



**UNIVERSITY OF NEW MEXICO
BOARD OF REGENTS**

Agenda

**October 9, 2015
9:00 AM
Student Union Building, Ballroom C**

**The Board of Regents of the University of New Mexico
Meeting in Open Session and Executive Session
October 9, 2015, 9:00 AM
Student Union Building, Ballroom C
Executive Session-Luncheon, Cherry Silver Room
*revised** AGENDA**

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* Revised agenda denotes Finance and Facilities (F&F) Committee consent items. No additions have been made to the originally submitted agenda.

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Consent Agenda

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- Crystal Davis, President, Staff Council
- Stefan Posse, President, Faculty Senate
- Jenna Hagengruber, President, ASUNM
- Texanna Martin, President, GPSA
- Carol Stephens, President, Retiree Association
- Michelle Coons, Chair, UNM Foundation Board of Trustees (next quarterly report will be at the December meeting)
- Ann Rhoades, President, Alumni Association
- Daniel Perea, President, Parent Association

Public Comment (items not on agenda; limit 3 min. per speaker)

VII. Vote to close the meeting and proceed in Executive Session

Executive Session-Cherry Silver Room

- 1. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
- 2. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)

VIII. Vote to re-open the meeting and certification that only those matters described in Agenda Items VII. were discussed in Closed Session and if necessary, final action with regard to those matters will be taken in Open Session.

IX. Vote to Adjourn

X. Appendix: Minutes of Health Sciences Center Meetings..... Appendix

- a. HSC Board of Directors, August 7, 2015 Meeting Minutes
- b. UNM Hospitals Board of Trustees, August 28, 2015 draft Meeting Minutes
- c. Sandoval Regional Medical Center, Inc. Board of Directors, August 26, 2015 Meeting Minutes

* Revised agenda denotes Finance and Facilities (F&F) Committee consent items. No additions have been made to the originally submitted agenda.

**Minutes of the Regular Meeting of the Board of Regents of the University of New Mexico
September 11, 2015
Student Union Building, Ballroom C**

Members present

Jack L. Fortner, President; Robert M. Doughty, Vice President; Bradley C. Hosmer, Sec. Treasurer; Ryan Berryman; James H. Koch; Marron Lee; Suzanne Quillen

Administration present

Robert G. Frank, President; David Harris, EVP of Administration, COO, CFO; Chaouki Abdallah, Provost and EVP for Academic Affairs; Paul Roth, Chancellor for Health Sciences; Liz Metzger, University Controller; Paul Krebs, VP Athletics; Josephine De Leon, VP Equity and Inclusion; Ava Lovell, Sr. Exec. Officer of Finance and Administration, HSC; Dorothy Anderson, VP HR; Michael Dougher, VP Research; Elsa Cole, University Counsel; Eliseo 'Cheo' Torres, VP Student Affairs; Helen Gonzales, Chief Compliance Officer; Cinnamon Blair, Chief University Marketing and Communications Officer; Steve McKernan, CEO UNM Hospitals

Regents' Advisors present

Ann Rhoades, Alumni Association; Michelle Coons, UNM Foundation; Stefan Posse, Faculty Senate; Texanna Martin, GPSA; Crystal Davis, Staff Council; Jenna Hagengruber, ASUNM

Presenters in attendance

Terry Babbitt, AVP Enrollment Management; Amy Wohler, Chair, Naming Committee; Robert Delcampo, Director Innovation Academy; Andrew Cullen, AVP OPB&A; George Williford, UNM Financial Advisor and with First Southwest; Steve Carr, University News & Communications; Terry Babbitt, AVP EM; Monica Orozco, Vice Provost, Extended Learning; Carol Parker, Senior Vice Provost; Deborah Helitzer, Executive Director HSC Research;

Others in attendance

Members of the administration, faculty, staff, students, the media and others.

CONFIRMATION OF PRIOR SPECIAL MEETINGS IN EXECUTIVE SESSION

The Board of Regents met in closed session on September 11, 2015 from 7:48 to 8:46 AM in the Cherry Silver Room of the Student Union Building for discussion only of limited personnel matters as permitted by Section 10-15-1H(2) of the Open Meetings Act (NMSA 1978). Members present were Suzanne Quillen, Marron Lee, Jack Fortner, James Koch, Bradley Hosmer, Ryan Berryman, and Robert Doughty. The matters discussed were limited to those specified in the notice and agenda for the meeting.

CALL TO ORDER, CONFIRMATION OF A QUORUM AND APPROVAL OF THE AGENDA

Regent President Fortner called the meeting to order at 9:14 AM. A quorum was confirmed.

The motion to approve the agenda passed by unanimous vote with a quorum of Regents present and voting. (1st Hosmer; 2nd Quillen).

APPROVAL OF MINUTES

The motion to approve the minutes of the August 11, 2015 special meeting and the minutes of the August 14, 2015 regular meeting passed by unanimous vote with a quorum of Regents present and voting (1st Berryman; 2nd Lee).

PUBLIC COMMENT (No Comments)

THE PRESIDENT'S ADMINISTRATIVE REPORT

President Robert Frank presented his administrative report to the Board and referred to several slides. An update on enrollment was provided. Overall, main campus credit hours are down just under 1%. We may be seeing a return to pre-recession enrollments in our part-time and adult student population. The impact to the budget is estimated to be similar to last year's impact, partly due to decreased enrollment and partly due to students taking full 15 credit hour loads to which there is a block tuition incentive. Two years ago the University blocked tuition at 15 credit hours which was approved by the Board of Regents in order to incentivize students to be full time. In June 2015, the Administration mandated a 5% withhold of this year's

budgeted funds so the University is prepared for a decrease in enrollment and tuition revenue. EVP David Harris, Provost Abdallah and President Frank are having discussions about how to apportion the 5% withhold to cover the shortage the University will have and will come to the Board in October with more plans and details. President Frank discussed student success and stated the University's 3rd semester retention rate, 4-year graduation rate, and 6-year graduation rate all are reaching all-time highs. This is great and shows the hard work by a host of people across the University including advisors, faculty, staff and administration. UNM is no longer offering remedial classes, which is good news. They have taken IS Math and replaced it by directly enrolling students into Math 101, which is part of the MaLL (Math Learning Lab). IS English was replaced by Stretch and Studio English courses. These initiatives have moved UNM completely out of remedial courses. There was a 7% increase in beginning freshmen, and high retention rates of these students will continue to stabilize enrollment in the coming years. The incoming freshmen class is impressive and the efforts to recruit the State's best and brightest students are paying off. Of the incoming freshmen class, 470 students or 33% are above the 90th percentile of the NM ACT scores, and 1,650 students or 40% were above the 70th percentile of NM ACT scores.

The University Communications and Marketing (UCAM) team has redesigned the University's website. It now has an "Apply Now" button which saw an immediate increase on the number of applications to the University. The "Visit Campus" section has also resulted in increased visits on webpages as well. This has had a very practical positive implication for the University. Another addition to the redesign of the UNM webpage was the "UNM Proud" section which includes stories from students and faculty about their experiences with the University of New Mexico.

President Frank discussed the progress on two key university initiatives. First, the University branding initiative, the company 160over90, headquartered in Philadelphia, PA, has been selected. The University has completed the contract with them and has moved into the planning phase. They believe this will be a positive thing for the University and will help move the University forward. Cinnamon Blair, Director of UCAM, will present the branding initiative later on the agenda. The second key initiative is the institutional bond issue. This will be critical to making the University more attractive to students. The University's two student undergraduate and graduate leaders have done a fantastic job on working with students regarding the bond issue.

At the request of President Frank, Jenna Hagengruber, ASUNM President, and Texanna Martin, GPSA President, briefly commented on the student opinion and presented a memo which was made as their official opinion as both undergraduate and graduate student governments at the University of New Mexico. Three conditions of student support were discussed, which they urged to be taken into consideration. The first is that costs accrued due to the renewal project of the UNM Children's Campus are not included in the calculations of the student fee increase. They do recognize that the Children's Center is a priority for our campus and should still remain on the bond; however, based on the numbers of both undergraduate and graduate students that are utilizing this facility currently, they don't think it is a large enough percentage and proportion of students at the University to take on this fee as the student population. The next condition they feel is very important is the Johnson Center. This is a multi-use facility which is also a shared facility because everyone, including faculty and staff, is able to use it. They have requested that the cost of this particular bond be split between faculty, staff and students to ensure that all parties can have engaged in and have a benefit of the center itself. Finally, they would like the fee implementation to be all at once as they feel that would go over best with the students so they know there is only one fee increase, one time. ASUNM and GPSA solicited input from the students at forums and had really great turnout of 337 students. Out of all of those who attended the forums, 93% were in favor or were very interested in these facilities at UNM, 6% were neutral, and 1% were not in favor. The question they have been asked the most was how this bond will affect student fees. Whatever is decided by the Board will be the information they take back to the students and will share how it will be implemented.

Regent Koch inquired what the student leaders positions will be if the student body's support changes to be no longer in favor of the bond issue, as this has happened in the past.

Ms. Hagengruber stated institutional bond forums were held prior to this meeting to get feedback from undergraduate and graduate students. They have also been getting the issue out via social media and email. Both ASUNM and GPSA are 100% backing it at the current time. They did their best to explain to students how this will benefit the university and increase the value of their degrees.

Ms. Martin stated they are willing to have conversations with student who in the future may be opposed to this bond. But they have been diligent in engaging students who are particularly affected by these facilities,

and they are working on educating everyone on the importance of the bond issue to the future of the University. They have heard from students and they are in favor of these institutional bonds going forward. President Frank stated they have insured ASUNM and GPSA they will be involved in dialogue developing the facilities, and they aim to have a continued dialogue with the students.

The Innovation Academy was discussed, and Dr. Robert DelCampo joined President Frank on this discussion. The Innovation Academy was established Fall 2015. Dr. DelCampo was hired as the Director from ASM on March 1, 2015. There are currently 73 Innovation Academy approved classes on the books for Fall. There are 166 Innovation Scholars, which are students who have signed on to the full Innovation Academy and it is growing quickly. Students are really engaged and excited about Innovation Academy. There are 96 faculty members who are involved in delivering these courses, and many disciplines are involved. There are 1,400 students enrolled in these 73 courses which are Innovation Academy approved. A StartUp School is a non-credit program being offered by FatPipe ABQ downtown across the street from the Innovate ABQ site; it is a weekly entrepreneurial literacy program for people who have no idea what it means to be an entrepreneur. The Innovation Speaker Series had its first event on September 10. Innovation Scholars attend 3 out of 6 of the Innovation Speaker Series events over the course of the year. The Pitch Competition will be held in November for students and there will be five prizes offered of \$2,500. A minor in Innovation is currently being housed through the University College and under the existing interdisciplinary minor. In terms of financial sustainability, there is no funding directly from University tuition and fees, they are solely reliant on a planning grant from the Daniel's Fund, which is a small budget. They have an all student staff and they are going for a second round of that grant soon. They are working on several grants and collaborations including NSF, the Disney College Program, and the Air Force Research Lab. Dr. DelCampo presented the Innovation Academy website where more information can be found at, <http://innovationacademy.unm.edu>. Regent Hosmer congratulated Dr. DelCampo and all those involved with Innovation Academy on its success. At the request of President Frank, Amy Wohlert provided an update on compliance efforts at UNM. A new Director has been hired for the Office of Equal Opportunity, and the University was successful in attracting an excellent Candidate, Francie Cordova. Ms. Cordova is a native New Mexican with extensive experience in all forms of compliance law and is also a lawyer. Ms. Cordova stated that she looks forward to this role and working for the University.

Regent Koch inquired about this year's decline in enrollment and tuition revenue and asked if they are factoring in ways to lower costs into the budget in order to make the University more efficient.

President Frank stated they have initiated a major task force to look at IT which is an area they believe is the best opportunity for cost savings. They will provide a report in October regarding cost saving initiatives and reductions. The Administration decided to conduct a 5% withhold to establish an administrative mechanism to address any shortfalls they have been anticipating, and he believes the University is well prepared.

Regent Koch stated concern about the drop in enrollment and understands the University worked hard to prevent another decline and he believes that increasing tuition will bring a further decline. The University needs to begin work on identifying potential reductions and cost savings initiatives.

Regent Doughty provided clarification that the 4-year tuition plan passed at the last budget approval stipulates a cap of 3% on any tuition increase and does not mandate or assume a tuition increase every year. Regent Doughty also stated he is against tuition increases and wants that to be stressed.

Regent Fortner asked for clarification on the percentage of shortfall on the current revenue numbers. EVP Harris stated last year the budget shortfall was \$5 million problem. This year a shortfall could be around \$3.5 million. The President has taken a prudent position in not allowing full spending authority of the budget that was approved, and this puts the University in a good position to address the potential budget shortfall.

President Frank stated clearer numbers will be brought to the Board in October as administration will better understand the magnitude at that point and be able to address proposed solutions to manage it.

REAFFIRMATION OF NAMING OF THE PIT – ACTION ITEM

Regent Fortner made the following statement:

We have been notified of a procedural irregularity under the Open Meetings Act around the Regents' November 14, 2014 action approving the naming the basketball arena the "Wise Pies Arena, aka the Pit." While the Regents' vote to do so was done in open session, there was a short briefing on the subject in closed session immediately preceding the vote. To correct that

irregularity the Open Meetings Law requires a summary of the comments made at the meeting at which the claimed violation occurred be provided in a subsequent public meeting.

That summary is as follows: UNM Vice-President for Athletics Paul Krebs outlined the proposal from Steve Chavez on naming the Pit: It would be done through a gift agreement for \$500,000 a year for 10 years for a payout of \$5 million. The gift and the naming of the venue as the "Wise Pies Arena, aka The Pit" would be announced before the NMSU basketball game. I now will entertain a motion to affirm the previous motion to authorize the University's Vice-President for Athletics, in compliance with existing University Policies, to move forward with the naming of the Pit.

The motion to affirm the previous motion to authorize the University's Vice-President for Athletics, in compliance with existing University Policies, to move forward with the naming of the Pit, passed by a vote of 4 in favor with 3 abstentions; Regents Doughty, Berryman and Lee abstained (1st Koch; 2nd Hosmer).

Regent Berryman, Regent Doughty, and Regent Lee abstained for the same reason: each was not a Regent at the time the previous motion was made.

COMMENTS FROM REGENTS

Regent Jack Fortner welcomed Doug Brown, former Regent and former Dean of the Anderson School of Management, who was attending the meeting. Regent Fortner congratulated the College of Nursing (CON) on its 60th anniversary and mentioned that he attended the anniversary celebration event on September 10 that also highlighted 60 graduates from the college, Terri Fortner being one of them. The college has done and continues to do a great job. Regent Fortner also remembered September 11 (9/11) fourteen years ago, it happened during a Regents' meeting. Regent Fortner thanked Regent Hosmer and all other veterans for their service.

Regent Marron Lee attended the Freshmen Convocation on August 16 and complimented President Frank and those involved as it is a great idea and an inspiring event. Regent Lee congratulated Student Regent Berryman on the welcome speech he gave at the event.

REGENT COMMITTEE REPORTS

FINANCE AND FACILITIES COMMITTEE

Monthly Consolidated Financial Reports (Information Item)

Regent Jamie Koch asked Liz Metzger to give her report. The report was for end of the fiscal year, June 30, 2015. On a consolidated basis, main campus and health sciences center, the year ended with a net positive \$25 million in funds for operations. This primarily due to a \$26 million positive for clinical operations netted with a \$3.4 million Instruction and General (I&G) deficit. Research (\$0.7 million), Public Service (\$0.7 million) and Student Aid (\$1.3 million) all contributed positively to bottom line operations funds. The report that was presented was included in the agenda eBook.

University Branding – Action Item

Regent Koch introduced the item. The University went out for an RFP in June. After consideration of a number of proposals, the branding agency 160over90 was chosen. The agency made a full presentation to the Finance and Facilities Committee earlier in the week. The Regents had already authorized \$500 K for the year to develop the branding campaign. Regent Koch asked Cinnamon Blair to present the 160over90 proposal. Ms. Blair said the agency's higher education clients range from smaller private institutions to large public research institutions such as UNM. Based on marketing research, UNM is known for its athletics, its health sciences, and some for the law school, but every other program came in under 3% in terms of recognition. She showed video clips and information related to what 160over90 has done with other universities, namely University of Florida, University of Oregon, and UCLA. There was discussion about UCLA's branding campaign that has been going on for 4 years. It felt that it needed to get the word out about its academic offerings. There was discussion about results from the UCLA branding campaign. Ms. Blair discussed the timeline of the phases of the branding process: discovery phase, brand strategy, brand concept, and brand rollout. Two concepts will be developed and the University will choose

one. Brand rollout will include town hall meetings and workshops so that everyone on campus will have the opportunity to learn about it how they can use the tools they will be given to extend the brand into their areas. This has generated a lot of enthusiasm over the past couple of weeks.

Regent Fortner asked if a branding campaign is primarily to increase enrollment or to change perception. Ms. Blair responded that it is both, the two are intertwined. It is also to compete with universities that are actively marketing and vying for market share of students, both nationally and internationally.

Regent Koch discussed the budget aspects of the process. It is obvious the University needs to increase enrollment. The proposal that 160over90 put together looks good, and \$500 K per year has been approved for the project, but there were no funds left after that for presentation. To give a little history, the Regents have the Regents Endowment Fund that holds the proceeds from the sale of Mesa del Sol, which were originally \$9 million, and there still remains \$6.6 million from that. That came from the trade of the Cochiti land for Mesa del Sol which was then sold. The funds were set aside for the Regents to use when they were needed. Regent Koch said to take \$1 million out of the Regents' Endowment Fund to be used on the 160over90 campaign, after they have presented to the Regents their branding plan and it has been approved. The funds will stay in the Foundation until it is approved. The Regents have already approved \$500 K per year to develop the branding plan, and now they have gotten that down to 2 years, so by this time next year we will hopefully be seeing their results. The \$1 million will be seed money. Regent Koch proposed that from there President Frank and EVP David Harris come up with a plan on how to continue funding for the following year to continue the campaign. If we do not do something to begin to sell this University so more people will come, then we've got a problem. Regent Koch asked Terry Babbitt to clarify some of the numbers. Right now there are about 27,000 students who pay resident tuition. There are about 1,000 who pay out of state tuition. The states where UNM is recruiting most heavily are California and other western and border states. This branding agency will bring a fresh toolbox to use for recruiting in the different areas. Regent Koch made a motion to take \$1 million out of the Regents' Endowment Fund to be used only for the 160over90 campaign but only after their plan has been presented and approved by the Regents.

There was discussion about what the \$1 million would be used for and what part of the campaign it would fund. Regent Doughty asked what percentage of the \$1 million will be used for research versus the media buy. Regent Koch clarified the \$1 million would be spent on the campaign, media and materials, that would be approved by the Regents. There was discussion about the target audience. Students are one target, but by getting the word out about UNM packaged in a clear, concise and effective manner, there will also be an increase in the amount of giving to the University. The second target will be alumni and friends of the University. The target will basically be where UNM is already recruiting and it is primarily for the traditional student which is a more stable population. There is competition for students within the state as well as for students from the border states, and UNM targets students that would be a good fit for this University.

There was discussion about brand identity and the agency's discovery process for developing that. The branding plan involves all of UNM, including the Health Sciences Center.

Regent Suzanne Quillen congratulated Ms. Blair on the work thus far and agreed that a branding campaign is needed, but also requested metrics for the return on the investment. What are expected enrollment and donor increases and other metrics? The metrics will be set up in each of the build components in 160over90's proposal, that is each of the activities proposed by the agency. The President Frank said a contract has been signed with 160over90 and the University plans to work with them for 4 years in a dynamic relationship. The markets are segmented and 160over90 will help UNM target each those segments in the best way. We will come back with metrics and plan that will take us through the next year, and Regents can look at that and let us know if you agree with the proposal for how to spend the money.

Regent Hosmer said the Regents sound more like project managers than a board. As a board member, my interest is knowing who is going to steer this operation for the University, when the criteria are going to

be established by which we judge its success, what is the track record of agency, and can we push more funds toward this if payoffs become evident. Regent Koch's motion takes care of that. The issue about how in detail it's run are better left to management. Regent Doughty respectfully disagreed and commented the information requested is necessary for making the decision to set aside \$1 million. Regent Koch clarified development of the brand will occur first and then a form of the brand will be communicated to each target audience.

Student Regent Ryan Berryman clarified that, based on the timeline, in six weeks would be the time to have the discussion about metrics and objectives, and as Regent Koch stated, \$500 K is already budgeted, but if Regents don't talk about spending more or about where the funds are going to come from, then this will end up in a file cabinet somewhere and be a useless endeavor. President Frank clarified the Regents view the vote today as an appropriation, and in the future there will be a vote again to authorize the spending of the monies.

The motion to take \$1 million out of the Regents' Endowment Fund to be spent only on the 160over90 branding campaign and only after the branding campaign plan is approved by the Regents, passed by unanimous vote with a quorum of Regents present and voting (1st Koch; 2nd Lee).

Capital Project Approval for Photovoltaic Shade Structure at the McKinnon Family Tennis Center

Chris Vallejos presented the item and the request for approval. Project cost is \$484,000 with projected savings of \$15,000 of annual electricity bill. The project will be funded \$242,000 by Rio Grande Solar, LLC donation through the UNM Foundation an \$242,000 funded from PPD Utilities.

The motion to approve the Photovoltaic Shade Structure at the McKinnon Family Tennis Center passed by unanimous vote (1st Koch; 2nd Doughty).

Approval of Consent Agenda, items 4, 5, and 7

Regent Koch read the 3 items on the consent agenda and motioned approval.

4. Approval of Request to Establish Quasi-Endowment Fund (\$25 K for SA+P CRAF+T Quasi-Endowment)
5. Approval of Appointment to the STC.UNM Board of Directors (Al Romig)
7. Approval of Appointment to Harwood Foundation Governing Board (Stephanie Bennett Smith)

The motion to approve the consent agenda as stated passed unanimously (1st Koch; 2nd Lee).

Approval of Naming Committee Recommendation for Hodgin Hall Courtyard

Amy Wohlert presented the request for the naming of the Hodgin Hall Courtyard space as the, "Dr. Karen Abraham Courtyard." This request was brought forward by the executive board of the Alumni Association and approved by the Naming Committee and by President Frank. This naming is in recognition of extraordinary service over 45 years by Dr. Abraham, and particularly in her role as Associate Vice President for Alumni Relations and Executive Director of the Alumni Association.

The motion to approve the Naming Committee recommendation for Hodgin Hall Courtyard passed by unanimous vote (1st Koch; 2nd Lee).

Approval of FY15 Year-End Undesignated Reserve Report

Andrew Cullen presented the item. This is the central reserve of the University's main campus and finished the valued at \$11.1 million which is up about \$1.5 million from last year and represents a 3.31% of the University's I&G budget of \$336 million.

The motion to approve the FY15 Year-End Undesignated Reserve Report passed by unanimous vote (1st Koch; 2nd Berryman).

Approval of Authorizing Resolution Subordinate Lien System Refunding and Improvement Revenue Bonds UNM Series 2016A and 2016B

Andrew Cullen presented the item and George Williford, UNM's financial advisor was also present. Mr. Cullen went through the 2-page summary made available in the agenda eBook. The proposal is to issue a new money bond to fund three projects: Anderson School of management Phase I for \$18 million; Johnson Center Expansion & Renewal Phase I for \$35 million; and Smith Plaza Renewal for \$2 million for total of \$55 million. Tuition cannot be used to pay debt service so an analysis of the proposed projects reveals that student fees would need to be increased to fund the majority of the debt service for the UNM Series 2016 bond issue. A one-time student fee increase, versus multi-year fee increases over time, will save about \$0.5 million per year for about 30 years. The student government leaders have communicated that students prefer the one-time fee increase. That increase would be part of the FY17 budget approval, the fee increase would be effective July 1, 2016. Part of the discussion with the students includes faculty and staff participating in part of the funding of the Johnson Center project. If faculty and staff participate, the overall fee increase would be \$129 per year versus \$150 per year if faculty and staff did not participate. Faculty and staff would pay a little over \$6 per month, or about \$75 per year. There was discussion about bonding capacity. These bonds will have the typical 10 year call option. By approving this resolution Regents are also giving the permission to refund the 2007 bonds if interest rates are favorable. The plan is to go to HED in November and the State Board of Finance in December and go into the market after the holidays, mid to late January. Historically, September and January are good months to go into the market with tax exempt bonds. Regents will approve in January the final bond pricing.

Student Regent Berryman asked for explanation as to why the Physics and Astronomy, Interdisciplinary Science (PAIS) Facility will be funded through a separate and future bond issue and also why the State will not fund Johnson Center. There was clarification that the State does not fund auxiliary units, of which the Johnson Center is considered. The University is hopeful the State will support the PAIS facility, but the University will not know that it will have the funds until after the 2016 GOB election. George Williford explained the timing of the bonds. The State approval process is one reason, also under the federal tax code, there are implications if you issue debt and can't expend it. Additionally, if the University issued a bond to fund the PAIS facility and then had to wait on approval of the State monies, the cost of carrying that additional amount would be well over \$2 million over a one-year period.

The motion to approve the Authorizing Resolution Subordinate Lien System Refunding and Improvement Revenue Bonds UNM Series 2016A and 2016B passed by unanimous vote (1st Koch; 2nd Doughty).

ACADEMIC / STUDENT AFFAIRS & RESEARCH COMMITTEE

Update from the Provost

Regent Hosmer asked Provost Chaouki Abdallah to give his report. The Provost provided an update to the Board regarding Academic Affairs and followed up on some of the President's comments about student success initiatives. It takes a long time to move the ship at the University, especially in academics. When President Frank came to the University of New Mexico, from day one he focused on student success. The University is now seeing the results as presented earlier regarding the increase in graduation rates, retention and full time students. Provost Abdallah stated that when they started, the graduation rate was at 44% and now it is almost 50%. This occurred when the size of the student body increasing so the University is producing 400 more degrees per year. That is about \$20 million in economic impact to the State, or about \$50 K for each degree. That is where the investments are going. When you look at costs, it is really the value of what you are buying. The Board heard about the branding efforts and the bond issue, but ultimately it is about people and academics. People do not come to a university because it has nice buildings, although nice buildings help, they come to a university because of the reputation and academic programs. At UCLA for example, they did not freeze the academic side of the budget to do the branding campaign. Provost Abdallah, along with the faculty and staff, want to advocate this year for compensation increases because the University is falling behind in many areas. So as the University invests in these areas, Provost Abdallah wants the Board to keep in mind about the investments they made over the last four years. The results are now being seen and it is difficult when you have momentum to maintain it, but it is also difficult to start over. As you hear more about successes and improvements the University has in place, including what the Governor has been asking of higher education institutions in the State, keep in

mind that the successes are due to faculty and staff and because of the number of investments the Board approved at the University.

Summer Degree Candidates – Action Item

Regent Bradley Hosmer presented the item for approval.

The motion to approve the summer degree candidates as presented passed by unanimous vote (1st Hosmer; 2nd Doughty).

Academic Dashboards (Information Item)

Regent Hosmer introduced the item. Provost Abdallah set the stage for the presentation of the Academic Dashboards. Once students come to UNM, their characteristics are available in the system, for example GPA, major, high school, and place of origin. About 4 or 5 years ago we started to focus on 4 things: 1) our curriculum, that's why we have 120 credits versus what we used to have, 2) infrastructure, like updating lab spaces or new classrooms, 3) faculty and the support they provide, and 4) funding which is the glue that takes care of everything. Outputs are the result of the 4 areas of focus, such as graduation rates, and we use metrics and feedback all the time. We measure to see if something is working, what group of students is having problems, what courses they are having problems in. This efforts in large part are to answer questions that President Frank asks or that the Regents have. Dr. Greg Heileman mentioned it has taken about 5 years to pull all the pieces together and make them work. Getting the data, finding it, cleaning it takes a lot more time that people realize. There's an analytics piece and then the dashboards for presenting the information in a way that people can use on campus. We hope to support the Office of Institutional Effectiveness, the people who do the advising, accreditation efforts, and academic program review. This is real data in front of you about what is actually happening on campus. One can dig deeper into areas of student success, faculty compensation, and institutional efficiencies. All individual names are kept private, no real names are displayed. Going forward we hope to provide key performance indicators, metrics and targets to various entities on campus such as chairs and deans based on what the data tells us. Dr. Heileman gave a live demo of the website, informatics.unm.edu. Advisors will eventually be able to use this tool

Regent Hosmer said this represents a 4 to 5 year effort to build infrastructure that supports more informed decision making on the part of program managers, deans, students, and advisors, and this is the kind of infrastructure building that will continue to improve graduation rates and quality of degrees at UNM.

UNM Newsroom Update (Information Item)

Steve Carr presented the item. The UNM Newsroom, launched in 2013, is managed and maintained by University Communication and Marketing (UCAM) and it now has a global audience. It has 24/7 news scheduling and the ability to break the news. It is the primary source for University news and information and delivers compelling news content to external and internal audiences. It replaced printed news that was called UNM Today and makes news more accessible via technology, while cutting unnecessary printing costs. The newsroom, <http://news.unm.edu/>, has three categories of news: news for all audiences, news for internal UNM community and news for any audience with a campus-wide interest. There are subcategories including, Athletics, Economic Development, and Health Sciences Center. Mr. Carr spoke about media engagement and the future of the newsroom. The presentation material was included in the agenda eBook.

Online Enrollment Management (Information Item)

Terry Babbitt and Monica Orozco presented the item. The goals of online enrollment management are to better serve non-traditional students and to grow online enrollments in new markets. There was presentation on strategy, how the programs differ from current online offerings, academic partnerships, and planning teams. The materials were included in the agenda eBook.

KUNM Advisory Radio Board Annual Report (Information Item)

Regent Hosmer asked Carol Parker to present the item. Dr. Parker said that per the bylaws, the advisory board reports on its activities annually. The role of the board is to advise the station manager on programming and major policy changes as well as the role of community liaison. The members are elected or appointed and represent various constituencies of the University. This year they undertook a new effort to look at their programming and listenership for various programs, and that is part of a strategic planning process they are initiating. Another role is mediator of grievances between volunteers and the station manager, and the reports states there were no grievances to attend to in 2014.

HEALTH SCIENCE CENTER BOARD OF DIRECTORS

Approval of the Health Sciences Center Summer Degree Candidates

Regent Robert Doughty presented the item and motioned approval.

The motion to approve the Health Sciences Center Summer Degree Candidates passed by unanimous vote (1st Doughty; 2nd Fortner).

