Physician services
25 SUB AWARDS/SERVICE CONTRACTS	Unrestricted Sub Awards, Gain/Loss on Unrestricted Projects	N/A	All service contracts	All service contracts
26 O&M & LEASES	Plant maintenance and repairs, equipment repairs and maintenance, property insurance, auto insurance, facility rent expense	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies

GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
27	UTILITIES	Natural gas, electricity, steam, sewer, water, chilled water	N/A	Natural gas, electricity, steam, sewer, water, chilled water	Natural gas, electricity, steam, sewer, water, chilled water
28	DEPRECIATION	N/A	The annual amortization for the furniture and equipment.	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".
29	INTEREST EXPENSE	Transfers to cover debt service	N/A	Interest expense associated with the series 2004 FHA Insured Hospital Mortgage Revenue Bonds.	SRMC receives subsidy payments related to interest payments under the federal Build America Bond program. Under the program SRMC applies for subsidy funds commensurate with each bond payment, so the application for the subsidy is made semiannually. Also includes interest expense on the Series A & B Bonds.
30	OTHER EXPENSES	Banking fees, cost of goods sold, research costs, royalties, bad debt expense, other operating costs, Banner tax, etc.	Billings & collections expenses and other operating expenses of UNMMG	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.
31	CONTRACT AND GRANT EXPENSES	All Restricted Funds Expense and Unrestricted Contract Expense for example VA contracts	N/A	N/A	N/A
32	CAPITAL EXPENDITURES	Equipment/furniture >\$5,000, Computer hardware, library acquisitions, etc.	N/A	N/A	N/A
33	MEANINGFUL USE REVENUE	N/A	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	N/A
34	USE OF UNMMG RESERVES	N/A	To fund and support the mission of the Health Sciences Center.	N/A	N/A
35	RECRUITMENT/STARTUP/SCHOLARSHIPS	Chair and Startup Packages, Dean's Scholars/Professorships, and Recruitment Costs	N/A	N/A	N/A
36	NON-RECURRING TRANSFERS	Transfers from current unrestricted funds to plant funds or any other non-recurring transfers	N/A	N/A	N/A



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER
Balance Sheet for the Health Sciences Center
2014 Fiscal Year as of January 31, 2014 (Preliminary and Unaudited)
(In thousands)

	University HSC	UNM Hospitals	UNMMG	SRMC
ASSETS				
1 Cash and cash equivalents	20,470	117,133	18,085	4,126
2 Marketable securities	30,705	34,252	-	-
Receivables:				
3 Patient, net	1,281	79,014	22,663	5,741
4 Receivable from Affiliates	40,613	5,209	5,412	856
5 Estimated third party payor settlements	-	24,802	-	2,166
6 County Treasurer	-	1,009	-	-
7 Contracts, Grants and Other	14,714	9,974	-	834
8 Other	4,081	14,955	728	3,168
Total Current Assets	<u>111,864</u>	<u>286,348</u>	<u>46,888</u>	<u>16,891</u>
Assets whose use is limited:				
9 Held by trustee for debt service & other	-	40,913	-	20,125
10 By UNM Hospital Board of Trustees	-	19,473	-	-
11 Board and Other Designated Assets	90,337	-	22,594	-
12 Endowments	102,410	-	-	-
13 Capital Assets, net of depreciation	269,885	249,818	1,379	132,018
TOTAL ASSETS	<u>574,496</u>	<u>596,552</u>	<u>70,861</u>	<u>169,034</u>
LIABILITIES				
14 Accounts Payable	2,476	29,384	4,947	5,951
15 Other accrued liabilities	27,438	43,646	-	2,470
16 Payable to Affiliates	1,087	26,384	21,880	2,739
17 Bonds payable - current	843	5,240	-	4,700
18 Interest payable bonds	221	651	-	542
19 Estimated third party payor settlements	-	19,702	-	-
20 Bonds payable - non current	18,182	154,180	-	138,725
21 Other liabilities - non current	27,933	7,644	-	-
22 Sponsored Project and Other Deferred Revenue	10,370	-	-	-
TOTAL LIABILITIES	<u>88,550</u>	<u>286,831</u>	<u>26,827</u>	<u>155,127</u>
NET POSITION				
23 Restricted - expendable grants, bequests and contributions	104,418	14,010	-	2
24 Restricted - trust indenture and debt agreement	-	24,371	-	10,856
25 Committed and Dedicated agreements	120,691	-	22,594	-
26 Discretionary Reserve	9,977	168,480	20,061	3,049
27 Assets invested in Capital, net of related debt	250,860	102,860	1,379	-
TOTAL NET POSITION	<u>485,946</u>	<u>309,721</u>	<u>44,034</u>	<u>13,907</u>
Current Ratio	3.48	2.29	1.75	2.34
Days Cash on Hand	N/A	54.31	53.82	35.93



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER
Glossary of Report Categories

	University HSC	UNM Hospitals	UNMMG	SRMC
ASSETS				
1 Cash and cash equivalents	Funds on deposit with Financial Institution with UNM	Funds on deposit with Financial Institution	Funds on deposit with Financial Institution	Funds on deposit with Financial Institution, plus the remaining balance of the \$46m
2 Marketable securities	Funds invested in securities in accordance with UNM Investment policy	Funds invested in US Treasury	N/A	N/A
3 Patient, net	Pediatric/Newborn Transport Services, net of estimated contractual allowances and estimated bad debt	Patient Receivable after adjustments for discounts and allowances	Patient Receivable for care provided by UNM SOM facility and other practitioners, net of estimated contractual allowances and estimated bad debt	Patient Receivable for facility costs, net of estimated contractual allowances and estimated bad debt
4 Receivable from Affiliates	Related Party Receivables for goods or services provided to UNM Hospitals, UNMMG and SRMC	Related Party Receivable from University HSC and SRMC	Related Party Receivable for negative arbitrage for SRMC	Related Party Receivable from UNMMG and UNMH
5 Estimated third party payor settlements	N/A	Accounts Receivable for IME/GME /DSH	N/A	
6 County Treasurer	N/A	Bernalillo County Mil Levy Receivable	N/A	Sandoval County Mil Levy Receivable
7 Contracts, Grants and Other	Sponsored project receivables and other services invoiced by UNM such as OMI, Resident Physician contracts, etc.	Accounts Receivable from Contracts/UNM MG/Special Billing/Investments	N/A	Other receivable balances. As of year end, a receivable was recorded for the insurance proceeds associated with the damage in the OR due to the flood
8 Other	Prepaid contractual obligations, such as library subscription services and maintenance contacts	Prepaid Expense and Inventory	Pharmacy Inventory	Prepaid Expense and Inventory
9 Held by trustee for debt service & other	N/A	Debt/Mortgage reserve funds to include collateral and intangible assets	N/A	Funds held in Trustee Accounts, Mortgage reserve funds, bond debt service accounts
10 By UNM Hospital Clinical Operations Board	N/A	Investment in TriWest/Tricare Reference Laboratory	N/A	N/A



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER
Glossary of Report Categories

	University HSC	UNM Hospitals	UNMMG	SRMC
11 Board and Other Designated Assets	Funds dedicated to UNM Hospitals Capital Initiatives and unexpended plant funds	N/A	Department, SOM Investment in UNMMG and Quality Reserves	The original bond proceeds were used to purchase GNMA's which collateralize the mortgage with Prudential
12 Endowments	Balance of endowed corpus funds generating revenue according to donor intent	N/A	N/A	N/A
13 Capital Assets, net of depreciation	Property, Plant and Equipment	Land, Property, Plant and Equipment	Furniture and Equipment	Land, Property, Plant and Equipment
LIABILITIES				
14 Accounts Payable	Unpaid balances owed to vendors for goods and services; amounts owed to employees for expense reimbursements	Amount owed to creditors	Unpaid balances owed to vendors for goods and services; accrued payroll and other payroll benefits and taxes	Unpaid balances owed to vendors for goods and services
15 Other accrued liabilities	Payroll withholding liabilities and estimated value of compensated absences	Accrued liabilities to include payroll, compensated benefit, and Incurred but not Recognized (IBNR) State Coverage Insurance	N/A	Accrued liabilities to include payroll, compensated benefits and other payroll benefits and taxes
16 Payable to Affiliates	Unpaid balances for services provided by UNM Hospitals, and UNMMG	Unpaid balances for services provided by University HSC	Unpaid balances for services provided by University HSC for purchased services, special arrangements, and indigent distribution	Unpaid balances for services provided by University HSC, UNMMG and UNMH
17 Bonds payable - current	Debt service payment that will be due within the next twelve months	Series 2004 Bonds payable in next year - FHA Insured Hospital Mortgage Revenue Bonds	N/A	Debt service payment that will be due within the next twelve months
18 Interest payable bonds	Interest Payable on outstanding debt	Interest Payable Series 2004 Bonds (FHA Insured Hospital Mortgage Revenue Bonds)	N/A	Interest Payable on Bonds
19 Estimated third party payor settlements	N/A	Medicare/Medicaid Settlements	N/A	N/A
20 Bonds payable - non current	Outstanding balance due in the long-term for bond funding	Series Bonds Payable in the long-term (FHA Insured Hospital Mortgage Revenue Bonds)	N/A	Outstanding balance due in the long-term for FHA Insured Hospital Revenue Bonds



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER
Glossary of Report Categories

	University HSC	UNM Hospitals	UNMMG	SRMC
21 Other liabilities - non current	Estimated future cost associated with Other Post Retirement Benefits (OPEB) and self-funded health insurance plan	Estimated future cost associated with Other Post Employment Benefits (OPEB) and bond premiums	N/A	The balance of the mortgage payable
22 Sponsored Project and Other Deferred Revenue	Prepaid contract and grant revenue, tuition, and other prepaid student fees	N/A	N/A	N/A
NET POSITION				
23 Restricted - expendable grants, bequests and contributions	Restricted monies used in accordance with donor intent, contracts and bequests	Restricted monies used in accordance with donor intent, contracts and bequests	N/A	Restricted monies used in accordance with donor intent, contracts and bequests
24 Restricted - trust indenture and debt agreement	N/A	Restricted monies for Debt Service, Collateral, and Mortgage Reserve	N/A	Restricted monies for Mortgage reserve, Bond Debt Service
25 Committed and Dedicated agreements	Funds that have been committed for future expenditures. Dedicated funds have a clear focused purposed with for specific use.	N/A	Department, SOM Investment in UNMMG and Quality Fund Balances	N/A
26 Discretionary Reserve	Remaining fund balance after accounting for all restricted, committed and dedicated funds	Chief operating fund for all financial resources except those accounted for in another fund	Other operating reserves	Chief operating fund for all financial resources except those accounted for in another fund
27 Assets invested in Capital, net of related debt	Property, Plant and Equipment after adjustment for related bond debt	Property, Plant and Equipment after adjustment for related bond debt	Furniture and Equipment after adjustment for related bond debt	Property, Plant and Equipment after adjustment for related bond debt

IFS Analysis for Ava Lovell				
January 31, 2014				
HSC Only				
UNM Medical Group Revenues are at 48% of YTD Budget or \$12,080,934 under budget (Re-Forecasted Budget)				
Of this difference, approximately \$6.0M is caused by a reduction of the CRTCL Clinic Revenue Budget from the Original Budget.				
The 1 month lag in billing of FOM is also a factor in the variance from budget.				
Tuition and Fees are at 104% of YTD Budget or \$519,796 over (100%) budget (Re-Forecasted Budget)				
Actual Tuition is for entire year, putting us at \$6,289,900 over YTD				
The majority of the variance is due to: SOM \$216k, Nursing \$80k and Pharmacy \$254k				
Org Level 3				
	Budget	FY 14 Budget	Actual	Difference
AFA - Fall & Spring Tuition (SOM Allocated through 1640 Alloc.)	6,403,100	6,403,100	6,619,566	216,466
AFD - Nursing Differential	1,765,600	1,765,600	1,845,395	79,795
AFE - Tuition Differential Fees (Pharmacy)	2,816,506	2,816,506	3,070,155	253,649
TOTAL	10,985,206	10,985,206	11,535,116	549,910
Cigarette Tax Appropriations are at 78% of YTD Budget or \$661,107 over budget (Re-Forecasted Budget)				
HSC/UNM Internal Transfers are at (\$4,129,984) (199% of YTD Budget) or \$2,928,379 over budget (Re-Forecasted Budget)				
The revised budget as of January 31, 2014 was (\$5,427,838) which at 58% would be a transfer out in the amount of (\$3,148,146)				
As of January 31, 2014 - there was approximately \$1M in unbudgeted transfers that had occurred in the actuals				
Other Revenues - what is contained in the actuals balance as of January 31, 2014?				
Category	Amount			
Sales & Services Revenue	3.6M *			
Gain on Sponsored Projects	3.1M			
Gifts	2.2M			
Investment Income	229K			
Allocations	-1.3M			
Total	7.8M			
* CME Registration Fees, CDD PEDS - Fit Medicaid billing, HRRC Industry Revenue, Neo Dev Care Residuals				

Supplies/Medical Supplies are at 64% of YTD Budget or \$555,228 over budget (Re-Forecasted Budget)						
The majority of the variance (98%) is due to SOM departments:						
Graduate Medical Education \$93k, Orthopaedics \$127k, SOM Surgery \$239k and Biochemistry Molecular Biology \$83k						
Org Level 3	Org Level 5	Budget	YTD Budget	Actual	Difference	Notes
AFC	400A - Graduate Medical Education 5	334,850	194,213	287,647	93,434	Budgeted in wrong categories. Supplies is \$93k (Accreditation fees for medical residence) over budget while Purchased Services is \$85k (seminars\training fees and professional liability insurance) under budget (no revised budget)
	497A - Orthopaedics	294,735	170,946	297,472	126,526	Did not budget properly, currently \$120k over YTD budget in various categories, some revised budget entries were done in Oct. 2013
	523A - SOM Surgery	51,821	30,056	269,085	239,029	Did not budget properly, currently \$235k over YTD budget in various categories, also budget \$306k (YTD) in account 8060 - Other Expense, (no revised budgets)
	801A - Biochemistry Molecular Biology	39,942	23,166	106,622	83,456	Budgeted in wrong categories. Supplies is \$83k over budget, Research costs are \$69k under budget, overall \$51k YTD over budget (some account's have revised budgets)
	TOTAL	721,348	418,382	960,826	542,444	
Telephone/Communication Costs are at 67% of YTD Budget or \$180,691 over budget (Re-Forecasted Budget)						
The majority of the variance is due to SOM departments:						
Cancer Research Treatment Ctr CRTC \$53k, Internal Medicine \$39k, Pediatrics \$94k						
Org Level 3	Org Level 5	Budget	YTD Budget	Actual	Difference	
AFC	099A - Cancer Research Treatment Ctr CRTC	279,477	162,097	214,965	52,868	
	851A - Internal Medicine IM	127,177	73,763	112,424	38,661	
	997A - Pediatrics	211,111	122,444	216,420	93,976	
	TOTAL	617,765	358,304	543,809	185,505	
Purchased Services Costs are at 64% of YTD Budget or \$1,143,986 over budget (Re-Forecasted Budget)						
The Majority of the variances is due to AFA - total of \$1,184,944k over budget Top Slice indexes.						
The original expense budget for the Top Slice indexes was all coded to 8060 - Other Expense and not broken out into the respective P&L categories.						
This has been corrected in the Revised Budget.						

O&M & Leases Costs are at 69% of YTD Budget or \$723,061 over budget (Re-Forecasted Budget)						
The majority of the variances due to: AFA - AVP FA Monitoring \$205k, AFC \$526k						
AFC Departments include: OB GYN \$44k, Orthopaedics \$59k, CRTC \$197k, Pediatrics \$227k						
Org Level 3	Org Level 5	Budget	YTD Budget	Actual	Difference	Notes
AFA	406A - AVP F A Monitoring (Top Slice)	5,040	2,923	208,751	205,828	Budgeted in Other Expenses
AFC	794A - Obstetrics Gynecology OB GYN	16,964	9,839	53,604	43,765	Slightly off from budget in all categories overall \$28k over YTD Budget (no revised budgets)
	497A - Orthopaedics	13,990	8,114	67,036	58,922	Did not budget properly, currently \$120k over YTD budget in various categories, some revised budget entries were done in Oct. 2013
	099A - Cancer Research Treatment Ctr CRTC	3,503,572	2,032,072	2,228,936	196,864	Did not budget properly, currently \$492k under YTD budget in various categories (no revised budgets)
	997A - Pediatrics	379,978	220,387	447,236	226,849	Did not budget properly, currently \$495k over YTD budget in various categories, some revised entries have been done
	AFC Total	3,914,504	2,270,412	2,796,812	526,400	
	TOTAL	3,919,544	2,273,336	3,005,563	732,227	
Utilities Costs are at 65% of YTD Budget or \$303,084 over budget (Re-Forecasted Budget)						
The majority of the variance (96%) is due to: AVP FA Monitoring (Top Slice) \$290k						
Org Level 3	Org Level 5	Budget	YTD Budget	Actual	Difference	
AFA	406A - AVP F A Monitoring	-	-	289,512	289,512	
The original expense budget for the Top Slice indexes was all coded to 8060 - Other Expense and not broken out into the respective P&L categories.						
This has been corrected in the Revised Budget.						