Approval of the College of Population Health

Dr. Deborah Helitzer made the presentation and several slides were presented to the Board which were included in the meeting materials and e-book. Regents' approval was requested for the Health Sciences Center (HSC) College of Population Health (CPH). Population health is a partnership between the health system and the community to prevent disease and increase wellness. Population health is a connector for various issues. Complex care includes cancer, autoimmune diseases, congenital heart disease, stroke, trauma care, and organ transplants. Chronic disease includes Diabetes, Obesity, Depression, Substance Abuse, COPD, Chronic Pain, Arthritis, Asthma, and Congestive Heart Failure. Wellness factors include screening, prevention, exercise, diet, and annual checkups. Public health includes public policy, disease outbreaks, clean water, clean air, food safety, and pedestrian safety. The CPH and the Health System were discussed and for the 2020 vision, a measure of the institution's success is to improve New Mexico's population health and health equity. The Health System has a broader responsibility for the health of the population as it takes on risk for individuals with chronic diseases and needs a trained workforce skilled in population health. The College is essential to New Mexico as it will enhance the quality of life for New Mexicans by making wellness and prevention the primary focus, addressing social determinants, and partnering with health systems and communities to improve health outcomes. UNM is attracting new students to prepare them for highly marketable careers. HSC is addressing the transformation required by health reform. The overall goal of CPH is to benefit the health of all the populations of New Mexico and do their part to assure that all New Mexicans live healthy lives. The vision is to improve health outcomes and address social determinants through innovations in education, health care, research and service. The mission is to provide opportunity for New Mexicans to receive a highly inter-disciplinary and inter-professional education and enrich the workforce for the benefit of the health of our communities. The values of the College are collaborative and diverse partnerships, a culture of shared expectations of excellence, the trust of our communities to be a source of emerging knowledge and practice. Within the CPH there will be a Bachelor of Science in Population Health, there is an existing Masters of Public Health, there will be a Doctorate in Population Health, and a Certificate in Population Health for Health Professions. Population health research will focus on improvements in population health, such as translational research, disruptive innovations in health care, Epigenetics and personalized medicine, and community engaged research. Health care continues to drive New Mexico job gains. Workforce opportunities for CPH graduates were discussed and even include opportunities with Apple, which is a company that would like to hire graduates trained in population health. Examples of available jobs in New Mexico for CPH graduates were briefly discussed and including Health Data Analysts, Emergency Response Specialist, Scientists, Program Managers, Environmental Managers, Benefit Advisors, Research Technologists, Health Educator, Clinical Support Services Director, Health Information Management Director, Regional Health Educator, and many more. The CPH will bring on partnerships within the health system and UNM, and around the community and State. The College is important for UNM and State of New Mexico. It had obtained approval from the Faculty Senate, HSC Board of Directors, and needed approval from the full Board of Regents.

During discussion before the final vote, Regent Quillen commented she hopes this College will do everything that Dr. Helitzer presented but cautioned to not let the program become an advanced IT analytics-driven program without including the clinicians. The hands-on people that deal with this every day, the users, need to be part of the program. Something similar to this in the 80's failed, because the finance people were giving data driven directions. The program needs extremely effective coordination to bring together the clinicians and all of the schools and colleges within the HSC with the College of Population Health. Dr. Helitzer stated that Regent Quillen is correct and one of the things they will be doing is developing a certificate for all health profession students, which is currently in the works with the colleges of pharmacy, nursing, and school of medicine.

Regent Hosmer conveyed delight that the conversation has changed over the years. Five years ago, an informed discussion about pre-emptive care by changing behavior was shrugged off because it didn't appear profitable or useful. Today, it's coming forward, well-done, and happy to see it come.

The motion to approve the College of Population Health passed by unanimous vote (1st Doughty; 2nd Hosmer).

Approval of UNM Hospitals Contracts

Regent Robert Doughty presented the item and said the information was available in the agenda book and asked for a motion to approve.

- a. Blue Cross Blue Shield of New Mexico
- b. Ikaria – Mallinckrodt Pharmaceuticals
- c. Cyberonics Inc – VNS Therapy System
- d. Lifecell Corporation

The motion to approve the UNM Hospitals Contracts as presented passed by unanimous vote (1st Hosmer; 2nd Doughty).

Chancellor's Report

Regent Doughty asked Chancellor Roth to give his report. Dr. Roth share three items. Recently, one of the HSC's faculty, Bill Shuttleworth, received a \$12 million grant from the National Institutes of Health to build a new signature program the HSC has been working on for several years, the Brain and Behavioral Health Institute. The institute is working closely with HSC's neuro surgeons, psychiatrists, and neuro scientists, in pursuing ways to study and then implement the best treatment for things like strokes, head trauma, and a number of other disease entities that impact, not just organically the brain but functionally, in areas of behavioral health as well. Dr. Roth mentioned the College of Nursing's (CON) 60th anniversary. A recent event was attended by 500 people, Regent Quillen and Regent Fortner were there, and Secretary of Higher Education Barbara Damron was also there. At the event, the college's history, achievements and accomplishments through the years were celebrated, as well as it being identified as one of the best value colleges of nursing in the country. The CON ranks 26th out of thousands of nursing programs in the country when you look at cost of the education and the quality of the graduates. We talk about value in our educational programs in a matter similar to how we talk about value in our health care industry. It's not just about the cost incurred on the basis of a particular treatment, but we're also interested in making sure that treatment results in high quality outcome for our patients. In the area of hospital data, in the last month, August, UNM Hospital received out of about 1,700 admissions to UNM Hospital, about a third of those were transfers from around the State: from Gallup, Las Cruces, Farmington, Silver City, and other areas. About 110 of those transfers came from local hospitals. Presbyterian and Lovelace use our hospital to provide services that are not available anywhere else in the State of New Mexico, and that is the reason we receive close to on average 500 transfers every month. Of those August transfers mentioned, 55 had to be declined.

Dr. Roth asked Steve McKernan to talk more about hospital data. This morning, on 308 adult beds, there were 302 patients in those beds, and 25 patients with emission orders in the emergency room waiting to get beds. SRMC is also pretty full. Our volumes are high, trying to get post-acute placement in this market

is very difficult because our patients are very sick. Our case mix index has gone up significantly, about up 8% over the prior year. This is a measure of the acuity of our patients compare to before. That makes it harder to get the patients out of the hospital, and when we get backed up like this, as Dr. Roth mentioned, it's hard to get patients admitted into the hospital. We have a number of initiatives, we are trying to work more closely with post-acute care providers, skilled nursing facilities, rehab hospitals, long-term acute care hospitals, home health agencies, to get our patients discharged into those services. We continue to monitor our quality indicators, mortality, readmission rate, infection rates, they are steady. Our patient satisfaction numbers are steady also. We are going full out. Value-based purchasing, a program by CMS (Centers for Medicare & Medicaid Services), penalizes hospitals that don't have high quality indicators or good activity indicators and don't have good patient satisfaction. UNM Hospital had a penalty last year related to that and partly due to the hospital being so full which affected its processes.

Regent Quillen inquired regarding the CMS national initiative on joint replacements and if UNM Hospitals foresees capacity or financial issues due to this which starts in January. Mr. McKernan responded there will be an impact and a task force is looking at this. New rules are set by the federal government for joint replacements. There will be a benchmark for costs and quality measures that will be compared to actual costs and quality measures that come in after a certain number of days. If the cost exceeds the benchmark it is the hospital that will be penalized. There was discussion about whether academic hospitals will be treated the same as non-academic, as in general their costs are a higher due to the teaching aspect. All hospitals will be treated the same, and there will be no social determinants taken into consideration. Medicare's freedom of choice rule was discussed. UNM Hospital lines up a slate of post-acute care providers, and the patient has choice of the provider, but UNM Hospital will be responsible for the quality and cost outcomes.

HSC Financial Update

Ava Lovell provided the year-end report to the Board. The material was provided in the agenda eBook.

AUDIT AND COMPLIANCE COMMITTEE

Regent Hosmer highlighted a couple items from the meeting summary report of the committee's August 18, 2015 meeting. During the internal audit process, there have been some common findings relating to both main campus and HSC, which is a benefit to having our own internal audit cover across campus. The issue was access control and will be addressed across the University. The University's hotline arrangement allows for anonymous reporting because a contractor, or middleman, is used to take the information and will pass it on to UNM anonymously if that is the wish of the caller.

COMMENTS FROM REGENTS' ADVISORS

Ann Rhoades, Alumni Association President, provided her first report as President to the Board. The 2015 UNM Homecoming theme has been selected, "Live Lobo and Prosper," and will run from October 11-17. The Homecoming event scheduled was highlighted and includes the Faculty/Staff Luncheon, the All Alumni Open House at Hodgin Hall, the All University Breakfast at Hotel Albuquerque, culminating with the tailgate and Homecoming game versus Hawaii on October 17. Recipients for Alumni Awards were highlighted and include Gilbert Herrera, Cynthia Arndell, Kirk Gittings, Rene Matison, Frank McCulloch, Bette Sisneros-Ciesielski, Coleman Travelstead, and Jeff Anderson. Ms. Rhoades mentioned the search for the VP for Alumni Association and Alumni Relations is underway, and the top candidates' names will be released soon. Finally, the Alumni Association Board of Directors welcomed 8 new members from various locations in New Mexico and nationwide with diverse backgrounds.

Michelle Coons, UNM Foundation Board of Trustees Chair, provided her first report as Chair of the Foundation's board to the Regents on the current status of the UNM Foundation Endowment. The University of New Mexico launched Changing Worlds: The Campaign for UNM in 2006. Over the past 9 years, nearly 66,138 donors have contributed more than \$756.6 million in gifts in support of scholarships, patient care, research, and more. The goal is \$1 billion in private support by 2020. Specific fundraising highlights were discussed and included \$613 K for the College of Nursing, \$213 K for the University Hospitals, \$200 K for the School of Medicine and \$250 K for Popejoy Hall. The Kellogg Foundation donated

a gift of \$895 K which will go to multiple areas. The Consolidated Investment Fund was discussed and is at \$405 million market value and a 4.5% spending distribution. The Woodward Endowment is at \$46 million, the Winrock Endowment is at \$29 million, the Regents' Endowment has current value of \$28 million, and the Mesa Del Sol endowment is valued at \$6 million.

Texanna Martin, GPSA President, provided a report to the Board. Their capital outlay project was discussed and they have created a competition with the College of Arts and Sciences. The Student Fee Review Board (SFRB) is underway and mandatory applicant information sessions were held in August. The GPSA Fall grants cycle opens on September 11 and closes October 9. There will be 2 research grants, 1 professional development grant, and 1 Fall scholarship offered. The GPSA Council met on August 22 with significant attendance, appointments were made to all UNM Committees on which GPSA holds representation.

Jenna Hagengruber, ASUNM President, provided a report to the Board. The Lottery Summit will take place on October 2 and will include representation from various higher education institutions statewide in order to gain input from all of those who are impacted. The Board of Regents will receive invitations to attend. Red Rally will take place on Johnson Field on October 1 in anticipation of the UNM/NMSU football game.

Stefan Posse, Faculty Senate President, provided comments to the Board. At the Request of Regent Koch, the Faculty Senate is involved in providing input on their ideas for the University's budget process. They have a task force in place which has met and is brainstorming. Their ideas, feedback and suggestions will be presented at the October Finance and Facilities Committee meeting.

PUBLIC COMMENT (No comments)

VOTE TO CLOSE THE MEETING AND PROCEED IN EXECUTIVE SESSION

The motion to close the meeting and proceed in executive session passed unanimously (1st Doughty; 2nd Hosmer). The meeting closed at 12:29 PM.

Executive Session-Cherry Silver Room

1. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
2. Discussion of purchases of more than \$2,500 that can be made from only one source or competitive sealed proposals under the Procurement Code pursuant to Section 10-15-1.H(6), NMSA (1978)
3. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)

VOTE TO RE-OPEN THE MEETING

The motion to re-open the meeting passed unanimously (1st Quillen; 2nd Berryman). The meeting opened at 2:04 PM.

There was certification that only those matters described in the executive session agenda were discussed during closed session, and there were no items upon which to take action.

VOTE TO ADJOURN

The motion to adjourn the meeting passed unanimously (1st Hosmer; 2nd Berryman). The meeting adjourned at 2:05 PM.

Approved:

Attest:

Jack L. Fortner, President

Bradley C. Hosmer, Secretary/Treasurer

The President's Administrative Report
will be presented at the meeting

**UNIVERSITY OF NEW MEXICO BOARD OF REGENTS
120 CREDIT HOUR DEGREE RESOLUTION**

WHEREAS, the University of New Mexico provides excellence in teaching and learning, leading to valuable degrees and a well-educated workforce that improves the lives of all the residents of the State of New Mexico, and

WHEREAS, increasing the University of New Mexico's graduation rate is a primary goal for the University's administration, faculty, and staff and for the Board of Regents, and

WHEREAS, efficient progress in degree completion benefits students and taxpayers by lowering the cost of the degree and allowing students to enter the workforce more rapidly, and

WHEREAS, the Governor of the State of New Mexico's higher education initiatives include encouragement of efficient degree completion, and

WHEREAS, the Regents of the University of New Mexico took action to encourage efficient progress and enhance affordability for students by directing the University to enact a block tuition rate for students who take at least 15 credit hours per semester, and

WHEREAS, the Regents of the University of New Mexico recently took further action to enhance affordability and encourage progress by directing the University to provide the final semester tuition-free for students who complete their baccalaureate degree in four years, and

WHEREAS, the Regents of the University of New Mexico appreciate recent action by the University's Faculty Senate to enable 120 hour degree programs, and

WHEREAS, the Regents of the University of New Mexico further appreciate the rapid actions by faculty and academic leadership that have allowed the University to convert 69 of its 98 baccalaureate degrees (70%) to 120 hours, and

WHEREAS, of the remaining baccalaureate degree programs, 13 more have experienced a reduction in hours toward the 120 hour standard, and

WHEREAS, the University's academic leadership has made extraordinary progress in creating advising systems that allow students to select their degree programs early and stay on track toward graduation,

NOW, THEREFORE, BE IT RESOLVED by the Board of Regents of the University of New Mexico:

ENDORSEMENT of the University's commendable progress to date in creating 120 credit hour degree programs and clear degree paths that enable degree completion within four years, and

ENCOURAGEMENT of continued progress to maximize the number of 120 credit hour degrees and make this the default standard for baccalaureate programs.

Adopted by the Board of Regents of the University of New Mexico on this 9th day of October, 2015




THE UNIVERSITY of
NEW MEXICO

University Controller
Financial Services, Main Campus
Phone: (505) 277-5111
FAX: (505) 277-7662

MEMORANDUM

DATE: September 25, 2015

TO: David W. Harris
Executive Vice President

FROM: Elizabeth Metzger, CPA 
University Controller

RE: Information Item for Board of Regents' Finance & Facilities Committee Meeting

The Monthly Main Campus Only Financial Report for the month ended August 31, 2015 will be presented at the *October 7, 2015* Finance and Facilities meeting. In order to present the most-timely financial information at this meeting, Financial Reports will be emailed to the committee members no less than 48 hours in advance of the meeting. Handouts will be available the day of the meeting.

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the two month ended August 31, 2015
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Instruction and General						
Tuition and Fees Revenues	157,063,135	80,033,758	(77,029,377)	51%	77,586,557	2,447,201
State/Local Appropriations	192,500,200	32,083,367	(160,416,833)	17%	31,729,850	353,517
F & A Revenues	20,500,000	3,493,222	(17,006,778)	17%	3,893,020	(399,798)
Transfers	(54,284,161)	(10,007,825)	44,276,336	18%	(9,754,419)	(253,406)
Other Revenues	15,612,079	2,913,764	(12,698,315)	19%	2,018,836	894,928
Total Instruction and General Revenues	331,391,253	108,516,286	(222,874,967)	33%	105,473,844	3,042,442
Salaries	200,571,473	27,244,386	(173,327,087)	14%	26,460,684	(783,702)
Benefits	66,766,292	9,128,101	(57,638,191)	14%	8,963,979	(164,122)
Other Expenses	72,440,919	8,408,727	(64,032,192)	12%	10,728,843	2,320,116
Total Instruction and General Expenses	339,778,684	44,781,214	(294,997,470)	13%	46,153,506	1,372,292
Net Instruction and General Revenue/(Expense)	(8,387,431)	63,735,072	72,122,503		59,320,338	4,414,734
Research						
State/Local Appropriations	2,010,350	335,058	(1,675,292)	17%	351,675	(16,617)
Transfers	17,200,071	188,056	(17,012,015)	1%	1,105,139	(917,083)
Other Revenues	738,644	(104,263)	(842,907)	-14%	39,808	(144,071)
Total Research Revenues	19,949,065	418,851	(19,530,214)	2%	1,496,622	(1,077,771)
Salaries and Benefits	12,832,630	3,082,643	(9,749,987)	24%	2,142,735	(939,908)
Other Expenses	11,617,086	1,454,288	(10,162,798)	13%	1,400,551	(53,737)
Total Research Expenses	24,449,716	4,536,931	(19,912,785)	19%	3,543,286	(993,645)
Net Research Revenue/(Expense)	(4,500,651)	(4,118,080)	382,571		(2,046,664)	(2,071,416)
Public Service						
State/Local Appropriations	3,808,550	634,760	(3,173,790)	17%	608,925	(25,835)
Sales and Services Revenues	8,277,563	1,665,043	(6,612,520)	20%	1,558,518	(106,525)
Gifts	7,070,395	1,317,277	(5,753,118)	19%	1,206,140	(111,137)
Transfers	36,165	570,043	533,878	1576%	(74,534)	(644,577)
Other Revenues	3,868,591	925,424	(2,943,167)	24%	1,037,262	111,838
Total Public Service Revenues	23,061,264	5,112,547	(17,948,717)	22%	4,336,311	(776,236)
Salaries and Benefits	12,725,005	2,046,582	(10,678,423)	16%	2,284,513	237,931
Other Expenses	14,684,393	2,267,456	(12,416,937)	15%	823,222	(1,444,234)
Total Public Service Expenses	27,409,398	4,314,038	(23,095,360)	16%	3,107,735	(1,206,303)
Net Public Service Revenue/(Expense)	(4,348,134)	798,509	5,146,643		1,228,576	(430,067)

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For the two month ended August 31, 2015
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

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Student Aid						
Private Grants/Gifts	3,934,944	48,805	(3,886,139)	1%	59,369	10,564
State Lottery Scholarships	35,000,000	17,500,000	(17,500,000)	50%	18,742,974	1,242,974
Transfers	15,990,247	1,631,875	(14,358,372)	10%	1,704,284	72,409
Other Revenues	967,341	293,825	(673,516)	30%	383,543	89,718
Total Student Aid Revenues	55,892,532	19,474,505	(36,418,027)	35%	20,890,170	1,415,665
Salaries and Benefits	2,099,249	409,398	(1,689,851)	20%	488,994	79,596
Other Expenses	61,772,036	26,440,183	(35,331,853)	43%	26,449,719	9,536
Total Student Aid Expenses	63,871,285	26,849,581	(37,021,704)	42%	26,938,713	89,132
Net Student Aid Revenue/(Expense)	(7,978,753)	(7,375,076)	603,677		(6,048,543)	(1,326,533)
Student Social & Cultural Programs						
Fee Revenues	8,143,771	3,456,390	(4,687,381)	42%	3,593,719	(137,329)
Sales and Services Revenues	1,502,317	202,312	(1,300,005)	13%	216,283	(13,971)
Transfers	627,995	246,767	(381,228)	39%	294,297	(47,530)
Other Revenues	166,998	36,919	(130,079)	22%	37,898	8,594
Total Student Social & Cultural Programs Revenues	10,441,081	3,942,388	(6,498,693)	38%	4,142,197	(190,236)
Salaries and Benefits	6,169,162	1,032,480	(5,136,682)	17%	787,617	(244,863)
Other Expenses	4,519,274	773,712	(3,745,562)	17%	822,312	600,741
Total Student Social & Cultural Programs Expenses	10,688,436	1,806,192	(8,882,244)	17%	1,609,929	355,878
Net Student Social & Cultural Programs Revenue/(Expense)	(247,355)	2,136,196	2,383,551		2,532,268	(396,072)
Auxiliaries						
Auxiliaries Revenues	52,022,638	17,687,470	(34,335,168)	34%	18,784,962	(1,097,492)
Athletics Revenues	35,042,424	4,780,667	(30,261,757)	14%	4,983,831	(203,164)
Total Auxiliaries Revenues	87,065,062	22,468,137	(64,596,925)	26%	23,768,793	(1,300,656)
Auxiliaries Expenses	52,574,144	9,150,028	(43,424,116)	17%	9,660,823	510,795
Athletics Expenses	35,071,674	6,459,547	(28,612,127)	18%	6,546,069	86,522
Total Auxiliaries Expenses	87,645,818	15,609,575	(72,036,243)	18%	16,206,892	597,317
Net Auxiliaries and Athletics Revenue/(Expense)	(580,756)	6,858,562	7,439,318		7,561,901	(703,339)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the two month ended August 31, 2015
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	143,073,888	38,693,994	(104,379,894)	27%	41,155,069	(2,461,075)
State and Local Grants and Contracts Revenues	15,187,338	2,521,815	(12,665,523)	17%	2,696,107	(174,292)
Non-Governmental Grants and Contracts Revenues	12,397,000	2,293,973	(10,103,027)	19%	3,952,522	(1,658,549)
Gifts	-	-	-	N/A	-	-
Transfers	2,888,000	108,039	(2,779,961)	4%	467,565	(359,526)
Other Revenues	-	(41,417)	(41,417)	N/A	(74,154)	32,737
Total Sponsored Programs Revenues	<u>173,546,226</u>	<u>43,576,404</u>	<u>(129,969,822)</u>	<u>25%</u>	<u>48,197,109</u>	<u>(4,620,705)</u>
Salaries and Benefits	64,460,226	8,866,844	(55,593,382)	14%	9,861,900	995,056
Other Expenses	109,086,000	34,709,560	(74,376,440)	32%	38,335,209	3,625,649
Total Sponsored Programs Expenses	<u>173,546,226</u>	<u>43,576,404</u>	<u>(129,969,822)</u>	<u>25%</u>	<u>48,197,109</u>	<u>4,620,705</u>
Net Sponsored Programs Revenue/(Expense)	<u>-</u>	<u>-</u>	<u>-</u>		<u>-</u>	<u>-</u>
Contingencies						
Total Contingency Revenues	(6,994,011)	-	6,994,011	0%	-	-
Total Contingency Expenses	<u>(7,072,248)</u>	<u>-</u>	<u>7,072,248</u>	<u>0%</u>	<u>-</u>	<u>-</u>
Net Contingencies Revenue/(Expense)	<u>78,237</u>	<u>-</u>	<u>(78,237)</u>		<u>-</u>	<u>-</u>
Net Current Revenue/(Expense)	<u>(25,964,843)</u>	<u>62,035,183</u>	<u>88,000,026</u>		<u>62,547,876</u>	<u>(512,693)</u>

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the two month ended August 31, 2015
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Results of Athletics Operations:						
Athletics Revenues	38,443,436	5,335,902	(33,107,534)	14%	5,550,516	(214,614)
Athletics Transfers	(3,401,012)	(555,235)	2,845,777	16%	(566,685)	11,450
Total Athletics Revenues	35,042,424	4,780,667	(30,261,757)	14%	4,983,831	(203,164)
Athletics Expenses						
Salaries and Benefits	14,271,253	2,389,249	11,882,004	17%	2,547,119	157,870
Grant-in-Aid	4,283,719	1,723,633	2,560,086	40%	1,593,438	(130,195)
Other Expenses	16,516,702	2,346,665	14,170,037	14%	2,405,512	58,847
Total Athletics Expenses	35,071,674	6,459,547	28,612,127	18%	6,546,069	86,522
Total Net Athletics Revenue/(Expense)	(29,250)	(1,678,880)	(1,649,630)		(1,562,238)	(116,642)
Results of Auxiliary Operations:						
VP for Institutional Support Services						
Bookstore Revenues	14,654,739	5,100,715	(9,554,024)	35%	5,784,897	(684,182)
Bookstore Transfers	(398,500)	(58,333)	340,167	15%	(58,333)	-
Total Bookstore Revenues	14,256,239	5,042,382	(9,213,857)	35%	5,726,564	(684,182)
Total Bookstore Expenses	14,256,239	4,004,113	10,252,126	28%	4,566,156	562,043
Net Bookstore Revenue/(Expense)	-	1,038,269	1,038,269		1,160,408	(122,139)
Faculty & Staff Club Revenues	83,000	8,705	(74,295)	10%	7,804	901
Faculty & Staff Club Expenses	83,000	9,960	73,040	12%	11,817	1,857
Net Faculty & Staff Club Revenue/(Expense)	-	(1,255)	(1,255)		(4,013)	2,758
Food Service/Dining Revenues	2,705,776	345,464	(2,360,312)	13%	537,386	(191,922)
Food Service/Dining Transfers	(297,287)	(8,333)	288,954	3%	(8,333)	-
Total Food Service/Dining Revenues	2,408,489	337,131	(2,071,358)	14%	529,053	(191,922)
Total Food Service/Dining Expenses	2,408,489	197,137	2,211,352	8%	192,535	(4,602)
Net Food Service/Dining Revenue/(Expense)	-	139,994	139,994		336,518	(196,524)
Golf Courses Revenues	2,457,250	412,148	(2,045,102)	17%	427,932	(15,784)
Golf Courses Transfers	(39,252)	(6,542)	32,710	17%	(6,542)	-
Total Golf Courses Revenues	2,417,998	405,606	(2,012,392)	17%	421,390	(15,784)
Total Golf Courses Expenses	2,417,998	383,504	2,034,494	16%	384,355	851
Net Golf Courses Revenue/(Expense)	-	22,102	22,102		37,035	(14,933)
Housing	10,468,800	5,540,224	(4,928,576)	53%	5,532,337	7,887
Housing Transfers	(2,508,937)	(355,707)	2,153,230	14%	(533,683)	177,976
Total Housing Revenues	7,959,863	5,184,517	(2,775,346)	65%	4,998,654	185,863
Total Housing Expense	7,959,863	1,185,093	6,774,770	15%	1,288,076	102,983
Net Housing Revenue/(Expense)	-	3,999,424	3,999,424		3,710,578	288,846
Other	1,093,164	(44,444)	(1,137,608)	-4%	(255,556)	211,112
Other Transfers	(1,578,520)	(277,500)	1,301,020	18%	-	(277,500)
Total Other Revenues	(485,356)	(321,944)	163,412	66%	(255,556)	(66,388)
Total Other Expense	66,150	-	66,150	0%	-	-
Net Other Revenue/(Expense)	(551,506)	(321,944)	229,562		(255,556)	(66,388)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the two month ended August 31, 2015
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Parking and Transportation Revenues	8,655,293	2,919,641	(5,735,652)	34%	3,131,666	(212,025)
Parking and Trans Transfers	(2,246,289)	(422,976)	1,823,313	19%	(387,996)	(34,980)
Total Parking and Trans Revenues	6,409,004	2,496,665	(3,912,339)	39%	2,743,670	(247,005)
Total Parking and Trans Expenses	6,409,004	970,988	5,438,016	15%	962,964	(8,024)
Net Parking and Trans Revenue/(Expense)	-	1,525,677	1,525,677		1,780,706	(255,029)
Popejoy Events Revenues	6,321,392	108,118	(6,213,274)	2%	98,817	9,301
Popejoy Events Transfers	-	-	-	N/A	15,000	(15,000)
Total Popejoy Events Revenues	6,321,392	108,118	(6,213,274)	2%	113,817	(5,699)
Total Popejoy Events Expenses	6,321,392	473,647	5,847,745	7%	438,205	(35,442)
Net Popejoy Events Revenue/(Expense)	-	(365,529)	(365,529)		(324,388)	(41,141)
Taos & Lawrence Ranch Revenues	49,404	-	(49,404)	0%	62,049	(62,049)
Taos & Lawrence Ranch Expenses	49,404	12,676	36,728	26%	6,445	(6,231)
Net Taos & Lawrence Ranch Revenue/(Expense)	-	(12,676)	(12,676)		55,604	(68,280)
Ticketing Services Revenues	1,077,131	114,903	(962,228)	11%	185,603	(70,700)
Ticketing Services Transfers	-	-	-	N/A	-	-
Total Ticketing Services Revenues	1,077,131	114,903	(962,228)	11%	185,603	(70,700)
Total Ticketing Services Expenses	1,077,131	148,843	928,288	14%	131,631	(17,212)
Net Ticketing Services Revenue/(Expense)	-	(33,940)	(33,940)		53,972	(87,912)
Total VP for Institutional Support Services Revenues	40,497,164	13,376,083	(27,121,081)	33%	14,533,048	(1,156,965)
Total VP for Institutional Support Services Expenses	41,048,670	7,385,961	33,662,709	18%	7,982,184	596,223
Net VP for Institutional Support Services Revenue/(Expense)	(551,506)	5,990,122	6,541,628		6,550,864	(560,742)
VP for Student Affairs						
Lobo Cash Revenues	91,540	46,373	(45,167)	51%	46,643	(270)
Lobo Cash Expenses	91,540	5,578	(85,962)	6%	21,637	16,059
Net Lobo Cash Revenue/(Expense)	-	40,795	40,795		25,006	15,789
Student Health Center Revenues	7,833,295	2,772,657	(5,060,638)	35%	2,803,737	(31,080)
Student Health Center Expenses	7,833,295	1,145,775	6,687,520	15%	1,155,931	10,156
Net Student Health Center Revenue/(Expense)	-	1,626,882	1,626,882		1,647,806	(20,924)
Student Union Revenues	3,270,139	1,449,804	(1,820,335)	44%	1,378,616	71,188
Student Union Expenses	3,270,139	562,759	2,707,380	17%	463,013	(99,746)
Net Student Union Revenue/(Expense)	-	887,045	887,045		915,603	(28,558)
Total VP for Student Affairs Revenues	11,194,974	4,268,834	(6,926,140)	38%	4,228,996	39,838
Total VP for Student Affairs Expenses	11,194,974	1,714,112	9,308,938	15%	1,640,581	(73,531)
Net VP for Student Affairs Revenue/(Expense)	-	2,554,722	2,554,722		2,588,415	(33,693)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
 For the two month ended August 31, 2015
 Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Provost and Other Units						
Art Museum Revenues	5,500	229	(5,271)	4%	1,352	(1,123)
Art Museum Expenses	5,500	-	5,500	0%	466	466
Net Art Museum Revenue/(Expense)	-	229	229		886	(657)
CE Conference Ctr Revenues	225,000	23,087	(201,913)	10%	14,402	8,685
CE Conference Ctr Transfers	-	-	-	N/A	(9,580)	9,580
Total CE Conference Ctr Revenues	225,000	23,087	(201,913)	10%	4,822	18,265
Total CE Conference Ctr Expenses	225,000	36,624	188,376	16%	29,241	(7,383)
Net CE Conference Ctr Revenue/(Expense)	-	(13,537)	(13,537)		(24,419)	10,882
Maxwell Museum Revenues	40,000	4,582	(35,418)	11%	6,881	(2,299)
Maxwell Museum Expenses	40,000	2,089	37,911	5%	2,687	598
Net Maxwell Museum Revenue/(Expense)	-	2,493	2,493		4,194	(1,701)
Other Revenues	60,000	14,655	(45,345)	24%	9,863	4,792
Other Expenses	60,000	11,242	48,758	19%	5,664	(5,578)
Net Other Revenue/(Expense)	-	3,413	3,413		4,199	(786)
Total Provost and Other Units Revenues	330,500	42,553	(287,947)	13%	22,918	19,635
Total Provost and Other Units Expenses	330,500	49,955	280,545	15%	38,058	(11,897)
Net Provost and Other Units Revenue/(Expense)	-	(7,402)	(7,402)		(15,140)	7,738
Auxiliary Totals						
Total Auxiliary Revenues	52,022,638	17,687,470	(34,335,168)	34%	18,784,962	(1,097,492)
Total Auxiliary Expenses	52,574,144	9,150,028	43,424,116	17%	9,660,823	510,795
Net Auxiliary Revenue/(Expense)	(551,506)	8,537,442	9,088,948		9,124,139	(586,697)
Net Athletics Revenue/(Expense)	(29,250)	(1,678,880)	(1,649,630)		(1,562,238)	(116,642)
Net Auxiliary and Athletics Revenue/(Expense)	(580,756)	6,858,562	7,439,318		7,561,901	(703,339)
Net Branch Campuses Aux Revenue/(Expense)	(122,194)	101,299	223,493		69,660	31,639
Net All Auxiliary and Athletics Revenue/(Expense)	(702,950)	6,959,861	7,662,811		7,631,561	(671,700)

FY16 UNM Debt Service Schedule

As of August 31, 2015

*Includes Hospital Debt

UNM Bond Issue	Fixed or Variable Rate Issue	Original Issue Amount	Outstanding Principal Balance on June 30, 2015	Principal Payment due on June 1, 2016	Interest Payment paid on December 1, 2015	Interest Payment due on June 1, 2016	FY 2016 Principal & Interest
⁽¹⁾ GNMA Collateralized Taxable Hospital Revenue Bonds* Series 2015: Interest Range .484% to 3.532% Final Maturity Year 2032	Fixed Rate	\$115,000,000	\$115,000,000	\$6,035,000 (due 6/20/2016)	\$1,920,713 (due 12/20/2015)	\$1,600,594 (due 6/20/2016)	\$9,556,307
Sub Lien System Imp Revenue Bonds ⁽⁴⁾ Series 2014 A: Interest Range 3.0% to 5.0% Final Maturity Year 2033	Fixed Rate	\$10,980,000	\$9,715,000	\$1,240,000	\$204,125	\$204,125	\$1,648,250
Sub Lien System Rfdg Revenue Bonds ⁽⁵⁾ Series 2014 B: Interest Range 0.496% to 3.280% Final Maturity Year 2024	Fixed Rate	\$3,710,000	\$3,365,000	\$360,000	\$39,216	\$39,216	\$438,432
Sub Lien System Rfdg Revenue Bonds ⁽⁶⁾ Series 2014 C: Interest Range 1.5% to 5.0% Final Maturity Year 2035	Fixed Rate	\$100,085,000	\$97,615,000	\$2,140,000	\$2,440,375	\$2,440,375	\$7,020,750
Sub Lien System Imp Revenue Bonds ⁽³⁾ Series 2012: Interest Range 2.0% to 5.0% Final Maturity Year 2032	Fixed Rate	\$35,215,000	\$30,545,000	\$1,380,000	\$729,525	\$729,525	\$2,839,050
Sub Lien System Imp Revenue Bonds Series 2007 A&B: Interest Range 4.096% to 5.302% Final Maturity Year 2036	Fixed Rate	\$136,710,000	\$127,865,000	\$2,000,000	\$3,200,997	\$3,200,997	\$8,401,994
Sub Lien Sys Rfdg Revenue Bonds ⁽²⁾ Series 2002 B: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2014 was 0.05% Final Maturity Year 2026	Variable Rate	\$25,475,000	\$19,060,000	\$1,610,000	\$364,999	\$364,999	\$2,339,998
Sub Lien System Rfdg Revenue Bonds ⁽²⁾ Series 2002 C: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2014 was 0.05% Final Maturity Year 2030	Variable Rate	\$37,840,000	\$33,405,000	\$945,000	\$658,079	\$658,079	\$2,261,158
Sub Lien System Imp Revenue Bonds ⁽²⁾ Series 2001: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2014 was 0.07% Ceiling of 12% Final Maturity Year 2026	Variable Rate	\$52,625,000	\$31,020,000	\$2,265,000	\$620,400	\$620,400	\$3,505,800
System Revenue Bonds Series 2000 B: Interest Range 5.50% to 6.35% Final Maturity Year 2019	Fixed Rate	\$6,621,671	\$1,332,234	\$410,532	\$0	\$704,468	\$1,115,000
System Revenue Rfdg Bonds Series 1992 A: Interest Range 6.0% to 6.25% Final Maturity Year 2021	Fixed Rate	\$36,790,000	\$13,670,000	\$2,070,000	\$410,000	\$410,000	\$2,890,000
Grand Total		\$561,051,671	\$482,592,234	\$20,455,532	\$10,588,429	\$10,972,778	\$42,016,739

Note: See attached matrix for funding sources.