Org Level 3	Org Level 5	Budget	YTD Budget	Actual	Difference
Other Expenses are at 7% of YTD Budget or \$6,341,734 under budget (Re-Forecasted Budget)					
AFA					
	406A - AVP FA Monitoring	3,913,503	2,269,832	183,395	(2,086,437)
	331A - Unrestricted Acctg HSC Monitoring	1,409,643	817,593	11,602	(805,991)
	332A - HSC Budget Office Monitoring	687,887	398,974	-	(398,974)
	AFA Total	6,011,033	3,486,399	194,997	(3,291,402)
AFC					
	013A - Continuing Medical Educ Department	85,650	49,677	(111,598)	(161,275)
	043A - OMS Deans Office	900,239	522,139	389,850	(132,289)
	099A - Cancer Research Treatment Center	138,216	80,165	382,064	301,899
	160A - Family Community Medicine Dept	121,028	70,196	10,151	(60,045)
	389A - OMI	229,402	133,053	(2,038)	(135,091)
	499A - Radiology Department	93,736	54,367	(18,001)	(72,368)
	523A - OSM Surgery	527,833	306,143	43,935	(262,208)
	523D - SOM Neurosurgery	232,052	134,590	40,644	(93,946)
	525A - Department of Dental Medicine	96,642	56,052	3,256	(52,796)
	558A - Emergency Medicine Department	106,723	61,899	(7,026)	(68,925)
	564A - Molecular Genetics Microbiology	127,318	73,844	7,811	(66,033)
	731A - SR Assoc Deans Office	318,855	184,936	200	(184,736)
	997A - Pediatric	218,619	126,799	281,182	154,383
	AFC Total	3,196,313	1,853,862	1,020,430	(833,431)
	Total	9,207,346	5,340,261	1,215,427	(4,124,833)
<p>The majority of the variance (65%) is due to budgeting being done in the 8060 Other Operating Costs account, instead of separating out to the accounts where the actuals charges are being incurred. AFA Monitoring indexes in the amount of \$3.3M and in AFC of \$833K.</p>					
Non-Recurring Transfers					
Non-Recurring Transfers are at 401% of YTD budget or \$1,781,345 over budget (Re-Forecasted Budget)					
This is due to small dollar unbudgeted transfers in several departments. The majority of these transfers were budgeted for Revised Budget.					
An accrual of \$1,200,000 was done for the month of January 2014, per Ava Lovell.					

UNM Hospital Only									
Other Revenues are at 76% of YTD Re-Forecasted Budget or \$3,489,701 over budget - the investment income is driving this.									
	Items comprising Other Revenues:	Balance							
	Other Operating Revenues	2,702,320							
	Equity Tricore Investment	375,447							
	Capital Grants & Gifts	2,156,368							
	Investment Income	9,182,320	**						
	Other Nonoperating Revenue	460,301							
	Total	14,876,756							
	<i>Per Email From Shawna Gonzales</i>								
	** This is largely driven by Triwest dividends being far above expected.								
Contract and Grant Revenues are at 62% of YTD Re-Forecasted Budget or \$664,152 over budget									
This is due to the 340B retail pharmacy contract with Walgreens. It is doing much better than expected.									
Patient Care Costs are at 60% of YTD Budget or \$1,109,961 over budget (Re-Forecasted Budget)									
	<i>Per Email From Shawna Gonzales</i>								
	Costs for primary care and SNF(skilled nursing facilities) that UNMH pays on behalf of UNM Care patients is running significantly over budget this year.								
Question	Does UNMH ever get reimbursed for these costs?								
	Only indirectly - we receive Mill Levy because we are the County provider for indigent patients. Because we lack capacity in our primary care clinics, we pay First Choice, First Nations, etc. to deliver primary care to our UNM Care (BC Indigent) patients who choose to go there. We get what we get from the Mill Levy - it's tied to property taxes, not to how much care we provide. Same with SNF - we don't have SNF beds, so to get patients out of acute beds that we need for sicker patients, we pay local SNF facilities to take and care for patients appropriate for the skilled nursing facility beds.								
Other Expenses are at \$13,084,141									
	Items comprising Other Expenses:	Balance							
	Equipment	6,890,694							
	Bond Issuance Costs	196,174							
	Mortgage Insurance Premium	503,174							
	State Appropriation - Withhold	361,346							
	Other	5,132,753							
	Total	13,084,141							
Meaningful Use Revenues are currently at \$0 YTD									
Question	When will these revenues get booked?								
	Per Bonnie White - these revenues are typically booked in February and June.								

Update from the Provost

UNM-GALLUP BRANCH NEW BOOKKEEPING CERTIFICATE PROPOSAL

Executive Summary

Proposal and Program Description

The University of New Mexico-Gallup Business and Technology department is requesting approval of a¹ Book Keeping Certificate. The creation of a new Book Keeping certificate will include coursework that articulates to the University of New Mexico Associate of Arts Business Administration degree which articulates to the BBA programs in the UNM Anderson School of Management. The UNM Albuquerque Anderson School of Management contact person is Dr. Dante Di Gregorio. Dr. Di Gregorio supports this change and will help in the curriculum process.

The new Book Keeping Certificate fits UNM Gallup Branch mission by meeting and pursuing a mechanism essential to a learner-center institution. Furthermore, this program demonstrates the UNM Gallup's commitment to being responsive to community and student needs. Moreover, this new Book Keeping Certificate meets UNM Albuquerque's mission by educating and encouraging students to contribute to the local businesses and economic accounting needs.

Evidence of Need

The Book Keeping Certificate will meet the needs of the [Navajo Nation Chapters Local Government Act](#) (LGA). The LGA addresses the Navajo Nation's local Chapter Houses business needs. Each of the 110 chapters manages their own financial resources. UNM Gallup works closely with the Navajo Nation to address the business education needs. Under the LGA, each chapter maintains their own "Accounting system". Each chapter is required to implement accounting methods and procedures that establish a maintained accounting reporting system. The design ensures the Chapter's financial transactions maintain accountability, in accordance with generally accepted governmental accounting principles (GAGAP), of such transactions and for the related assets and liabilities.

According to the New Mexico Statewide training programs, <http://laser.state.nm.us/vosnet/drills/program/eduprogridrill.aspx?session=progdetail&geo=3501000000&geotype=&city=&zip=&radius=>, the Accounting programs are located in Albuquerque, Clovis, Las Cruces, Portales, Roswell, Santa Rosa, Tatum, Tucumcari, Las Vegas, Hobbs, Carlsbad, Grants, El Rito, Farmington, Santa Fe, Crownpoint. All of which are more than 100 miles from UNM Gallup campus. Our program differs from the other programs because the UNM Gallup Business and Technology department is redesigning their program to closely align and articulate with UNM Albuquerque Anderson School. Thus, the new Book Keeping certificate will provide local businesses with a well-qualified graduate to meet their accounting demands. Also, if the graduate desires to continue their educational endeavors, they can because the program aligns closely into a bachelors program with UNM Anderson School.

Required Resources

First, it would be important to fill the faculty position with someone who can teach 100- and 200-level MGT courses that apply to the certificate programs and also articulate to UNM-Anderson. Due to the standards established by Anderson School's accrediting body, it is important to require that candidates for this position have an MBA from an AACSB-accredited school. It should be noted that the standard is required for their adjunct faculty as well. This will ease the process of ensuring that courses articulate. This certificate will require one full time faculty that is currently in place and will be shifted over to this new program pathway from the Accounting AAS program that is currently being taught out. The current faculty Are MBA graduates. If these changes lead to higher enrollments in business-related courses, there may be a need to eventually hire more faculty members within the department for teaching the 100- and 200-level MGT courses as well as CS150.

Technology needs will not change. Additional space is not needed. Student Services will not be impacted by this change.

UNM-GALLUP BRANCH NEW BOOKKEEPING CERTIFICATE PROPOSAL

Program Content and Quality

NEW BOOK KEEPING CERTIFICATE - 30 Credits of transferable courses

GENERAL EDUCATION REQUIREMENTS	English 101 Comp 1	3 credits
	Math 120 Intermediate Algebra	3 credits
Business Technology Core	MGMT 101 Fundamental Accounting 1	3 credits
	MGMT 102 Fundamental Accounting 11	3 credits
	MGMT 103* Bookkeeping	3 credits
	MGMT 113 Management-An Intro	3 credits
	MGMT 190* Special Topics (Tax Preparation)	3 credits
	Econ 106 Introduction Microeconomics	3 credits
	CS 150L Computing for Business Students	3 credits
	CJ 221 Interpersonal Communication	3 credits
	Total Credits	30 credits

* new course

The new program change will shift to meet and ensure a flawless articulation with the UNM Anderson School of Management.

Evaluation and Assessment

The program learning outcomes will be measured by grades, course evaluation(during the semester and end-of the semester), observation of students performing task, analysis of student work programs (exams, essays, oral presentation), graduation rates, and the number of students progressing to advance degrees.

Student Learning Outcomes

Broad Learning Goals

Students will be able to demonstrate proficiency in oral and written communication.

Students will be able to solve mathematical problems using critical thinking skills.

Students will be able to demonstrate knowledge of the basic Book Keeping operations and Book Keeping software applications.

Students will be able to develop system solutions using Book Keeping methodologies.

Student Learning Outcomes

Students will be able to communicate effectively both written and orally.

Students will be able to solve mathematical problems by applying concepts and proving the results.

Students will be able to use Book Keeping software applications and the Internet to produce a variety of documents.

Students will be able to utilize Book Keeping concepts and demonstrate Book Keeping skills.

Projected Enrollment and Cost

Initial enrollment estimated at 30 with a five year projected increase to 48 students.

Costs estimated at one full time faculty start base pay at 45,000.

See Excel Spreadsheet Attached named *University of New Mexico Gallup Campus Bookkeeping Certificate Assumptions*

ⁱ the FSCC committee requested a name change from Accounting to Bookkeeping on 11/02/12.

Executive Summary: Professional Practice Doctoral Degree in Occupational Therapy

Program Description and Purpose

Currently the UNM Occupational Therapy Graduate Program (OTGP) offers an entry-level Master of Occupational Therapy (MOT) degree. The OTGP now proposes to offer a professional practice doctoral degree in Occupational Therapy (OTD) in addition to the MOT degree. The OTD degree will be offered using a hybrid approach to learning. The proposed OTD program will prepare occupational therapists including those in rural areas to assume leadership roles that will improve the health and quality of life of our citizens. Program goals include building capacity so that therapists become:

- innovators in traditional and emerging areas of occupational therapy practice to better meet the health care needs of *all* of the people of New Mexico including underserved populations;
- scholars who analyze and create evidence for best practice;
- educators who can effectively train the next generation of therapists;
- leaders in business, education, and policy who can help advocate for, and develop systems that effectively serve individuals and communities throughout the region.

Evidence of Need

The need and interest for an OTD program has been expressed by students in the Occupational Therapy Graduate Program and occupational therapists in New Mexico. In a recent survey with current students and practicing therapists in New Mexico, 71% of the respondents were “interested” or “very interested” in obtaining an OTD degree. The primary reasons listed by both groups were professional stimulation, career advancement, to become evidence based practitioners, to develop skills to influence policy in education or health care systems, and to gain professional leadership skills. Preferred method of delivery was a hybrid/blended format with both face –to-face and on-line dimensions of education (72%).

There are no professional doctoral degree programs for occupational therapists in the state of NM. As the flagship university in New Mexico, it is appropriate that UNM be responsible for education and training of occupational therapists at the doctoral level. There are 18 professional practice OTD programs in the United States but none in New Mexico. Only 5 universities in the southwest or mountain states offer doctoral degrees for occupational therapists; the only public institution in the mountain states to offer the OTD is the University of Utah which is more than 600 miles from central New Mexico. Because there are no OTD programs in New Mexico, occupational therapists interested in obtaining advanced or doctoral degrees must enroll in programs at out-of-state universities or on-line private programs at considerable cost.

Program Content

The OTD program ranges from 48 credits for occupational therapists who enter the program with masters degrees to 63 credits (48 + 15 additional prerequisite credits) for occupational therapists whose highest level of education is the bachelor’s degree. All students in the OTD program must take 6 core courses (18 credits), 12 credits of electives and complete 18 credits of a professional residency/integrated project. For the 12 elective credits students can design their own specialty track from courses offered in the OTGP or from courses from other Programs and

Colleges at UNM. Allowing students to design their own specialty tracks is student centered and will meet the needs of therapists in diverse practice and clinical areas across the state.

Evaluation and Assessment

The table below describes the types of evaluations and assessments that will be completed and the assessment timetable to determine the outcomes and success of the program.

Methods	Timetable
Formative course evaluations	Every semester
Summative course evaluations	Yearly
Student Progression	Yearly
Graduation Rates	At the time of the first graduate and yearly
Faculty workloads	Yearly
# of faculty student publications/presentations	Yearly after the first student graduates
OTD Alumni survey	At the end of 1st 5 years and thereafter

Required Resources

The program will not significantly impact faculty workload. There will be 6 new OTD classes to be taught by senior faculty and class sizes will be small. Some students in the OTD program may be interested in teaching, so they could become involved in teaching some of existing entry-level MOT courses. All faculty members may be involved in supervising OTD residency projects. In addition, existing courses from many different UNM academic units will be suitable as electives for OTD students.

One current faculty member will assume additional responsibilities as Associate Director of the OTD Program. In addition, an existing staff member will dedicate .25 FTE to support faculty and students. We anticipate that the services of a .25 FTE instructional technology person will be needed to help faculty develop the distant learning component of the courses.

Enrollment, Costs and Revenue

Projected enrollment will begin with 4 students the first year and reach 12 in the third year. Since we assume that most students will be working occupational therapists who will be part time students and take 1-2 courses each semester (3-6 credits), we assume that it will take about 3 years to finish. Students who pursue full time status can complete the requirements in 2 years.

Projected costs are in the following table:

	Year 1	Year 2	Year 3	Year 4	Year 5
SAC for Associate Director	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
.25 Staff + fringe	11,749	12,007	12,271	12,542	12,818
.25 IT/support + fringe	13,160	13,450	13,745	14,048	14,357
Total	34,909	35,457	36,016	36,590	37,175

The UNM OTGP is receiving tuition differential to cover the operating costs of the existing entry-level program. The OTD will also generate tuition differential to cover the operating cost of the OTD. Application fees and curriculum fees will also help to support the OTD. By the fifth year and thereafter, the program will be self-sufficient and bring in additional revenue.



**UNM WEST UPDATE
MARCH 2014
UNM Board of Regents**

FY 14 has been a “rebuilding” year for UNM West. In the wake of negative publicity and political opposition to the campus in the spring and summer of 2013, we committed ourselves to developing a sustainable academic and business operation to fulfill promises made to the Rio Rancho community. The following is a summary of the premises and progress of that effort, as context for our potential partnership with the Albuquerque Institute of Mathematics and Science (AIMS).

Program

All planning at UNM West is driven by program. Two elements are key to meeting the needs of UNM students and making UNM West viable:

1. A core curriculum that functions as a gateway to a broad range of disciplines at UNM; and
2. A select set of programs that students can complete in their entirety at UNM West.

Core curriculum development is a meticulous process of (a) identifying courses that fulfill general graduation requirements and the prerequisites necessary to pursue individual degrees; (b) working with the relevant UNM departments to determine how best to staff them; and (c) scheduling them in times and sequences that allow students to make reasonable progress. The chart below reflects the first part of this process.

UNM Core and Prerequisite Matrix						
Writing and Speaking	Mathematics	Natural Sciences	Social Sciences	Humanities	Foreign Language	Fine Arts
<u>General</u>	<u>General</u>	<u>General</u>	<u>General</u>	<u>General</u>	<u>General</u>	<u>General</u>
ENG 101	MAT 120 (101,102,103)	ASTR 101 (L)	SOC 101	ENG 107	SPAN 101	DANC 105
ENG 102	MAT 121	ENVS 101(L)	PSYCH 105	ENG 150	SPAN 102	MUS 139
C&J 130	MAT 129	GEOG 101	AMST 185	AMST 186	SPAN 201	
PHIL 156	STAT 145	ANTH 150	ANTH 130		SPAN 202	
<u>Prerequisite</u>	<u>Prerequisite</u>	<u>Prerequisite</u>	<u>Prerequisite</u>	<u>Prerequisite</u>		
ENG 219 (management)	CS 150 (management)	BIOL 123 (L) (nursing)*	ECON 105 } (management)	HIST 101		
		BIOL 237 (nursing)*	ECON 106 }	HIST 102	} (education)	
	MAT 180 } calc for business,	BIOL 238 (nursing)*		HIST 161		
	MAT 181 } premed	BIOL 239 (L) (nursing)*		HIST 162		
	MATH 111 }	CHEM 111 (L) (nursing)*				
	MATH 112 } for El. Ed.					
	MATH 214 }	NUTR 244 (nursing)				

These are the individual courses necessary to enter into the degree programs listed below. Some need to be taught every semester; some require multiple sections, depending on enrollment; and others have



to be scheduled in sequence. (Those followed by an asterisk require teaching laboratories not available in our current building: a need addressed in “Facilities.”)

The second program element for UNM West is the development of a set of degrees that students can complete in their entirety at Rio Rancho. This, too, is a labor-intensive process of identifying those UNM programs that have broad student appeal or serve a specific area need, and working in turn with academic departments to determine the resources necessary to deliver their programs at UNM West.

UNM West will offer the following programs in their entirety¹ beginning in Fall 2014, with more to be added and developed in subsequent years:

Communications	Business Administration (BBA)
Sociology	Public Administration (MPA)
Criminology	Nursing (BSN)
Psychology	Emergency Medical Training (EMT Basic)
Liberal Arts	Special Education Dual Licensure

Each of these programs has been individually negotiated and agreed upon with the academic departments involved. Some are already in place; for others, full-time faculty will be specifically recruited and hired. We have four searches underway at this time. Our five-year business plan, below, projects at least 12 such faculty in place by FY 18.

Finally, a third component of UNM West’s commitment to Rio Rancho is the pursuit of innovative academic programming in the areas of technology and healthcare that are vital to the region’s economic interests. Initial discussions have ranged from mobile application design to health professions to biomedical informatics. We hope to be able to pilot one to two courses in one of these areas sometime during FY 15.

Finances

Academic and financial planning for UNM West have been developed in parallel – you can’t deliver and sustain what you can’t pay for. Three premises are key to the latter:

1. **UNM West will operate on the revenue it generates.** This is critical for two reasons. On one hand we do not want to divert resources from main campus activities to somehow “subsidize” programs in Rio Rancho. Conversely, we want to assure UNM West students that their tuition and fee revenues are being invested in their educational experiences and not in allocations to other places at UNM.

¹ Some of these programs involved courses available online but not yet onsite at UNM West. As a working definition we’re using a figure of at least 80% onsite delivery before we market a program as “available” on campus.

2. **UNM West will contribute positively to UNM’s overall revenue through increased enrollments.** This needs to happen in several ways: through the expansion of programs currently capped on the main campus (like nursing and EMT training); through additional enrollments of students who would otherwise not attend UNM; and through better retention and success of current students made possible by the increased access afforded by the campus. The latter are hard to measure, but we continue to look for proximate indicators of those elements.
3. **UNM West will increase its enrollment through effective curriculum planning.** We believe this will be the outcome of the program development described above. Our intention is to drive up average enrollment per class (efficiency) and increase the number of classes offered (productivity). The revenue implications and assumptions are the following:

REVENUE FACTORS	FY14	FY15	FY16	FY17	FY18
Enrollment HC	2,045	2,822	3,894	5,374	7,417
FTE equivalent	205	282	389	537	742
# classes offered	160	184	212	243	280
average enrollment per class	12.8	15.3	18.4	22.1	26.5
increase in # classes offered (%)		15.0%	15.0%	15.0%	15.0%
increase in average enrollment per class (%)		20.0%	20.0%	20.0%	20.0%
Total enrollment % increase		38.0%	38.0%	38.0%	38.0%
tuition/fees per credit hour	227	227	227	227	227
EXPENSE FACTORS					
Number of classes offered	160	184	212	243	280
Classes taught by FT faculty	0	24	48	72	96
Classes taught by PT faculty	160	160	164	171	184

At \$227 per credit hour each class of 15 students generates over \$10,000 in revenue. Hence aggressive growth targets are critical, particularly in the next two years when we are investing in full-time faculty to ensure program delivery and quality. Once we achieve a positive bottom line we are proposing to phase in a 12% overhead fee to compensative academic and administrative departments for the work they do on our behalf. We are budgeting for benefits as well, in order to be as transparent as possible about the “real” costs of operating UNM West.

University of New Mexico UNM West Budget Model Financial Summary

	FY14 Budget	FY15 Plan	FY16 Plan	FY17 Plan	FY18 Plan
<u>Revenues:</u>					
Tuition & Fees	0	1,921,850	2,652,153	3,659,971	5,050,760
State Appropriations	0	0	0	0	0
Budget Allocation	1,598,916	0	0	0	0
Other	0	0	0	0	0
Total Revenues	1,598,916	1,921,850	2,652,153	3,659,971	5,050,760
<u>Wages/Salaries</u>					
Faculty (contracted)	650,000	649,920	664,543	695,983	746,762
Faculty (FT)	112,500	352,500	390,000	480,000	480,000
surcharge (cost + basis)		42,300	46,800	57,600	57,600
Administrative Professional	512,883	512,883	662,883	742,883	822,883
Support Staff	107,155	122,155	137,155	197,155	257,155
Total Wages/Salaries	1,382,538	1,679,758	1,901,381	2,173,621	2,364,400
<u>Staff Benefits:</u>					
Insurance, Retirement, Other	136,816	296,261	357,011	426,011	468,011
<u>Current Expenses:</u>					
Travel	6,000	8,120	12,282	12,528	12,779
Supplies	60,000	61,500	63,230	64,495	65,784
Telephone	34,704	35,512	36,412	37,141	37,883
Telecommunications	30,000	30,600	31,212	31,836	32,473
Maintenance & Repairs	22,009	22,009	22,009	22,009	22,009
Miscellaneous	109,137	86,539	95,188	106,639	121,948
Banner Tax	2,140	2,443	2,603	2,746	2,929
Total Current Expenses	263,990	246,722	262,937	277,394	295,805
Total Expenditures	1,783,344	2,222,742	2,521,330	2,877,027	3,128,217
Administrative Overhead	0	0	28,840	115,022	317,786
ADJ Expenditures	1,783,344	2,222,742	2,550,169	2,992,048	3,446,003
Revenue over Expenditures	(184,428)	(300,892)	101,984	667,923	1,604,758
Balance forward (end of year)	335,983	35,091	137,075	804,998	2,409,755

Facilities

Business and program development drive facilities needs and planning. Classroom utilization that will accommodate steady enrollment growth is key to the former; the latter involves planning at the campus level.

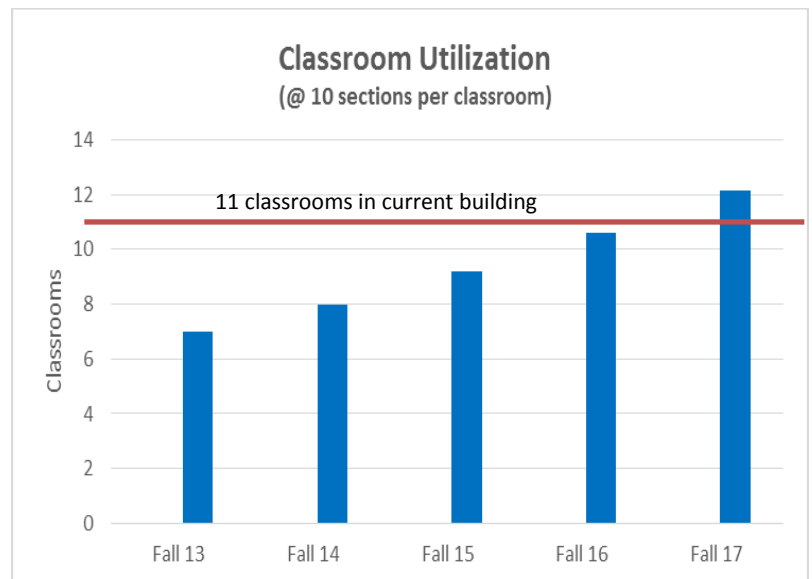
According to the National Center for Higher Education Management Systems (NCHEMS), median classroom utilization in universities nationwide is approximately 31 hrs. of scheduled classes per week, per classroom.

31 hours of classroom usage translates to 10 class sections per week. The single building of the present UNM West campus has 11 general purpose classrooms, so at median utilization rates it would accommodate approximately 110 class sections per semester.

ENROLLMENT FACTORS

	FY14	FY15	FY16	FY17	FY18
Enrollment HC	2,045	2,822	3,894	5,374	7,417
FTE equivalent	205	282	389	537	742
Total enrollment % increase		38.0%	38.0%	38.0%	38.0%
# classes offered	160	184	212	243	280
Summer	20	24	28	32	37
Fall	70	80	92	106	122
Spring	70	80	92	106	122
average enrollment per class	12.8	15.3	18.4	22.1	26.5
increase in # classes offered (%)		15.0%	15.0%	15.0%	15.0%
increase in average enrollment per class (%)		20.0%	20.0%	20.0%	20.0%

Programmatic considerations complicate this picture. For example, UNM West has an immediate need for laboratory classrooms in biology and chemistry, in order to serve nursing and other healthcare curricula. Among other options we are exploring the cost and potential of remodeling current classroom spaces for that purpose. However, that would be a considerable capital expense; and the revenue associated with the tuition from ten classes – in excess of \$100,000 per semester – would be reduced by at least half in the much more restrictive enrollment of a laboratory.





Based solely on this analysis our projection is that the current UNM West building will be near capacity by the fall semester of 2016, assuming no programmatic changes. At a minimum, UNM West will require additional facilities by Fall 2017 to meet both the program and financial the objectives of the plan.

As we lay the groundwork for those additional facilities, UNM West has been working with CNM in a joint planning effort to get community input on program priorities for the region that in turn will drive our respective building plans. CNM has just announced their intention to lease space elsewhere in Rio Rancho to pilot a number of career/technical programs they cannot accommodate in their present building.

At the same time we are re-examining the premises that underlay UNM West's original campus master plan in 2009: namely, that the development of the campus would accompany, rather than drive, growth of the City Centre development. It is clear now that the next phase of UNM planning must incorporate the concept of an actual "campus" that would serve as a destination, rather than a stop, for UNM students. That suggests planning on the order of 4-5 buildings with a unifying landscape. Such a campus could accommodate a much wider programmatic menu than a single building, including space for educational or healthcare partners.²

AIMS

Finally, an update on the specific work we've undertaken with respect to AIMS and a potential partnership with UNM West.

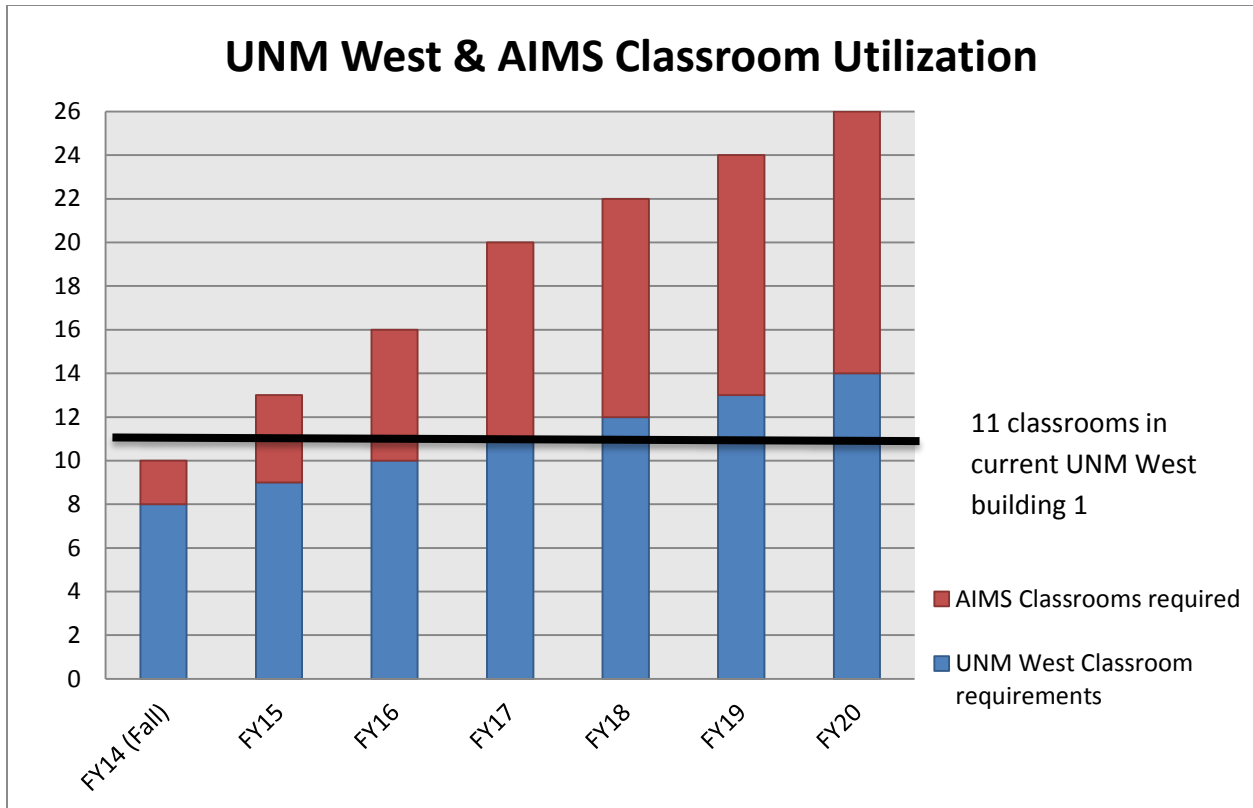
1. We have completed a basic space analysis (referenced above) and have discussed some specific requirements with respect to access, possible location of classrooms, differences in our respective school calendars, etc. The analysis of UNM's Office of Planning and Development (attached) shows our respective space needs in greater detail.
2. We have also had preliminary conversations around considerations of safety and security for both our student populations. At present UNM West contracts with a private security firm for coverage when the building is occupied, but it is not clear at this time whether the university wishes to continue that model with campus units not served by the UNM police. We'll need to know more about that before addressing the details.
3. We have notified some of our local stakeholders, including the Rio Rancho Public Schools, about this initiative; but we have not had the opportunity for any substantial discussions about

² Sandoval County is exploring the promotion of a cluster of healthcare businesses in its economic development plan.



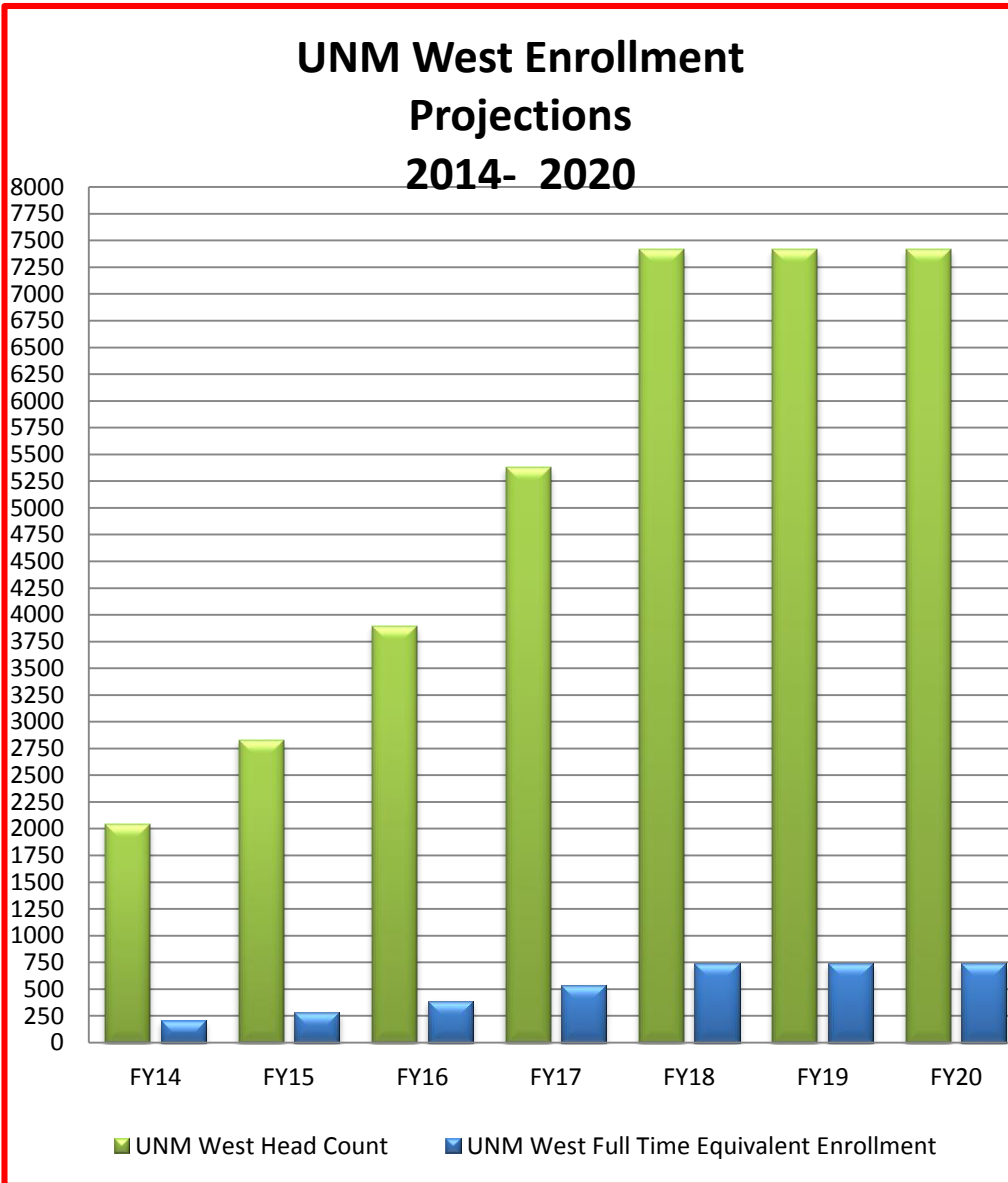
the effects, if any, it might have on them. They have been staunch allies and partners of UNM West and we want to proceed in a way that respects that.