- (1) Source: UNM Hospital - UNM Hospital Principal payment is due on June 20; interest payments are due on December 20 and June 20.
- (2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays. It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.
- (3) Series 2012 bonds refunded 2002A bonds,
- (4) Series 2014A bonds refunded 2003A, 2003B bonds,
- (5) Series 2014B bonds refunded 2003C bonds,
- (6) Series 2014C bonds refunded 2005A bonds,

FY16 UNM Debt Service - Source of Funds

As of August 31, 2015

	Series 2015 (UNMH Bond)	Series 2014A	Series 2014B	Series 2014C	Series 2012	Series 2007A&B	Series 2002B	Series 2002C	Series 2001	Series 2000B	Series 1992
Student Fees- Facility	X		X	X	X	X	X		X	X	
Student Fees - IT			X	X							
Parking Services			X	X	X						X
UNM Hospital	X	X				X					X
Bookstore		X									X
Housing & Dining Services		X		X			X		X		
Building R&R		X				X					X
Real Estate Department		X	X		X	X					
Physical Plant Department			X	X	X	X		X			
Information Technologies			X	X							
Athletics					X						
KNME											X
Opto Bldg (CHTM Res Park)						X					
CRTC						X					
Continuing Education						X					
Golf Course - North & South							X		X		
HSC				X	X						
Interest on Reserve Funds		X				X	X		X		




University Services

University Services Business Operations	UNM Surplus Property
UNM Copy Center	UnivServ Shipping and Receiving
UNM Mailing Systems	UNM Inventory Control
UNM Records Management	Chem Stores/CRLS
http://www.unm.edu/~univserv/	Print Management Program

Date: September 22, 2015

To: Bruce Cherrin, Chief Procurement Officer
Purchasing Department

From: Marcos Roybal 
Manager, Administrative Operations, University Services

Subject: Equipment Disposition – August 2015 & September 2015

Attached for your review and submission to the Board of Regents are the Surplus Property Disposition Detail lists for the month of August, 2015 and September, 2015.

Consistent with UNM Board of Regents Policy 7.9 and the NM Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the monthly list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and NM Surplus Property Act.

University Services - Surplus Property Disposition List (September)

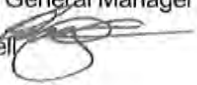
Memor#	Permanent Tag Number	Org Desc	Asset Desc	Manufacturer	Model	Serial Number	Acquisition Method	Titled To	Capitalization Date	Total Cost	Net Book Value	Method of Disposal	Disposal Date
	N00020414	Technology Support	223-5044-PE M600;Quad C	Dell	M600 Blade Server	9G6DGJ1	Purchased	Institution	3/17/2009	\$5,026.77	\$0.00	Obsolete	9/16/2015
	N00020415	Technology Support	223-5044-PE M600;Quad C	Dell	M600 Blade Server	8G6DGJ1	Purchased	Institution	3/17/2009	\$5,026.77	\$0.00	Obsolete	9/16/2015
	267093	KNME Operations	RECEIVER SATELITE	UNKNOWN/OTHI	DIGITAL VISION		Purchased	Institution	7/8/2004	\$5,052.00	\$953.64	Obsolete	9/18/2015
	267092	KNME Operations	RECEIVER SATELITE	UNKNOWN/OTHI	DIGITAL VISION		Purchased	Institution	7/8/2004	\$5,069.00	\$957.56	Obsolete	9/18/2015
	267094	KNME Operations	RECEIVER SATELITE	UNKNOWN/OTHI	DigVision		Purchased	Institution	7/8/2004	\$5,069.00	\$957.56	Obsolete	9/18/2015
	N00016405	Technology Support	Disk Array Enclosure	Dell	ZX24-5 Disk Array E	2Q49FD1	Purchased	Institution	6/9/2008	\$5,081.06	\$0.00	Obsolete	9/16/2015
	N00016899	Technology Support	Disk Array Enclosure	Dell	EMC AX4-5	HN59FD1	Purchased	Institution	6/30/2008	\$5,081.06	\$0.00	Obsolete	9/16/2015
	182662	KNME Operations	GENERATOR SYNC MONOC	Tektronix	TSG170A	8031023	Purchased	Institution	4/27/1988	\$5,212.00	\$0.00	Obsolete	9/4/2015
	N00006539	Physics Astronomy Gen Admin	Linux System Workstation	InegrityNet	ILS-TIS85	204086	Purchased	Institution	1/19/2006	\$5,295.00	\$0.00	Cannibalized	9/18/2015
	N00016701	UME-ETS Educational Tech Support	Projector	VTS	Panasonic	5H8330125	Purchased	Institution	6/10/2008	\$5,679.00	\$0.00	Beyond Repair	9/1/2015
	244247	Chemistry Department	UPS SYSTEM	Liebert	LIEBERT UPSTN 8	4YY0400088	Purchased	Institution	10/27/2000	\$5,750.00	\$0.00	Obsolete	9/18/2015
	254342	KNME Operations	PROCESSOR IMAGE	UNKNOWN/OTHI	TT6020		Purchased	Institution	2/28/2002	\$5,986.00	\$0.00	Obsolete	9/18/2015
	236735	KNME Operations	CONVERTER	Miteq	U9693	603145	Purchased	Institution	6/4/1999	\$7,021.00	\$0.00	Obsolete	9/18/2015
	N00004139	CHTM PI #17	Thermometer	Ircon	Modline 3 IRT		Purchased	Institution	12/16/2004	\$8,471.93	\$0.00	Obsolete	9/4/2015
9	N00004366	CHTM PI #17	HIGH TEMP EFFUSION CELL	Veeco	10_HT	7877	Purchased	Institution	1/10/2005	\$10,297.97	\$0.00	Obsolete	9/4/2015
9	N00004367	CHTM PI #17	HIGH TEMP EFFUSION CELL	Veeco	10_HT	7877	Purchased	Institution	1/10/2005	\$10,297.97	\$0.00	Obsolete	9/4/2015
10	N00016252	HS Library and Informatics Ctr	Blade server enclosure	Dell	PowerEdge M1000i	D17C7G1	Purchased	Institution	5/21/2008	\$10,809.57	\$0.00	Obsolete	9/16/2015
6	197825	KNME Operations	MICROWAVE RECEIVER	Microwave	FLR6	R1935	Purchased	Institution	11/23/1993	\$12,069.00	\$0.00	Obsolete	9/18/2015
6	197824	KNME Operations	MICROWAVE TRANSMITTR	Microwave	FLR6	R1934	Purchased	Institution	11/23/1993	\$12,240.00	\$0.00	Obsolete	9/18/2015
10	N00006340	TECHS	Server	Dell	EMC DAE Disk Array	51YRT71	Purchased	Institution	11/1/2005	\$15,089.14	\$251.81	Obsolete	9/16/2015
5	182659	KNME Operations	SWITCHER VIDEO	Grass	GV100N	80605	Purchased	Institution	5/25/1988	\$15,351.00	\$0.00	Obsolete	9/4/2015
10	N00033632	HS Library and Informatics Ctr	Computer Disk Array	Dell	Powervault MD320i	1RL2TR1	Purchased	Institution	11/1/2011	\$15,966.61	\$0.00	Obsolete	9/16/2015
7	255782	Biochemistry Molecular Biology	COMPUTER CPU/SYSTEM	SunMicro	2750	209C05BC	Purchased	Institution	5/30/2002	\$16,030.00	\$0.00	Obsolete	9/1/2015
7	251797	Biochemistry Molecular Biology	COMPUTER CPU/SYSTEM	Sgi	OCTANE 2	8006913AAB1	Purchased	Institution	8/9/2001	\$24,532.00	\$0.00	Obsolete	9/1/2015
10	N00016406	Technology Support	Dual Storage Processory Ar	Dell	AX4-5I DP	3N49FD1	Purchased	Institution	6/9/2008	\$25,763.38	\$0.00	Obsolete	9/16/2015
10	N00011650	HS Library and Informatics Ctr	DISK ARRAY ENCLOSURE	Dell	EMC DAE2P	1X077B1	Purchased	Institution	5/9/2007	\$25,956.93	\$0.00	Obsolete	9/16/2015
10	N00001751	TECHS	Storage Area Network (SAN)	Dell	CX300	CC51X51	Purchased	Institution	11/2/2004	\$27,390.76	\$0.00	Obsolete	9/16/2015
10	N00003748	TECHS	DISK ARRAY ENCLOSURE	Dell	EMC DAE2	DDQVT51	Purchased	Institution	4/27/2005	\$35,035.23	\$0.00	Obsolete	9/16/2015
6	207472	KNME Operations	AMPLIFIER	Mclinc	10999	1467	Purchased	Institution	12/12/1994	\$35,730.00	\$0.00	Obsolete	9/18/2015
8	258348	Pathology Faculty #R02	DETECTOR	ABI	7000 Sequence Det	270001326	Purchased	Institution	10/17/2002	\$47,670.00	\$0.00	Obsolete	9/16/2015

Disposition Value \$419,050.15

Disposition Net Book Value \$3,120.57

Total Dispositon Items: 30

Memo

To: Marcos Roybal, Associate Director, University Services
From: Franz Joachim, General Manager and CEO, KNME-TV
CC: Steven Campbell 
Date: 9/2/2015
Re: Surplus of items

This memo serves as a request to remove item the below items from our inventory list.

Item 182662, Tektronix Sync Generator Model TSG170A; is an antiquated vertical sync generator that is no longer compatible with our current digital systems. It was purchased in 1988. It was taken out of service several years ago and the system has been in storage since this time. The system is vastly past its useful life (8 years) and parts are impossible to obtain and as a result, it is no longer cost effective to keep running.


Item 182659, Grass Valley TV Switcher model GV100N is an analog television switcher purchased in 1988. It was also taken out of service several years ago and the system has been in storage since this time. The system is vastly past its useful life (8 years) and parts are impossible to obtain and as a result, it is no longer cost effective to keep running.

Thank you for your attention to this matter. If you should have any further questions please feel free to contact Steven Campbell at 7-1222 or stevenc@newmexicopbs.org.

Asset #	Description	Acq. Cost	NBV	Date
182659	Switcher Video	\$15,351.00	0.00	1988

Memo

To: Marcos Roybal, Associate Director, University Services

From: Franz Joachim, General Manager and CEO, KNME-TV 

CC: Steven Campbell

Date: 9/15/2015

Re: Surplus of items

This memo serves as a request to remove item the below items from our inventory list.

Item 197824, MRC Microwave Transmitter model FLR6; is an antiquated microwave signal generator that is no longer serviceable. It was purchased in 1993. It was taken out of service several years ago and the system has been in storage since this time. The system is vastly past its useful life (8 years) and parts are impossible to obtain and as a result, it is no longer cost effective to keep running.

Item 207472, MLC HPA model 10999 is a head phone amplifier purchased in 1996. It was also taken out of service several years ago and the system has been in storage since this time. The system is past its useful life (15 years) and parts are impossible to obtain and as a result, it is no longer cost effective to keep running.

Item 197825, MRC Microwave Receiver model GV100N; is an antiquated microwave signal receiver that is no longer serviceable. It was purchased in 1993 .It was also taken out of service several years ago and the system has been in storage since this time. The system is vastly past its useful life (8 years) and parts are impossible to obtain and as a result, it is no longer cost effective to keep running.

Thank you for your attention to this matter. If you should have any further questions please feel free to contact Steven Campbell at 7-1222 or stevenc@newmexicopbs.org.

Asset#	Description	Acq. Cost	NBV	Date
197824	Microwave Transmitter	\$12,240.00	0.00	1993
207472	Amplifier	\$35,730.00	0.00	1994
197825	Microwave Receiver	\$12,069.00	0.00	1993

Department of Biochemistry & Molecular Biology

Date: July 28, 2015

To: University Services- Surplus

From: Jessica Gutierrez, Fiscal Svc Tech;
 Dept. of Biochemistry and Molecular Biology

Re: UNM Tag# 255782 (acquisition cost of \$16,030.00) &
 UNM Tag# 251797 (acquisition cost of \$24,532.00)

This asset was purchased in 2002 and is now obsolete. The PI who was in charge of this equipment retired and left it because it is so old and obsolete; everything he left in the lab was to be sent to surplus.

Please let this memo serve as justification for the disposal of the above listed items. If you have any questions, I can be reached at (505) 272-5148 or jegutierrez@salud.unm.edu.

Thank you,

Jessica Gutierrez 7/28/15
 Jessica Gutierrez, Fiscal Svc Tech Date

Sharon Pruitt 7/28/15
 Sharon Pruitt, Dept. Administrator Date

Asset #	Description	Acq. Cost	NBV	Date
255782	Computer CPU/System	\$16,030.00	0.00	2002
251797	Computer CPU/System	\$24,532.00	0.00	2001



Department of Pathology

MEMORANDUM

To: Inventory Control

From: Nancy Risenhoover, Dept. Administrator

Kyla Sorensen, Accountant II

Department of Pathology, ORG 254A/B

Date: September 8, 2015

RE: Request for Deletion of Asset

The Department of Pathology is requesting that the Sequence Detector be deleted from our inventory. This asset is no longer being used because it is obsolete technology as well as because the asset is no longer working. It would be too costly to repair and find parts that may no longer be available.

K	258348	7000 Sequence Detection	10/17/2002	\$47,670.00
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Please remove the item from our inventory and contact me if you have any further questions.

Asset#	Description	Acq. Cost	NBV	Date
258348	Detector	\$47,670.00	0.00	2002

EXPLANATORY MEMO

July 21, 2015

To: Inventory Control

From: Shane Kelly, CHTM

CHTM is requesting the disposal of the equipment listed on the attached spreadsheets.

The equipment was purchased at various times from FY 1996-2005 to build a Molecular Beam Epitaxy (MBE) unit use in our Nanofabrication Facility.

These items are as follows:

1. UNM TAG: N00004366 Veeco 10_HT Effusion Cell
2. UNM TAG: N00004367 Veeco 10_HT Effusion Cell
3. UNM TAG: 254404 Substrate

Over the years there have been parts that have been stripped from the unit to repair and/or modify our existing operational Gen 10 MBE.

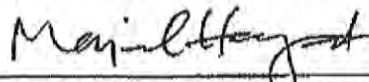
Due to a decrease in research grant funds and a significant decline in revenue generated by the use of our nanofabrication facility by outside entities it is no longer feasible to continue to invest additional time and resources building another MBE unit that will have little to no utilization.

For this reason, we ask that these items be removed from our inventory.

If you would like to get in contact with me, you can reach me at 239-5381.

Thanks,
Shane Kelly

Asset #	Description	Acq. Cost	NBV	Date
N00004366	High Temp Effusion Cell	\$10,297.97	0.00	2005
N00004367	High Temp Effusion Cell	\$10,297.97	0.00	2005



Signature (Assistant Director of CHTM)
Majed Hayat


MEMORANDUM

To: University Services

From: Holly Buchanan, Executive Director, HSLIC

Date: September 2, 2015

Re: Request for Deletion of Assets



The Health Sciences Library and Informatics Center (HSLIC) desires to delete the following assets from the accountable records:

UNM Property Tag# N00016406
UNM Property Tag# N00001751
UNM Property Tag# N00003748
UNM Property Tag# N00006340
UNM Property Tag# N00011650
UNM Property Tag# N00033632
UNM Property Tag# N00016252

These items were purchased in years 2005-2011. All of these items had reached their end of life and have been replaced by newer systems.

Asset #	Description	Acq. Cost	NBV	Date
N00016406	Dual Storage Processor	\$25,763.38	0.00	2008
N00001751	Storage Area Network	\$27,390.76	0.00	2004
N00003748	Disk Array Enclosure	\$35,035.23	0.00	2005
N00006340	Server	\$15,089.14	377.55	2005
N00011650	Disk Array Enclosure	\$25,956.93	0.00	2007
N00033632	Computer Disk Array	\$15,966.61	0.00	2011
N00016252	Blade Enclosure	\$10,809.57	0.00	2008

University Services - Surplus Property Disposition List (August)

Memo#	Permanent Tag Number	Org Desc	Asset Desc	Manufacturer	Model	Serial Number	Acquisition Method	Titled To	Capitalization Date	Total Cost	Net Book Value	Method of Disposal	Disposal Date
	163345	Ctr for Micro Engineering Material	GAUGE IONIZATION	Varian	845	48E232	Purchased	Institution	1/1/1984	\$5,244.00	\$0.00	Obsolete	8/21/2015
	149710	Ctr for Micro Engineering Material	PUMP ION	Thermioni	PS-1000N	81-4086	Purchased	Institution	1/1/1982	\$5,564.00	\$0.00	Obsolete	8/21/2015
	184803	Ctr for Micro Engineering Material	ELECTRON GUN CONTROL	PerkinElme	11010	11 010 867	Purchased	Institution	10/5/1988	\$6,215.00	\$0.00	Obsolete	8/21/2015
	221822	Center for High Tech Materials CH	ANALYZER GAS	Stanford	RGA20012	ECU7369	Purchased	Institution	10/8/1996	\$6,278.00	\$0.00	Obsolete	8/21/2015
	253097	Music	PROJECTOR VIDEO	Proxima	GXA1400	16652	Purchased	Institution	11/20/2001	\$7,164.00	\$0.00	Obsolete	8/21/2015
	235632	General Clinical Research Ctr GCR	CENTRIFUGE	Beckman	ALGRA 21 60 HZ	AGB98D17	Purchased	Institution	3/10/1999	\$8,298.00	\$0.00	Obsolete	8/7/2015
2	231678	Cell Biology Administration	MICROSCOPE	Zeiss	BIO Point	Shutter Apparatus	Purchased	Institution	6/14/1998	\$10,285.00	\$0.00	Obsolete	7/31/2015
1	266052	Ctr for Micro Engineering Material	OVEN	UNKNOWN/OTHI	LND SERIES	148507	Donated	Institution	5/13/2004	\$10,480.00	\$2,037.74	Obsolete	8/21/2015
1	183058	Ctr for Micro Engineering Material	ANALYZER	PerkinElme	10155	358	Purchased	Institution	3/3/1988	\$13,509.00	\$0.00	Obsolete	8/21/2015
4	N00021004	Ctr High Tech Materials Gen Admii Goods-GEN2_REFURB	MBE	Veeco	GEN II		Purchased	Institution	6/1/2009	\$21,000.00	\$2,500.00	Obsolete	8/21/2015
1	201562	Ctr for Micro Engineering Material	ANALYZER	VTI	HPA100		Purchased	Institution	6/17/1993	\$22,542.00	\$0.00	Obsolete	8/21/2015
3	254404	Center for High Tech Materials CH	HEATER	UNKNOWN/OTHI	Substrate		Purchased	Institution	2/28/2002	\$22,600.00	\$0.00	Obsolete	8/21/2015
1	144207	Ctr for Micro Engineering Material	SPECTROMETER	UTI	100C	02-1451	Purchased	Institution	1/1/1981	\$29,880.00	\$0.00	Obsolete	8/21/2015
1	153725	Ctr for Micro Engineering Material	PUMP DIFFUSION	KeyHigh	N/A	N/A	Purchased	Institution	1/1/1982	\$38,924.00	\$0.00	Obsolete	8/21/2015

Disposition Value \$207,983.00

Disposition Net Book Value \$4,537.74

Total Disposition Items: 14



The University of New Mexico

Center for Micro-Engineered Materials
1001 University Blvd. S.E. Suite 103
1 University, MSC 04 2790
Albuquerque, NM 87106
Telephone (505) 277-3162
FAX (505) 277-1024

Date: July 30, 2015

To: UNM Surplus Property

From: Estelle Zamora, Project Manager, CMEM

Estelle
Zamora

Digitally signed by Estelle Zamora
DN: cn=Estelle Zamora, o=The
University of New Mexico, ou=Center
for Micro Engineered Materials,
email=estel@unm.edu, c=US
Date: 2015.07.30 10:06:58 -0500

Subject: Additional Documentation for the Surplus of Equipment Worth \$10,000 or More

As per the UNM Board of Regents Policy 7.9 "Property Management", enclosed are the detailed explanations for the assets with an acquisition cost of over \$10,000 included on our "Request for Disposal of Surplus Property" submission.

- **UNM Tag #144207** – Asset #144207 is a mass spectrometer that was purchased in 1981. The technology for analyzing materials by mass spectrometry has advanced and improve vastly over the last thirty years, and we have since acquired equipment that outperforms this item and has rendered it obsolete. In addition, the chamber probe that is integral to the spectrometer is missing and unreplaceable.
- **UNM Tag #201562** – Asset #201562 is a physisorption analyzer purchased in 1993. The instrument has not been used in approximately ten years, and during that time, any useful parts were removed for use elsewhere, rendering it unusable. Furthermore, a newer, more versatile instrument, capable of performing both chemisorption and physisorption analyses, was acquired about eight years ago and is currently in use.
- **UNM Tag #183058** – Asset # 183058 is an X-ray gun and controller that was purchased in 1988. This equipment is obsolete and no longer functional. Even if it were not obsolete, the X-ray gun was associated with it is physically damaged beyond repair, rendering the controller useless.
- **UNM Tag #266052** – Asset # 226052 is a high-temperature oven that belonged to a faculty member who left the university several years ago. This oven has not been used since his departure. Despite our efforts to repurpose this instrument, we have not been successful. Other researchers either have no use for it, or have comparable equipment that is newer and more efficient. In addition, this equipment is quite large and we have no practical place to store it.
- **UNM Tag #153725** – Asset #153725 is a vacuum roughing pump and diffusion pump combination that was purchased in 1982. Neither of the pumps work any longer, and service to repair the diffusion pump is not available.

Asset#	Description	Acq.Cost	NBV	Date
144207	Spectrometer	\$29,880.00	0.00	1981
201562	Analyzer	\$22,542.00	0.00	1993
183058	Analyzer	\$13,509.00	0.00	1988
266052	Oven	\$10,480.00	0.00	2004
153725	Pump Diffusion	\$38,924.00	0.00	1982

Oscar Bizzozero, Ph.D.
Professor & Chairman

MEMORANDUM

To: Property Accounting

FROM: Oscar Bizzozero, Professor and Chair *OB*

SUBJECT: Justification of Deletion of Assets - #231678

Date: July 28, 2015

On July 23, 2015, a request was sent to surplus for UNM Tag #231678, Microscope, Zeiss/BIO Point, Shutter Apparatus (purchased 1998). The reason we are requesting this asset to be surplused is that this piece of equipment has limited capabilities and has not been used for more than ten years. We are unsure of whether or not this pieces of equipment works properly. If this equipment does not operate properly we believe it would be too costly to repair. The current value of this piece of equipment would not be near the original amount of the purchased price.

Please remove this items from our inventory.

If you have any questions, please contact Melissa Nuttall by e-mail at mnuttall@salud.unm.edu or at 2-0819

Thank you

Asset #	Description	Acq.Cost	NBV	Date
231678	Microscope	\$10,285.00	0.00	1998

EXPLANATORY MEMO

July 21, 2015

To: Inventory Control

From: Shane Kelly, CHTM

CHTM is requesting the disposal of the equipment listed on the attached spreadsheets.

The equipment was purchased at various times from FY 1996-2005 to build a Molecular Beam Epitaxy (MBE) unit use in our Nanofabrication Facility.

These items are as follows:

1. UNM TAG: N00004366 Veeco 10_HT Effusion Cell
2. UNM TAG: N00004367 Veeco 10_HT Effusion Cell
3. UNM TAG: 254404 Substrate

Over the years there have been parts that have been stripped from the unit to repair and/or modify our existing operational Gen 10 MBE.

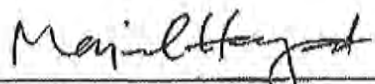
Due to a decrease in research grant funds and a significant decline in revenue generated by the use of our nanofabrication facility by outside entities it is no longer feasible to continue to invest additional time and resources building another MBE unit that will have little to no utilization.

For this reason, we ask that these items be removed from our inventory.

If you would like to get in contact with me, you can reach me at 239-5381.

Thanks,
Shane Kelly

Asset #	Description	Acq. Cost	NBV	Date
254404	Heater	\$22,600.01	0.00	2002



Signature (Assistant Director of CHTM)
Majeed Hayat


UNM CENTER *for* HIGH TECHNOLOGY MATERIALS

January 27, 2015

To: Inventory Control

From: Joel Straquadine, Administrative and Operations Manager
Center for High Technology Materials (CHTM)
Org. 433A

CHTM is requesting the disposal of Asset# N00021004, our GEN-2 refurbished Molecular Beam Epitaxy (MBE) unit.

The equipment was purchased in FY 2009 for use in our Nanofabrication Facility.

Due to a change in the type of research that CHTM is currently pursuing, a decrease in research grant funds and a significant decline in revenue generated by the use of our nanofabrication facility by outside entities we no longer have a use for a MBE unit that will have little to no utilization.

The MBE unit is complete and may still have a value to other research entities. CHTM would like to pursue a public auction of the equipment.

Attached is the Request for Deletion of Assets form and pictures of the unit.

If you have any questions please contact me at: 272-7671.

Sanjay Krishna
Director, Center for High Technology Materials

Asset #	Description	Acq. Cost	NBV	Date
N00021004	Goods GEN2	\$21,000	0.00	2009

New Mexico Compilation Commission

13-6-1 . Disposition of obsolete, worn-out or unusable tangible personal property.

A. The governing authority of each state agency, local public body, school district and state educational institution may dispose of any item of tangible personal property belonging to that authority and delete the item from its public inventory upon a specific finding by the authority that the item of property is:

- (1) of a current resale value of five thousand dollars (\$5,000) or less; and
- (2) worn out, unusable or obsolete to the extent that the item is no longer economical or safe for continued use by the body.

B. The governing authority shall, as a prerequisite to the disposition of any items of tangible personal property:

- (1) designate a committee of at least three officials of the governing authority to approve and oversee the disposition; and
- (2) give notification at least thirty days prior to its action making the deletion by sending a copy of its official finding and the proposed disposition of the property to the state auditor and the appropriate approval authority designated in Section 13-6-2 NMSA 1978, duly sworn and subscribed under oath by each member of the authority approving the action.

C. A copy of the official finding and proposed disposition of the property sought to be disposed of shall be made a permanent part of the official minutes of the governing authority and maintained as a public record subject to the Inspection of Public Records Act [Chapter 14, Article 2 NMSA 1978].

D. The governing authority shall dispose of the tangible personal property by negotiated sale to any governmental unit of an Indian nation, tribe or pueblo in New Mexico or by negotiated sale or donation to other state agencies, local public bodies, school districts, state educational institutions or municipalities or through the central purchasing office of the governing authority by means of competitive sealed bid or public auction or, if a state agency, through the surplus property bureau of the transportation services division of the general services department.

E. A state agency shall give the surplus property bureau of the transportation services division of the general services department the right of first refusal when disposing of obsolete, worn-out or unusable tangible personal property of the state agency.

F. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D or E of this section, the governing authority may sell or, if the property has no value, donate the property to any organization described in Section 501(c)(3) of the Internal Revenue Code of 1986.

G. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D, E or F of this section, it may order that the property be destroyed or otherwise permanently disposed of in accordance with applicable laws.

H. If the governing authority determines that the tangible personal property is hazardous or contains hazardous materials and may not be used safely under any circumstances, the property shall be destroyed and disposed of pursuant to Subsection G of this section.

I. No tangible personal property shall be donated to an employee or relative of an employee of a state agency, local public body, school district or state educational institution; provided that nothing in this subsection precludes an employee from participating and bidding for public property at a public auction.

J. This section shall not apply to any property acquired by a museum through abandonment procedures pursuant to the Abandoned Cultural Properties Act [18-10-1 to 18-10-5 NMSA 1978].

K. Notwithstanding the provisions of Subsection A of this section, the department of transportation may sell through public auction or dispose of surplus tangible personal property used to manage, maintain or build roads that exceeds five thousand dollars (\$5,000) in value. Proceeds from sales shall be credited to the state road fund. The department of transportation shall notify the department of finance and administration regarding the disposition of all property.