UNM West and AIMS Charter School
Draft Space and Classroom Utilization



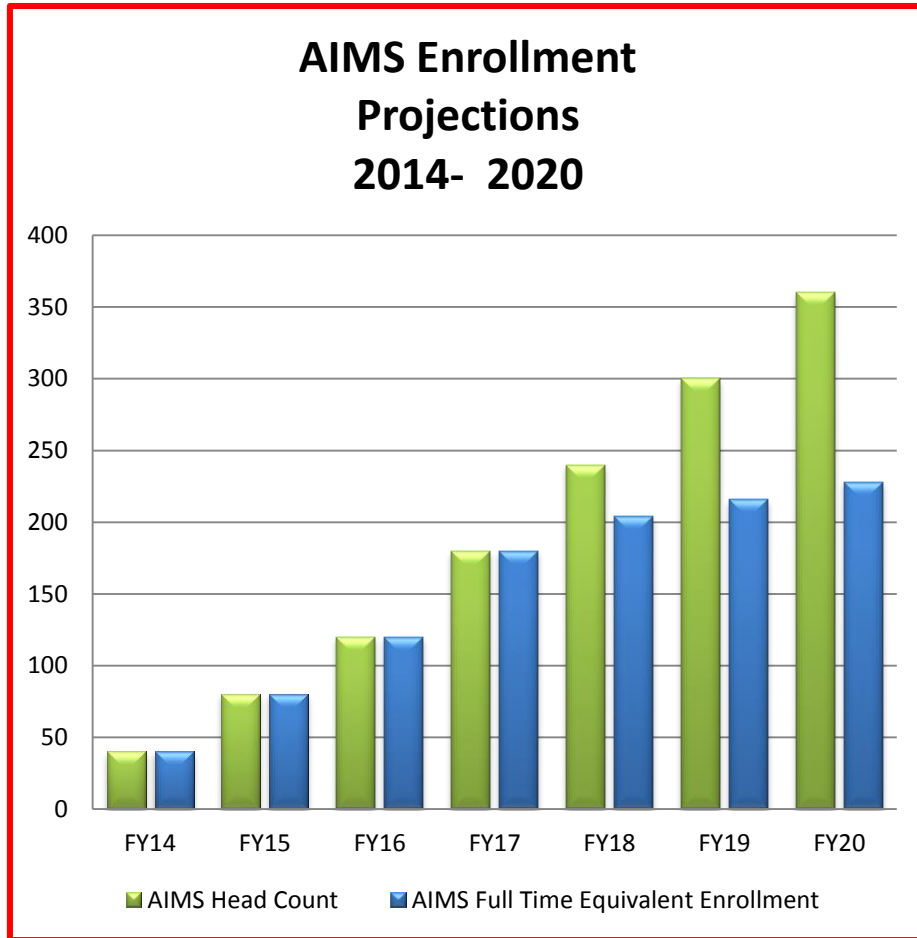
	FY14 (Fall)	FY15	FY16	FY17	FY18	FY19	FY20
UNM West Classroom requirements	8	9	10	11	12	13	14
AIMS Classrooms required	2	4	6	9	10	11	12
total classrooms	10	13	16	20	22	24	26

includes all 11 lecture halls and classrooms at UNM West Bldg. # 1



	FY14	FY15	FY16	FY17	FY18	FY19	FY20
UNM West Head Count	2045	2822	3894	5374	7417	7417	7417
UNM West Full Time Equivalent Enrollment	205	282	389	537	742	742	742

* UNM West enrollment projections are not available for FY19 and FY 20.
FY 18 enrollment is used as a constant to illustrate a minimum group



AIMS Enrollment Plan							
	FY14 (Fall)	FY15	FY16	FY17	FY18	FY19	FY20
grade level	6	6 & 7	6 thru 8	6 thru 9	6 thru 10	6 thru 11	6 thru 12
Head Count	40	80	120	180	240	300	360
Full Time Equivalent Enrollment	40	80	120	180	204	216	228
Dedicated classrooms required by FTE	2	4	6	9	10	11	12
Assumptions	<p>FTE enrollment is estimated based on dual credit course enrollment at CNM and UNM as part of 10th, 11th and 12th grade curriculum.</p> <p>AIM students are required to have 10 AIM credits in dual registered course work which translates to 1/2 AIMS credit per CNM or UNM course or 20 UNM or CNM classes per student in a 3 year period.</p> <p>Estimates that on a rough average 60% of grade 10th graders and 80% of, 11, & 12 grade classes will be dual credit at CNM or UNM</p> <p>FY 19 and 20 are rounded up to accommodate for mixed schedules and allow for classroom coverage at AIMS</p>						

UNM West and AIMS Head Count Projections 2014- 2020



UNM West and AIMS Charter School
Draft Space and Classroom Utilization

Albuquerque Institute for Math and Science @UNM (AIMS)
Draft space requirements for shared space at
UNM West

preliminary draft for discussion only
2/28/14

The space data assumes that AIMS will move into existing UNM West Building 1 with shared common space
A further analysis determines that that building 1 will reach capacity for classroom use in year 2015
This early space needs draft attempts to show minimum net square footage requirements for AIMS programs at full capacity

Net Square Feet (NSF)

Classrooms					Subtotal Area (NSF)	location/adjacency requirements
#of rooms	Space Type	Occ. Per classroom	Unit Area (NSF)			
12	Tier III classroom	20	800	9,600	classrooms are designed for a minimum occupancy of 24	
3	storage/supplies		85	255		
				Total Net Sf	9,855	

Assumptions:

Full capacity 360 students grades 6 through 12 with 20 students per classroom and 40 students attending CNM or UNM classes during portions of the day.

Student Services staff offices- Academic and Financial Aid

UNM West and AIMS Charter School
Draft Space and Classroom Utilization

Staff and student support

#of rooms	Space Type	Occ. Per office	Unit Area (SF)	Subtotal Area (NSF)	location/adjacency requirements
1	reception	1	65	65	1 FTE receptionist with computer and phone service can be at shared UNM lobby desk
2	shared teacher/administrative office	2	200	400	No onsite dedicated administration . Instructors have shared administrative duties
1	counseling office	1 priv	150	150	onsite FTE student councilor for dual credit assistance
1	student records	locked student files	85	85	files and copy room
1	meeting room	parent/ teacher conference	396	396	Parent student and teacher conferences will schedule and share an existing UNM meeting room
Assumptions				1,096	

UNM West and AIMS Charter School
Draft Space and Classroom Utilization

16 instructors, 4 with shared administrative duties. No onsite FTE administration

1 full time receptionist centrally located in shared UNM reception desk and 1 Full time student councilor

Shared student common areas with UNM

existing room number	Space Type	Occ.	Unit Area (SF)	Subtotal Area (SF)	location/adjacency requirements
1100	lunch areas with added seating for 360 students in UNM common study areas	360		2,513.0	360 Students have a common 30 minute lunch break
1007	public lobby corridor for additional lunch seating			2,265.0	
2000	2nd floor lobby and study for added lunch area		20	2,259.0	
1,008	food facility			542.0	café for student food service
				7,579.0	

UNM West and AIMS Charter School
Draft Space and Classroom Utilization

Exterior space requirements

#of rooms	Space Type	spaces	Unit Area (SF)	Subtotal Area (SF)	location/adjacency requirements	
	Parking	teachers	16	100	1,600.0	
	Parking	staff	3	100	300.0	
	Parking	students - Seniors	25	100	2,500.0	assumes 40 seniors with portions off site
	pick up drop off driveway	parent student drop off	300		TBD	a drive in drop off area will have to be allocated for morning and afternoon transportation for an estimated 300 students
4,400.0						

ADDITIONAL SPACE REQUIREMENTS

#of rooms	Space Type	students	Unit Area (SF)	Subtotal Area (SF)	location/adjacency requirements	
	Play ground	open exterior with some hard surface	150	20	3,000.0	fenced secure area for lunch break play and free time sports activities
3,000.0						

Assumptions:

- Special events and organized sports are held off site
- 2 annual open house/ parent nights are held annually for 1.5 to 2 hours (evening hours)

Total square footage requirements for 6th through 12 grades in shared space with UNM west

Subtotal Net Assignable Square Feet (NASF) 10,951
 Net Shared space 7,579
 Net exterior space 7,400
 Efficiency ratio 70% (1.44)
 Total gross Square Feet 21,864

UNM West and AIMS Charter School
Draft Space and Classroom Utilization

MEMORANDUM OF UNDERSTANDING
Between The University and the UNM Retiree Association

This Memorandum of Understanding ("Memorandum") is entered into by and between the Regents of the University of New Mexico ("University") through the Provost and Executive Vice President for Academic Affairs ("Provost") and the UNM Retiree Association ("Association"), an affiliated organization of the University of New Mexico created to serve the interests of retirees from UNM and provide experience and counsel to the University.

WHEREAS:

- A. The Association is recognized by the University of New Mexico Board of Regents;
- B. The Association's function of communicating with and on behalf of persons who have retired from UNM is one that UNM desires to support and encourage;
- C. The Association desires to periodically assemble groups of its members and to effectively communicate with them in a timely and professional manner;
- D. The Provost is able and willing to provide office and meeting space as well as staff support to the Association;
- E. As the Association wishes to participate in shared governance and to represent all UNM Retirees and their ideas to the UNM Board of Regents and the UNM Administration, the Association acknowledges that it is subject to, and accountable for, all Federal and State laws and for compliance with all University policies and procedures as stated in the Board of Regents Policy Manual and the University Business Policy and Procedures Manual.
- F. The Association agrees to operate according to its Bylaws which have been reviewed and found acceptable by the University.

THEREFORE, in consideration of the public benefit to be served, the parties agree as follows:

- A. The Provost or his designee will have responsibility for the day-to-day oversight and management of this Memorandum and serve as liaison for the Association on matters of assignment of staff and space allocation.
- B. A staff person of the Provost, presently identified as an Administrative Officer, shall provide part-time support to the Association in carrying out its day to day operations, such as website management, scheduling and communication functions.

SEPARATE LEGAL ENTITIES

The Association and University are separate legal entities. Neither party has the right or power to control, act as the agent for, or bind the other, except as provided in this Memorandum. The parties agree to share information as required to further the purpose of this Memorandum.

INSURANCE

The Association acknowledges that University is not providing any liability insurance to the Association as an independent entity.

LIABILITY

As between the parties, each party acknowledges that it will be responsible for claims or damages arising from personal injury or damage to persons or property to the extent they result from negligence of the party's employees. The liability of UNM will be subject in all cases to the immunities and limitations of the New Mexico Tort Claims Act, Sections 41-4-1 et. Seq. NMSA 1978, as amended. In the event of liability under this Memorandum for breach of contract, neither party shall be liable to the other for consequential damages.

FINANCIAL INDEPENDENCE

Any exchange of, or provision of, financial resources between the University and the Association shall be pursuant to this Memorandum, or otherwise bargained for in an arms-length fashion. Neither party explicitly by virtue of this Memorandum or by virtue of the relationship established and reflected herein assumes any responsibilities for the debts, liabilities, obligations or responsibilities of the other party.

THIRD PARTIES

Nothing in this Memorandum, express or implied, is intended to confer any rights, remedies, claims or interests upon a person not a party to this Memorandum.

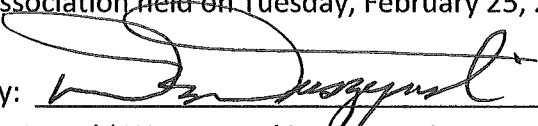
SUBCONTRACT OR DELEGATION

Neither party may subcontract or delegate its responsibilities under this Memorandum in whole or in part without the written consent of the other party.

RENEWAL OF THIS MEMORANDUM OF UNDERSTANDING

This memorandum automatically renews unless one part provides notice to the other within thirty (30) days in advance of the renewal date. This Memorandum is revised and renewed upon being fully signed by these parties each acting as official University and UNM Retiree Association representatives respectively.

This Agreement was approved at a regular meeting of the Board of the UNM Retiree Association held on Tuesday, February 25, 2014.

By:  Date: 25 Feb. 2014
Donald W. Duszynski, UNM Retiree Association President

By: _____ Date: _____
Chaouki Abdallah, UNM Provost and Executive Vice President for Academic Affairs

This Agreement was approved at a regular meeting of the Board of Regents held on (date)
_____.

By: _____ Date: _____
Jack Fortner, President of the UNM Board of Regents

MEMORANDUM OF UNDERSTANDING
Between The University and the UNM Parent Association

This Memorandum of Understanding ("Memorandum") is entered into by and between the Regents of the University of New Mexico ("University") through the Provost and Executive Vice President for Academic Affairs ("Provost") and the UNM Parent Association ("Association"), an affiliated organization of the University of New Mexico created to serve the interests of the parents of the students of UNM.

WHEREAS:

- A. The Association is recognized by the University of New Mexico Board of Regents;
- B. The Association's function of communicating with and on behalf of parents and families of students of UNM is one that UNM desires to support and encourage;
- C. The Association desires to periodically assemble groups of its members and to effectively communicate with them in a timely and professional manner;
- D. The Provost is able and willing to provide office and meeting space as well as staff support to the Association;
- E. As the Association wishes to participate in shared governance and to represent all UNM Parents and their ideas to the UNM Board of Regents and the UNM Administration, the Association acknowledges that it is subject to, and accountable for, all Federal and State laws and for compliance with all University policies and procedures as stated in the Board of Regents Policy Manual and the University Business Policy and Procedures Manual.
- F. The Association agrees to operate according to its Bylaws which have been reviewed and found acceptable by the University.

THEREFORE, in consideration of the public benefit to be served, the parties agree as follows:

- A. The Provost or his designee will have responsibility for the day-to-day oversight and management of this Memorandum and serve as liaison for the Association on matters of assignment of staff and space allocation.
- B. A staff person of the Provost, presently identified as an Administrative Officer, shall provide support to the Association in carrying out its day to day operations.

SEPARATE LEGAL ENTITIES

The Association and University are separate legal entities. Neither party has the right or power to control, act as the agent for, or bind the other, except as provided in this Memorandum. The parties agree to share information as required to further the purpose of this Memorandum.

INSURANCE

The Association acknowledges that University is not providing any liability insurance to the Association as an independent entity.

LIABILITY

As between the parties, each party acknowledges that it will be responsible for claims or damages arising from personal injury or damage to persons or property to the extent they result from negligence of the party's employees. The liability of UNM will be subject in all cases to the immunities and limitations of the New Mexico Tort Claims Act, Sections 41-4-1 et. Seq. NMSA 1978, as amended. In the event of liability under this Memorandum for breach of contract, neither party shall be liable to the other for consequential damages.

FINANCIAL INDEPENDENCE

Any exchange of, or provision of, financial resources between the University and the Association shall be pursuant to this Memorandum, or otherwise bargained for in an arms-length fashion. Neither party explicitly by virtue of this Memorandum or by virtue of the relationship established and reflected herein assumes any responsibilities for the debts, liabilities, obligations or responsibilities of the other party.

THIRD PARTIES

Nothing in this Memorandum, express or implied, is intended to confer any rights, remedies, claims or interests upon a person not a party to this Memorandum.


SUBCONTRACT OR DELEGATION

Neither party may subcontract or delegate its responsibilities under this Memorandum in whole or in part without the written consent of the other party.