L. If the secretary of public safety finds that the K-9 dog presents no threat to public safety, the K-9 dog shall be released from public ownership as provided in this subsection. The K-9 dog shall first be offered to its trainer or handler free of charge. If the trainer or handler does not want to accept ownership of the K-9 dog, then the K-9 dog shall be offered to an organization described in Section 501(c)(3) of the Internal Revenue Code of 1986 free of charge. If both of the above fail, the K-9 dog shall only be sold to a qualified individual found capable of providing a good home to the animal.

History: 1953 Comp., § 6-1-7.1, enacted by Laws 1961, ch. 100, § 1; 1979, ch. 195, § 2; 1984, ch. 47, § 1; 1987, ch. 15, § 1; 1989, ch. 211, § 6; 1995, ch. 181, § 1; 1998, ch. 16, § 1; 2001, ch. 317, § 1; 2007, ch. 57, § 4; 2012, ch. 10, § 1; 2013, ch. 9, § 1.

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEM TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: September 23, 2015
TO: David W. Harris, EVP for Administration, COO & CFO
FROM: Chris Vallejos, Associate Vice President, Institutional Support Services
RE: Requested Approval

RECOMMENDED ACTION:

Recommend to the Board of Regents Finance and Facilities Committee the following:

1. Request for Capital Project Approval for Los Alamos Emergency Medical Services Classroom and Lab Renovation

Please see attached summary and renderings.

cc: Lisa Marbury, Executive Director, Institutional Support Services
R. Henrard, A. Coburn, M. Dion, C. Martinez, T. Murray Allred – PDC

**REQUEST FOR CAPITAL PROJECT APPROVAL for
Los Alamos Emergency Medical Services Classroom and Lab Renovation
UNIVERSITY OF NEW MEXICO
October 9, 2015**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for The Emergency Medical Services Classroom and Lab Renovation at the UNM Los Alamos Campus.

PROJECT DESCRIPTION:

The project will renovate and equip 2,206 net square feet of three existing classrooms and three storage rooms at the UNM Los Alamos Campus to provide simulation training labs, classrooms and additional equipment storage areas. The renovated space will accommodate Emergency Medical Technician, Paramedic and Police training as part of the UNM Los Alamos Emergency Response Degree Program. The renovation includes needed repairs to walls, replacement of lighting, floors and ceilings in the class labs. Ambulance and emergency room simulation will be constructed. Power and data outlets will be added to support the specified needs of EMT labs with simulation training, and existing HVAC units will be repaired. The scope of work also includes developing drainage for the north western exterior of Building 6 and repairing walls that have water damage.

PROJECT RATIONALE:

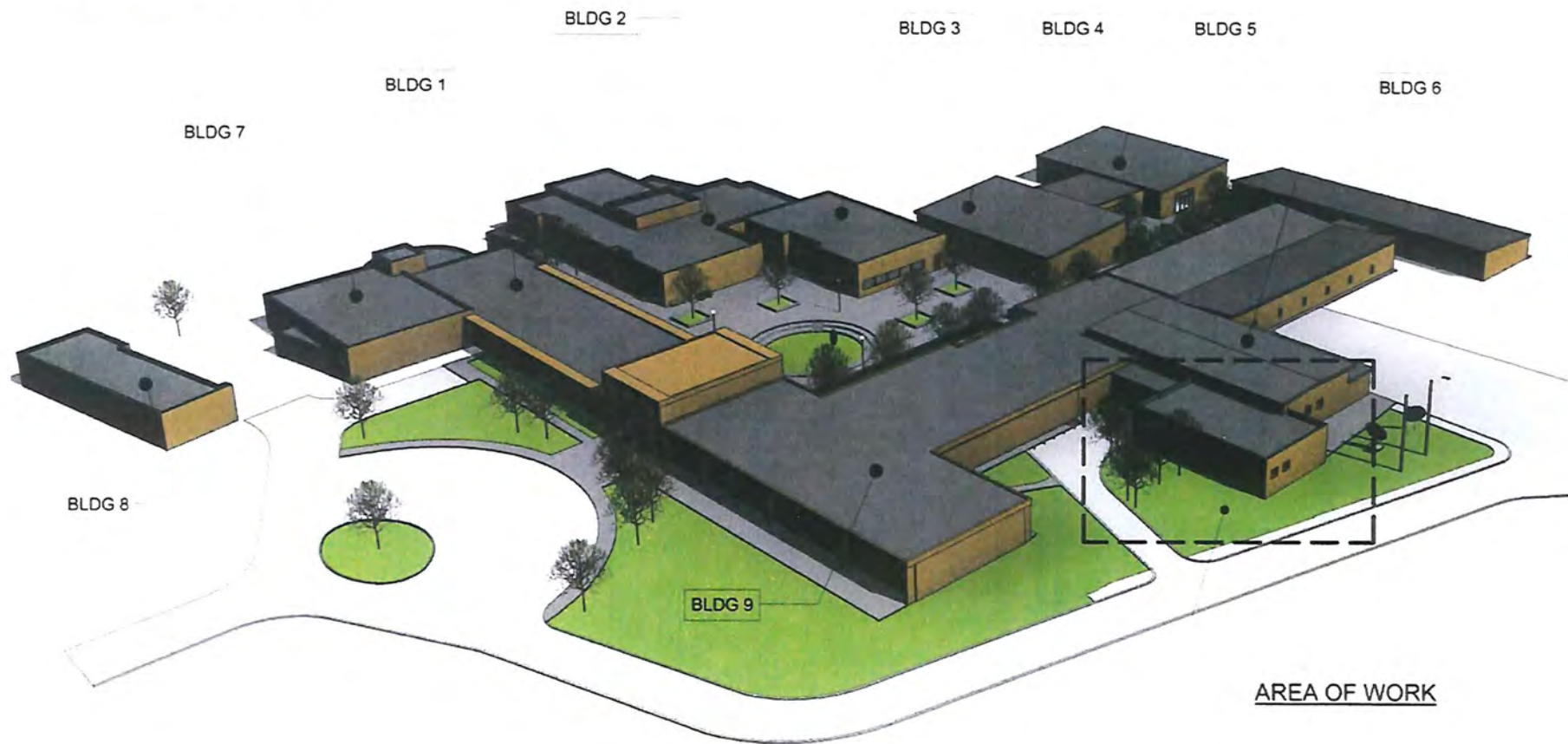
The EMS program is part of the UNM Los Alamos Emergency Response Degree Program initiated in 2012 to respond to employment demands, and provides training for careers in Fire, EMT, Police and Public Safety. The Emergency Medical Services program is in need of updated classroom and simulation space that reflects current standards of training to support the program. Currently, the program has limited classroom space and no simulation laboratory or simulation testing space. Additionally, civil engineering work is needed on the exterior of the building to prevent future water damage to the building.

FUNDING:

The total estimated Project Budget is \$625,000:

- \$500,000 is funded from 2014 State of New Mexico General Obligation Bonds
- \$125,000 is funded from Local Funds and donations

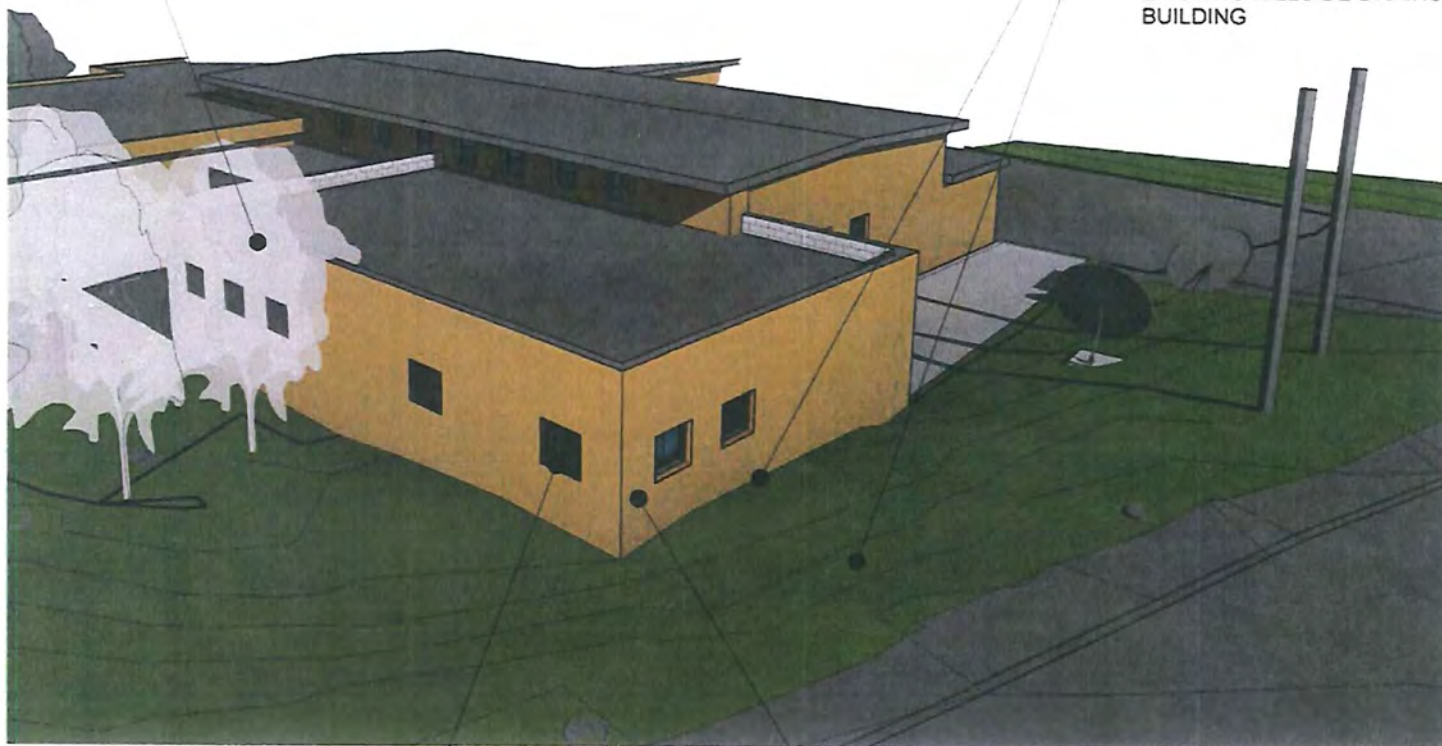
CAMPUS PERSPECTIVE



TREE ENCROACHES ON BUILDING

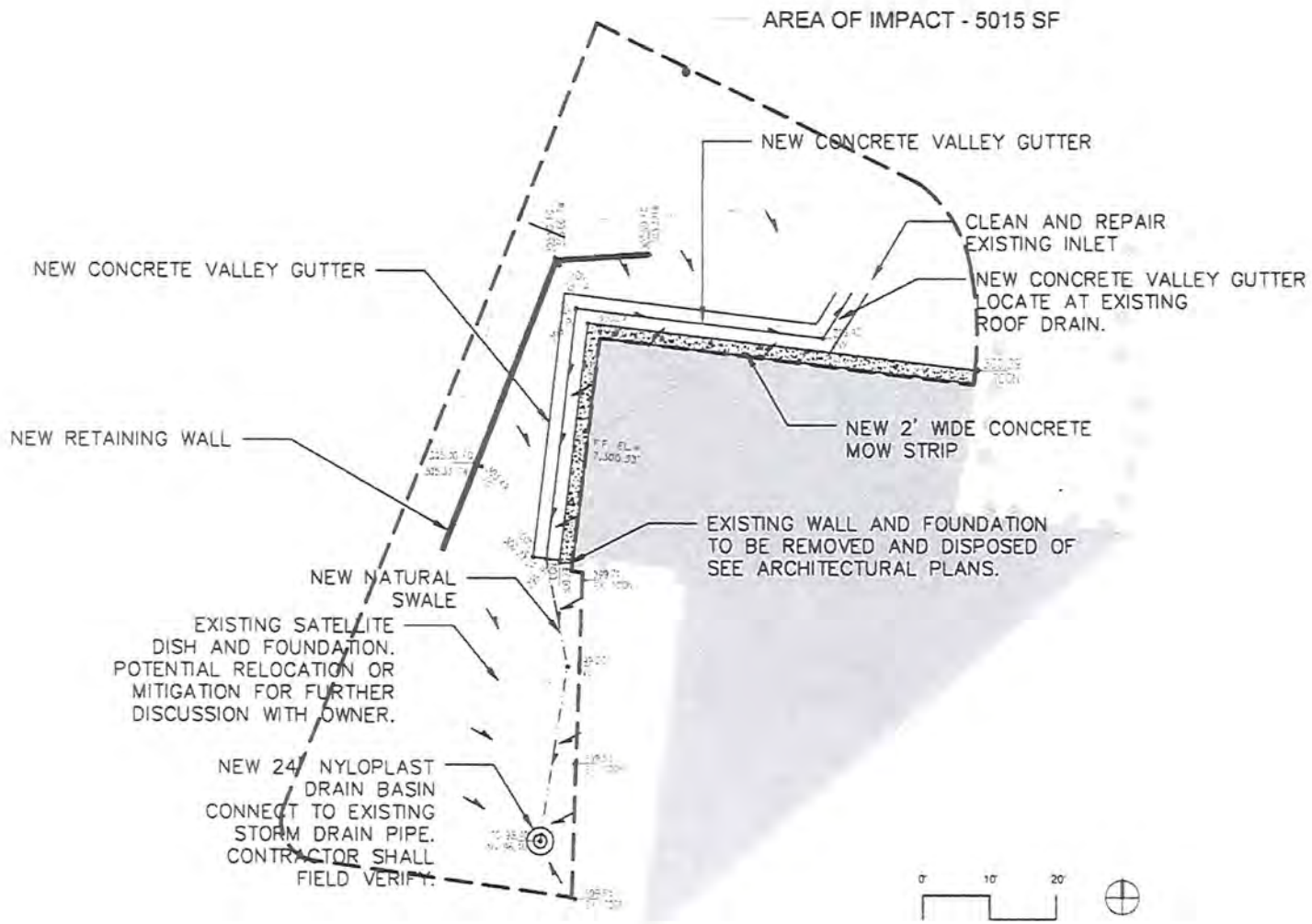
EXISTING GRADE AGAINST BUILDING

EXISTING HILLSIDE DRAINS TOWARD BUILDING



LEAKING WINDOW SILLS

EXISTING EXTERIOR DAMAGED / FAILING



— AREA OF IMPACT - 5015 SF

NEW CONCRETE VALLEY GUTTER

CLEAN AND REPAIR EXISTING INLET

NEW CONCRETE VALLEY GUTTER LOCATE AT EXISTING ROOF DRAIN.

NEW 2' WIDE CONCRETE MOW STRIP

EXISTING WALL AND FOUNDATION TO BE REMOVED AND DISPOSED OF SEE ARCHITECTURAL PLANS.

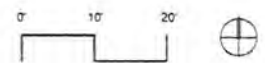
NEW CONCRETE VALLEY GUTTER

NEW RETAINING WALL

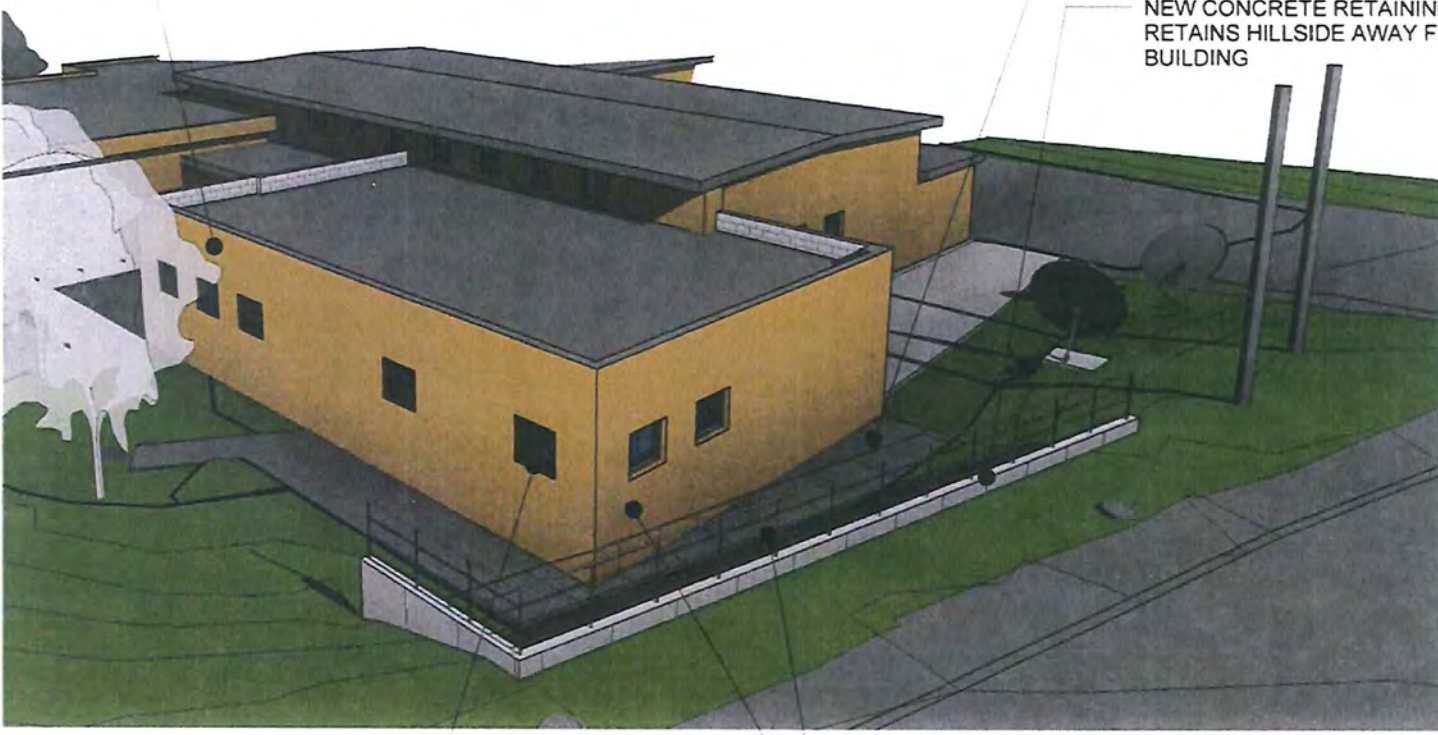
NEW NATURAL SWALE

EXISTING SATELLITE DISH AND FOUNDATION. POTENTIAL RELOCATION OR MITIGATION FOR FURTHER DISCUSSION WITH OWNER.

NEW 24" NYLOPLAST DRAIN BASIN CONNECT TO EXISTING STORM DRAIN PIPE. CONTRACTOR SHALL FIELD VERIFY.



TREE REMOVED



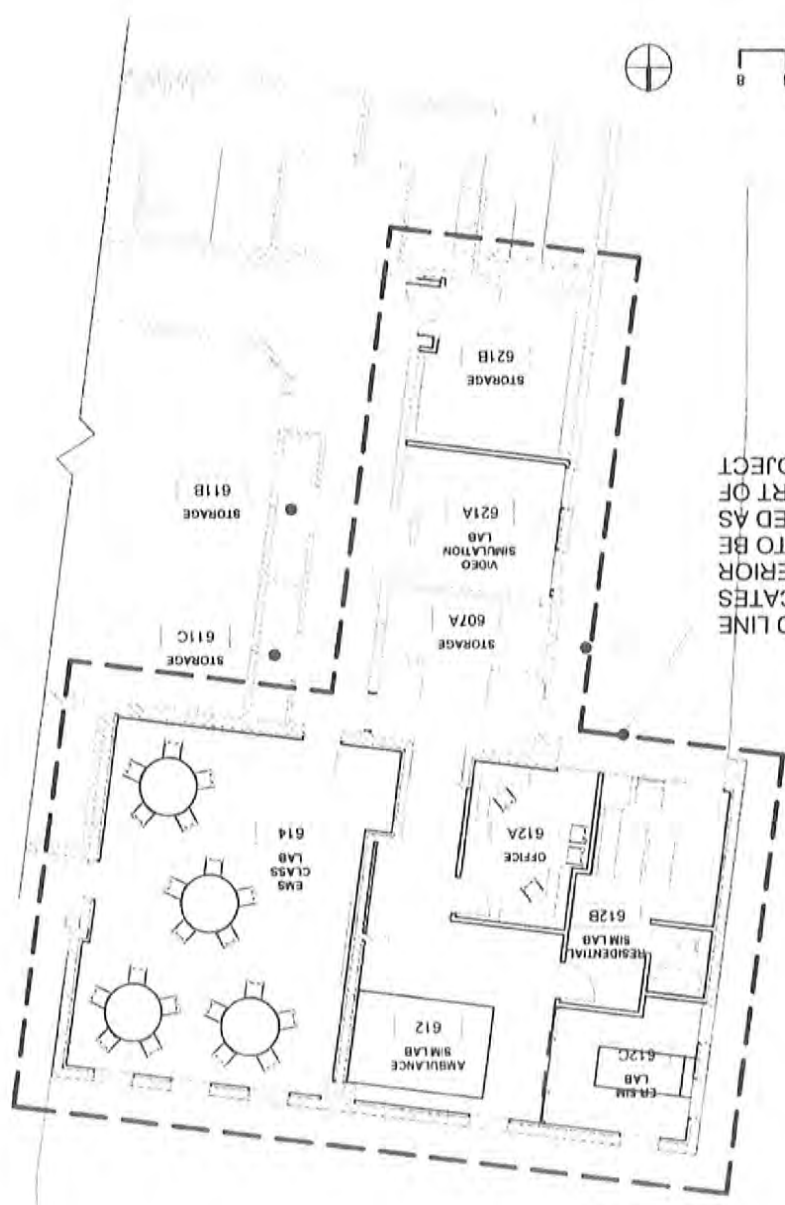
NEW CONCRETE APRON & SWALE,
SLOPED TO DRAIN AWAY FROM
BUILDING

NEW CONCRETE RETAINING WALL
RETAINS HILLSIDE AWAY FROM
BUILDING

SILL REPAIRED

NEW GUARDRAIL

EXISTING EXTERIOR REPAIRED



DASHED LINE
INDICATES
INTERIOR
AREAS TO BE
RENOVATED AS
PART OF
THIS PROJECT

LOBO ENERGY, INC.

August 25, 2015

David W. Harris
Executive Vice President for Administration, COO, CFO
The University of New Mexico
Scholes Hall, Rm. 109 MSC 05-3350
Albuquerque, NM 87131-0001

Dear E.V.P. Harris:

Lobo Energy, Incorporated has been providing services to The University of New Mexico since 1998 under a series of revised Agreements. The most current Revised Management Services Agreement (MSA) has been in effect for five years and expires November 1, 2015.

The two parties have drafted a Revised Management Services Agreement to become effective November 1, 2015, for a five-year term.

The Board of Directors of Lobo Energy is seeking approval of this Agreement by the Board of Regents. Lobo Energy requests that this matter be added to the agenda as an action item at a meeting of the Finance and Facilities Committee.

Sincerely,



Jason Strauss
President/C.E.O.

Attachment

**Management Services Agreement
between the
Board of Regents of
The University of New Mexico
and
Lobo Energy, Incorporated**

Revised Effective November 1, 2015

WHEREAS, the Regents of The University of New Mexico ("the University") desire the continued assistance and support of Lobo Energy, Incorporated ("Lobo Energy") in planning for future expansion of utilities systems and infrastructure, energy conservation, development, and other projects, and

WHEREAS, with respect to existing and any and all new utility systems and equipment, the University will continue to own, finance, operate, maintain and, in all respects, control the utility equipment and systems; and

WHEREAS, the Parties desire to enter into this Management Services Agreement to effectuate their mutual intent (the "Agreement")

NOW, THEREFORE, the University and Lobo Energy agree as follows:

I. SERVICES

1.1 UTILITY PROJECT MANAGEMENT SERVICES

1.1.1 The University will provide:

- a. financing and funding for implementation of projects following completion of planning by Lobo Energy;
- b. continuing contractual relationships with energy service suppliers, including the obligation to procure and pay for energy and services through normal public procurement processes for the University's service requirements;
- c. at its discretion, all resources capable of assisting and supporting Lobo Energy in its management and planning activities; and
- d. cooperation and support to Lobo Energy as it discharges its obligation to provide project management services.

The University shall maintain ownership and control of all aspects of the projects, and continuing ownership, operation and maintenance of its utility equipment, systems, and

Revised Management Services Agreement Between UNM and LEI – November 1, 2015

administration.

- 1.1.2 Lobo Energy shall provide assistance to the University, as requested, for the management and supervision of its contracts that provide for the:
- a. planning, design, engineering, contracting, energy conservation and commissioning services for assigned projects;
 - b. procurement of equipment and services in the course of implementing the projects;
 - c. management of the University's energy procurement strategies;
 - d. recommendations for obtaining cost-effective energy commodities and services from energy suppliers;
 - e. management of the University's energy-related regulatory issues;
 - f. recommendations for appropriate actions and strategies in response to regulatory opportunities or events;
 - g. administrative tools capable of providing utility operational budgeting, capital project budgeting, major maintenance budgeting, cash flow tracking, and energy savings tracking; and
 - h. assistance with developing the energy and administrative capabilities described in items a through g above.

1.2 OTHER MANAGEMENT AND PLANNING SERVICES

- 1.2.1 The University will provide:
- a. at its discretion, all resources capable of assisting and supporting Lobo Energy in its management and planning activities; and
 - b. cooperation and support to Lobo Energy as it discharges its obligation to provide project management services.

The University shall maintain continuing ownership, operation and maintenance of all infrastructure, buildings, commercial properties developed, and other assets for which Lobo Energy provides management services and planning activities;

- 1.2.2 Lobo Energy will provide at the University's request:
- a. commercial development planning services;
 - b. additional infrastructure development plans; and
 - c. other planning services as assigned.

- 1.2.3 Lobo Energy agrees to provide its cooperation and support to the University for the determination and provision of resources to all projects assigned to Lobo Energy.

II. REPORTING

Lobo Energy agrees to provide to the University:

- a. project management reports that: 1) reflect the status of budget, schedule, and administrative matters and 2) shall be mutually agreed upon and developed as the project informational needs are identified;

Revised Management Services Agreement Between UNM and LEI – November 1, 2015

- b. an annual financial audit performed by an independent certified public accounting firm selected according to policy set for the University and its component units by the State Auditor; and
- c. periodic reports and information reasonably requested regarding Lobo Energy's operations.

III. PAYMENT FOR SERVICES

- 3.1 Utility Project Management. In consideration for provision of utility-related project management services provided under Paragraph 1.1.2 of this Agreement, the University agrees to pay Lobo Energy forty thousand dollars (\$40,000) monthly for its expenses.
- 3.2 Energy Conservation Projects. In consideration for provision of Energy Conservation projects provided under Paragraph 1.1.2 of this Agreement, the University agrees to pay Lobo Energy forty-six thousand dollars (\$46,000) monthly for its expenses.
- 3.3 Procurement of Equipment and Services. In consideration for provision of Procurement of Equipment provided under Paragraph 1.1.2 of this Agreement, the University agrees to pay Lobo Energy ninety-four thousand, nine hundred and twenty-two dollars and nine cents (\$94,922.09) monthly to cover the debt service on the loan for the University second cogeneration unit. This agreement will continue until the debt service on the loan is full-filled.
- 3.4 Planning Services. In consideration for provision of commercial development planning activities, as well as other management and planning services provided under this Agreement, the University agrees to pay Lobo Energy a mutually-agreed-upon monthly fee for the duration of each project assigned to Lobo Energy by the University.
- 3.5 Payment of University Obligations to Lobo Energy. The University shall transfer all funds payable to Lobo Energy into the account it maintains for Lobo Energy pursuant to this Agreement. Lobo Energy may transfer funds from its University account to its bank checking account as needed.

IV. AMENDMENT OF MANAGEMENT SERVICES AGREEMENT

This Agreement and any attachment(s) may be amended at any time by mutual agreement of the Parties. The Regents of The University of New Mexico must approve all amendments. This Agreement supersedes the previous ISA and MSA agreements between Lobo Energy and the University.

V. TERM OF AGREEMENT

- 5.1 Primary Term. This Agreement shall be effective November 1, 2015, and shall continue for

Revised Management Services Agreement Between UNM and LEI – November 1, 2015

a term of five (5) years.

- 5.2 Extension of Primary Term. This Agreement shall continue for consecutive one-year periods after the expiration of its Primary Term, or until such time as it is terminated pursuant to Paragraph 5.3.
- 5.3 Termination of Agreement. The University may terminate this Agreement thirty-days (30 days) after written notice to Lobo Energy. Upon termination of this Agreement, all rights and privileges granted, assigned or shared between Lobo Energy and the University shall terminate. Upon termination of this Agreement all property of Lobo Energy shall transfer to the University subject to the prior rights, if any, of any creditors of Lobo Energy.

VI. DISPUTE RESOLUTION

The Parties agree that any disputes between Lobo Energy and the University shall be first discussed at the project-management level to attempt to resolve the conflict. Upon lack of agreement, utility matters shall be referred to the senior management of Lobo Energy and the Physical Plant Director for discussion and resolution. Upon lack of resolution regarding utility or other matters, the University's Executive Vice President for Administration shall hear the dispute and render the final decision. Both Parties agree to abide by that decision.

IN WITNESS HEREOF, the parties hereto have caused this Agreement to be executed.

Lobo Energy, Incorporated

The Regents of The University of New Mexico

By 
Jason Strauss, President

By _____
President

Date 9.22.2015

Date _____

Approved by UNM Board of Regents on: _____
Date




Office of Planning, Budget & Analysis

MEMORANDUM

TO: Members of the Board of Regents' Finance & Facilities Committee

THRU: Robert G. Frank, President
David W. Harris, EVP for Administration, COO and CFO

FROM: Andrew Cullen, Associate VP, Office of Planning, Budget & Analysis 

DATE: October 7, 2015

SUBJECT: **Approval of Reports for University Administrative Policy 7000 for Main and Branch Campuses – FY 2014/15**

Overview

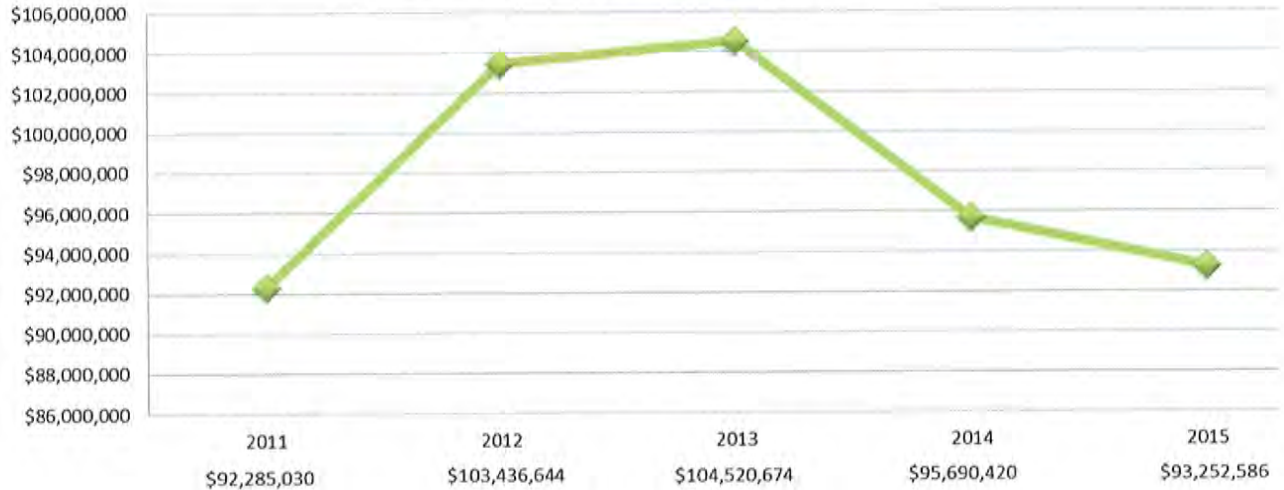
At the end of each fiscal year, the Office of Planning, Budget and Analysis (OPBA) reviews current unrestricted reserves in accordance with UAP 7000 and Regents' Policy 1.1 & 7.2 (Fiduciary Responsibility) and submits a report to the Board of Regents for approval. In this report, we explain changes in current unrestricted reserves for Main Campus and Branches and discuss year-end deficit balances over \$100,000 for Schools/Colleges/Departments.

The UAP 7000 Policy process begins when the fiscal year-end closes in late July. Each department submits the information to its respective College/School/Department, which in turn submits the information to the respective Vice President unit. Lastly, the Vice President unit reviews and submits the final report to the OPBA. The process also includes a requirement to categorize fund reserves in terms of committed, dedicated, and discretionary, and as referenced above to report on deficit balances greater than \$100,000. The policy regarding deficit balances also includes a requirement to document a deficit reduction plan.

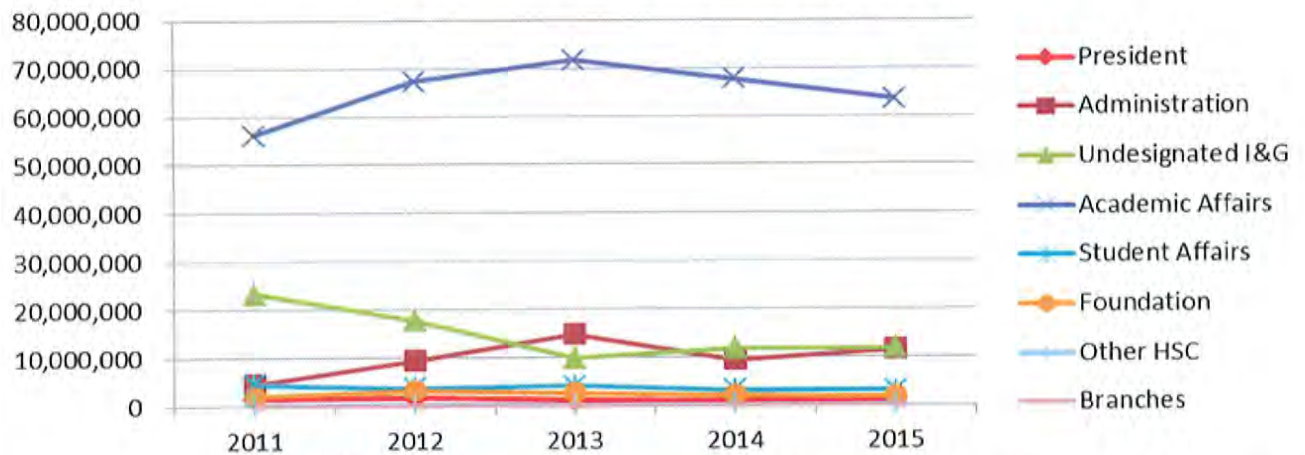
Summary Graphs & Schedules

Unrestricted Funds: As the following three graphs depict, overall university reserves for Main Campus unrestricted funds have declined approximately \$2.4 million to \$93.3 million. The overall decrease can be attributed to a decrease of approximately \$4.3 million in Academic Affairs reserves, which were partially offset by an increase in the University's Undesignated Reserve fund. Although minimal overall, the strategic use of reserves is prudent and should persist during the current fiscal year.

Main Campus Fiscal Year 2015 End Reserves All Current Unrestricted Funds

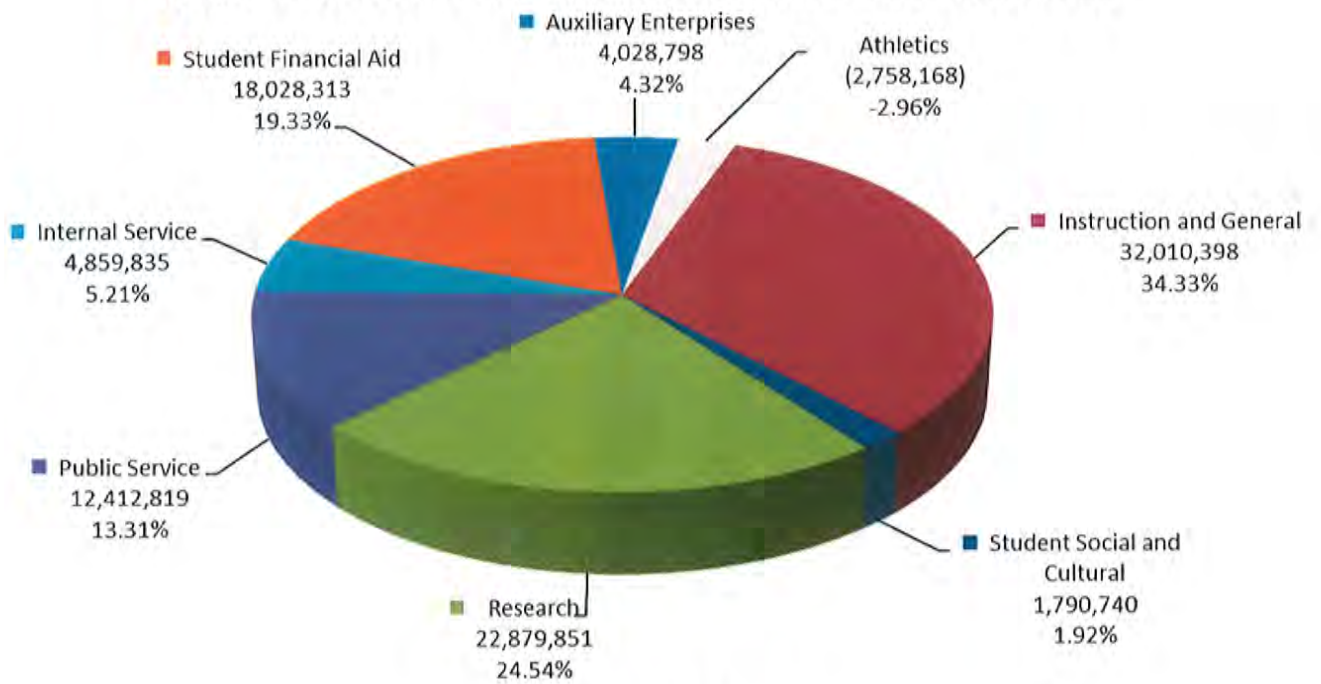


Main Campus Fiscal Year 2015 End Reserves All Current Unrestricted Funds



	2011	2012	2013	2014	2015
President	1,392,760	1,761,828	1,205,683	1,061,053	1,242,960
Administration	4,553,124	9,487,233	14,841,608	9,410,945	11,609,129
Undesignated I&G	23,396,046	17,778,208	9,780,281	11,926,672	11,830,769
Academic Affairs	56,120,393	67,257,851	71,558,386	67,713,436	63,453,737
Student Affairs	4,579,669	3,789,515	4,200,339	3,219,668	3,034,856
Foundation	2,028,283	3,151,743	2,708,572	2,076,599	1,802,649
Other HSC	208,410	200,024	215,814	268,420	261,273
Branches	6,344	10,242	9,991	13,627	17,212
Grand Total	92,285,030	103,436,644	104,520,674	95,690,420	93,252,586

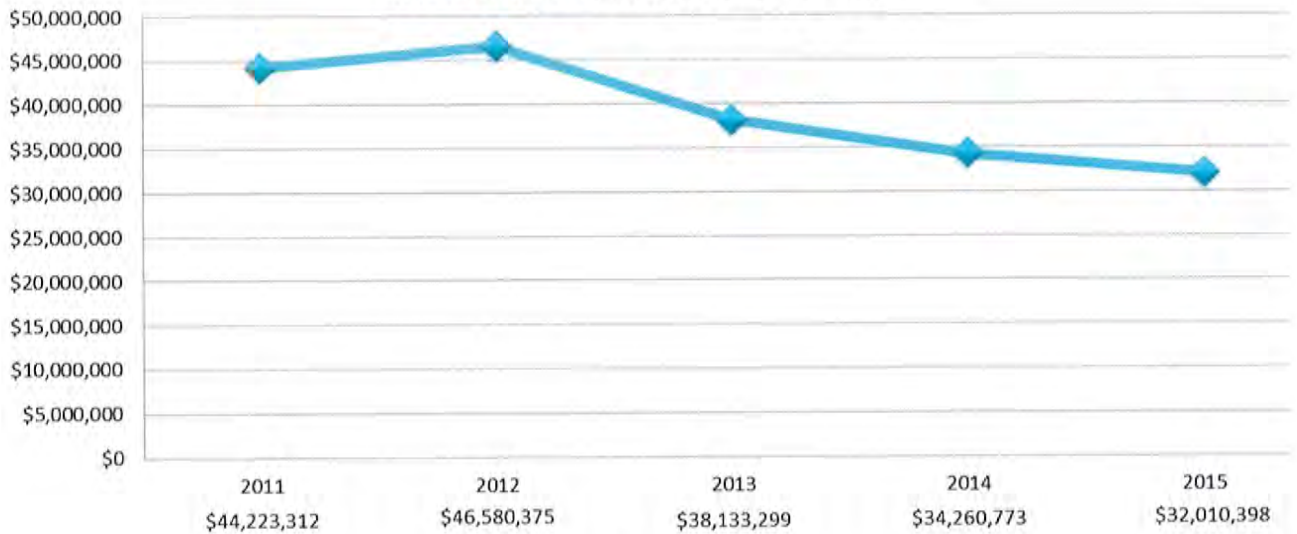
UNM Main Campus Fiscal Year 2015 Current Unrestricted End Reserves



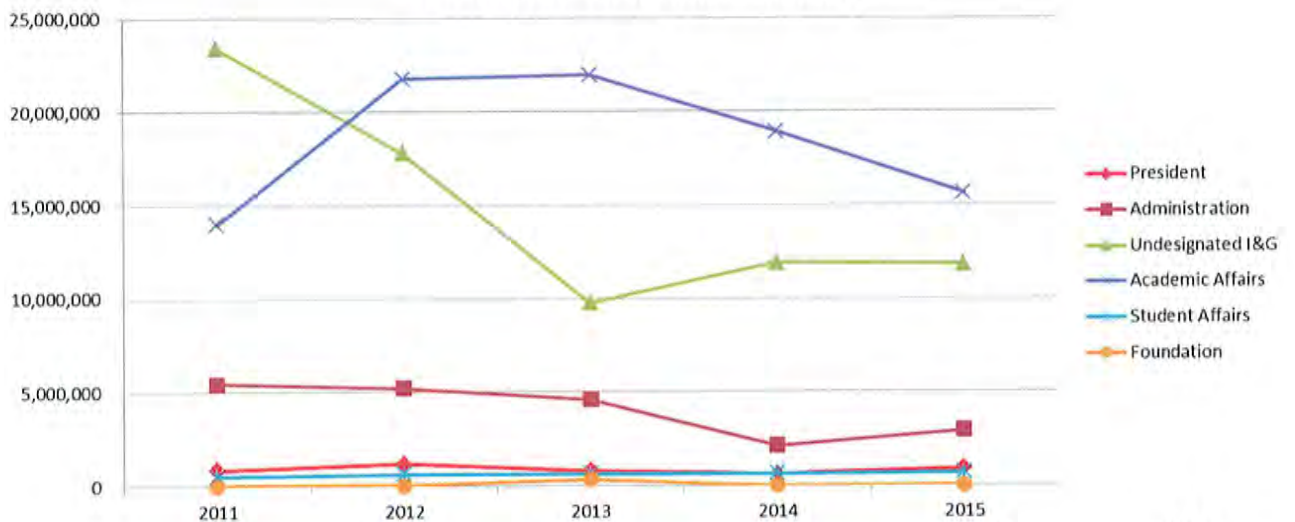
Total Reserves \$93,252,586

Instruction & General Funds (I&G): As depicted in the following three graphs, an analysis of overall Main Campus I&G funds reveals a third consecutive year-over-year decline in reserves from a high in FY12 of \$46.6 million to approximately \$32.0 million in FY15. The reasoning mimics that of the overall unrestricted funds reserve, with Academic Affairs reserves declining \$3.3 million. These decreases were offset by growth in reserves within the President’s organization and the Administration. Within the Administration, the reserve growth was largely due to reserve increases within the Safety & Risk Services, Human Resources, and Physical Plant Departments. Reserves within other divisions, including Student Affairs and the Foundation, remain largely unchanged from FY14.

Main Campus Fiscal Year 2015 End Reserves Instruction and General Funds

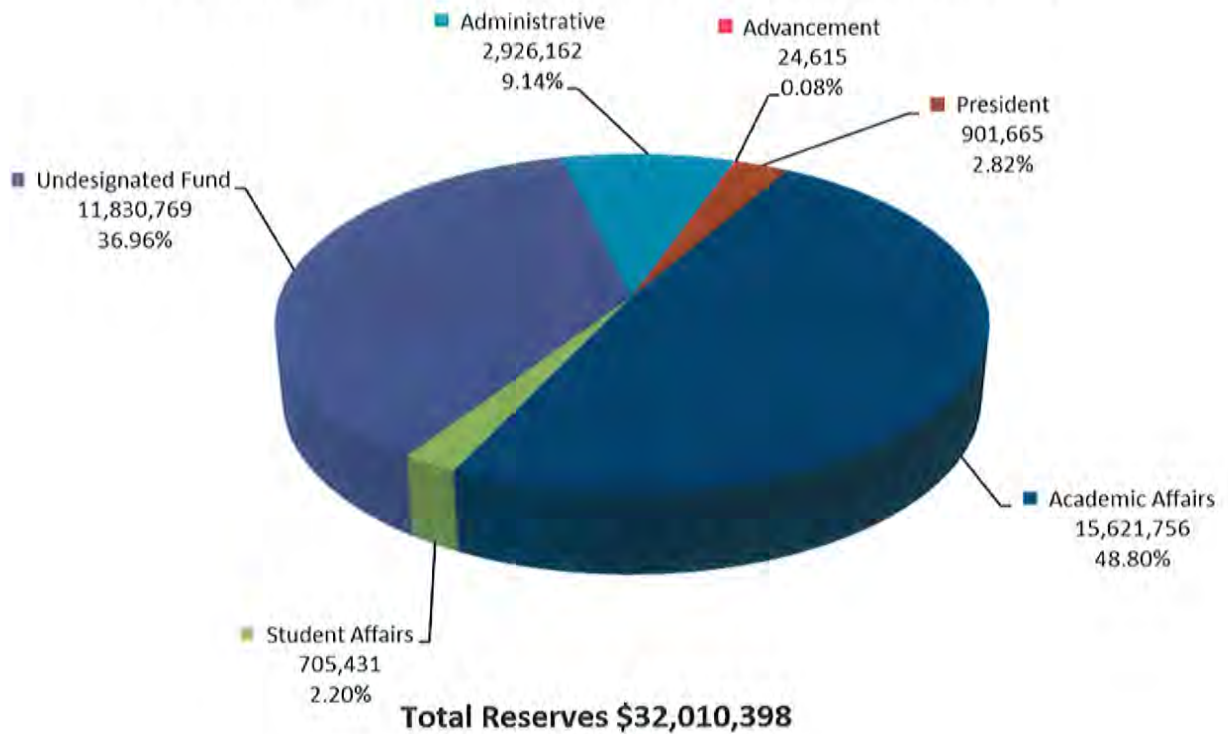


Main Campus Fiscal Year 2015 End Reserves Instruction and General Funds



	2011	2012	2013	2014	2015
President	853,904	1,180,305	793,089	655,855	901,665
Administration	5,446,248	5,209,346	4,609,891	2,123,355	2,926,162
Undesignated I&G	23,396,046	17,778,208	9,780,281	11,926,672	11,830,769
Academic Affairs	13,966,061	21,761,974	21,965,002	18,905,356	15,621,756
Student Affairs	557,796	642,388	647,449	648,770	705,431
Foundation	3,257	8,154	337,587	765	24,615
Grand Total	44,223,312	46,580,375	38,133,299	34,260,773	32,010,398

UNM Main Campus Fiscal Year 2015 Instruction & General End Reserves

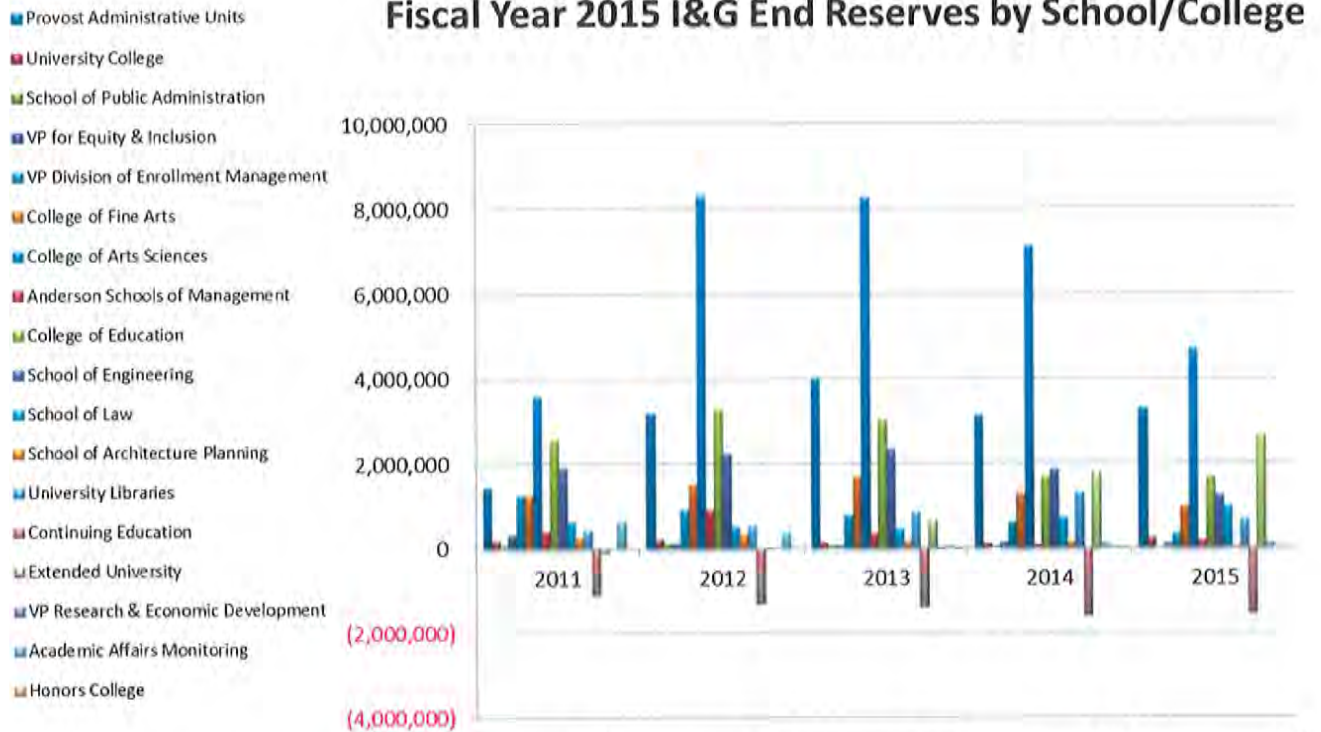


Academic Affairs Reserves by School/College

As stated in previous reserve reports, an important metric regarding the ultimate health of the university, defined as broadly as possible, lies primarily with the operations of our schools and colleges. With this in mind, the following graph is a useful snapshot of the fiscal health of these entities and, more specifically, their ability to move forward with one-time college initiatives such as minor capital projects, faculty start-up packages, and bridge funding for faculty hires.

As the chart below depicts, overall I&G balances within the University's schools and colleges declined approximately \$3.3 million. The decline was driven by reserve decreases in the College of Arts & Sciences, Fine Arts, the School of Engineering, and University Libraries. These decreases were offset by a significant reserve increase in Extended University and to a lesser extent in the School of Law.

Academic Affairs Fiscal Year 2015 I&G End Reserves by School/College



	2011	2012	2013	2014	2015
Provost Administrative Units	1,435,516	3,188,690	4,016,610	3,151,146	3,313,670
University College	177,430	212,730	129,543	111,972	235,009
School of Public Administration	78,224	123,555	79,468	46,297	22,007
VP for Equity & Inclusion	329,254	115,033	54,707	124,801	112,091
VP Division of Enrollment Management	1,257,150	911,465	777,626	609,491	325,584
College of Fine Arts	1,246,290	1,511,413	1,687,853	1,278,523	986,878
College of Arts Sciences	3,602,838	8,339,102	8,271,722	7,128,467	4,721,635
Anderson Schools of Management	407,267	909,050	356,803	83,904	195,679
College of Education	2,587,116	3,293,744	3,023,596	1,701,649	1,668,962
School of Engineering	1,923,705	2,238,071	2,333,788	1,858,298	1,260,157
School of Law	633,582	499,625	443,565	709,869	973,037
School of Architecture Planning	258,593	346,562	192,989	154,850	45,567
University Libraries	436,444	532,617	846,774	1,303,095	677,353
Continuing Education	(1,091,929)	(1,294,963)	(1,378,355)	(1,593,146)	(1,536,975)
Extended University	(105,462)	(18,473)	654,372	1,772,536	2,667,970
VP Research & Economic Development	11,516	12,346	(10,314)	101,556	136,604
Academic Affairs Monitoring	644,057	371,382	49,088	41,357	50,632
Honors College	6,763	8,482	(25,643)	(30,024)	12,766
UNM West and Branch Initiatives	127,706	461,541	460,809	350,715	(246,871)
Grand Total	13,966,061	21,761,974	21,965,002	18,905,356	15,621,756

The previous graphs provide an excellent visual trend analysis: reserves are declining overall at the University of New Mexico, but remain somewhat uneven across schools, colleges, and departments. Given the size and growth of reserves, however, a closer analysis is prudent, with the table on the following page quantifying *reserves as a percent of budget*. This analysis reveals that for many schools, colleges, and departments, reserves have reached substantial levels. As stated earlier, these reserves should be put to use with the possible exception of the Undesignated Reserve, which is

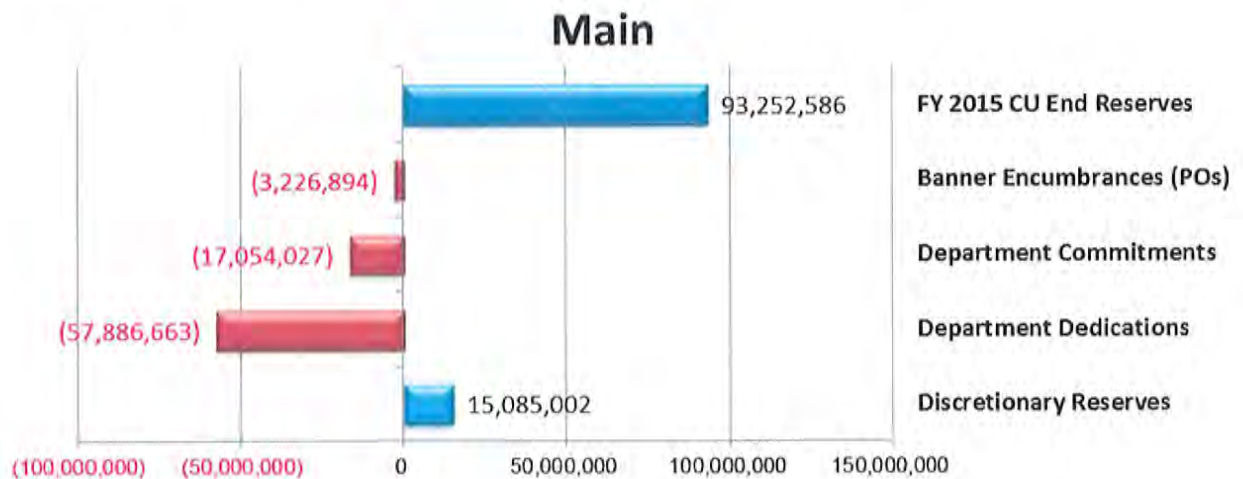
at an acceptable level according to the Higher Education Department (HED) parameters during times of “financial uncertainty.”

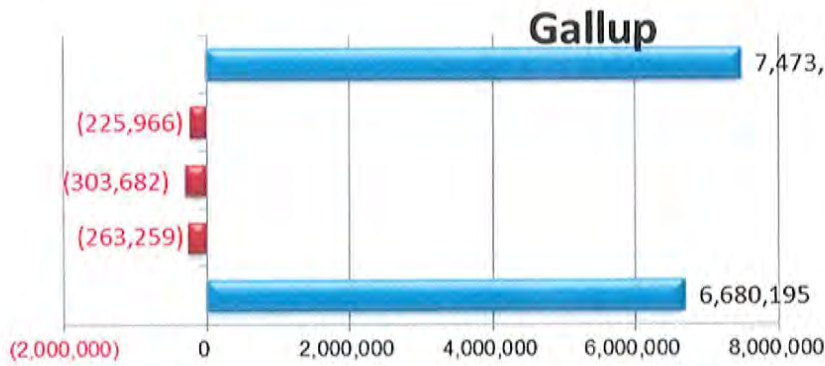
Fiscal Year 2015 Main Campus End Reserves as a Percentage of Budget

College/School/Division	Instruction & General	Current Unrestricted
President	13.67%	17.35%
Academic Affairs	7.83%	20.06%
Student Affairs	10.40%	11.12%
Undesignated Fund	24.67%	21.18%
Administrative	4.26%	4.49%
Advancement	3.10%	210.83%
Other HSC and Branches	0.00%	23.96%

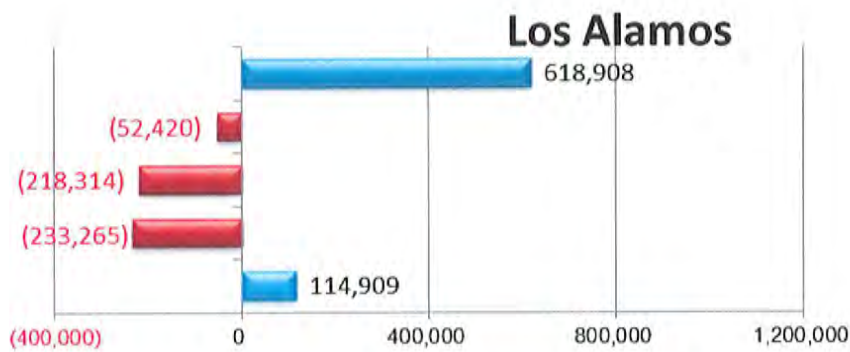
Categorization of Reserves

The following charts suggest these reserves are programmed to be spent, as schools, colleges, and departments categorized their reserves as committed, dedicated, or discretionary in accordance with UNM Policy 7000. In the case of Main Campus, departments documented plans suggest a continued drawdown of reserves in FY16. In the case of the branch campuses, significant discretionary reserves have accumulated as a percent of overall reserves as the Branch Campuses continue to experience volatile enrollments, suggesting the need for healthy discretionary reserves.

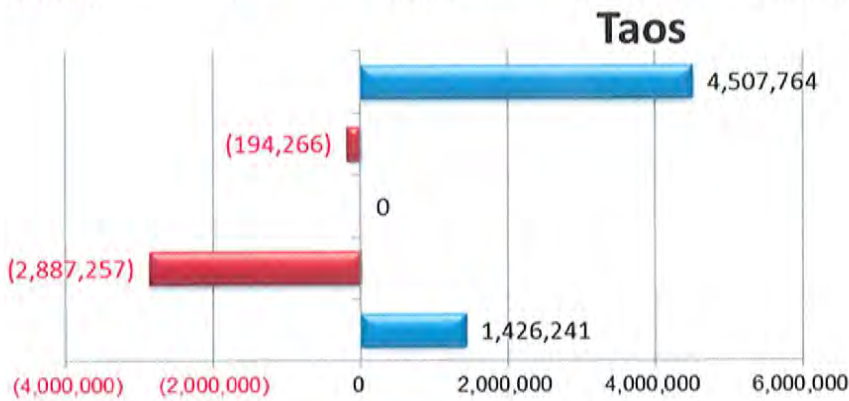




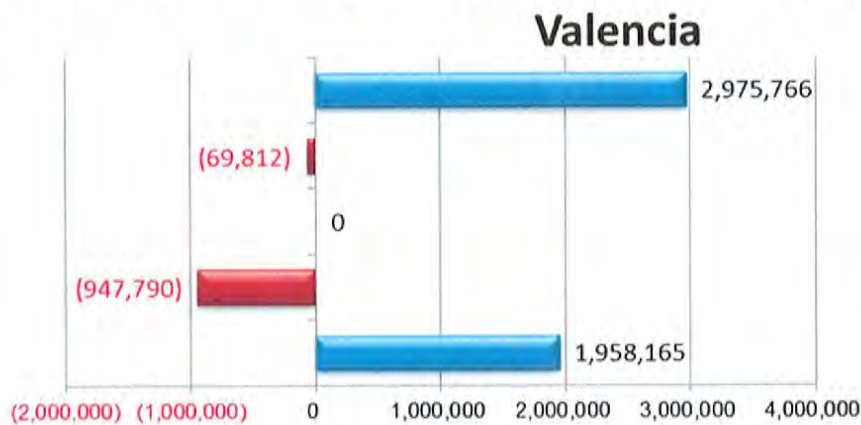
FY 2015 CU End Reserves
 Banner Encumbrances (POs)
 Department Commitments
 Department Dedications
 Discretionary Reserves



FY 2015 CU End Reserves
 Banner Encumbrances (POs)
 Department Commitments
 Department Dedications
 Discretionary Reserves



FY 2015 CU End Reserves
 Banner Encumbrances (POs)
 Department Commitments
 Department Dedications
 Discretionary Reserves






FY 2015 CU End Reserves
 Banner Encumbrances (POs)
 Department Commitments
 Department Dedications
 Discretionary Reserves

Deficit Balances

A final component of Policy 7000 includes a summary of current unrestricted deficits in excess of \$100,000. The chart below represents these deficits, the responsible entities, and a trend analysis/depiction since last reported as of 6/30/2014.

UAP 7000--Main Campus--FYE 2015

Summary of Current Unrestricted Deficits \$100,000 or More

College/Division	Ending Reserves June 30, 2015	Change FY14 to FY15
UNM Press	(5,836,225)	
Continuing Education	(941,256)	
Athletics	(2,758,168)	



Overall deficit balance grew



Overall deficit balance decreased



Deficit stayed the same

As the table shows, Continuing Education and Athletics have reduced their deficits while the University Press deficit grew approximately \$729K to just under \$6.0 million.

Detail Schedules: The graphs and tables presented were built upon the following UAP 7000 Policy detailed reports, which are included for your use:

- Attachment I—Summary of Current Unrestricted Reserves: Details current unrestricted reserves according to underlying use.
- Attachment II—Summary of Current Unrestricted Reserves by College/School/Division: Provides a further breakdown of unrestricted reserves by college/school/division.
- Attachment III—Summary of Main Campus I&G Reserves by VP Unit: Compares fiscal year ending reserves and provides explanations for changes.
- Attachment IV—Summary of Main Campus I&G Undesignated Fund Reserves: Details change in the central reserve.
- Attachment V—Main and Branch Summary of Current Unrestricted Deficits of \$100,000 or more by College/Division: Lists the deficits and the organizations responsible for them.