RENEWAL OF THIS MEMORANDUM OF UNDERSTANDING

This memorandum automatically renews unless one part provides notice to the other within thirty (30) days in advance of the renewal date. This Memorandum is revised and renewed upon being fully signed by these parties each acting as official University and UNM Parent Association representatives respectively.

This Agreement was approved at a regular meeting of the Board of the UNM Parent Association held on January 23, 2014.

By:  _____
Angi Gonzales Carver, UNM Parent Association President

Date: 1-23-14

By: _____
Chaouki Abdallah, UNM Provost and Executive Vice President for Academic Affairs

Date: _____

This Agreement was approved at a regular meeting of the Board of Regents held on (date) _____.

By: _____
Jack Fortner, President of the UNM Board of Regents



**STEM UP
STEM Gateway**

Presentation to the Regents Committee on Academic/Student Affairs and Research

March 6, 2014

PRESENTATION DESCRIPTION

STEM Gateway and STEM UP are committed to improving graduation rates for STEM students at UNM, especially among the Hispanic and low-income populations, with STEM UP also strengthening the pathway from CNM to UNM STEM degree programs. This presentation describes performance measures and achievement outcomes related to both programs. Performance measures refer to quantitative measures of activity (for instance, the number of students served through CNM and UNM STEM UP Transfer Centers, peer mentoring, peer-led study groups and in the Peer Learning Facilitator [PLF] Program to date), and achievement outcomes refer to the impact of these programs on student academic achievement (for instance, student success for those impacted by mentoring and study groups and course success rates for students served in the PLF program).

Performance measures and achievement outcomes are broken into the following categories: Student Enrollment (related to increased interest in STEM programs, improved pre-UNM preparation and successful transition from CNM to UNM), Student Success in STEM Courses (related to in-class support and out-of-class support) and Successful STEM Degree Pathways (related to transfer articulation agreements and roadmaps that support CNM students connection and transition to UNM, peer mentoring, student organizations and workshops).

Activities in each of these areas are also supported by strong STEM UP and STEM Gateway institutional research programs. This research allows us to assess the impact of our programs, and to provide crucial data to UNM regarding strengths and challenges in current STEM programs.



Stretch and Studio in First-Year Composition



UNM

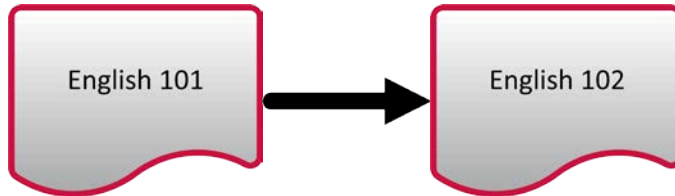
Beth Davila and Cristyn L. Elder

Stretch and Studio Composition

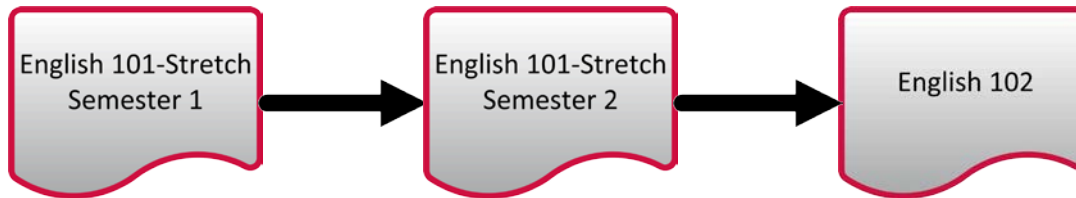
- **Stretch:** stretches curriculum across two semesters (Summer-Fall or Fall-Spring).
- **Studio:** adds 1-credit-hour, small group writing lab to comp course.
- Curriculum addresses local needs.
- Both models are taught in computer classrooms.

Three Pathways for Students

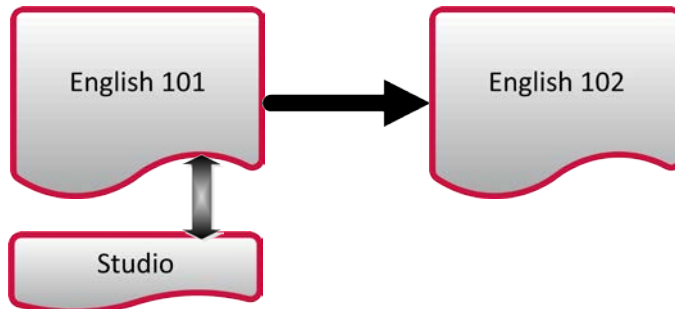
Traditional: ACT > 18



Stretch: ACT < 17



Studio: ACT 17 & 18



Studio

Full Class Meets Monday, Wednesday, Friday



Monday's Studio



Wednesday's Studio



Friday's Studio

Pass Rates for Stretch and Studio

Session	Course	# of Ss enrolled	# Passing	# W	# Failing	Pass Rate
Summer 2013	1 st semester Stretch 2 sections	19	19	0	0	100%
Fall 2013	2 nd semester Stretch 2 sections	18	18	0	0	100%
	1 st semester Stretch 4 sections	72	64	2	6	89%
	Studio 3 sections	56	49	6	1	88%

Report on Regents' Audit Committee Meeting Regular Meeting February 20, 2014

The **Regents' Audit Committee (Committee)** held a Regular Meeting on February 20, 2014, and took the following action:

ACTION ITEMS:

- The Committee unanimously approved the minutes from the Quarterly Meeting on October 25, 2013.
- The Committee approved the following UNM Hospital Audits:
 - Fixed Assess, dated May 2013;
 - Admitting dated August 2013; and
 - Purchasing dated September 2013.
- The Committee approved the following UNM audits:
 - Parking and transportation Services Department: Investigation of allegations #2013-27; and
 - Health Science Center, Cancer Research & Treatment Center Operation #2013-05.
- The Committee passed on approving the University Wide Compliance Structure, Organization and Compliance Policy, as the members felt more work is needed. Those matters will be the subject of a Special Meeting on March 18, 2014. However the following discussions took place:

Chairman Gallegos stated that the University is a regulated business from HIPAA to NCAA and many more regulations in between. The Compliance should be overseen and dealt with University-wide by a central compliance office. The Audit Committee feels that the Compliance Office should have the same reporting structure as Internal Audit with the same independence and direct unfiltered access to the Regents. Regent Koch agrees with the structure and Regent Hosmer indicated that single oversight makes good sense and it is the President's call.

President Frank stated Ms. Gonzales has made great strides, Athletics has significant compliance responsibilities, and Internal Audit needs to play a part. Mr. Stuart Freedman informed the Committee that he is excited about working together and sharing ideas. Ms. Gonzales stated that collaboration is a fantastic idea. Mr. Patel stated that we have been working in coordination with each other and expertise from both sides of campus will help facilitate an entity-wide Compliance organization. Dr. Richard Holder, President, Faculty Senate, stated that he is on the Compliance Committee as an ex-officio member and there is an astonishing amount of compliance requirements. He likes that idea of one University and hopes our compliance policies/code look similar to that of HSC.

Chairman Gallegos informed the compliance personnel that he would fairly soon like to see concrete organization structure and wants to return to the July 2013 format for policies 7.2 and 7.3. President Frank informed the Committee that he asked University Counsel to handle the Ethics Code since they have the experience and staff to handle it.

INFORMATION ITEMS:

- There were no advisor's comments (other than Dr. Holder's comment above regarding the Compliance Committee).
- UNM Hospital Internal Audit and Compliance Organization Chart. As requested by the Audit Committee, Ms. Purvi Mody, Director of Compliance and Audit provided a copy of the organization chart. This organization chart was prepared to designate internal audit responsibilities of the University Internal Audit Department and the Hospital Audit Department to avoid duplication of work performed by each unit. The UNM Hospital Compliance and Audit will be responsible for the hospital and clinical operations while the University Internal Audit will focus on the research, instructional, contracts, grants and administration aspects of the Health Science Center.
- Special Administrative Compensation (SAC). Carol Parker, Interim senior Vice Provost, updated the status on SAC and STC. Ms. Parker indicated that no one would issue any SAC in 2015 unless they have guidelines. The STC policy C-140 revisions are before the Faculty Senate Policy Committee and should be released after their March meeting. In response to Regent inquiry about the functional aspect of the new guidelines, Ms. Parker indicated that if departments are not reaching goals we would see that in compensation rates. Dr. Holder stated that academic Affairs is doing a good job with this.
- External Third Party Audits. Director Patel addressed the Committee regarding third-party audits. There were two external audits performed by Los Alamos National Labs and Sandia National Labs; they were closed with minimal questioned costs. There are about seven (7) other outside audits underway related to grants and contracts. The Center for Medicare and Medicaid Services (CMS) has contracted with a private contractor for the Recovery Audit Contract (RAC) on a contingent fee basis to review approximately 2250 patient billing records - totaling about \$23 million. They have denied \$7.8 million in claims. The Hospital is in the appeal process for about \$3 million. The compliance office is proactively working with services providers to minimize future denials.
- HSC Code of Ethics: Follow up Survey Results. Mr. Stuart Freedman, Chief Compliance Officer, Health Sciences Center, informed the Committee about the follow up survey of the Code of Ethics. There is high level of support from leadership; the survey consisted of 27 questions on-line that took less than 10 minutes. They learned that people do not always interact with compliance officers. People need continuing education on the hotline. There should be tone at the middle management similar to tone at the top.
- Internal Audit Director's Report. Director Patel presented the Internal Audit Director's report. There is one more meeting on May 23, 2014 remaining for the fiscal year. The external financial statements audit contract ran out last fiscal year. The University Controller has issued a Request for Proposal (RFP) for the fiscal year14 audit, with a proposal due date of March 7. Therefore, there will be a need for special meeting of this Committee to approve the audit contract. The audit plan status shows the Department completed seven audits: one is in report writing, two are in fieldwork and five are in the planning stage. The Department hired three student interns, and will end the fiscal year with approximately \$35,000 in reserve funds.

- Audit Recommendations Follow-up. Chien-chih Yeh, Audit Manager, supplied the Committee members with the status of audit recommendations of prior audits. There were 21 items on this report. Ten are complete and implemented, and eleven recommendations are outstanding.

**UNIVERSITY OF NEW MEXICO
ALUMNI ASSOCIATION
BOARD OF REGENTS REPORT
March 10, 2014**

Vision (Why we exist): *The Alumni Association is a vital partner in the continued excellence of the University of New Mexico through the significant engagement of alumni.*

Mission (What we are striving for): *To serve as a bridge between alumni and the university ensuring the continued success of the university and enriching the lives of alumni.*

<p>Strengthen collaboration with campus and community constituents to meet the needs of UNM.</p>	<p>On March 4th, the Alumni Association and the Office of the President hosted the annual Washington, DC Congressional Reception. It was held this year in the Hart Senate Office building with over 200 area alumni, congressional staffers, agency representatives, and members of the New Mexico Congressional delegation attending.</p> <p>February 4 was a busy day as UNM was memorialized by a Joint Memorial for the 125 years of its founding on both the Senate and House floors. Proclamations were read and 12 UNM alumni comprised of former and current regents, student leaders and alumni were invited to sit on the rostrum of each floor. Prior to the evening event, Lobos for Legislation committee members, UNM staff and Trailblazers went to all the 116 legislative offices and passed out a small gift with a reminder card for the UNM Alumni Association Legislator Appreciation Reception which was held that evening at the La Fonda. Three hundred and twenty attended the reception. Forty seven legislators (25 senators and 22 representatives) and other dignitaries attending included: a former US Senator, 2 cabinet secretaries-tourism and higher education, the New Mexico State Land Commissioner, the Director and deputy Director of the LFC, UNM Regents, alumni, friends, students, several UNM Deans, and top administrators and directors of various programs. Music for the evening was provided by the UNM Clarinet Quartet. As an added happening, the UNM Jazz Band did a “flash mob” playing New Orleans’ style jazz. The event was evaluated as extremely successful.</p> <p>It was a cherry and silver day on February 5th for UNM Day at The Capitol.</p>
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	<p>Ninety two UNM students traveled to Santa Fe via the Rail Runner and distributed cherry and silver beads, door hangers, and a sundry of other promotional items to Legislators. Discussions with Legislators regarding the Lottery Scholarship were the student’s main priority. UNM Faculty and the Staff Council were present and displays of various programs were set up around the Rotunda.</p>
<p>Foster enduring involvement with, pride in and commitment to UNM, its colleges, schools and programs.</p>	<p>On February 28th, the Alumni Association hosted a celebration for UNM’s 125th Anniversary. Food, entertainment, and music by Le Chat Lunatique were enjoyed by approximately 200+ alumni and friends. The Alumni Relation Office staff instigated a “125” photo campaign using life sized number cutouts. The numbers have travelled all over campus and beyond. Hundreds of individuals have participated. To view the pictures, log onto www.flickr.com/photos/unmalumni/.</p> <p>Chapters around the country celebrated Lobo Day by hosting University speakers at luncheons, dinners, pot luck events. To date: Northern California kicked things off on February 22, Austin on March 29 featuring UNM Professor Kevin Comeford, and the Washington, DC will welcome Professor Miguel Gandert on March 30.</p>
<p>Engage students in ways that will develop lifetime ties to UNM.</p>	<p>The Young Alumni Advisory Board’s Professional Development Committee volunteered to host the ‘Backpack Zone’ for students and guests attending the 2014 Career Expo on February 13. They plan to assist with meeting and recruiting new members at the Graduation Fair, held at the UNM Bookstore on April 2-4, 2014.</p>
<p>Expand the tradition of philanthropy toward UNM among alumni.</p>	<p>CASE ASAP launched the second Student Engagement and Philanthropy Day on Feb. 27, 2014, in which institutional members organized events and activities to enhance awareness and engagement of students in higher education</p>

	<p>advancement around the world. UNM Trailblazers collaborated with the UNM Foundation to host “PAWSitive Appreciation for Donors” at various locations across campus. Students thanked donors on a Lobo Paw card and purchased a Lobo Paw cookie for \$1 to support the Students Help Students Emergency Scholarship Fund. The Paw cards were on display at the SUB during the 125th Anniversary celebration.</p>
<p>Communicate effectively with our diverse group of alumni.</p>	<p>The 2nd Lobos Coast to Coast game watch party was held on Saturday, February 15. Alumni across the country and in Albuquerque took part in the party. Seventeen cities/regional areas and 2 Albuquerque locations hosted the TV Viewing Parties at area sports bars. Each venue drew many Lobo alumni fans. The newly formed Greater Albuquerque Area Alumni Chapter used this as their first event.</p> <p>The 2014 Mountain West Conference Basketball tournament in Las Vegas March 10 – 15 is expected to attract thousands of Lobo fans from New Mexico, Nevada, and surrounding states. The Alumni Association is again joining forces with the Lobo Club and the Alumni Lettermen’s Association to host pregame receptions in Las Vegas. Receptions, pep rallies and other activities will be held as both teams make their way through conference play. Go Lobos!</p> <p>The Alumni Association conducted an Alumni Attitude Survey the last of August through October. Rob Shoss, Managing Consultant Performance Enhancement Group, will present the survey results on campus March 18-20. President Frank, the Senior Leadership Group, Academic Affairs, Student Affairs, the Deans, and the Executive Committees of both the Alumni Association and the Foundation are groups which have the opportunity to understand and question the outcomes. Two thousand six hundred alumni responded to the survey. Details and the complete list of groups to attend the presentation are still being arranged.</p>
<p>Develop and steward resources to ensure a</p>	<p>Ten dinners were hosted by alumni for Dinners for 12 Lobos in their homes on</p>

<p>sustained and dynamic association.</p>	<p>March 1st and 2nd to celebrate UNM’s 125th birthday. Students were asked to invite a favorite faculty member, along with alumni to gather in the home of an alumnus to enjoy a meal while coming together as strangers and leaving as friends. This program has been a great community building tool for the Alumni Association and the University.</p> <p>The Alumni Association Board of Directors approved the by-laws of the UNM Veterans Group as a new Alumni Chapter. The office will work with the Veterans Office to recruit volunteers and set up programs according to their mission.</p>
<p>Encourage community service and leadership among alumni and students.</p>	<p>The Community Service Committee of the Young Alumni Association spent a February evening making Valentines for the children of Carrie Tingley Hospital. The committee, whose goal is to increase philanthropy among their peers, will review “Passion Projects” proposals that have been submitted by their members and select the projects that are reasonable to complete.</p>
<p>Garner greater recognition and visibility for Alumni Association programs and service to the university and community.</p>	<p>At Hodgin Hall, on February 27, David Campbell, distinguished UNM alumnus and Foreign Service Officer with the US State Department, addressed the perceptions of America from Afar, both positive and negative, to a standing room only crowd. He touched on the rise of the BRICS (Brazil, Russia, India, China, and South Africa) in international diplomacy and the implications for the US role in the world. He outlined the roles that Albuquerque, the State, and the University can play as global centers of cross-cultural understanding, international affairs, and language study. This program was part of the Lobo Living Room series.</p>



**UNM Foundation, Inc.
UNM Regent's Advisor Report
March 2014**

Changing Worlds 2020: The Campaign for UNM

The University of New Mexico launched *Changing Worlds: The Campaign for UNM* in 2006. Since then, nearly 60,000 generous donors have made this campaign the largest campaign in UNM history, with more than \$621 million in gifts in support of scholarships, patient care, research, and more.