- Attachment VI—Summary of I&G Reserves as a Percentage of Budget by College/School/Division.
- Attachment VII—Summary of Current Unrestricted Reserves as a Percentage of Budgets by College/School/Division.
- Attachment VIII—Summary of Categorization of I&G and Non I&G Fund Reserves by College/School/Division.

We look forward to meeting with you to provide a high level overview of the materials contained in this report, and to answer any specific questions you might have regarding any of the graphs and/or spreadsheets. Thank you for your consideration.

**Attachment I--Summary of Current Unrestricted Reserves
June 30, 2015**

Main Campus	Reserve June 30, 2014	Reserve June 30, 2015	Change FY 2014-2015
Instruction and General	34,260,773	32,010,398	(2,250,375)
Student Social and Cultural	1,650,522	1,790,740	140,218
Research	25,070,935	22,879,851	(2,191,084)
Public Service	12,090,379	12,412,819	322,440
Internal Services	3,723,938	4,859,835	1,135,897
Student Financial Aid	16,868,732	18,028,313	1,159,581
Auxiliary Enterprises	4,786,863	4,028,798	(758,065)
Athletics	(2,761,721)	(2,758,168)	3,554
Total	95,690,421	93,252,586	(2,437,834)

Branches	Reserve June 30, 2014	Reserve June 30, 2015	Change FY 2014-2015
Instruction and General	12,602,733	12,859,948	257,215
Student Social and Cultural	427,943	476,028	48,085
Public Service	709,613	807,227	97,614
Internal Services	215,535	122,852	(92,683)
Student Financial Aid	919,977	766,798	(153,179)
Auxiliary Enterprises	1,035,788	542,687	(493,100)
Total	15,911,588	15,575,540	(336,048)

Attachment II--Summary of Current Unrestricted Reserves by College/School/Division

UAP 7000 - Main and Branch Campuses - Current Unrestricted Reserves, FYE 2015									FY 2015	FY 2014	
College/School/Division	Instruction and General	Student Social and Cultural	Research	Public Service	Internal Services	Student Aid	Auxiliaries	Athletics	Total Current Unrestricted	Total Current Unrestricted	Net Change
President's Office	108,066.38	0.00	0.00	5,582.19	0.00	21,936.37	0.00	0.00	135,584.94	26,836.99	108,747.95
University Counsel Office	223,503.98	0.00	103,796.16	0.00	0.00	0.00	0.00	0.00	327,300.14	215,585.03	111,715.11
Office of Equal Opportunity	105,207.52	0.00	0.00	0.00	0.00	0.00	0.00	0.00	105,207.52	46,181.47	59,026.05
University Communication & Marketing	322,293.07	0.00	0.00	4,017.78	2,478.27	0.00	0.00	0.00	328,789.12	432,449.00	(103,659.88)
Compliance Office	22,417.65	0.00	0.00	0.00	0.00	0.00	0.00	0.00	22,417.65	8,958.55	13,459.10
Alumni Relations	86,289.89	0.00	0.00	109,295.71	0.00	94,188.67	0.00	0.00	289,774.27	294,695.96	(4,921.69)
Internal Audit Department	33,886.45	0.00	0.00	0.00	0.00	0.00	0.00	0.00	33,886.45	36,346.35	(2,459.90)
RWJ Center for Health Policy	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Global Education Office	427,552.45	5,869.31	0.00	691,923.64	18,865.65	10,428.71	0.00	0.00	1,154,639.76	634,209.50	520,430.26
Harwood Foundation	31,591.18	0.00	4,469.15	201,824.86	0.00	139,262.25	6,886.48	0.00	384,033.92	350,597.84	33,436.08
Optical Science and Engineering	13,983.84	0.00	0.00	0.00	0.00	0.00	0.00	0.00	13,983.84	16,004.22	(2,020.38)
UC Advisement Center		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Office of Support for Effective Teaching	107,550.17	0.00	0.62	0.00	0.00	22,403.23	0.00	0.00	129,954.02	91,179.06	38,774.96
Nano Science & Micro Systems	74,784.66	0.00	0.00	0.00	0.00	0.00	0.00	0.00	74,784.66	66,077.19	8,707.47
Parent Association	3,734.38	0.00	0.00	43,896.30	0.00	1,880.42	0.00	0.00	49,511.10	78,899.40	(29,388.30)
Undergraduate Education Support	7,274.14	0.00	0.00	0.00	0.00	0.00	0.00	0.00	7,274.14	5,947.14	1,327.00
Institutional Research	(19,358.70)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(19,358.70)	13,635.80	(32,994.50)
KUNM	0.00	0.00	0.00	264,371.78	0.00	0.00	0.00	0.00	264,371.78	187,947.81	76,423.97
University Press	0.00	0.00	0.00	(5,855,632.92)	0.00	19,408.30	0.00	0.00	(5,836,224.62)	(5,107,013.63)	(729,210.99)
Graduate Studies	1,423,817.97	0.00	50,784.46	45,522.47	0.00	276,214.05	0.00	0.00	1,796,338.95	2,001,103.58	(204,764.63)
CAPS	15,642.07	0.00	0.00	2,912.50	0.00	0.00	0.00	0.00	18,554.57	101,113.70	(82,559.13)
Latin American Iberian Institute	74,591.59	0.00	10,120.83	119,583.93	0.00	100,907.84	0.00	0.00	305,204.19	255,980.10	49,224.09
Provost Office Staff	1,202,951.56	0.00	18,257.05	182,954.91	0.00	95,402.73	0.00	0.00	1,499,566.25	1,046,751.21	452,815.04
Spanish Colonial Research Center	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CARS	0.00	0.00	0.00	0.00	33,876.27	0.00	0.00	0.00	33,876.27	38,364.87	(4,488.60)
Office of the University Secretary	(50,445.77)	0.00	72,132.11	0.00	0.00	0.00	0.00	0.00	21,686.34	(6,075.20)	27,761.54
University College	235,009.07	8,053.30	1,294.26	76,239.64	0.00	33,143.48	0.00	0.00	353,739.75	192,013.52	161,726.23
School of Public Administration	22,007.12	0.00	11,837.75	24,465.11	0.00	163,080.82	0.00	0.00	221,390.80	253,807.27	(32,416.47)
VP for Equity & Inclusion	112,090.61	71,620.03	358.00	3,010.40	0.00	0.00	0.00	0.00	187,079.04	154,578.77	32,500.27
VP Division of Enrollment Mgmt	325,583.61	0.00	0.00	558,621.27	0.00	3,407,554.76	0.00	0.00	4,291,759.64	4,107,413.98	184,345.66
College of Fine Arts	986,878.17	209,440.84	60,809.37	1,071,891.84	36,304.37	1,222,423.44	3,811.06	0.00	3,591,559.09	3,824,718.69	(233,159.60)
College of Arts Sciences	4,721,635.26	0.00	8,387,340.44	2,990,440.11	210,799.39	3,585,794.45	(45,782.80)	0.00	19,850,226.85	22,020,303.90	(2,170,077.05)
Anderson Schools of Management	195,678.70	0.00	125,892.08	410,246.41	0.00	1,879,513.69	0.00	0.00	2,611,330.88	2,350,352.08	260,978.80
College of Education COE	1,668,962.08	0.00	788,105.71	444,657.67	0.00	732,450.19	0.00	0.00	3,634,175.65	4,038,826.05	(404,650.40)
School of Engineering	1,260,156.98	645.81	5,688,110.72	1,973,178.22	15,976.69	1,869,769.19	0.00	0.00	10,807,837.61	11,881,000.70	(1,073,163.09)
School of Law	973,036.63	56,515.37	352,829.27	2,205,909.72	0.00	1,683,238.62	0.00	0.00	5,271,529.61	5,427,598.70	(156,069.09)
School of Architecture Planning	45,567.19	0.00	160,235.79	466,554.98	0.00	966,759.87	0.00	0.00	1,639,117.83	1,585,841.56	53,276.27

Attachment II--Summary of Current Unrestricted Reserves by College/School/Division

UAP 7000 - Main and Branch Campuses - Current Unrestricted Reserves, FYE 2015									FY 2015	FY 2014	
College/School/Division	Instruction and General	Student Social and Cultural	Research	Public Service	Internal Services	Student Aid	Auxiliaries	Athletics	Total Current Unrestricted	Total Current Unrestricted	Net Change
University Libraries	677,353.37	0.00	61,075.60	363,061.63	0.00	289,270.63	0.00	0.00	1,390,761.23	1,961,235.33	(570,474.10)
Continuing Education	(1,536,974.74)	0.00	424,995.36	20,082.09	0.00	117,540.87	33,100.12	0.00	(941,256.30)	(1,113,169.94)	171,913.64
Extended University	2,667,970.48	0.00	12,924.07	520.22	100,941.17	0.00	0.00	0.00	2,782,355.94	1,845,063.57	937,292.37
VP Research & Economic Development	136,604.10	15,181.86	6,133,658.06	1,176,225.52	387,263.97	222,073.87	0.00	0.00	8,071,007.38	8,936,908.42	(865,901.04)
Provost Monitoring	50,631.83	0.00	0.00	(370,589.84)	125,704.76	0.00	115,708.51	0.00	(78,544.74)	3,601.29	(82,146.03)
Honors College	12,766.49	0.00	33,438.13	39,807.95	0.00	32,328.08	0.00	0.00	118,340.65	117,903.61	437.04
UNM West	(246,870.72)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(246,870.72)	350,715.44	(597,586.16)
VP Student Affairs Administration	80,126.65	43,481.10	130,204.22	124,634.79	0.00	3,158.09	0.00	0.00	381,604.85	680,010.79	(298,405.94)
VP Student Affairs Independent Depts.	515,398.12	118,199.14	0.00	221,815.95	0.00	121,646.61	(68,323.11)	0.00	908,736.71	920,614.74	(11,878.03)
Associate VP Student Services	90,082.45	4,217.98	0.33	130,217.30	0.00	39,331.34	0.00	0.00	263,849.40	402,246.43	(138,397.03)
Associate VP Student Life	19,823.90	1,176,021.23	0.00	121,895.72	0.00	133,967.14	28,957.31	0.00	1,480,665.30	1,216,796.30	263,869.00
EVP of Administration Office	35,003.34	0.00	0.00	95,386.34	0.00	55,299.56	0.00	0.00	185,689.24	175,201.10	10,488.14
Board of Regents	33,142.55	0.00	0.00	0.00	0.00	0.00	0.00	0.00	33,142.55	106,939.36	(73,796.81)
Undesignated I&G Balance and Other Monitoring Accounts	11,830,769.24	0.00	0.00	0.00	1,485,115.74	0.00	0.00	0.00	13,315,884.98	13,312,778.05	3,106.93
Police Department	89,461.09	0.00	0.00	0.00	0.00	0.00	0.00	0.00	89,461.09	130,111.41	(40,650.32)
Risk Management	1,241,654.53	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1,241,654.53	1,010,653.86	231,000.67
Budget Office	2,247.98	0.00	0.00	0.00	0.00	2,436.00	0.00	0.00	4,683.98	3,552.08	1,131.90
UNM Policy Office	51,413.02	0.00	0.00	0.00	0.00	0.00	0.00	0.00	51,413.02	93,082.48	(41,669.46)
Intercollegiate Athletics	(31,462.70)	0.00	0.00	1,267,788.67	0.00	332,583.64	1,072.77	(2,758,167.66)	(1,188,185.28)	(1,772,889.52)	584,704.24
Financial Services	162,394.62	0.00	244,072.87	159,199.33	663,955.38	0.00	0.00	0.00	1,229,622.20	(155,721.13)	1,385,343.33
Associate VP for Facilities Mgmt	0.85	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.85	0.85	0.00
Human Resources	127,662.85	0.00	0.00	28,679.55	172,654.87	0.00	0.00	0.00	328,997.27	38,285.42	290,711.85
VP Institutional Support Services	1,126,980.94	31,696.91	3,133.89	1,183,293.62	(140,511.66)	297,310.70	3,953,367.94	0.00	6,455,272.34	7,552,063.18	(1,096,790.84)
Government & Community Relations	12,856.47	0.00	0.00	13,106.31	0.00	0.00	0.00	0.00	25,962.78	73,352.83	(47,390.05)
Information Technologies	74,806.09	49,797.06	0.00	18,191.38	1,519,093.39	4,411.78	0.00	0.00	1,666,299.70	770,207.48	896,092.22
Advancement	24,615.29	0.00	0.00	1,778,034.16	0.00	0.00	0.00	0.00	1,802,649.45	2,076,599.30	(273,949.85)
School of Medicine	0.00	0.00	12.71	0.00	227,317.01	6,372.79	0.00	0.00	233,702.51	224,145.49	9,557.02
College of Nursing	0.00	0.00	0.00	0.00	0.00	26,608.85	0.00	0.00	26,608.85	44,274.89	(17,666.04)
College of Pharmacy	0.00	0.00	0.00	0.00	0.00	961.37	0.00	0.00	961.37	0.00	961.37
Branches	0.00	0.00	(38.20)	0.00	0.00	17,250.22	0.00	0.00	17,212.02	13,626.60	3,585.42
Subtotal For Main Campus Funds	32,010,397.99	1,790,739.94	22,879,850.81	12,412,819.21	4,859,835.27	18,028,312.62	4,028,798.28	(2,758,167.66)	93,252,586.46	95,690,420.87	(2,437,834.41)
Less Main Foundation Distribution	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total For Main Campus Funds	32,010,397.99	1,790,739.94	22,879,850.81	12,412,819.21	4,859,835.27	18,028,312.62	4,028,798.28	(2,758,167.66)	93,252,586.46	95,690,420.87	(2,437,834.41)
Gallup Branch	6,601,447.07	222,721.82	0.00	269,722.61	12,888.04	303,681.71	62,641.38	0.00	7,473,102.63	9,018,303.82	(1,545,201.19)
Los Alamos Branch	274,701.71	16,003.64	0.00	72,558.43	0.00	152,500.34	103,144.06	0.00	618,908.18	788,115.00	(169,206.82)
Taos Branch	3,884,554.26	203,264.81	0.00	251,234.27	0.00	138,101.51	30,608.73	0.00	4,507,763.58	3,538,277.25	969,486.33
Valencia County Branch	2,099,245.15	34,037.36	0.00	213,711.51	109,964.11	172,514.36	346,293.31	0.00	2,975,765.80	2,566,891.79	408,874.01
Total Branch Funds	12,859,948.19	476,027.63	0.00	807,226.82	122,852.15	766,797.92	542,687.48	0.00	15,575,540.19	15,911,587.86	(336,047.67)
Grand Total Main and Branch Funds	44,870,346.18	2,266,767.57	22,879,850.81	13,220,046.03	4,982,687.42	18,795,110.54	4,571,485.76	(2,758,167.66)	108,828,126.65	111,602,008.73	(2,773,882.08)

**Attachment III--Summary of Main Campus I&G Reserves
By VP Unit
June 30, 2015**

VP Unit	Reserve June 30, 2014	Reserve June 30, 2015	Annual Savings	Explanations
President	655,855	901,665	245,810	A large portion of the reserve for President's Independent Offices is due to unanticipated salary savings. The remaining balance amounts are due to FY2015 budgeted items that were unexpectedly not expensed during the fiscal year. These amounts have been included in FY2016 planning for originally intended and non-recurring uses.
Provost	18,905,356	15,621,756	(3,283,600)	A&S: decrease is primarily due to the College of Arts and Sciences experienced a reserve balance decline of (\$2.2M) from FY15-FY16. I&G experienced the largest percentage decline in comparison to other fund levels. I&G balances declined by (33.76%) or (\$2.4M) year over year. The decline was in line with expectations as \$1.8M in reserves were budgeted in FY15 to balance the budget. The additional decline is attributable to department spending in support of daily operations. SOE: the School of Engineering experienced a decline in reserves by about (\$598K) as fees were transferred to plant funds for capital expenditures and Start Up commitments were fulfilled during the year. ULLS: The College of University Libraries and Learning Sciences experienced a decline in reserves for the migration of the integrated library system to the World Share Management System, the Zimmerman Learning Commons, and (\$385K) to supplement our inadequate acquisitions budget. UNM West: net change in reserves of by (\$598K) decreased due to reduction in calculated revenue from tuition and unpaid transfers owed to ASM.
Student Affairs	648,770	705,431	56,661	SA: increased due to American Indian Student Services, Native American Programs and African American Student Services increasing their reserve amounts.
Administration	2,123,355	2,926,162	802,807	*See Below
Institutional Advancement	765	24,615	23,850	Immaterial
Total Designated	22,334,101	20,179,629	(2,154,472)	
Total Undesignated and Other Monitoring Accounts*	11,926,671	11,830,769	(95,902)	See Attachment IV for more details.
Grand Total	34,260,772	32,010,398	(2,250,375)	

* FY15 Reserve includes \$697,102 Mandatory Student Fees Reserve

*Administration Department/Division	Reserve June 30, 2014	Reserve June 30, 2015	Change
EVP of Administration Office	34,988	35,003	15
Board of Regents	106,939	33,143	(73,797)
Police Department	130,111	89,461	(40,650)
Risk Management	1,010,631	1,241,655	231,023
Budget Office	1,492	2,248	756
UNM Policy Office	93,082	51,413	(41,669)
Intercollegiate Athletics	(81,491)	(31,463)	50,028
Financial Services	163,029	162,395	(634)
Human Resources	4,685	127,663	122,978
VP Institutional Support Services	642,168	1,126,981	484,813
Government & Community Relations	15,976	12,856	(3,120)
Information Technologies	1,742	74,806	73,064
Totals	2,123,355	2,926,162	802,807



**Attachment IV--Summary of Main Campus I&G Undesignated Reserve
Summary
June 30, 2015**

Index 054006

FY15 Banner Beginning Balance 7/1/14	6,942,016
FY15 Pooled I&G Sweep	2,619,128
Adjusted Beginning Balance	9,561,144

FY15 Pooled I&G Revenues and Expenses	1,011,632
FY15 Contingency Allocations	556,970
Subtotal	1,568,602

FY14 Banner Ending Balance*	11,129,745
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Dedicated - HED Required Reserve for I&G at 3%	(10,073,796)
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Net FY14 Discretionary Reserve (after HED dedication)	1,055,949
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Less FY16 Budget Commitments and Dedications: One-Time

ASM Business Plan Competition	25,000
Student Activities - Welcome Back Days	5,000
Education Advisory Board dues/membership	35,000
Subtotal	65,000

* FY15 Banner Ending Balance does not include \$697,102 Mandatory Student Fees Reserve

**Attachment V--Main and Branch Campus Summary of Current Unrestricted Deficits \$100,000 or More
By College/Division
June 30, 2015**

College/Division	Reserve June 30, 2014	Reserve June 30, 2015	Change FY 2014-2015	Comments
UNM Press	(5,107,014)	(5,836,225)	(729,211)	The UNM Press's deficit for FY15 grew by (\$729K) largely because of continued depressed sales. The Press's total deficit at the end of the fiscal year was \$5.8M and the budget for FY16 projects a deficit of \$656K. Recent and projected deficits are a result of a number of factors, however depressed book sales in general and in particular for university presses are the major contributing factor.
Continuing Education	(1,113,170)	(941,256)	171,914	Continuing Education experienced improved financial performance due to substantially increased contract work for FY15 which generated F&A used to cover other operating deficits in program.
Athletics-HED Exhibit 21	(2,761,721)	(2,758,168)	3,554	UNM Athletics continues to have revenue variables in the budget related to ticket sales, concessions and donations. As far as expenses our travel costs continue to rise due to team schedules/competitions as well as the addition of new Mountain West Conference members such as Fresno State, San Jose State & University of Nevada - Reno. Our utility costs continue to increase due on additions & renovations of athletic facilities as well as price increases. The NCAA legislation has been amended to allow for unlimited meals to student-athletes and in turn increases our grant-in aid costs. Athletics continues to monitor expenses and continues to pursue naming right opportunities to increase revenue.

Attachment VI--Summary of I&G Reserves as a Percentage of Budget by College/School/Division
UAP 7000--Main and Branch Campuses, FYE 2015

College/School/Division	Instruction and General Budget	Instruction and General Reserve	Reserve as a % of Budget
President's Office	1,504,118.00	108,066.38	7.18%
University Counsel Office	1,243,808.00	223,503.98	17.97%
Office of Equal Opportunity	458,191.00	105,207.52	22.96%
University Communication & Marketing	1,573,981.00	322,293.07	20.48%
Compliance Office	278,256.00	22,417.65	8.06%
Alumni Relations	697,892.00	86,289.89	12.36%
Internal Audit Department	838,596.00	33,886.45	4.04%
President's Administration	6,594,842.00	901,664.94	13.67%
Global Education Office	2,113,905.00	427,552.45	20.23%
Harwood Foundation	405,286.00	31,591.18	7.79%
Optical Science and Engineering	45,457.00	13,983.84	30.76%
UC Advisement Center	0.00	0.00	N/A
Office of Support for Effective Teaching	302,459.00	107,550.17	35.56%
Nano Science & Micro Systems	88,712.00	74,784.66	84.30%
Parent Association	89,366.00	3,734.38	4.18%
Undergraduate Education Support	1,327.00	7,274.14	N/A
Institutional Research	880,446.00	(19,358.70)	-2.20%
Graduate Studies	3,932,247.00	1,423,817.97	36.21%
CAPS	1,345,609.00	15,642.07	1.16%
Latin American Iberian Institute	1,067,679.00	74,591.59	6.99%
Provost Office Staff	3,571,957.00	1,202,951.56	33.68%
Office of the University Secretary	599,205.00	(50,445.77)	-8.42%
University College	2,315,877.00	235,009.07	10.15%
School of Public Administration	1,380,945.00	22,007.12	1.59%
VP for Equity & Inclusion	877,100.00	112,090.61	12.78%
VP Division of Enrollment Mgmt	6,320,685.00	325,583.61	5.15%
College of Fine Arts	13,754,543.00	986,878.17	7.17%
College of Arts Sciences	69,666,996.00	4,721,635.26	6.78%
Anderson Schools of Management	13,967,790.00	195,678.70	1.40%
College of Education	15,894,639.00	1,668,962.08	10.50%
School of Engineering	16,237,955.00	1,260,156.98	7.76%
School of Law	10,205,836.00	973,036.63	9.53%
School of Architecture Planning	4,183,835.00	45,567.19	1.09%
University Libraries	16,005,135.00	677,353.37	4.23%
Continuing Education	3,423,141.00	(1,536,974.74)	-44.90%
Extended University	7,995,481.00	2,667,970.48	33.37%
VP Research & Economic Development	187,216.00	136,604.10	72.97%
Provost Monitoring	(8,643.00)	50,631.83	-585.81%
Honors College	1,678,468.00	12,766.49	0.76%
UNM West	1,032,428.00	(246,870.72)	-23.91%
Provost Units	199,563,082.00	15,621,755.77	7.83%
VP Student Affairs Administration	1,535,757.00	80,126.65	5.22%
VP Student Affairs Independent Depts.	1,317,938.00	515,398.12	39.11%
Associate VP Student Services	2,710,188.00	90,082.45	3.32%
Associate VP Student Life	1,219,989.00	19,823.90	1.62%
Student Affairs	6,783,872.00	705,431.12	10.40%
Undesignated I&G Balance and Other Monitoring Accounts	47,954,219.00	11,830,769.24	24.67%

Attachment VI--Summary of I&G Reserves as a Percentage of Budget by College/School/Division
UAP 7000--Main and Branch Campuses, FYE 2015

College/School/Division	Instruction and General Budget	Instruction and General Reserve	Reserve as a % of Budget
EVP of Administration Office	602,754.00	35,003.34	5.81%
Board of Regents	238,897.00	33,142.55	13.87%
Police Department	3,166,813.00	89,461.09	2.82%
Risk Management	2,586,258.00	1,241,654.53	48.01%
Budget Office	875,846.00	2,247.98	0.26%
UNM Policy Office	169,198.00	51,413.02	30.39%
Intercollegiate Athletics	1,214,636.00	(31,462.70)	-2.59%
Financial Services	10,114,440.00	162,394.62	1.61%
Associate VP for Facilities Mgmt	0.00	0.85	N/A
Human Resources	2,615,847.00	127,662.85	4.88%
VP Institutional Support Services	36,138,154.00	1,126,980.94	3.12%
Government & Community Relations	310,094.00	12,856.47	4.15%
Information Technologies	10,717,247.00	74,806.09	0.70%
EVP Administration	68,750,184.00	2,926,161.63	4.26%
Advancement	792,819.00	24,615.29	3.10%
Subtotal For Main Campus Funds	330,439,018.00	32,010,397.99	9.69%
Less Main Foundation Distribution	0.00	0.00	N/A
Total For Main Campus Funds	330,439,018.00	32,010,397.99	9.69%
Gallup Branch	16,493,116.00	6,601,447.07	40.03%
Los Alamos Branch	3,564,477.00	274,701.71	7.71%
Taos Branch	7,520,178.00	3,884,554.26	51.66%
Valencia County Branch	9,932,730.00	2,099,245.15	21.13%
Total Branch Funds	37,510,501.00	12,859,948.19	34.28%
Grand Total Main and Branch Funds	367,949,519.00	44,870,346.18	12.19%

Attachment VII--Summary of Current Unrestricted Reserves as a Percentage of Budget by College/School/Division

UAP 7000 - Main and Branch Campuses, FYE 2015			
College/School/Division	Current Unrestricted Budget	Current Unrestricted Reserve	Reserve as a % of Budget
President's Office	1,540,880.00	135,584.94	8.80%
University Counsel Office	1,493,808.00	327,300.14	21.91%
Office of Equal Opportunity	458,191.00	105,207.52	22.96%
University Communication & Marketing	1,661,518.00	328,789.12	19.79%
Compliance Office	278,256.00	22,417.65	8.06%
Alumni Relations	893,829.00	289,774.27	32.42%
Internal Audit Department	838,596.00	33,886.45	4.04%
President's Administration	7,165,078.00	1,242,960.09	17.35%
RWJ Center for Health Policy	0.00	0.00	N/A
Global Education Office	3,270,631.00	1,154,639.76	35.30%
Harwood Foundation	1,232,962.00	384,033.92	31.15%
Optical Science and Engineering	45,457.00	13,983.84	30.76%
UC Advisement Center	0.00	0.00	N/A
Office of Support for Effective Teaching	302,459.00	129,954.02	42.97%
Nano Science & Micro Systems	88,712.00	74,784.66	84.30%
Parent Association	103,446.00	49,511.10	47.86%
Undergraduate Education Support	1,327.00	7,274.14	N/A
Institutional Research	880,446.00	(19,358.70)	-2.20%
KUNM	1,576,745.00	264,371.78	16.77%
University Press	3,830,002.00	(5,836,224.62)	-152.38%
Graduate Studies	4,931,743.00	1,796,338.95	36.42%
CAPS	1,345,609.00	18,554.57	1.38%
Latin American Iberian Institute	1,394,428.00	305,204.19	21.89%
Provost Office Staff	4,070,745.00	1,499,566.25	36.84%
Spanish Colonial Research Center	0.00	0.00	N/A
CARS	547,140.00	33,876.27	6.19%
Office of the University Secretary	637,379.00	21,686.34	3.40%
University College	2,431,975.00	353,739.75	14.55%
School of Public Administration	1,581,495.00	221,390.80	14.00%
VP for Equity & Inclusion	1,187,841.00	187,079.04	15.75%
VP Division of Enrollment Mgmt	60,428,750.00	4,291,759.64	7.10%
College of Fine Arts	16,841,425.00	3,591,559.09	21.33%
College of Arts Sciences	83,212,644.00	19,850,226.85	23.85%
Anderson Schools of Management	16,560,047.00	2,611,330.88	15.77%
College of Education COE	18,501,575.00	3,634,175.65	19.64%
School of Engineering	22,983,645.00	10,807,837.61	47.02%
School of Law	15,473,098.00	5,271,529.61	34.07%
School of Architecture Planning	4,747,982.00	1,639,117.83	34.52%
University Libraries	17,343,226.00	1,390,761.23	8.02%
Continuing Education	3,893,423.00	(941,256.30)	-24.18%
Extended University	8,029,867.00	2,782,355.94	34.65%
VP Research & Economic Development	15,927,460.00	8,071,007.38	50.67%
Provost Monitoring	6,724.00	(78,544.74)	-1168.13%
Honors College	1,939,293.00	118,340.65	6.10%
UNM West	1,032,428.00	(246,870.72)	-23.91%
Provost Units	316,382,129.00	63,453,736.66	20.06%
VP Student Affairs Administration	2,106,029.00	381,604.85	18.12%
VP Student Affairs Independent Depts.	10,404,500.00	908,736.71	8.73%
Associate VP Student Services	5,555,208.00	263,849.40	4.75%
Associate VP Student Life	9,223,850.00	1,480,665.30	16.05%
Student Affairs	27,289,587.00	3,034,856.26	11.12%
Undesignated I&G Balance and Other Monitoring Accounts	62,863,429.00	13,315,884.98	21.18%

Attachment VII--Summary of Current Unrestricted Reserves as a Percentage of Budget by College/School/Division
UAP 7000 - Main and Branch Campuses, FYE 2015

College/School/Division	Current Unrestricted Budget	Current Unrestricted Reserve	Reserve as a % of Budget
EVP of Administration Office	1,043,442.00	185,689.24	17.80%
Board of Regents	238,897.00	33,142.55	13.87%
Police Department	3,166,813.00	89,461.09	2.82%
Risk Management	5,934,309.00	1,241,654.53	20.92%
Budget Office	876,846.00	4,683.98	0.53%
UNM Policy Office	169,198.00	51,413.02	30.39%
Intercollegiate Athletics	34,702,380.00	(1,188,185.28)	-3.42%
Financial Services	15,980,880.00	1,229,622.20	7.69%
Associate VP for Facilities Mgmt	0.00	0.85	N/A
Human Resources	6,278,491.00	328,997.27	5.24%
VP Institutional Support Services	120,788,522.00	6,455,272.34	5.34%
Government & Community Relations	816,416.00	25,962.78	3.18%
Information Technologies	35,689,522.00	1,666,299.70	4.67%
EVP Administration	225,685,716.00	10,124,014.27	4.49%
Advancement	855,012.00	1,802,649.45	210.83%
School of Medicine	1,101,727.00	233,702.51	21.21%
College of Nursing	45,303.00	26,608.85	58.74%
College of Pharmacy	11,200.00	961.37	8.58%
Branches	3,896.00	17,212.02	N/A
Other HSC and Branches	1,162,126.00	278,484.75	23.96%
Subtotal For Main Campus Funds	641,403,077.00	93,252,586.46	14.54%
Less Main Foundation Distribution	0.00	0.00	N/A
Total For Main Campus Funds	641,403,077.00	93,252,586.46	14.54%
Gallup Branch	18,623,091.00	7,473,102.63	40.13%
Los Alamos Branch	3,886,333.00	618,908.18	15.93%
Taos Branch	8,084,929.00	4,507,763.58	55.76%
Valencia County Branch	11,731,265.00	2,975,765.80	25.37%
Total Branch Funds	42,325,618.00	15,575,540.19	36.80%
Grand Total Main and Branch Funds	683,728,695.00	108,828,126.65	15.92%

UAP 7000--Main and Branch Campuses, FYE 2015

College/School/Division	Instruction and General				Non-Instruction and General			
	Reserve	Committed	Dedicated	Discretionary	Reserve	Committed	Dedicated	Discretionary
President's Office	108,066.38	0.00	65,000.00	43,066.38	27,518.56	500.00	11,406.74	15,611.82
University Counsel Office	223,503.98	36,029.48	144,500.00	42,974.50	103,796.16	1,749.09	102,047.07	0.00
Office of Equal Opportunity	105,207.52	845.00	17,000.00	87,362.52	0.00	0.00	0.00	0.00
University Communication & Marketing	322,293.07	40,095.29	165,200.00	116,997.78	6,496.05	0.00	0.00	6,496.05
Compliance Office	22,417.65	0.00	0.00	22,417.65	0.00	0.00	0.00	0.00
Alumni Relations	86,289.89	6,185.17	80,000.00	104.72	203,484.38	14,210.90	151,699.19	37,574.29
Internal Audit Department	33,886.45	0.00	18,000.00	15,886.45	0.00	0.00	0.00	0.00
RWJ Center for Health Policy	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
International Programs Studies	427,552.45	129,078.62	298,473.07	0.76	727,087.31	0.00	727,087.31	0.00
Harwood Foundation	31,591.18	4,931.00	26,660.18	0.00	352,442.74	33,139.88	347,746.67	(28,443.81)
Optical Science and Engineering	13,983.84	0.00	13,983.84	0.00	0.00	0.00	0.00	0.00
UC Advisement Center	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Office of Support for Effective Teaching	107,550.17	0.00	100,000.00	7,550.17	22,403.85	0.00	20,000.00	2,403.85
Nano Science & Micro Systems	74,784.66	0.00	74,783.00	1.66	0.00	0.00	0.00	0.00
Parent Association	3,734.38	0.00	0.00	3,734.38	45,776.72	0.00	10,968.22	34,808.50
Undergraduate Education Support	7,274.14	0.00	0.00	7,274.14	0.00	0.00	0.00	0.00
Institutional Research	(19,358.70)	0.00	(20,681.91)	1,323.21	0.00	0.00	0.00	0.00
KUNM	0.00	0.00	0.00	0.00	264,371.78	0.00	0.00	264,371.78
University Press	0.00	0.00	0.00	0.00	(5,836,224.62)	0.00	26,114.51	(5,862,339.13)
Graduate Studies	1,423,817.97	129,377.00	1,288,351.39	6,089.58	372,520.98	241,120.22	118,778.36	12,622.40
CAPS	15,642.07	0.00	15,000.00	642.07	2,912.50	0.00	2,912.50	0.00
Latin American Iberian Institute	74,591.59	14,586.35	46,600.00	13,405.24	230,612.60	35,916.98	107,044.00	87,651.62
Provost Office Staff	1,202,951.56	256,650.00	412,941.45	533,360.11	296,614.69	76.46	201,439.80	95,098.43
Spanish Colonial Res Center	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
CARS	0.00	0.00	0.00	0.00	33,876.27	0.00	27,059.00	6,817.27
Office of the University Secretary	(50,445.77)	0.00	(61,990.03)	11,544.26	72,132.11	72,132.11	0.00	0.00
University College	235,009.07	200.00	228,851.06	5,958.01	118,730.68	0.00	74,245.85	44,484.83
School of Public Administration	22,007.12	773.21	17,111.10	4,122.81	199,383.68	56,777.77	95,339.04	47,266.87
VP for Equity & Inclusion	112,090.61	26,397.50	42,083.12	43,609.99	74,988.43	0.00	45,788.83	29,199.60
VP Division of Enrollment Mgmt	325,583.61	143,235.05	162,348.56	20,000.00	3,966,176.03	18,163.07	3,947,819.87	193.09
College of Fine Arts	986,878.17	92,950.02	265,604.05	628,324.10	2,604,680.92	283,836.70	1,715,449.14	605,395.08
College of Arts Sciences	4,721,635.26	2,030,663.73	418,660.78	2,272,310.75	15,128,591.59	5,960,268.45	4,395,701.89	4,772,621.25
Anderson Schools of Management	195,678.70	160,268.11	35,410.59	0.00	2,415,652.18	514,562.23	1,901,089.95	0.00
College of Education COE	1,668,962.08	352,846.09	1,261,084.65	55,031.34	1,965,213.57	265,492.33	1,642,032.88	57,688.36
School of Engineering	1,260,156.98	154,773.14	415,717.34	689,666.50	9,547,680.63	2,482,649.01	2,566,290.29	4,498,741.33
School of Law	973,036.63	51,553.39	921,132.05	351.19	4,298,492.98	911,408.79	3,124,623.69	262,460.50
School of Architecture Planning	45,567.19	5,181.64	(44,006.61)	84,392.16	1,593,550.64	5,964.75	595,184.77	992,401.12
University Libraries	677,353.37	163,557.10	501,129.61	12,666.66	713,407.86	50.00	710,809.63	2,548.23
Continuing Education	(1,536,974.74)	45,333.73	(457,995.48)	(1,124,312.99)	595,718.44	133,762.47	461,955.97	0.00

UAP 7000--Main and Branch Campuses, FYE 2015

College/School/Division	Instruction and General				Non-Instruction and General			
	Reserve	Committed	Dedicated	Discretionary	Reserve	Committed	Dedicated	Discretionary
Extended University	2,667,970.48	346,618.39	2,193,996.13	127,355.96	114,385.46	221.45	114,164.01	0.00
VP Research & Economic Development	136,604.10	0.00	78,243.91	58,360.19	7,934,403.28	1,699,467.64	3,940,295.05	2,294,640.59
Provost Monitoring	50,631.83	0.00	0.00	50,631.83	(129,176.57)	0.00	(30,000.00)	(99,176.57)
Honors College	12,766.49	0.00	9,459.49	3,307.00	105,574.16	0.00	2,540.51	103,033.65
UNM West	(246,870.72)	0.00	(274,691.24)	27,820.52	0.00	0.00	0.00	0.00
VP Student Affairs Administration	80,126.65	280.20	62,959.01	16,887.44	301,478.20	51,980.26	124,972.75	124,525.19
VP Student Affairs Independent Depts.	515,398.12	60,061.09	431,119.56	24,217.47	393,338.59	30,447.13	304,627.03	58,264.43
Associate VP Student Services	90,082.45	35,162.41	52,561.29	2,358.75	173,766.95	39,205.46	100,070.18	34,491.31
Associate VP Student Life	19,823.90	0.00	22,022.22	(2,198.32)	1,460,841.40	113,469.20	461,180.97	886,191.23
EVP of Administration Office	35,003.34	16,500.00	14,500.00	4,003.34	150,685.90	54,800.00	90,034.40	5,851.50
Board of Regents	33,142.55	15,146.25	17,996.30	0.00	0.00	0.00	0.00	0.00
Monitoring Accounts	11,830,769.24	379,114.00	11,451,655.24	0.00	1,485,115.74	0.00	1,485,115.74	0.00
Police Department	89,461.09	56,889.10	32,571.99	0.00	0.00	0.00	0.00	0.00
Risk Management	1,241,654.53	0.00	1,241,654.53	0.00	0.00	0.00	0.00	0.00
Budget Office	2,247.98	0.00	2,247.98	0.00	2,436.00	0.00	2,436.00	0.00
UNM Policy Office	51,413.02	0.00	49,000.00	2,413.02	0.00	0.00	0.00	0.00
Intercollegiate Athletics	(31,462.70)	0.00	0.00	(31,462.70)	(1,156,722.58)	0.00	(2,758,167.66)	1,601,445.08
Financial Services	162,394.62	148,290.17	4,315.48	9,788.97	1,067,227.58	295,083.72	644,133.79	128,010.07
Associate VP for Facilities Mgmt	0.85	0.00	0.00	0.85	0.00	0.00	0.00	0.00
Human Resources	127,662.85	56,931.14	66,498.56	4,233.15	201,334.42	47,680.47	153,653.95	0.00
VP Institutional Support Services	1,126,980.94	169,923.18	956,964.31	93.45	5,328,291.40	1,390,300.91	3,937,548.32	442.17
Government & Community Relations	12,856.47	0.00	10,500.00	2,356.47	13,106.31	12,963.68	0.00	142.63
Information Technologies	74,806.09	0.00	74,140.16	665.93	1,591,493.61	344,091.24	1,199,159.49	48,242.88
Advancement	24,615.29	0.00	24,615.29	0.00	1,778,034.16	0.00	1,778,034.16	0.00
School of Medicine	0.00	0.00	0.00	0.00	233,702.51	3,735.36	229,954.04	13.11
College of Nursing	0.00	0.00	0.00	0.00	26,608.85	26,608.85	0.00	0.00
College of Pharmacy	0.00	0.00	0.00	0.00	961.37	0.00	0.00	0.00
Branches	0.00	0.00	0.00	0.00	17,212.02	7,696.81	2,993.40	6,521.81
Subtotal For Main Campus Funds	32,010,397.99	5,130,426.55	22,973,282.02	3,906,689.42	61,242,188.47	15,150,494.76	34,913,381.30	11,178,312.41
Less Main Foundation Distribution	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total For Main Campus Funds	32,010,397.99	5,130,426.55	22,973,282.02	3,906,689.42	61,242,188.47	15,150,494.76	34,913,381.30	11,178,312.41
Gallup Branch	6,601,447.07	210,155.78	0.00	6,391,291.29	871,655.56	319,492.20	263,259.44	288,903.92
Los Alamos Branch	274,701.71	65,696.54	209,005.17	0.00	344,206.47	205,037.72	24,260.24	114,908.51
Taos Branch	3,884,554.26	175,785.73	2,887,257.00	821,511.53	623,209.32	18,480.31	0.00	604,729.01
Valencia County Branch	2,099,245.15	54,884.63	822,995.92	1,221,364.60	876,520.65	14,926.62	124,793.60	736,800.13
Total Branch Funds	12,859,948.19	506,522.68	3,919,258.09	8,434,167.42	2,715,592.00	557,937.15	412,313.28	1,745,341.57
Grand Total Main and Branch Funds	44,870,346.18	5,636,949.23	26,892,540.11	12,340,856.84	63,957,780.47	15,708,431.91	35,325,694.58	12,923,653.98




Office of Planning, Budget & Analysis

MEMORANDUM

TO: Members of the Board of Regents' Finance & Facilities Committee

THRU: Robert G. Frank, President
David W. Harris, EVP for Administration, COO, and CFO

FROM: Andrew Cullen, Associate VP Office of Planning, Budget & Analysis 

DATE: October 7, 2015

SUBJECT: **Initial FY17 Base Budget Estimate**

Per the Regents' request, attached please find materials that are meant to provide context for the upcoming FY17 budget development discussion. The first spreadsheet quantifies the Main Campus FY16 I&G budget allocations, the 5% hold-back of funds, and a proposed 3.5% return of I&G funds. This proposal would result in an FY16 I&G budget reduction to Main Campus units of 1.5%, which is currently necessary to absorb a shortfall in tuition revenue.

The second spreadsheet provides the first snapshot of the FY16 revised budget and subsequent FY17 base budget with the following assumptions:

- **FY16 I&G budget**
 - *Tuition revenue estimates*
 - Enrollment decrease of approximately 1% - **\$1.85M** shortfall
 - Enrollment shift to 15-18 SCH loads - **\$1.4M** shortfall
 - Spring 2016 enrollment reserve - **\$1.212M** shortfall
(Potential FY17 reserve - enrollment shift to 15-18 SCH loads)
 - *Student Fee revenue estimate* - **\$450K** shortfall
 - *Expenditure reductions*
 - President's Organization - **\$110K** budget reduction
 - Academic Affairs - **\$2.625M** budget reduction
 - Administration - **\$919K** budget reduction
 - Insurance and Fringe Benefits - **\$809K** budget reduction
 - Student Fees - **\$450K** budget reduction

- **FY17 Estimated I&G budget**
 - *Revenues*
 - **Flat state appropriation and 0% tuition increase**
 - **Assumes student load shift approximate to FY16 (use of reserve?)**
 - *Expenditure reductions*
 - President's Organization - **\$71K** budget reduction
 - Academic Affairs - **\$1.697M** budget reduction
 - Administration - **\$594K** budget reduction
 - Fringe Benefits - **\$335K** budget reduction
 - *Expenditure increases*
 - *Fixed cost increases (estimated at 5 year average)*
 - Health Care – **\$1.138M** increase
 - Utilities - **\$564K** increase
 - *Academic Affairs Commitments* - **\$906K**

Assuming **student enrollments stabilize** during the 2016/2017 academic year, it is the Administration's belief that the scenario provided represents a worst case look at the upcoming FY17 budget. This is based on the fact that it assumes a final year of student load shifts, no additional state and/or tuition revenues and significant fixed cost increases. The budget scenario, including specific strategic budget reductions, will be refined as better information becomes available and will be discussed with all constituent groups in the upcoming months. We look forward to discussing the materials with the F&F Committee and Board of Regents during their October meetings. Thank you for your consideration.

Main Campus I&G Allocations--FY 16

College/Division	Starting Base A	5% Hold Back B	3.5% Return C	1.5% Remaining Hold Back D	Adjusted Base A - D
President's Independent Offices and Development	\$7,318,523	\$365,926	\$256,148	\$109,778	\$7,208,745
Academic and Student Affairs	\$174,975,026	\$8,748,751	\$6,124,126	\$2,624,625	\$172,350,401
EVP for Administration Independent Offices	\$61,299,468	\$3,064,973	\$2,145,481	\$919,492	\$60,379,976
Fringe Benefits & Insurances	\$53,906,412	\$2,910,168	\$2,101,572	\$808,596	\$53,097,816
Grand Total	\$297,499,429	\$15,089,818	\$10,627,327	\$4,462,491	\$293,036,938

**UNM Main Campus
Budget Development
Fiscal Year 2017
Summary (in thousands) - Initial Base Budget**

ESTIMATED

Sources of Funds:	Projected			Proposed	
	FY 16 Original	Changes	FY16 Revised	Changes	FY17 Original
State I&G General Fund:					
State Appropriation I&G Pooled Base	190,722	0	190,722	0	190,722
Main Campus State Appropriation Change: HB2	0	0	0	0	0
HSC State Appropriation Change: HB2	0	0	0	0	0
Subtotal State I&G General Fund - FY 16 Budget	190,722	0	190,722	0	190,722
Tuition:					
Starting Base	136,035	0	136,035	0	131,573
Adjustment: Enrollment Decrease	0	-1,850	-1,850	0	0
Adjustment: Enrollment Shift to 15-18 Hour Tuition Block	0	-1,400	-1,400	0	0
Adjustment: Spring 2016 Enrollment Reserve ¹	0	-1,212	-1,212	0	0
Net Tuition Increase	0	0	0	0	0
Subtotal Tuition	136,035	-4,462	131,573	0	131,573
Miscellaneous					
F&A Revenues	20,500	0	20,500	0	20,500
Land and Permanent Fund Revenue	8,800	0	8,800	0	8,800
Interest Income	800	0	800	0	800
Miscellaneous Fee Revenues and Transfers	-40,780	0	-40,780	0	-40,780
Subtotal Miscellaneous	-10,680	0	-10,680	0	-10,680
Health Sciences Center Transfers:					
Health Sciences Center Base ²	-18,314	0	-18,314	0	-18,627
Adjustment: Tuition True-Up	-264	-49	-313	0	0
Health Sciences Center Formula Workload/Outcomes	0	0	0	0	0
Health Sciences Center Tuition - Estimate	0	0	0	0	0
Subtotal Transfers to Health Sciences Center from I&G Base	-18,578	-49	-18,627	0	-18,627
Mandatory Student Fees:					
Starting Base	32,607	0	32,607	0	32,157
Adjustment: Enrollment Decline	0	-450	-450	0	0
Net Mandatory Student Fee Increase	0	0	0	0	0
Subtotal Mandatory Student Fees	32,607	-450	32,157	0	32,157
Total Sources of Funds:	330,106	-4,961	325,145	0	325,145
Uses of Funds:					
I&G Allocations and Requests:					
Expenditure Base	297,499	0	297,499	0	293,077
Expenditure Base Reductions - President	0	-110	-110	-71	-71
Expenditure Base Reductions - Academic Affairs	0	-2,625	-2,625	-1,697	-1,697
Expenditure Base Reductions - Administration	0	-919	-919	-594	-594
Other Base Adjustments:					
Academic Affairs	0	5	5	0	0
Administration	0	35	35	0	0
Fixed Costs:					
Health Care	0	0	0	1,138	1,138
Utilities	0	0	0	564	564
Property & Liability Insurance	0	-400	-400	0	0
Pooled Fringe Benefits	0	-409	-409	-335	-335
Funding Priorities:					
New Faculty Lines	0	0	0	426	426
Student Recruitment Enhancement	0	0	0	200	200
Distinguished Professors	0	0	0	40	40
Faculty/Lecturer Promotions	0	0	0	240	240
GA/TA Tuition Waivers - Tied to a Tuition Increase	0	0	0	0	0
Subtotal Allocations and Requests	297,499	-4,422	293,077	-89	292,988
Mandatory Student Fee Allocations and Requests:					
Expenditure Base	32,607	0	32,607	0	32,157
Expenditure Base Reduction	0	-450	-450	0	0
Funding Requests	0	0	0	0	0
Subtotal Mandatory Student Fee Allocations and Requests	32,607	-450	32,157	0	32,157
Total Uses of Funds	330,106	-4,872	325,234	-89	325,144
Balance	0	-89	-89	89	0

Footnotes

1) Assumes Student Load Shift Approximate to FY16.

2) The HSC transfer base is net of a \$2.1M transfer from HSC to Main campus and a (\$20.4M) transfer to HSC from Main Campus.

The transfer to HSC includes HSC generated tuition revenue and State funding formula dollars per agreed upon amounts between HSC and Main campus and does not reflect total dollars generated by HSC. The transfer to HSC could increase or decrease each year per agreement due to incremental changes in tuition generated and/or in funding formula outcomes compared to the previous fiscal year.

Provost's Update
will be presented at the meeting



SENATE GRADUATE &
PROFESSIONAL COMMITTEE

Jennifer Thacher, Chair
jthacher@unm.edu

DATE: September 3, 2015

TO: Operations Committee of the Faculty Senate

FROM: Jennifer Thacher, Ph.D., Chair
Senate Graduate & Professional Committee

RE: Posthumous Degree

At its September 3, 2015 meeting the Senate Graduate & Professional Committee voted to approve a request to grant a posthumous degree to Corlan Keller (100260933). Please see the attached memo from Rikk Murphy, Graduate Program Coordinator, Department of Psychology, detailing this request for Mr. Keller.

The Senate Graduate & Professional Committee's approval is based primarily on the two conditions specified in the faculty handbook relative to the granting of posthumous degrees. Mr. Keller had completed the coursework required for the degree and his academic record is in good standing. Therefore, we request that the Faculty Senate support the awarding of a posthumous Master of Science to Corlan Keller. We also request that this item be put on the Senate's agenda at the earliest convenience.

Thank you.

Attachment



Department of Psychology

To: Robben Baca, Academic Affairs Specialist
From: Rikk Murphy, Graduate Program Coordinator
Date: 23 July 2015
RE: Posthumous degree for Corlan Keller-100260933

A large, stylized handwritten signature in blue ink, appearing to be "R. Murphy", is written over the signature line and extends upwards and to the right.

Received

JUL 24 2015

Graduate Office
University of New Mexico

The Department of Psychology requests the University of New Mexico grant a posthumous Master of Science in Psychology degree to Corlan Keller, a graduate student who passed away earlier this summer. Mr. Keller had completed all of the required coursework for the degree, submitted his thesis proposal to the department, and had conducted and completed his research for his thesis at the time of his death. He was expecting to defend his thesis this fall and his mentor, Dr. Eric Ruthruff, fully expected Mr. Keller to defend it successfully.

Corlan was the first in his family who had achieved a four-year degree. His family, the department, and I would greatly appreciate the University granting him this one final milestone.



UNNM

***Lobo*RESPECT**

Respond Educate Support Prevent Empower Consent Train

Advocacy Center

ASAR

Dr. Tomás A. Aguirre

September 24, 2015

University of New Mexico



Mission

- The LoboRESPECT Advocacy Center provides a safe and welcoming environment for students to receive support and advocacy services in the aftermath of any form of abuse including, but not limited to, sexual assault, hazing and hate/bias related incidents.
- The LoboRESPECT Advocacy Center also provides absence notifications, domestic partnership privileges, mediation and conflict resolution, military withdrawals, short term disabilities, short term emergency loans, student death notices and tuition appeals.
- Finally, the LoboRESPECT Advocacy Center can assist parents, faculty and staff in their efforts to support students here at UNM.



Services

- **Single point of entry, one telephone number (277-2911) and one physical location for any abuse victim to come for information, assistance and support. (respondents will work through the Dean of Students office)**
- **Provide for secure confidentiality if the victim/patient wants it.**
- **24/7 hotline during non-working hours (277-2911).**
- **Personal and confidential advocate from start to finish.**



Structure

- **Center falls under the Dean of Students office and aligns with the office of Student Rights and Responsibilities and Women's Resource Center.**
- **Full-time staff of four: director, student affairs specialist, program specialist and receptionist.**
- **24/7 hotline that is shared with SHAC.**
- **Supports the Advocacy and Education sub-committees of LoboRESPECT.**
- **Oversees and supports the LoboRESPECT student group.**



Staff

- **Director – Lisa Lindquist**
- **Training and Development Specialist – Angela Catena**
- **Program Coordinator - Vacant**
- **Admin– Matt McClung**



UNM

University of New Mexico

Q&A



**UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
September 2015**

Approval

(1) Siemens Medical Solutions USA, Inc.

Ownership:

300 New Jersey Avenue, Suite 1000
Washington, D.C. 20001

Officers Information:

President & CEO: Eric Spiegel
Senior Vice President: Mike Panigel

Source of Funds: UNM Hospitals Capital Budget

Description:

Request is to purchase an additional 3 Tesla (T) Magnetic Resonance Imaging (MRI) Scanner that offers many benefits for the patients of New Mexico. MRI uses a powerful magnetic field, radio waves and a computer to produce detailed pictures of the body's internal structures that are clearer, more detailed and more likely in some instances to identify and accurately characterize disease than other imaging methods. It is used to evaluate the body for a variety of conditions, including tumors and diseases of the liver, heart, and bowel. It may also be used to monitor an unborn child in the womb. MRI is noninvasive and does not use ionizing radiation. This particular 3T MAGNETOM Skyra offers an open, shorter bore design with a 550 pound patient weight limit.

The MRI service performs approximately 18,000 MRI examinations annually. Utilization of the existing equipment is near capacity with the average wait time for an outpatient MRI at one week and inpatient waits at nearly 6 hours or more.

Previous Contract, Previous Term, Previous Operating Contract Amount: not applicable.

Process: Novation processing with Siemens Medical Solutions USA, Inc.

Total Cost: \$2,348,983.00

(2) Standard Insurance Company

Ownership:

Standard Insurance Company
1100 SW Sixth Ave, P11C
Portland Oregon 97204

Officers Information:

Steve O'Grady
Senior Employee Benefits Consultant

Source of Funds: UNM Hospital Operating Budget and Employee payroll deductions

Description:

Request approval for a four (4) year contract for Employee Voluntary Life Insurance, Long-Term Disability, and Accidental Death & Dismemberment insurance services through Standard Insurance Company.

Previous Contract: Hartford

Previous Contract Amount: FY 2015 expenditures for Long Term Disability was \$847,238 (UNMH Operating Budget); Accidental Death and Dismemberment was \$330,443 (UNMH Operating Budget); Voluntary Life was \$1,136,237 (Employee payroll deductions).

Process: RFP P315-15

RFP Respondents: There were eight respondents to the RFP:

1. Reliance Standard
2. Cigna
3. Minnesota Life
4. Standard
5. UNUM
6. Hartford
7. Sunlife
8. Metlife

Selection Criteria:

Selection was based upon highest scores for the following characteristics: corporate profile, quality, including performance guarantees and reputation of the Offeror and of the Offeror's services, IT Security requirements, approach, methodology and cost. Based upon above criteria, the RFP committee members reviewed and scored all vendors, completed vendor oral presentation, interview and product/software / service demonstration of written proposal submittals and selected via highest score the vendor that brought the best value to UNM Hospitals.


Total Cost: Four year Employee Life and Long-Term Disability contracted amount is estimated to be \$9,500,000. The amounts for FY 2016 are estimated to be \$2,357,000 and the breakdown is as follows.

Basic/AD&D	\$359,000 UNMH Operating Budget
Long Term Disability	\$855,000 UNMH Operating Budget
Voluntary Life	\$1,143,000 100% funded by employee payroll deductions



Office of the Senior Executive Officer
Finance & Administration
Health Science Center
1 University of New Mexico
MSCO9 5300
Albuquerque, NM 87131

TO: Paul B. Roth, M.D., M.S., FACEP
Chancellor for Health Sciences
Dean School of Medicine

FROM: Ava J. Lovell, CPA 
Senior Executive Officer for Finance & Administration, HSC

DATE: September 23, 2015

SUBJECT: Submission of HSC Report – UAP 7000

Enclosed are the reports as required by University Administrative Policies and Procedures 7000 (Budgets and Reserves). The reports show HSC unrestricted financial activity for fiscal year ending June 30, 2015. The HSC unrestricted Instruction & General (I&G) expense budget is approximately 26% of the total HSC unrestricted operating expense budget.

The \$56,633,277 reserves (Attachment #1) have been categorized by the units within the Health Sciences Center as committed or dedicated/discretionary. In the category of committed are purchase order encumbrances, which total \$1,247,218. The remaining committed reserves are \$20,680,280 and consist largely of Donor Designated Endowed and Non-endowed funds and Faculty Contracts and Startup Packages. Attachment #2 displays ending reserves by Fiscal Year.

Attachment #3 shows activity by HSC unit (academic and administration) for I&G funds. HSC I&G reserves of \$5,594,782 are 4.81% of expense which is within the State of New Mexico's recommended reserve range of 3% to 5%. There was an overall Instruction & General increase in reserves for FY 2015 of \$1,103,297. The net increase in reserves is primarily attributable to unfilled faculty positions.

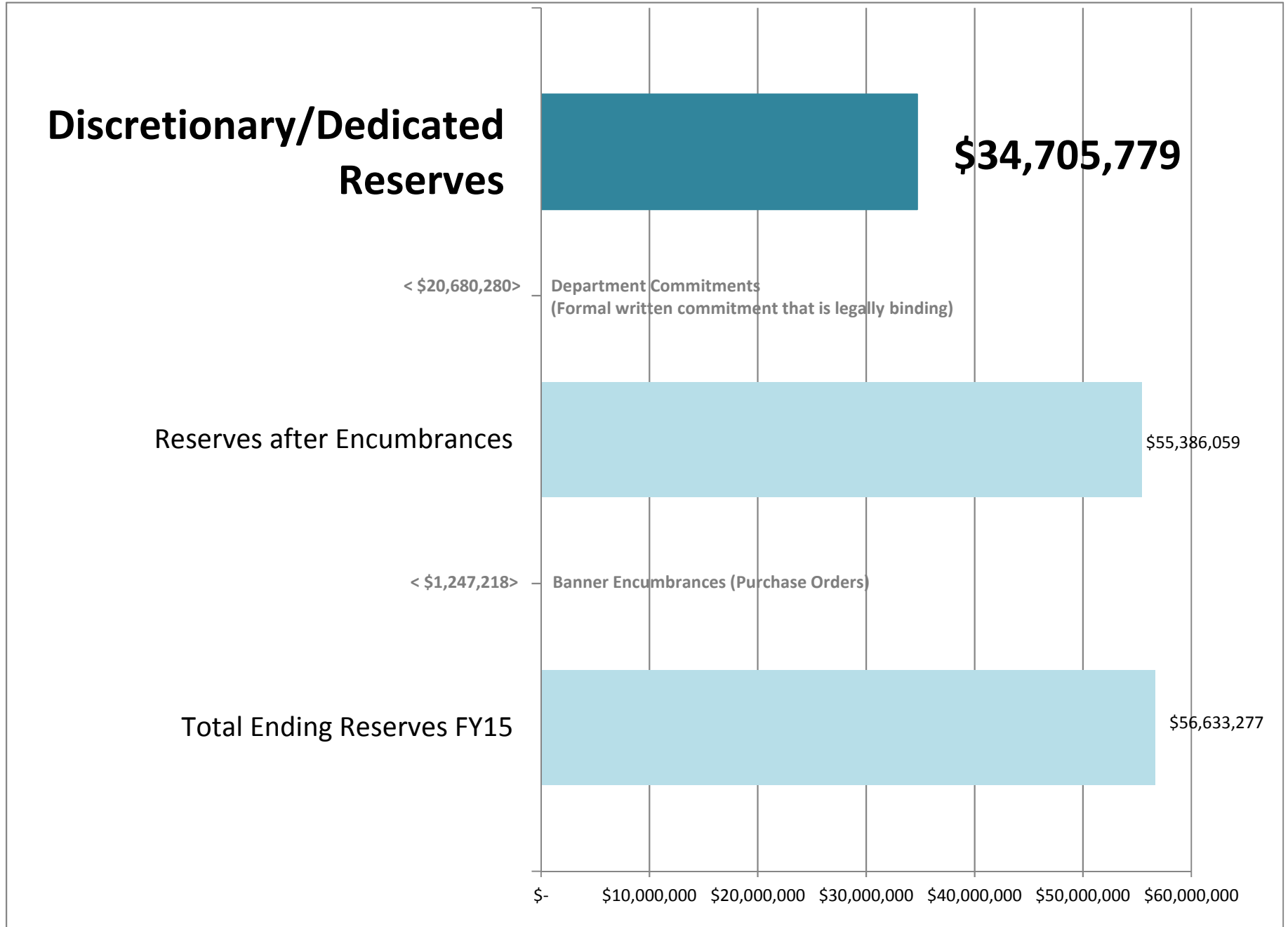
Combined HSC unrestricted reserves total \$56,633,277 (Attachment #4) which represents 12.60% of expense totaling \$449,582,089. The increase in reserves for FY 2015 for all HSC University funds is \$10,936,847. This increase is mainly due to Cancer Center, Project Echo and the Department of Neurosurgery.

There are \$6,263,769 of funds which have been dedicated by units within the HSC. Discretionary reserves are \$28,442,010 or 6.33% of the total expense. HSC Dedicated and Discretionary reserves total \$34,705,779.