Building on the UNM 2020 goals, but with the same emphasis on student success and opportunity, faculty support and research, campus programs, and capital projects and facilities, President Robert G. Frank has announced that the campaign has been refreshed and extended. *Changing Worlds 2020: The Campaign for UNM* will continue to energize alumni and friends to make meaningful gifts.

Folding in the current campaign results, the goal has been set to strive for a total of \$1 billion in private support by the end of 2020.

Specific fundraising highlights:

The School of Medicine Department of Orthopedics received a gift of \$300,000.

UNM's Cancer Research & Treatment Center received a gift of \$250,000 for research and patient care.

College of Nursing received a gift of \$200,000.

The School of Medicine – "HERO" Endowment received a gift of \$200,000.

UNM Children's Hospital received a gift of \$101,270.

The Harwood Museum of Art received a gift of \$2.3 million.

The Robert Wood Johnson Center for Health Policy received \$750,000.

The College of Arts and Sciences received a gift of \$1.5 million to the Department of Anthropology.

Fundraising Performance Report

FY 13/14, December 31, 2013

Changing Worlds Campaign	CASE Campaign Standards			VSE Standards
	Campaign to Date	GOAL	% OF GOAL	To Date
Gift Commitments	\$ 616,058,676	\$ 675,000,000	91.3%	\$ 462,977,236
- Cash/Cash Equivalents	358,766,912	N/A	N/A	462,977,236
- In-Kind	52,150,399	N/A	N/A	N/A
- Pledges	85,738,907	N/A	N/A	N/A
- Testamentary	119,402,458	N/A	N/A	N/A
Pledges Due	28,893,481	N/A	N/A	N/A
Testamentary Gifts Due	113,717,187	N/A	N/A	N/A

Council for Advancement and Support of Education (CASE) reporting is on an "accrual basis" and Voluntary Support of Education (VSE) reporting is on a "cash basis".

Gift Commitments (Fiscal Year)	This Quarter	FYTD 13/14	GOAL	FY 12/13
Main Campus				
- Cash/Cash Equivalents	\$ 2,826,610	\$ 4,497,060	N/A	\$ 9,283,110
- In-Kind	4,297,231	4,877,678	N/A	4,924,882
- Pledges	465,000	465,000	N/A	356,789
- Testamentary	400,000	3,405,000	N/A	6,692,957
Sub-Total	\$ 7,988,841	\$ 13,244,738	\$ 20,950,000	\$ 21,257,738
HSC				
- Cash/Cash Equivalents	\$ 4,622,118	\$ 8,155,022	N/A	\$ 15,724,317
- In-Kind	1,022,062	1,373,555	N/A	3,165,722
- Pledges	120,000	142,834	N/A	2,294,000
- Testamentary	3,762,987	7,869,881	N/A	3,531,025
Sub-Total	\$ 9,527,167	\$ 17,541,292	\$ 27,950,000	\$ 24,715,064
Athletics				
- Cash/Cash Equivalents	\$ 1,523,182	\$ 2,890,352	N/A	\$ 4,948,093
- In-Kind	285,036	599,977	N/A	1,732,754
- Pledges	90,000	820,000	N/A	2,294,964
- Testamentary	56,946	56,946	N/A	1,335,000
Sub-Total	\$ 1,955,164	\$ 4,367,275	\$ 10,500,000	\$ 10,310,811
Other Campus Units *				
- Cash/Cash Equivalents	\$ 3,856,954	\$ 7,054,898	N/A	\$ 19,856,004
- In-Kind	25,003	25,368	N/A	8,000
- Pledges	3,005,000	3,005,000	N/A	1,000
- Testamentary	-	1,000	N/A	5,450,000
Sub-Total	\$ 6,886,957	\$ 10,086,266	\$ 20,600,000	\$ 25,315,004
Total	\$ 26,358,129	\$ 45,239,571	\$ 80,000,000	\$ 81,598,617

* Other campus units include KNME, KUNM, UNM Branch Campuses, President's Office, Provost's Office, Enrollment Services, Student Affairs and numerous other units not classified as main campus, HSC or athletics.

Gift Destinations	This Quarter	FYTD 13/14	FY 12/13	FY 11/12
UNM Foundation	\$ 15,072,108	\$ 27,537,945	\$ 48,385,834	\$ 38,894,922
Reported Gifts *	\$ 11,286,021	\$ 17,701,626	\$ 33,212,783	\$ 45,020,517
Total	\$ 26,358,129	\$ 45,239,571	\$ 81,598,617	\$ 83,915,439

* Reported Gifts = gifts made directly to KNME, KUNM, Lobo Club, and OVPR, but reported by UNM Foundation per MOA.

Fundraising Performance Report

FY 12/13, September 30, 2012

Gift Commitments (Fiscal Year)	FYTD 13/14	FY 12/13	FY 11/12
Cash/Cash Equivalents	\$ 22,597,333	\$ 49,811,524	\$ 40,563,624
Pledges			
- Beginning Balance Pledges Receivable	\$ 28,290,140	\$ 28,115,352	14,502,782
- Add: New Pledges	\$ 4,454,251	\$ 5,034,503	26,205,415
- Less: Pledge Payments	\$ (3,829,483)	\$ (4,771,965)	\$ (11,734,102)
- Less: Pledges Written Off	\$ (21,417)	\$ (87,750)	(858,743)
- Ending Balance Pledges Receivable	\$ 28,893,491	\$ 28,290,140	28,115,352
In Kind	\$ 6,876,577	\$ 9,831,358	8,484,460
Testamentary	\$ 11,332,827	\$ 17,008,982	9,520,683
Total	\$ 45,239,571	\$ 81,598,617	\$ 83,915,439

Performance Measures	This Quarter	FYTD 13/14	FY 12/13	FY 11/12
Gift Commitment Income	\$ 26,358,129	\$ 45,239,571	\$ 81,598,617	\$ 83,915,439
# of Gifts	10,343	17,606	33,902	33,261
# of Donors	4,774	8,672	14,932	13,323

Efficiency Measures	FYTD 13/14	FY 12/13	FY 11/12	FY 10/11
Cost per Dollar Raised *	\$0.12	\$0.12	\$0.11	\$0.11

* Compares UNM Foundation budget expenditures to gift commitments.

Consolidated Investment Fund - Investment Performance

FY 13/14, December 31, 2013

Investment Performance Results	Market Value	FYTD 13/14	1-Year	3-Year	5-Year
FY 13/14 (December 31, 2013)	\$ 385,782,978	9.10%	14.50%	9.00%	10.50%
Custom Benchmark *		9.40%	13.70%	7.90%	10.40%
FY 12/13 (June 30, 2013)	\$ 360,159,802	N/A	11.30%	10.24%	3.31%
Custom Benchmark *		N/A	10.55%	9.31%	2.61%
NACUBO/Commonfund **		N/A	N/A	N/A	N/A

* Custom Benchmark is a blended benchmark consisting of indices for all asset classes.

** NACUBO/Commonfund Endowment Study (\$101 million to \$500 million) - Not Yet Available

Consolidated Investment Fund - Asset Allocation

FY 13/14, December 31, 2013

Investment Class	Current Allocation	Target Allocation	Investment Policy Ranges
Domestic Equity	22.6%	20%	10% - 50%
International Equity	21.7%	20%	10% - 40%
Fixed Income/Cash	15.1%	20%	10% - 50%
Real Assets - Liquid	3.9%	5%	0% - 5%
Real Assets - Illiquid	4.5%	5%	0% - 10%
Marketable Alternatives	19.2%	20%	0% - 20%
Private Equity	13.0%	10%	0% - 15%

Consolidated Investment Fund - Spending Distribution

FY 13/14, December 31, 2013

CIF Spending Distribution	FY 13/14	FY 12/13	FY 11/12	FY 10/11
Spending Distribution %	N/A	4.50%	4.50%	4.65%
Total \$ Distribution (millions)	N/A	\$ 13,489,948	\$ 13,984,508	\$ 14,869,261
Total \$ Distribution/Unit	N/A	\$10.47	\$10.58	\$10.98

Consolidated Investment Fund - Development Funding Allocation

FY 13/14, December 31, 2013

Development Funding Allocation	Basis Points %	Dev Funding Allocation	Total Budget Expenditures	% Overall Budget
FYTD 13/14	1.40%	\$2.2	\$5.2	42%
FY 12/13	1.85%	\$5.6	\$9.8	58%
FY 11/12	1.85%	\$5.9	\$9.1	65%
FY 10/11	1.85%	\$5.7	\$9.5	60%
FY 09/10	1.85%	\$5.4	\$9.4	57%

UNM Foundation Budget vs. Actual

FY 13/14, December 31, 2013

Sources of Budget	Budget	FYTD	% Used
UNM Support	\$ 5,522,722	\$ 2,835,372	51.3%
Development Funding Allocation	4,218,765	2,247,585	53.3%
Short-Term Investment Income	850,000	427,652	50.3%
Cost Sharing Reimbursement	660,780	314,743	47.6%
Unrestricted Gifts & Other Revenue	150,000	124,969	N/A
Total	11,402,267	5,950,321	52.2%

Uses of Budget	Budget	FYTD	% Used
Salaries/Fringe Benefits	\$ 8,813,621	\$ 3,966,365	45.0%
Operating Expenditures	2,517,885	1,272,302	50.5%
Total	11,331,506	5,238,667	46.2%

Reserve Balances	Budget	FYTD	% Used
Surplus/(Deficit) from Operations	\$ 70,761	\$ 711,654	N/A
Beginning Reserve Balances	1,246,426	1,246,426	N/A
Ending Reserve Balances	1,317,187	1,958,080	N/A

**THE UNIVERSITY OF NEW MEXICO
MR. AND MRS. HUGH B. AND HELEN K. WOODWARD ENDOWMENT
FUNDED BY THE SANDIA FOUNDATION**

	2009-10	2010-11	2011-12	2012-13	2013-14 through 12/31/2013
<u>PRINCIPAL/CORPUS</u>					
BEGINNING MARKET VALUE, JULY 1:	\$ 30,698,788	\$ 32,422,373	\$ 37,282,001	\$ 36,545,942	\$ 40,213,741
ADDITIONS	877,500	1,125,000	1,260,000	1,327,500	697,500
INVESTMENT EARNINGS	3,238,163	6,040,036	132,283	4,119,725	3,703,473 (1)
DEVELOPMENT FUNDING ALLOCATION	(623,475)	(643,802)	(603,057)	-	- (3)
SPENDING DISTRIBUTION	(1,768,603)	(1,661,606)	(1,525,285)	(1,779,426)	(888,114) (2)
ENDING MARKET VALUE, JUNE, 30:	<u>\$ 32,422,373</u>	<u>\$ 37,282,001</u>	<u>\$ 36,545,942</u>	<u>\$ 40,213,741</u>	<u>\$ 43,726,600</u>

- (1) FY 2013-14 Net investment Earnings:** Represents the actual net investment earnings through December 31, 2013. Net investment earnings for the period of July 1, 2013, through December 31, 2013, were 9.3% (net of manager fees).
- (2) FY 2013-14 Net investment Earnings:** Represents the quarterly spending distributions from July 1, 2013, to December 31, 2013.
- (3) FY 2013-14 Net investment Earnings:** The Development Funding Allocation is not assessed on the Woodward endowment.

**THE UNIVERSITY OF NEW MEXICO
WINROCK LAND SALE ENDOWMENT**

	2009-10	2010-11	2011-12	2012-13	2013-14 through 12/31/2013
<u>PRINCIPAL/CORPUS</u>					
BEGINNING MARKET VALUE, JULY 1:	\$ 24,076,065	\$ 24,767,866	\$ 27,587,901	\$ 26,069,492	\$ 27,420,035
ADDITIONS	-	-	-	-	-
INVESTMENT EARNINGS	2,544,475	4,553,291	97,208	2,900,008	2,515,847 (1)
DEVELOPMENT FUNDING ALLOCATION	(483,585)	(485,153)	(486,943)	(496,004)	(194,741)
SPENDING DISTRIBUTION	(1,369,089)	(1,248,103)	(1,128,674)	(1,053,461)	(516,108) (2)
ENDING MARKET VALUE, JUNE, 30:	<u>\$ 24,767,866</u>	<u>\$ 27,587,901</u>	<u>\$ 26,069,492</u>	<u>\$ 27,420,035</u>	<u>\$ 29,225,033</u>

- (1) FY 2013-14 Net investment Earnings:** Represents the actual net investment earnings through December 31, 2013. Net investment earnings for the period of July 1, 2013, through December 31, 2013, were 9.3% (net of manager fees).
- (2) FY 2013-14 Spending Distribution:** Represents the quarterly spending distributions from July 1, 2013, to December 31, 2013.

THE UNIVERSITY OF NEW MEXICO
REGENTS' ENDOWMENT

	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14 through 12/31/2013
PRINCIPAL/CORPUS									
BEGINNING MARKET VALUE, JULY 1:	\$ 26,548,336	\$ 32,325,235	\$ 36,674,360	\$ 35,018,525	\$ 25,165,952	\$ 25,889,070	\$ 28,836,764	\$ 27,249,619	\$ 27,508,609
ADDITIONS:									
MESA DEL SOL PROPERTY SALE	8,045,923	-	-	-	-	-	-	-	-
WITHDRAWALS:									
ASM STUDENT INVESTMENT PROGRAM	(2,000,000)	-	-	-	-	-	-	-	-
GIBSON/MULBERRY PROPERTY PURCHASE	(1,645,435)	-	-	-	-	-	-	-	-
2811 CAMPUS PROPERTY PURCHASE	(242,798)	-	-	-	-	-	-	-	-
SCHOLARSHIP FUNDING	-	-	-	(880,525) (3)	-	-	-	-	-
BASEBALL FIELD CAPITAL PROJECT	-	-	-	-	-	-	-	(1,100,000)	-
INVESTMENT EARNINGS	2,789,695	6,123,941	284,643	(7,060,716)	2,659,659	4,759,412	101,609	2,917,311	2,523,974 (1)
DEVELOPMENT FUNDING ALLOCATION		(329,734)	(398,416)	(335,326)	(505,476)	(507,115)	(508,986)	(502,354)	(195,371)
SPENDING DISTRIBUTION	(1,170,486)	(1,445,081)	(1,542,062)	(1,576,006)	(1,431,065)	(1,304,603)	(1,179,768)	(1,055,967)	(517,775) (2)
ENDING MARKET VALUE, JUNE 30:	<u>\$ 32,325,235</u>	<u>\$ 36,674,360</u>	<u>\$ 35,018,525</u>	<u>\$ 25,165,952</u>	<u>\$ 25,889,070</u>	<u>\$ 28,836,764</u>	<u>\$ 27,249,619</u>	<u>\$ 27,508,609</u>	<u>\$ 29,319,437 (4)</u>

(1) **FY 2013-14 Net investment Earnings:** Represents the actual net investment earnings through December 31, 2013. Net investment earnings for the period of July 1, 2013, through December 31, 2013, were 9.3% (net of manager fees).

(2) **FY 2013-14 Spending Distribution:** Represents the quarterly spending distributions from July 1, 2013, to December 31, 2013.

(3) **FY08-09 Withdrawal for scholarship funding:** \$1,000,000 was authorized to be withdrawn from the Regents' Endowment for FY08-09 scholarship funding. Of the authorized \$1,000,000, \$880,525 was withdrawn from the endowment.

(4) The Regents' Endowment includes proceeds from the "Mesa del Sol" property sale. Total proceeds of this sale were \$8,045,923 and the proceeds were added to the Regents' endowment in June of 2006. The Mesa del Sol contribution is not tracked separately, but an estimated value based on net investment earnings, spending distributions, withdrawals, and development funding allocations for the Regents' Endowment since that time, is \$6,637,511.

**THE UNIVERSITY OF NEW MEXICO
REGENTS' ENDOWMENT - MESA DEL SOL ADDITION**

	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14 through 12/31/2013
<u>PRINCIPAL/CORPUS</u>									
BEGINNING MARKET VALUE, JULY 1:	\$ -	\$ 8,045,923	\$ 9,128,444	\$ 8,716,297	\$ 6,483,107	\$ 6,669,392	\$ 7,428,760	\$ 7,019,889	\$ 6,227,564
ADDITIONS:									
MESA DEL SOL PROPERTY SALE	8,045,923	-	-	-	-	-	-	-	-
WITHDRAWALS:									
WITHDRAWAL - MERIT-BASED SCHOLARSHIPS	-	-	-	-	-	-	-	-	-
GIBSON/MULBERRY PROPERTY PURCHASE	-	-	-	-	-	-	-	-	-
2811 CAMPUS PROPERTY PURCHASE	-	-	-	-	-	-	-	-	-
BASEBALL FIELD CAPITAL PROJECT	-	-	-	-	-	-	-	(1,100,000)	-
INVESTMENT EARNINGS	-	1,524,281	70,849	(1,757,450)	685,166	1,226,092	26,176	660,479	571,392 (1)
DEVELOPMENT FUNDING ALLOCATION	-	(82,073)	(99,168)	(83,464)	(130,218)	(130,640)	(131,122)	(113,733)	(44,229)
SPENDING DISTRIBUTION	-	(359,688)	(383,827)	(392,276)	(368,663)	(336,084)	(303,925)	(239,071)	(117,217) (2)
ENDING MARKET VALUE, JUNE 30:	<u>\$ 8,045,923</u>	<u>\$ 9,128,444</u>	<u>\$ 8,716,297</u>	<u>\$ 6,483,107</u>	<u>\$ 6,669,392</u>	<u>\$ 7,428,760</u>	<u>\$ 7,019,889</u>	<u>\$ 6,227,564</u>	<u>\$ 6,637,511 (4)</u>

(1) **FY 2013-14 Net investment Earnings:** Represents the actual net investment earnings through December 31, 2013. Net investment earnings for the period of July 1, 2013, through December 31, 2013, were 9.3% (net of manager fees).

(2) **FY 2013-14 Spending Distribution:** Represents the quarterly spending distributions from July 1, 2013, to December 31, 2013.

(4) The Regents' Endowment includes proceeds from the "Mesa del Sol" property sale. Total proceeds of this sale were \$8,045,923 and the proceeds were added to the Regents' endowment in June of 2006. The Mesa del Sol contribution is not tracked separately, but an estimated value based on net investment earnings, spending distributions, withdrawals, and development funding allocations for the Regents' Endowment since that time, is \$6,637,511.

NOTE: This statement which reports additions and withdrawals from the Regents' Endowment attributable to funding provided by the Mesa Del Sol Addition has been modified since the June 30, 2013 statement. Previously, the Gibson/Mulberry Property Purchase (\$1,645,435) and the 2811 Campus Property Purchase (\$242,798) were reported as disbursements from the Mesa Del Sol Addition proceeds. However, a thorough review of minutes from the Finance & Facilities Committee meetings and the Board of Regents' meetings indicated no specific reference to utilizing funds from the Mesa Del Sol Addition proceeds. Therefore, these purchases were removed from this report, investment earnings and development funding allocations were re-calculated and the ending market value adjusted accordingly.

**Minutes of the Meeting of the
UNM Health Sciences Center Board of Directors
February 7, 2014
Domenici Center for Health Sciences Education Bldg., Room 3010**

UNM Health Sciences Center Board of Directors (the "Board") members present: Suzanne Quillen, Chair, Ann Rhoades, Lt. Gen. Brad Hosmer, Conrad James, Mel Eaves

UNM Leaders present: President Bob Frank

UNM Health Sciences Center ("HSC") Leaders present: Chancellor Paul Roth and other members of the Health System and Health Sciences Center leadership

Others present: members of the HSC faculty and staff; community members

Chair Suzanne Quillen called the meeting of the Board to order at approximately 2:01 p.m. The Chair established that a quorum of the members of the Board was present.

Approval of Agenda

A motion was made to adopt the published agenda. The motion was seconded. There was no discussion. The motion passed with a vote of 5-0-0.

Approval of Minutes of Prior Meeting

A motion was made to approve the minutes of the December 6, 2013 meeting of the Board. The motion was seconded. There was no discussion. The motion passed with a vote of 5-0-0.

Chancellor's Report

Chancellor Roth introduced Dr. Salvador Gulle and Mr. Ricardo Minnotto, visiting dignitaries from Hospital Sao Luce, Porto Alegre, Brazil. Dr. Roth then introduced a clip that was then viewed by the audience from "UNM NewsBeat" highlighting patient care. Dr. Roth announced that Dr. Carolyn Voss was honored by the New Mexico Legislature as an outstanding faculty, that the UNM Hospital Critical Care Team was awarded the presidential citation by the New Mexico chapter of the Society of Critical Care Medicine, that the AAMC Workforce Conference will be hosted on the UNM Health Sciences Center campus in March, and that the UNM College of Nursing had a one hundred percent pass rate on the recent student national certification exam.

UNM Health System Update Including SRMC Update

The report from Mr. Steve McKernan was an overview of the narrative included in the Board agenda book and included information on an increase in almost all activity levels, the Sandoval Regional Medical

Center, Inc. ("SRMC") Quarterly Income Statement with business stable and a positive trend in finances, the Affordable Care Act, Medicaid, and Gallup. Specifically, discussion on New Mexico's state sign-up glitches for citizens but enrollment is progressing. Discussion on Medicaid and UNM Care. Dr. Mike Richards reported on the status of the SRMC medical staff with five additional UNM physicians hired at SRMC and another eight physicians coming in over the next six months who will be predominantly based at SRMC. It was reported that the largest volume of SRMC patients are coming from Sandoval County and that community physician work is increasing to a steady rate. Discussion was held on clinic space and capacity.

HSC Student Council Update

Mr. Kyle Leggott, President, HSC Student Council, provided a brief overview of the current business and activities of the HSC Student Council that included their hosting a presentation to educate students on options regarding the Affordable Care Act insurance and that in January the HSC Student Council participated in the First Annual Winter Health Fair in Tome.

Public Comment

There was no public comment at the meeting.

Naming of UNM School of Medicine Basic Medical Sciences Building to the Reginald Heber Fitz Hall

Dr. Paul Roth presented background on the request to rename the UNM School of Medicine's Basic Medical Sciences Building to the Reginald Heber Fitz Hall citing that, in 1960, Dr. Fitz was hired as the first dean to develop the proposal for the UNM School of Medicine. Dr. Roth added that this naming request was approved at the UNM Board of Regents' Finance & Facilities meeting. Discussion was held on the UNM Policy for naming buildings and the approval criteria and process. A motion was made to approve the request to name the UNM School of Medicine's Basic Medical Sciences Building to the Reginald Heber Fitz Hall. The motion was seconded. The motion was passed with a vote of 5-0-0 in favor.

Reports from Board Committees

Governance and Nominating Committee ("GNC")

GNC Chair Brad Hosmer provided an overview of deliberations of the GNC in its recommendation that the HSC Board of Directors approve the request to nominate of Dr. Raymond Loretto to the UNM Hospital Board of Trustees. A motion was made to approve the nomination of Dr. Raymond Loretto to the UNM Hospital Board of Trustees. The motion was seconded. The motion was passed with a vote of 5-0-0 in favor.

GNC Chair Brad Hosmer provided an overview of deliberations of the GNC in its recommendation that the HSC Board of Directors approve the request to nominate Dr. Michael Richards to the SRMC Board of Directors. A motion was made to approve the nomination of Dr. Michael Richards to the SRMC Board of Directors. The motion was seconded. The motion passed with a vote of 5-0-0 in favor.

Finance, Audit, and Compliance Committee ("FACC")

FACC Action Items

Resolution Recommending Approval of the Selection of Architect for the UNM Psychiatric Center HVAC System at the UNM Hospital

FACC Chair Conrad James provided an overview of the FACC's deliberations on the recommendation that the HSC Board of Directors approve the selection of Bridges and Paxton as the architect for the UNM Psychiatric Center HVAC System at the UNM Hospital. A motion was made to approve the architect selection for the UNM Psychiatric Center HVAC System at the UNM Hospital. The motion was seconded. The motion passed with a vote of 5-0-0 in favor. Discussion.

Request for Approval of Resolution for Capital Project: UNM Hospital North Fourth Street Clinic

FACC Chair James provided an overview of the FACC's deliberations that resulted in its recommendation that the HSC Board of Directors approve the resolution for a capital project on the UNM Hospital North Fourth Street Clinic. A motion was made to approve the capital project on the UNM Hospital North Fourth Street Clinic. Discussion was held regarding clinic visits, the location, and the process for identifying a location for the proposed clinic, and how primary care clinics are now based on the patient-centered medical home model. The motion was seconded. The motion passed with a vote of 5-0-0 in favor.

Request for Approval of Resolution for Capital Project: UNM Hospital Laboratory Renovation

FACC Chair James provided an overview of the FACC's deliberations that resulted in its recommendation that the HSC Board of Directors approve the resolution for a capital project for the UNM Hospital Laboratory Renovation. A motion was made to approve the capital project for the UNM Hospital Laboratory Renovation. The motion was seconded. Discussion. The motion passed with a vote of 5-0-0 in favor.

Request for Approval of Resolution for Capital Project: UNM Hospital Main, Radiology Request, First Floor, Interventional Radiology CT Scanner Installation

FACC Chair James provided an overview of the FACC's deliberations that resulted in its recommendation that the HSC Board of Directors approve the resolution for a capital project for the UNM Hospital Main, Radiology Request, First Floor, Interventional Radiology CT Scanner Installation. A motion was made to

approve the capital project for the UNM Hospital Main, Radiology Request, First Floor, Interventional Radiology CT Scanner Installation. The motion was seconded. The motion passed with a vote of 5-0-0 in favor.

Request for Approval of Resolution for Capital Project: UNM Hospital Fire Sprinkler All Floors

FACC Chair James provided an overview of the FACC's deliberations that resulted in its recommendation that the HSC Board of Directors approve the resolution for a capital project for the UNM Hospital Fire Sprinkler All Floors. A motion was made to approve the resolution for a capital project for the UNM Hospital Fire Sprinkler All Floors. The motion was seconded. The motion was approved by a vote of 5-0-0 in favor.

FACC Information Items

UNM Hospital Contract with GE Healthcare

Mr. Steve McKernan provided a brief overview of the UNM Hospital contract with GE Healthcare for two ultrasounds for the High Risk Obstetrics Program.

Contract with Hospital Housekeeping Systems: UNM Cancer Research and Treatment Center

Mr. Bruce Cherrin provided a brief overview of the UNM Hospital contract with Hospital Housekeeping Systems for their highly specialized services at the UNM Cancer Research and Treatment Center.

Budget Calendar and Process FY15

Ms. Ava Lovell reviewed documents regarding the budget calendar and process for FY15 including mid-year budget reviews (actuals versus budget; preliminary FY15 budgets, etc.), Regents Budget Summit on March 25th, final budgets which are due in Santa Fe on May 1st and Regents' final approval of budget. Ms. Lovell discussed compensation as a priority and the impact of a compensation increase. Discussion was held on how HSC finances differ from those of main campus. Ms. Lovell continued her report with FY14 Consolidated Institutional Support from the HSC that is sent to main campus.

HSC Financial Update

Ms. Ava Lovell presented an HSC financial update on metrics. Discussion was held on UNMMG and physicians going to the SRMC, etc. Ms. Lovell continued with an overview of cash flow, operating net margin, net income, use of reserves, and uncompensated care. Discussion was held on uncompensated care, specifically on an unexpected higher rate of uncompensated care patients at SRMC. Discussion of accounting related to UNMMG and SRMC. Discussion was held on self-pay, lien accounts, etc. Ms. Lovell continued her update with information on Research Awards, Earned F&A, and Expenditures.

General Information Items

Analysis of Impact of *Fisher v. University of Texas*

Mr. Scot Sauder distributed handouts and presented an analysis of the impact of “Fisher v. University of Texas at Austin” decision. Discussion was held on defining criteria with a suggestion that data on racial composition of HSC colleges and racial composition of student applications be provided to the HSC Board of Directors. Dr. Roth provided information on criteria used for choosing applicants to the UNM School of Medicine and the unique environment of New Mexico.

Update: Voluntary Faculty Turnover

Dr. Leslie Morrison provided an update on the UNM School of Medicine turnover rates of voluntary faculty (does not include retirees) and this report will be provided bi-annually to the Board of Directors. Discussion. *Action Required: Dr. Morrison will provide revised charts prior to the next six month report that include percentages on resignations for College of Nursing and College of Pharmacy.*

Review: HSC Board of Directors Action Required Log

The Board reviewed the current Board Action Required Log. Discussion. *Action Required: Mr. McKernan to provide a briefing on Sole Community Provider as it relates to uncompensated care in the State of New Mexico.*

A motion was made to adjourn the open meeting and to convene in executive session. The motion was seconded. No discussion; with a vote of 5-0-0 in favor. Motion passed.

A motion was made for the Board to reconvene in open session and to certify that only those matters described in agenda item XIII were discussed in executive session. The motion was seconded. No discussion; with a vote of 4-0-0 in favor. Motion passed. Director James was not in the room and did not vote.

A motion was made to adjourn the meeting. The motion was seconded. No discussion; with a vote of 4-0-0 in favor. Motion passed.

Minutes were prepared by Patrice Martin and finalized on February 11, 2014.

Approval of Minutes:

DRAFT

Regent Suzanne Quillen, Chair

Date

Attachments:

Resolutions Recommending Approval of UNM Hospital Capital Projects

Resolution Recommending Approval of Retention of Architect for UNM Hospital Psychiatric Center HVAC System





FINANCE, AUDIT, AND COMPLIANCE COMMITTEE

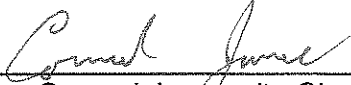
Resolutions Recommending Approval of Retention of Architect for UNM Hospital Psychiatric Center HVAC System

WHEREAS, the UNM Hospital (the "UNM Hospital") proposes to retain Bridges and Paxton, as architects with respect to a capital project proposed by the UNM Hospital to an upgrade of the HVAC system at the University of New Mexico Psychiatric Center (the "UPC HVAC Upgrade Project") and pursuant to Regents Policy 7.12, such retention must be approved by governance of the University of New Mexico (the "University"), including, without limitation, the Health Sciences Center Board of Directors (the "HSC BoD"), and the Board of Regents. The retention of Bridges and Paxton, and the UPC HVAC Upgrade Project, is described more fully in Exhibit A attached to these Resolutions and incorporated herein as though fully set forth in these Resolutions;

WHEREAS, this Finance, Audit, and Compliance Committee (the "Committee") of the HSC BoD, having considered the Exhibit A materials and the presentations made relative to the proposed UPC HVAC Upgrade Project, and having been satisfied that the process for retention of Bridges and Paxton meets the requirements of the New Mexico Procurement Code, finds and concludes that the retention of Bridges and Paxton for the proposed UPC HVAC Upgrade Project identified above and as described in Exhibit A should be approved.

NOW THEREFORE, BE IT RESOLVED that the Committee hereby recommends to the HSC BoD that the UNM Hospital's proposed retention of Bridges and Paxton for the proposed UPC HVAC Upgrade Project as identified above and as described in Exhibit A should be approved.

ADOPTED BY A VOTE OF THE MEMBERS OF THE FINANCE, AUDIT AND COMPLIANCE COMMITTEE OF THE HEALTH SCIENCES CENTER BOARD OF DIRECTORS AT A MEETING HELD ON FEBRUARY 5, 2014.

By: 
Conrad James, its Chair



**APPROVAL OF ARCHITECT SELECTION for
University of New Mexico Psychiatric Center (UNMPC) HVAC System
UNIVERSITY OF NEW MEXICO HOSPITAL
January 2014**

REQUESTED ACTION:

As required by Section 7.11 of the Regents Policy Manual, it is recommended that the Board of Regents approve the selection of the following Architect for design/planning of the University of New Mexico Adult Psychiatric Center HVAC System. Project approval will be requested at a future meeting of the Board of Regents.

PROJECT DESCRIPTION:

1. This project will include program validation, planning and engineering design for a complete and operational HVAC System.
2. The current location is 2600 Marble NW. The building is approximately 84,000 square feet and is in need of an HVAC system upgrade. Due to the extent of the upgrade and having to function 24 hours a day, there will be many phases to the project.
3. The HVAC system will be looked at in its entirety and the intent will be to completely upgrade and/or replace mechanical systems.

PROJECT RATIONALE:

1. This recommendation is based on a qualification-based selection process in accordance with State of NM Procurement Regulations. RFP Respondents were: Assurance Engineering; Beaudin- Ganze; Bridgers and Paxton; and Coupland- Moran. All Firms are from Albuquerque, NM
2. The selection committee included: Lee Imhof, Manager Facilities Mechanical Engineer, UNM Hospitals; John Blenk, Manager Facilities Planner, UNM Hospitals; Rudy Rael, Manager Facilities Planner, UNM Hospitals. The committee evaluated the written proposals from the four firms in the areas of Competence and Experience (25 pts), Past Performance (20 pts); Capacity and Capability (20 pts); Record of Performance (20 pts); Scope of Service/Work Plan (5 pts); Familiarity with Area (5 pts); Volume of Work (5 pts); and Fee Proposal (20 pts) in accordance with Procurement Regulations.
3. The committee recommended Bridges and Paxton based upon the highest total evaluation score received.

PURCHASING PROCESS:

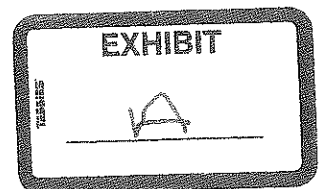
The Architect selection process was solicited through an RFP in accordance with the NM State Procurement Code (UNMH RFP #1579-13). There were four respondents to the published RFP, listed below.

Recommended selection is:

Bridges and Paxton
4600 Montgomery Blvd.
Albuquerque, NM 87109

FUNDING:

The total design and construction administration fee will be determined upon completion of programming requirements and is estimated to be \$170,582 excluding NM Gross Receipts tax. The programming and design for this project is funded from the UNM Hospital capital funds.





FINANCE, AUDIT, AND COMPLIANCE COMMITTEE

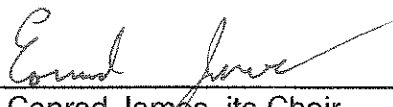
Resolutions Recommending Approval of UNM Hospital Capital Projects

WHEREAS, the UNM Hospitals seeks approval for certain capital projects as described more fully in Exhibits A, B, C, and D, attached to these Resolutions and incorporated herein by this reference (each, a "Capital Project," and, collectively, the "Capital Projects"). Pursuant to Regents Policy 7.12, each of the Capital Projects must be approved by governance of the University of New Mexico (the "University"), including, without limitation, the Health Sciences Center Board of Directors (the "HSC BoD"), and the Board of Regents;

WHEREAS, this Finance, Audit, and Compliance Committee (the "Committee") of the UNM Health Sciences Center Board of Directors (the "HSC BoD"), having considered the Exhibit A, B, C, and D materials and the presentations made relative to each of the Capital Projects, finds and concludes that each of the Capital Projects is consistent with the mission of the Health Sciences Center and the University, and should be approved.

NOW THEREFORE, BE IT RESOLVED that the Committee hereby recommends to the HSC BoD that each the Capital Project as proposed by the UNM Hospitals and as described in Exhibits A, B, C, and D should be approved.

ADOPTED BY A VOTE OF THE MEMBERS OF THE FINANCE, AUDIT, AND COMPLIANCE COMMITTEE OF THE HEALTH SCIENCES CENTER BOARD OF DIRECTORS AT A MEETING HELD ON FEBRUARY 5, 2014.

By: 
Conrad James, its Chair



THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER
UNM HOSPITALS

**REQUEST FOR CAPITAL PROJECT APPROVAL for
UNM Hospitals, North Fourth Street Clinic –Project Approval
UNIVERSITY OF NEW MEXICO
January 2014**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **UNM Hospital, North Fourth Street Clinic –Project Approval.**

PROJECT DESCRIPTION:

The purchase of the land at 3401 4th Street NW was approved at the December NM State Board of Finance meeting. The land purchase was closed on December 30, 2013. The clinic building will be similar in design to the SW Mesa Clinic completed in November 2011. It is 17,000 square feet and has 22 exam rooms and one procedure room. In addition to increased exam room capacity, the new clinic will also include pharmacy, laboratory, radiology and physical therapy services as well as Behavioral Health and financial counseling.

UNMH worked closely with the Near North Valley Neighborhood Association to integrate the site/building design into the Neighborhood. The site plan includes landscaped staff and visitor parking which can be used after hours and on weekends for neighborhood events. The building includes a conference room which can also be scheduled for use by the Neighborhood. The project will be designed to meet LEED Silver requirements. This project is scheduled to be completed in FY 15.

PROJECT RATIONALE:

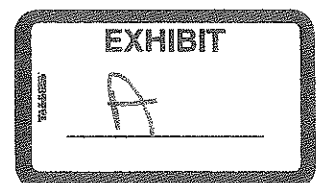
UNMH has identified improved access to care as one of its top priorities as it responds to and aligns itself with healthcare reform mandates, National Committee of Quality Assurance Patient Centered Medical Home standards and patient and community feedback. We believe that expanding Primary Care Services into the Albuquerque Community is an important step in improving access. The North Valley of Albuquerque has a large patient population with the highest visit rates to the hospital, income disparities, rates of asthma, hepatitis, HIV/AIDS, and highest infant and adult death rates. It is expected that by developing a new location with adjacent services such as pharmacy, lab, behavioral health, and financial services the result will be increased access to health care in this community. It is estimated that the clinic visits will double within five years. In addition, this location was chosen for its strong community support, proximity to a bus line and easily accessible by foot traffic.

PURCHASING PROCESS:

FBT Architects, who were the Architects for both the SE Heights Clinic and the SW Mesa Clinic, were selected by UNM RFP 1616-14 and approved by the UNMH Board, HSC Board and UNM Regents in September 2013. The Maximum Allowable Construction Cost is estimated to be \$3,600,000 plus NMGR. The schedule is to be completed in 2014.

FUNDING:

The Total Project Budget is \$5,500,000 funded by UNM Hospital capital funds.





THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER
UNM HOSPITALS

**REQUEST FOR CAPITAL PROJECT APPROVAL for
UNM – HOSPITAL LABORATORY - RENOVATION
January 2014**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **UNM Hospital Laboratory - Renovation**.

PROJECT DESCRIPTION:

This is a 3,596 square foot project, located on the second floor of the Main Hospital at 2211 Lomas NE in Albuquerque. The project will reconstruct mechanical, electrical, plumbing and Information Technology infrastructure. The existing laboratory is out of compliance with current HVAC, electrical and data cabling requirements. Due to the need for this area to remain fully operational 24/7, a phasing strategy will be used to renovate smaller areas for occupancy.

PROJECT RATIONALE:

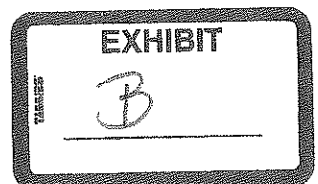
This project is necessary to alleviate several code deficiencies identified by Joint Commission and must be performed in a functioning laboratory, without impeding operations. The project will improve patient safety by creating dependable air pressures and temperatures, improving task lighting, increase data communications and adding work stations, while implementing improved work flow and efficiencies.

PURCHASING PROCESS:

The Architectural firm selected through a RFP Process is Gregory T. Hicks and Associates, P.C. and has been approved by the Board of Regents. The construction contract award will be determined by RFP upon completion of Bid Documents anticipated in June 2014.

FUNDING:

The total estimated Project Budget is \$2,720,000 and will be funded by UNM Hospital capital funds.





THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER

UNM HOSPITALS

CAPITAL PROJECT APPROVAL

**UNMH Main, Radiology Request, First Floor, Interventional Radiology CT Scanner Installation
January 2014**

RECOMMENDED ACTION:

As required by Section 7.12 of the Board of Regents Policy Manual and the NM Commission on Higher Education, capital project approval is requested for the **UNMH Main, Radiology Request, First Floor, Interventional Radiology CT Scanner Renovation.**

PROJECT DESCRIPTION:

The scope of this project includes modifications to an existing Interventional Radiology Computed Tomography (IR CT) room within the first floor Radiology Department. These modifications include interior walls, mechanical, HVAC, and electrical systems to facilitate equipment installation, provide reliable operations, as well as a safe environment for our staff and patients.

RATIONALE:

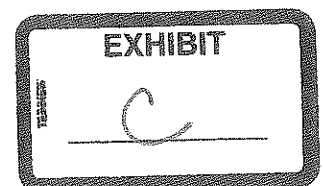
The project will include trading in two CT Scanners and replacing them with one new CT Scanner. One of these existing scanners is at its end of life and the other existing scanner does not meet the needs of our interventional radiologists. The IR CT equipment will be state-of-the-art, requiring modifications to the control room, CT equipment room and other shielded walls to meet current clearances and codes. The HVAC system will also be improved to meet Class A occupancy and to facilitate procedures to assess patients with potentially infectious conditions.

PURCHASING PROCESS:

The Architectural firm selected through an RFP Process is KGA Architects (Kevin Georges). The construction contract award will be determined by RFP upon completion of Bid Documents anticipated in May 2014.

FUNDING:

The total project budget is estimated at \$965,000, and will be funded by UNM Hospital capital funds.





**REQUEST FOR CAPITAL PROJECT ARCHITECT APPROVAL FOR
UNM Hospital, Fire Sprinkler All Floors
UNIVERSITY OF NEW MEXICO
January 2014**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual, project approval is requested for **UNM Hospital, Fire Sprinkler Construction Approval.**

PROJECT DESCRIPTION:

The "Fire Sprinkler All Floors" project of UNM Hospitals, will include program validation, planning and complete coverage of fire sprinklers at the Main Hospital. UNM Hospital is not fully sprinkled, and therefore is not currently compliant with existing fire sprinkler codes.

The project is scheduled to be completed in FY 15.

PROJECT RATIONALE:

1. This recommendation is based on the analysis of the proposal received by a selection committee against the following criteria: Management Plan and Technical Capability (20 pts); Past Performance by a General Contractor (20 pts); Past Performance by Sub-Contractors (20 pts); and Price Proposal (40 pts).
2. The Committee determined that Sweep Construction met all requirements. Sweep Construction submitted a price proposal of \$382,729 (including NMGR). The Price Proposal was determined to be fair and reasonable.

UNM Hospital was constructed in the 1960s. At that time fire sprinklers were not mandated to cover all areas of a hospital and therefore they were not installed in all areas of UNM Hospitals. At the end of this project, it is UNM Hospitals intent to be compliant with current fire sprinkler code. Adding sprinklers to the entire hospital will also provide for a safer environment for patients, visitors and staff.

PURCHASING PROCESS:

The Contractor was solicited through the RFP process in accordance with the NM State Procurement Code (UNMH RFP #1570-13). The RFP was published for 30 days, with only one respondent:

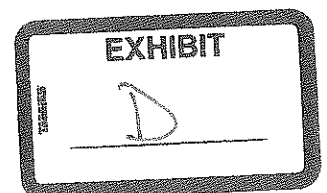
Sweep Construction

3314 Vassar NE

Albuquerque, New Mexico, 87107

FUNDING:

The Construction fee for the Fire Sprinkler Main Hospital Project is \$390,000. This will be funded by UNM Hospital capital funds.



Agenda Item	Subject/Discussion	Action/Responsible Person
Voting Members Present:	Christine Glidden, Jerry McDowell, Nick Estes, Debbie Johnson, Warren Laskey, Raymond Loretto	
Ex-Officio Members Present:	Michael Richards, Stephen McKernan, Donna Sigl, Paul Roth, David Pitcher	
County Officials Present:	Maggie Hart-Stebbins, Emily Madrid	
I. Call to Order	A quorum being established, Debbie Johnson, acting Chair, called the meeting to order at 9:16 a.m.	
II. Adoption of Agenda	The acting Chair, Ms. Debbie Johnson, requested a motion to adopt the agenda, as submitted.	Mr. Jerry McDowell made a motion to adopt the agenda. Dr. Warren Laskey seconded the motion. There being no objections, the motion carried.
III. Public Input	None	
IV. Announcements	The acting Chair, Ms. Debbie Johnson, welcomed Dr. Raymond Loretto as a new member, to the Board of Trustees.	
V. Approval of Minutes	UNM Hospitals Board of Trustees meeting minutes for January 31, 2014.	Dr. Warren Laskey made a motion to approve the minutes of the January 31, 2014, Board meeting. Mr. Jerry McDowell seconded the motion. There being no objections, the motion carried.
VI. Consent Agenda	Following review of the consent items, the acting Chair, Ms. Debbie Johnson	Mr. Nick Estes made a motion to approve

Agenda Item	Subject/Discussion	Action/Responsible Person
	<p>requested a motion to approve the consent items, which were recommended for approval by the Finance Committee.</p> <p>Following review of the Medical Staff Bylaws, and Clinical Privileging descriptions, the acting Chair, Ms. Debbie Johnson requested a motion to approve as submitted, as recommended for approval by the POCEC.</p>	<p>the consent agenda. Mr. Jerry McDowell seconded the motion. There being no objections, the motion passed unanimously.</p> <p>Dr. Warren Laskey made a motion to approve. Mr. Jerry McDowell seconded the motion. There being no objections, the motion passed unanimously.</p>
<p>VII. Board Initiatives</p>	<p>Following review of the Finance Committee Policy, the acting Chair, Ms. Debbie Johnson requested a motion to approve as submitted, as recommended by the Finance & Audit Committee.</p> <p>Following review of the Audit Committee Policy, the acting Chair, Ms. Debbie Johnson requested a motion to approve as submitted, as recommended by the Finance & Audit Committee.</p> <p>Following review of the 2014 Infection Prevention & Control Plan, presented by Erin Doles, the acting Chair, Ms. Debbie Johnson requested a motion to approve as submitted.</p> <p>Steve McKernan discussed the 2004 Bond Resolution payment with the Board. Further strategic discussion to take place in closed session.</p> <p>Rodney McNease provided an ACA update to the Board and noted we have 42 certified healthcare guides in place to assist with enrollment with the exchange. Approximately 7600 applications have been completed statewide as of December, but this does not mean that number of people actually have insurance, just that they've gone through the process. The process is still very slow. The Medical portal is working and approximately 5000 have been enrolled in Medicaid to date. The State continues to have a very large backlog (75 days). A large portion (5400/9000) of participants has been transitioned from SCI to Molina,</p>	<p>Mr. Nick Estes made a motion to approve, as submitted. Dr. Warren Laskey seconded the motion. There being no objections, the motion passed unanimously.</p> <p>Mr. Nick Estes made a motion to approve, as submitted. Ms. Christine Glidden seconded the motion. There being no objections, the motion passed unanimously.</p> <p>Mr. Jerry McDowell made a motion to approve, as submitted. Dr. Warren Laskey seconded the motion. There being no objections, the motion passed unanimously.</p>

Agenda Item	Subject/Discussion	Action/Responsible Person
	though there are still a number of issues to be worked through.	
VIII. Administrative Reports	<p>HSC Chancellor Report – Dr. Roth noted his greatest focus has been on legislative concerns recently. He noted further a study in conjunction with Sandia Laboratories to create a working model to develop sound workforce policies. He noted our mission is to address the needs of the healthcare of the state of New Mexico, which is part of the reason we work closely with legislature, government, and the counties of the state.</p> <p>CEO Report – The CEO report is in the packet.</p>	<p>Dr. Paul Roth</p> <p>Mr. Stephen McKernan</p>
IX. Updates	Financial Report Card – The financial dashboard was presented by Ms. Ella Watt.	Ms. Ella Watt
X. Committee Reports	<p>Performance Oversight & Community Engagement Committee The Performance Oversight & Community Engagement Committee met on January 17, 2014.</p> <p>Finance and Audit Committee The Finance and Audit Committee report is in the Board book.</p>	
XI. Other Business	None	
XII. Closed Session	At 10:33AM, Ms. Debbie Johnson, Acting Chair, requested a motion to close the open session of the meeting to the public for purposes of discussion and determination, where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA.	Mr. Nick Estes made a motion to move to closed session. Mr. Jerry McDowell seconded the motion. The motion passed unanimously.
XIII. Certification	After discussion and determination where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH	

Agenda Item	Subject/Discussion	Action/Responsible Person
	<p>pursuant to Section 10-15-1.H (9), NMSA, the Board certified that no other items were discussed, nor were actions taken.</p>	
<p>XIV. Vote to Re-Open meeting</p>	<p>At 12:08PM, the Acting Chair, Ms. Debbie Johnson, returned the meeting to open session.</p> <p>Ms. Debbie Johnson requested a motion to be made that the Board accept the minutes of the meeting of those committees that were presented in Closed Session to acknowledge for the record that those minutes were, in fact, presented to, reviewed, and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the minutes of those committees meetings.</p> <p>Ms. Debbie Johnson requested a motion to approve the 2004 Bond Resolution as discussed and approved in closed session.</p>	<p>Mr. Jerry McDowell made a motion to accept the minutes presented by the committees. Mr. Nick Estes seconded the motion. The motion passed unanimously.</p> <p>Mr. Jerry McDowell made a motion to approve the resolution. Mr. Nick Estes seconded the motion. There being no objections, the motion passed unanimously.</p>
<p>XV. Adjournment</p>	<p>The next scheduled Board meeting will be March 28, 2014 @ 9:00 am. There being no further business, Ms. Debbie Johnson requested a motion to adjourn the meeting. The meeting was adjourned at 12:17PM.</p>	<p>Dr. Warren Laskey made a motion to adjourn. Mr. Jerry McDowell seconded the motion. There being no objections, the motion passed unanimously.</p>

Michelle Coons, Secretary
UNM Hospital Board of Trustees