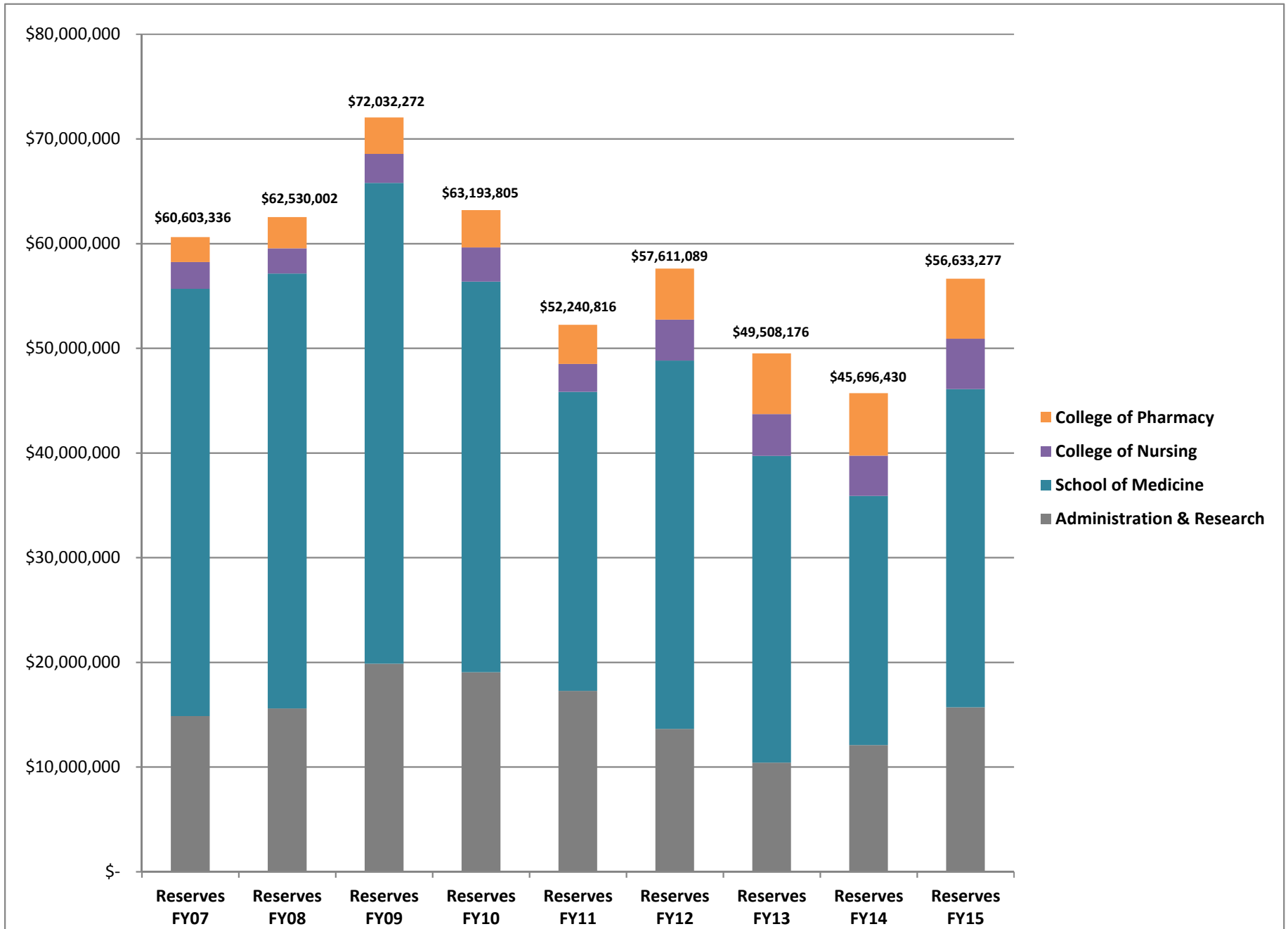
If you would like more information, please contact Ava at Alovell@unm.edu

cc

Bob Fondino, Chief Budget & Facilities Officer, HSC



UNM Health Sciences Center
Ending Reserves by Year



UNM Health Sciences Center
Instruction and General
Unrestricted Reserves Summary
Fiscal Year Ended June 30, 2015 (Unaudited)
Does not include Main Campus Funds Under HSC Organization Codes, UNM Hospitals, or UNM Medical Group

		Revised Budget	Beginning Reserves	Revenue	Transfers	Actual Expense	Ending Reserves	Reserves % of Expense	Change in Reserves	% of Expense	Commitments	Net Reserves Less: Commitments
AFA	VP HSC Administration	47,715,964	695,471.36	36,162,654.33	9,948,697.56	45,725,679.94	1,081,143.31	2.36%	385,671.95	0.84%	141,718.90	939,424.41
AFB	HS Library and Informatics Center	6,128,099	1,040,530.58	6,164,498.37	(286,441.38)	5,948,604.58	969,982.99	16.31%	(70,547.59)	-1.19%	280,323.40	689,659.59
AFC	School of Medicine	47,637,015	454,889.19	57,276,453.38	(10,007,052.45)	47,358,760.22	365,529.90	0.77%	(89,359.29)	-0.19%	393,813.75	(28,283.85)
AFD	College of Nursing	9,336,597	750,102.30	10,235,147.27	(697,476.79)	8,964,105.22	1,323,667.56	14.77%	573,565.26	6.40%	460,355.56	863,312.00
AFE	College of Pharmacy	8,478,731	1,550,491.66	9,000,948.83	(484,077.93)	8,212,904.50	1,854,458.06	22.58%	303,966.40	3.70%	333,072.68	1,521,385.38
AFI	HSC VP Research	0.00	0.00	141,299.49	(141,299.49)	0.00	0.00		0.00		0.00	0.00
Total		119,296,406	4,491,485	118,981,002	(1,667,650)	116,210,054	5,594,782	4.81%	1,103,297	0.95%	1,609,284	3,985,498

UNM HEALTH SCIENCES CENTER
ENDING RESERVES BY ORG LEVEL 3 & FUND
FY 2015
(Unaudited)

Exhibit	Fund	AFA VP HSC Admin	AFB HSLIC	AFC School of Medicine	AFD College of Nursing	AFE College of Pharmacy	AFI HSC VP Research	Grand Total
Instruction and General	3U0044 HU I and G	1,081,143.31	969,982.99	365,529.90	1,323,667.56	1,854,458.06	-	5,594,781.82
	Instruction and General Total	1,081,143.31	969,982.99	365,529.90	1,323,667.56	1,854,458.06	0.00	5,594,781.82
Student Social and Cultural	3U0088 HU Student Social & Cultural Gen			8,905.18		64,904.01		73,809.19
	Student Social and Cultural Total	0.00	0.00	8,905.18	0.00	64,904.01	0.00	73,809.19
Research	3U0003 HU Endowed Spending Education			98.94				98.94
	3U0008 HU HSC Monitoring	-						0.00
	3U0023 HU Research General	5,670,033.08	106,592.11	657,404.77	257,183.58	783,834.95	2,552,656.19	10,027,704.68
	3U0047 HU F&A	14,345.54		3,970,388.75	245,006.63	1,178,800.93	523,102.52	5,931,644.37
	3U0081 HU Endowed Spending Research			351.75				351.75
	3U0083 HU Research State Appropriations			4,064.43				4,064.43
	3U0298 NM Tumor Registry (SEER) Billing			15,012.36				15,012.36
	3U0299 HU Research Residuals			878,479.01			674,975.54	1,553,454.55
	3U0312 HU CRTS State Appropriations			21,795.95				21,795.95
	Research Total	5,684,378.62	106,592.11	5,547,595.96	502,190.21	1,962,635.88	3,750,734.25	17,554,127.03
	Public Service	3U0001 HU Non Endowed Spending Research			4,394,744.46		18,664.40	109,647.49
3U0002 HU Non Endowed Spending Education		520,678.61	26,354.36	5,417,457.12	562,766.09	375,713.25		6,902,969.43
3U0008 HU HSC Monitoring		759,551.75						759,551.75
3U0011 HU UNMH AR & AP General		(62,633.46)		194,283.48				131,650.02
3U0023 HU Research General				213.48				213.48
3U0029 HU Clinical Residuals		734,488.66	565,459.27	8,161,999.60	440,563.21	327,921.50		10,230,432.24
3U0031 HU Specialty Ext Services								0.00
3U0032 HU UNMMG Purchased Services		45,106.13	7,979.33	(1,618,575.80)				(1,565,490.34)
3U0033 HU UNMMG Special Arrangements				1,090,977.01		59,587.09		1,150,564.10
3U0034 HU UNMH SOM General		14,558.17		4,313,884.50				4,328,442.67
3U0038 HU Neonatology Transport Billing				(2,834,834.43)				(2,834,834.43)
3U0050 HU CME Conferences		8,640.97		169,989.98				178,630.95
3U0051 HU VA Contracts				-				0.00
3U0064 HU CRTS Patient Billing				(3,794,637.04)				(3,794,637.04)
3U0068 VA Clinical Contracts e-Verify				13,707.58				13,707.58
3U0070 HU Sandoval Regional MC				(687,690.56)				(687,690.56)
3U0085 HU Clinical/Public Svs State Approp		240,789.40		104,702.13		265,555.11		611,046.64
3U0087 HU SRMC Hospital Operations			(400,499.45)				(400,499.45)	
3U0299 HU Research Residuals			254,411.92				254,411.92	
3U0300 HU Education General	24,591.03	694.81	1,787,490.74	1,419,814.83	80,267.74	77,810.68	3,390,669.83	
3U0301 HU Non Endow Spending Clinical Svc	15,927.60		724,417.51				740,345.11	
3U0303 HU Clinical Service General	278,824.11		446,506.62	166,791.99	465,173.82		1,357,296.54	
3U0315 HU Strategic Resources	500,000.00						500,000.00	
Public Service Total	3,080,522.97	600,487.77	17,738,548.85	2,589,936.12	1,592,882.91	187,458.17	25,789,836.79	
Internal Service	3U0014 HU Program Evaluation			36.71				36.71
	3U0016 HU Flow Cytometry			-				0.00
	3U0017 HU Kugr Genomics			-				0.00
	3U0020 HU Animal Resource Facility							0.00
	3U0022 HU Florescent Microscopy			-				0.00
	3U0035 HU Internal Services Contingency			-				0.00
	3U0036 HU PPD Maintenance and Planning	6,542.56						6,542.56
	3U0040 HU Electron Microscopy			1,336.41				1,336.41
	3U0059 HU Ctr for Development & Disability			19,169.41				19,169.41
	3U0060 HU UME Assessment and Learning			-				0.00
	3U0069 HU CC Animal Cores			(70.02)				(70.02)
	3U0072 HU CEHP Cost Center					2,834.99		2,834.99
	3U0314 HU Radio-Path Ctr for Forensic Imag			145.41				145.41
	Internal Services Total	6,542.56	0.00	20,617.92	0.00	2,834.99	0.00	29,995.47
	Student Aid	3U0003 HU Endowed Spending Education	64,186.97	16,317.53	2,753,864.96	390,712.69	241,091.59	1,149.72
3U0081 HU Endowed Spending Research		42,903.34	41.08	5,655,795.96	14,196.60		83,772.99	5,796,709.97
3U0106 HU Student Aid				940,834.72				940,834.72
3U0302 HU Endowed Spending Clinical Svc		32,291.37		127,472.04				159,763.41
Student Aid Total		139,381.68	16,358.61	9,477,967.68	404,909.29	241,091.59	84,922.71	10,364,631.56
Independent Operations	3U0004 HU Medical Investigator Billing			(1,405,559.30)				(1,405,559.30)
	3U0005 HU Housestaff Operations			6,031.76				6,031.76
	3U0011 HU UNMH AR & AP General			(1,739,232.29)				(1,739,232.29)
	3U0123 HU Housestaff UNMH AR			364,855.20				364,855.20
	Independent Operations Total	0.00	0.00	(2,773,904.63)	0.00	0.00	0.00	(2,773,904.63)
Grand Total	9,991,969.14	1,693,421.48	30,385,260.86	4,820,703.18	5,718,807.44	4,023,115.13	56,633,277.23	

UNM HSC FY 2015

Reserves/Balances (Unaudited)

	June 30, 2015		
	Reserve Balance		
HSC Academic Enterprise Total	\$ 99,040,607		
Less: Purchase Order Encumbrances	(1,247,218)		
Less: Contractually Committed	(20,680,280)		
Less: Committed to Building/Renewal	(32,407,330)		
Less: Committed to Domenici III	(10,000,000)		
Leaves reserves for normal operations of only 7.7% of annual expenditures	\$ 34,705,779	28.18	days
			7.7% of annual expenses
Total UNM Hospital Reserves	\$ 180,898,727		
UNM Hospital Capital Initiatives	211,673,776		
Net UNM Hospital Reserves	\$ 392,572,503	158.37	days of expenses
			(Fitch Ratings, "AA" credit rating group has a benchmark of 289.4 days or \$717,369,279)

NOTE 1: Total Bonds Payable of \$115,000,000 less Mortgage Reserves of \$14,141,322.

Under the UNMH Pavilion Mortgage, and the separate Security Agreement, UNMH and UNM Regents granted a security interest in all of UNMH's cash, accounts receivable, and contract rights to the Mortgagee (HUD).

In addition, under the Lease Agreement the Bernalillo County Commission, "UNMH will not use or pledge the reserves of the Hospital/Mental Health Center for any purpose which is not in the ordinary course of the operations of the Hospital/Mental Health Center..."

NOTE 2: I&G HED Required minimum reserve of 3% of I&G Expenses would be \$3,486,301.

Chancellor's Report

with Review of the Draft HSC Master Facilities Plan
will be presented at the meeting

Advisors Comments/Reports



GRADUATE *and* PROFESSIONAL
STUDENT ASSOCIATION

October 2015 BOR Report

Student Fee Review Board

- Accepted Applications: 29
- SFRB Hearings Held
 - Saturday September 19th 10 am- 5 pm
 - Sunday September 20th 10 am – 5 pm
 - Sunday September 27th 10 am- 5 pm
- The Student Forms will be on October 19th and 20th from 12pm-1 pm
- The Hearings were a great success and now awaits the Deliberations on October 24th and October 25th
- For the 2016-2017 fiscal year, SFRB has received total requests for \$19,025,480.00
 - \$583,651.00 one-time applications
 - \$18,441,829.00 recurring applications

HSSC- Flu-Shots & 5Ks

- UNM Hospital is offering FREE flu shots in months of October and November
- Drive-Thru Flu Shots!
- 6 Flu Shot Clinics this year, doubling last year
- Fit For Fun 5K & Health Fair- October 17th at 8:30 am

GPSA Committees

- Student Support and Advocacy- Stacie Hecht (Anthropology)
 - Working towards tutoring services for Graduate Students
 - A Graduate Bill of Rights is in the making
- Finance- Ngoc To (Accounting-Anderson)
 - \$37,201.00 given in the appropriations during the first Finance Committee Meeting on Sep 15th
- Lobby- Austin Megli (Law)



GRADUATE *and* PROFESSIONAL STUDENT ASSOCIATION

- Continuing the origination and facilitation of the 100k Space Renewal Project
- Programs- Hahn Ngyuen (Education)
 - Food For Thought on Friday Sept 25th and every other Friday
- Grants- Matthew Barstow (Architecture)
 - Working on bylaws
- Elections- Moses Allen (Education)
 - Bylaws for elections

GPSA Fall Grants Cycle

- Closes on Monday, October 12th-Extension
- 2 research grants, 1 professional development grant and 1 fall scholarship are being offered
- All applications are now being done online
- Food Trucks will be on campus every other Friday this fall to raise funds for our Summer Scholarship

Student Feedback Survey

- 304 respondents out of 5863 students (Spring 2015 enrollment numbers not Fall 2015)
- 40.43% of graduate students enrolled for less than one year want to be a member of graduate and professional student government. The number increases to 70.22% when graduate students have been enrolled three years or less.
- Graduate students also want to join an intermural sports team with close numbers at 69.13%.
- 35.53% of graduate students that took the survey state that the CAPS service for tutoring is not at all helpful. Only 7.89% agree that CAPS is helpful.
- 79.42% of graduate students do not use tutoring services, because it is not being offered
- The services that graduate students use the most are University Libraries at 34.69%, SHAC at 18.55% and Johnson Center at 17.19%.



GRADUATE *and* PROFESSIONAL
STUDENT ASSOCIATION

The Semicolon Tattoo Project/Lobo Respect Grand Opening

- September 26th, 2015 the Agora Crisis Center had their 3rd annual tattoo event
- Lobo Respect opened a center that facilitates on September 25th for the support, education and training for graduate students with regards to sexual assault
- They are making an effort to be a first response all the way through the recovery process for students

September 26th Council Meeting

- All appointments were approved
- A discussion on proof reading and editing resources for graduate students for the close of their thesis and dissertations



Strategic Goals:

Goal 1: Maximize alumni and student engagement

The UNM Alumni Association is pleased to announce **Homecoming 2015** is scheduled for the week of October 12-17. Star Trek inspired this year's theme, "**Live Lobo and Prosper**". There will be special events planned throughout the week, and will culminate with the New Mexico Lobos taking on the Hawaii Rainbow Warriors on October 17. More events and details are to follow. Please visit our Pinterest page to view some ideas on how you can start gearing up and getting excited for Homecoming 2015. Remember to use the hashtags **#lobohomecoming2015** **#liveloboprospers** for all things related to Homecoming 2015 on social media.

The **Homecoming Silent Auction** is on October 16th and the Online Auction takes place on October 11-18th, geared for out of state participation. We also have an additional small auction at the Staff and Faculty Luncheon which is designed to create more interest for Friday evening's large silent auction. All auctions are important for fundraising for scholarships and alumni programming. We have had much success reaching out to 500+ business large and small entities, and more importantly submitting donation proposals to large corporations.

The **Black Alumni Chapter Awards** reception and ceremony will be Friday, October 16. The Living Legends Awards will be presented to Mel Daniels and Tommie Jewell Sr., MA '63. The Trailblazer Award will be presented to Neil Murray BUS '73. The **Honors Alumni Chapter Distinguished Alumni Award** will be presented to Dr. James C. Moore BAFA '66 on Saturday, October 17 at the Honors Reunion Reception. The **School of Engineering Distinguished Alumni Awards** will be presented to: Carol Adkins, BSCHE '81, PhD '87, Wayne Brasure, PhD Engineering '91, Michael Dexter BSME '75, MS '76, EMBA '11, Charles Jennett PhD '69, James McNally PhD '86, Jeff Van Dyke BSCS '91, MS '93. The School of



Board of Regent Report

UNM Alumni Association & Alumni Relations Office

October 9, 2015

Engineering Young Alumni Award will be presented to Antonio Jaramillo BSCE '00. The **School of Law Distinguished Achievement Awards** will be presented to: Briggs Cheney BBA '69, JD '73, Professor Emeritus Richard Gonzales BA '66, The Honorable Elizabeth Whitefield BAED '74, JD '77. You should have received your invitations to the **All University Breakfast on Saturday, October 17, 2015**, at Hotel Albuquerque beginning at 9 a.m. We hope that you can join us.

The **Greater Albuquerque Area Alumni Chapter (GAAAC)** hosted a Professional Development workshop on August 6 for area alumni. Five local successful businesspeople led conversations on the topic of Entrepreneurism, and 26 alumni benefited from the information. Sixteen (16) of the local Albuquerque Alumni Chapter members came back to UNM on August 21 and volunteered for UNM's traditional Welcome Back Days, where they served over 1,200 hot dogs to new students.

Lobo Living Room teamed up with UNM Athletics and the UNM Honors College to present **Baseball, the National Pastime** on August 27th. Over 170 people came out and supported the family-friendly event. The last Lobo Living Room event for 2015 will be held on Wednesday, November 11 at the UNM Health Science Center. The topic, **Arts-In-Medicine**, with feature Dr. Patricia Repar.

The **Birthday Cake Program** continues to serve as a means to introduce Hodgkin Hall as the Alumni Center to students who come to pick up birthday cakes, ordered by their parents in advance. The program is off to a fantastic start for the 2015-2016 school year with 15 cakes picked up by UNM students. Parents that ordered cakes in previous years were reminded to reorder. Seven of the 15 orders were for students returning from previous years.

Donut Wednesday officially kicked off on September 2nd with over 45 dozen donuts distributed to students, faculty and staff who visited the Hodgkin Hall Alumni Center.



Board of Regent Report

UNM Alumni Association & Alumni Relations Office

October 9, 2015

The **Young Alumni Chapter** will host its annual Halloween Costume Drive to collect costumes for CLN Kids, on Friday, October 23 at the Apothecary Lounge in the Hotel Parq Central.

Goal 2: Increase alumni advocacy for UNM

The **UNM Alumni Association** travelled to Tucson and Tempe, Arizona on September 17 and 18, in conjunction with the UNM football team playing ASU in Tempe. A trip to Tucson was organized on Thursday night and 42 alumni came to the Westin La Paloma to show support of their alma mater. Karen Abraham, Executive Director of the Alumni Association, and Craig White, Dean of the Anderson School, gave a few comments. Ages and UNM degrees ran the gamut, and all walked away feeling a sense of rekindled Lobo pride. A pre-game event was held in Tempe at a popular university area restaurant with over 100 highly-spirited Lobo attending. Food and drinks were sponsored by the UNM Alumni Association, UNM Athletics, and the Anderson School of Management. Lobos came from several states – Arizona, New Mexico, California, Texas, Colorado, and Nevada. From the event, a steady stream of red marched down Main Street and invaded the ASU stadium, but that wasn't enough to defeat the ASU Wildcats.

Green Chile season has been in full bloom in August and September. Six alumni chapters were busy roasting Hatch chile for scholarship fundraisers and meeting with area alumni. Proceeds from the chile roasts benefit endowed scholarships to be awarded to Amigo recipients. All events to date have been very successful. The last roast will take place in Atlanta, Georgia on October 3.

On Monday, November 2, 2015, the University Priority Legislative Session for the 2016 Session will take place at Hodgin Hall, Bobo Room from 6:00 – 8:00 pm. The next scheduled **Legislative Home Reception** will take place at the home of Fred Mondragon and Connie Vance in



Board of Regent Report

UNM Alumni Association & Alumni Relations Office

October 9, 2015

Albuquerque on Thursday, November 5 from 6:00 – 7:30 pm. On Monday, January 25, 2016, the annual **Alumni Association Legislator**

Appreciation Reception will be held in Santa Fe at the La Fonda from 6:00 -7:30 pm.

The **UNM Memorial Chapel** has hosted 235 events, a combination of weddings and memorial services for alumni, students, faculty and staff as of September 2015. The Chapel will be hosting the Veterans Alumni Chapter, Navy ROTC, Army ROTC, and Air Force ROTC for Veterans Day Celebration on Tuesday, Nov. 11 starting at 9 a.m. with a Flag Raising at Scholes Hall. The remembrance and memorial event will proceed to the UNM Alumni Memorial Chapel where refreshments will be served.

Goal 3: Maximize benefits of technology

Social Media platforms continue to thrive with planned and targeted communications going out on Facebook, Twitter, and Instagram. Increased likes, shares and comments have led to increased engagement on Facebook, allowing more of our posts to be seen by our full audience.

The **Howler** continues to inform alumni of all the activities and events offered by the ARO and Alumni Association. The Howler open rate continues at a strong percentage. The next e-newsletter promoting Homecoming will hit email inboxes on Nov. 3. **Facebook** is seeing a steady growth in engagement. Our best performing post was a shared link announcing the top ranked women's Cross Country team, reaching more than 5,000 Facebook users, followed by Karen's retirement article in The Mirage, which reached more than 2,500 Facebook users. **Twitter** has received 30 new followers in September and is seeing excellent engagement through retweets and favorite posts. **Instagram** now has a content and posting schedule to reduce long gaps between posts. Our most popular posts were Green Chile Roast reminders, Sept. 11 #NeverForget, and The Mirage Magazine post of Karen.



Board of Regent Report

UNM Alumni Association & Alumni Relations Office

October 9, 2015

The Alumni Association in partnership with the UNM Foundation is preparing to launch a new online community based on a platform provided by **Imodules**. A replacement for a current provider, the new platform will provide improved broadcast email services, data improvement opportunities, event management and the potential to use LinkedIn as an effective information source. The launch will take place in November with a full marketing plan.

Goal 4: Invest in a strategic and collaborative communications and marketing program

We are streamlining and coordinating our program and chapter **marketing efforts** through the creation of marketing plans, creative briefs and social media schedules. These have been created for Homecoming, Lobo Living Room, and Donut Wednesday. As we begin confirming dates for our 2016 events, we are also working to back out collateral and media production plans.

**Minutes of the Meeting of the
UNM Health Sciences Center Board of Directors
August 7, 2015**

UNM Health Sciences Center Board of Directors (the "Board") members present: Robert Doughty, III, Chair, Jack Fortner, Vice Chair, Marron Lee, John Eaves, James Koch, Ryan Berryman, Ann Rhoades.

UNM Board of Regents present: Lt. Gen. Brad Hosmer

UNM Leadership present: Bob Frank, PhD

UNM HSC Leadership present: Paul Roth, MD, MS, Chancellor for Health Sciences, and additional members of the Health System and Health Sciences Center leadership

Others present: members of the UNM faculty and staff

Chair Robert Doughty called the meeting of the Board to order at 8:05 a.m. The meeting was held at the UNM Cancer Center. The Chair announced that a quorum of the members of the Board was present.

Approval of Agenda

A motion was made to approve the agenda. The motion was seconded. The motion passed with a vote of 6-0-0.

Approval of Minutes of Prior Meeting

A motion was made to approve the minutes of the July 17, 2015 meeting of the Board. The motion was seconded. There was no discussion. The motion passed with a vote of 6-0-0.

Public Comment

There was no public comment.

Action Items

Request for the Approval of the UNM HSC FY 2017 Legislative Request

Ms. Connie Beimer presented the UNM Health Sciences Center legislative request priorities to include the funding formula, School of Medicine I&G, compensation package, lottery scholarship, GO Bond and Capital Outlay, endowment match, deferred maintenance and photonics project. She provided background information on the HSC legislative requests: Graduate Medical Education Residency, Center for Childhood Maltreatment, New Mexico Connect, utilities at the Office of the Medical Investigator,

Project ECHO, and UNM Pain Center. She briefly included requests for UNM Main Campus and the UNM School of Law. Discussion on the team effort of groups on both Health Sciences Center and Main campuses in coordination of UNM legislative requests. A motion was made to approve the HSC legislative requests. The motion was seconded. The motion passed with a vote of 6-0-0.

Request for the Approval of the Revised HSC Five Year Capital Plan

Ms. Ava Lovell provided a summary of the Revised HSC Five Year Capital Plan that included information on an explanation of the revisions, that this is a process from the New Mexico Higher Education Department (HED) who oversees the HSC and all other educational entities throughout the state, request for funding for Education Bldg.-Phase III, request for UNM HSC West Health Care Education Building in Rio Rancho in order to expand health care programs on that campus (approximately \$28 million), work to coordinate with the City of Rio Rancho with regard to partial funding, edit to replacement hospital line and the recent presentation of this item to the HED, and the fact that this Five Year Plan can change each year. She emphasized that the HSC Board of Directors would not be approving any particular project but that this is just a plan for the HED for the next five years. Discussion included Child Psychiatric facility and clarification on bed capacity. Dr. Roth noted that UNM Health Sciences Center has the only children's psychiatric hospital in the state and that the facility is dramatically outdated and not adequate and explained rationale and different scenarios for future remedy (*i.e.*, backfill to current hospital). Mr. Steve McKernan provided further detail including the history of the existing Children's Psychiatric Hospital facility and the difference in requirements for a residential treatment center versus requirements for an acute care hospital, emphasizing that the current facility does not qualify as an acute care facility, contingency plan versus preferred plan, timing and coordination with the HSC Master Facility Plan. Discussion continued regarding the increasing need for an acute care hospital, the ability to diagnose children with psychiatric illnesses earlier and to render treatment, and issues with insurance companies. A motion was made to approve the Revised HSC Five Year Capital Plan. The motion was seconded. The motion passed with a vote of 6-0-0.

Request for the Approval of the UNM HSC Health Education Building, Phase III

Mr. Chris Vallejos presented background on the proposed buildout of Phase III of the UNM HSC Health Education Building as consistent with the 2010 HSC Master Plan, for a 75,000 square foot, three story, steel frame building at the corner of Marble and Stanford to connect to the existing building. This building will provide 10 classrooms for Nursing, Pharmacy and Medicine. The funding for this capital project is approximately \$27 million from various sources. Discussion on the three phases of this project. A motion was made to approve the request for capital project approval of the UNM Health Sciences Center Health Education Building Phase III. The motion was seconded. The motion passed with a vote of 6-0-0.

Request for the Approval of the Nomination of Michelle Coons to the UNM Hospitals Board of Trustees

Mr. Steve McKernan provided rationale and background on the request for the approval of the nomination of Michelle Coons as a member of the UNM Hospitals Board of Trustees. A motion was made to approve the nomination of Michelle Coons to the UNM Hospitals Board of Trustees. The motion was seconded. There was no discussion except the comment that Ms. Coons "is terrific." The motion passed with a vote of 6-0-0.

Request for the Approval of the UNM Cancer Center Contract with Elekta, Inc.

Mr. Bruce Cherrin introduced David Hopper, a representative from Elekta, Inc., and they provided background on this request for the approval of the UNM Cancer Center contract with Elekta, Inc. that includes a five year maintenance agreement for two linear accelerators located at the UNM Cancer Center that is utilized in the treatment of approximately 30 patients per day for each accelerator. A motion was made to approve the UNM Cancer Center contract with Elekta, Inc. The motion was seconded. There was no discussion. The motion passed with a vote of 6-0-0.

Information Items

HSC Financial Update

Ms. Ava Lovell clarified that a full financial update would not be provided at today's meeting. Dr. Roth clarified that due to Medicaid not having mechanisms in place to reimburse providers for care for patients enrolled there was a dramatic rise in Accounts Receivable but that over the past several months the HSC has been catching up with reimbursements for care delivered roughly eight months in the past. Due to this phenomenon, in the month of June, the HSC received an additional \$32 million above budget. Those funds have gone into the Capital Initiative Fund for UNM Hospitals. Mr. McKernan added explanation on the very significant delay in getting patients who were eligible for Medicaid in the expanded categories enrolled, further delays due to patient confusion, the Health System's response in establishing a successful process to monitor patient eligibility for Medicaid, etc. Dr. Roth added that because of the HSC's relationship with the Indian Health Service and Bernalillo County, when the hospital places those one-time funds in Reserve accounts, they are dedicated for only the Clinical functions and facilities and cannot be used elsewhere. Discussion included plans for preparing the 2016-2017 budget and a request that five-year projections be provided at the September HSC Board of Directors meeting.

Regent Doughty noted that the UNM Hospitals Board of Trustees Meeting Minutes for June 26, 2015, the SRMC Board of Directors Meeting Minutes for May 27, 2015 and June 24, 2015, and the Carrie Tingley

Hospital Advisory Board Meeting Minutes for May 18, 2015 were included as information items. No discussion.

Executive Session

A motion was made to close the open portion of the meeting and for the Board to convene in executive session for the reasons and to cover those items specified in the published Agenda. The motion was seconded. The motion passed with a vote of 6-0-0 in favor.

Return to Open Session

The HSC Board of Directors and HSC leadership discussed the Open Meetings Act and reviewed the proposed agenda items for executive session. A motion was made for the Board to reconvene in open session. Discussion. The motion was seconded. The motion passed with a vote of 6-0-0 in favor.

UNM HSC Board of Directors Orientation

Dr. Paul Roth opened with describing the goals of the orientation -- to share a broad overview of the direction of the UNM Health Sciences Center and to briefly review the previously adopted HSC Strategic Plan. He noted that general initiatives such as the HSC Strategic Plan and Master Facility Plan are understood to be 'living documents' and are subject to change as issues and circumstances evolve. He emphasized that the intent on the HSC Master Facility Plan discussion today was to share with the Board a very preliminary rough draft and to seek the Board's input. He highlighted that the purpose of the institution is defined in the HSC Vision, Mission and Values Statements. The HSC consists of the Academic enterprise and the UNM Health System which encompasses the entire clinical enterprise of the Health Sciences Center. Discussion was held on the UNM School of Medicine that has separate units that includes Occupational Therapy (a division of the Department of Orthopaedics), Physical Therapy, Med Lab Sciences, Dental Hygiene, etc.

Academic Health Centers

Dr. Paul Roth presented that the Academic Health Center (there are approximately 100 academic health centers in the USA) provides a unique form of delivery of health care as an academic institution. Education is spread throughout the institution in daily activities in an apprenticeship model and simultaneously human subject research is performed (early discovery in Basic Sciences in the labs but a large effort in clinical trials and volunteer human subjects who are enrolled in these trials). The academic health center is a relatively rare but essential part of the health care delivery system as well as essential part of the country's higher education. Regarding education, the HSC is driven by a perception of the needs of New Mexico. The UNM School of Medicine graduates represent 40% of all our practicing

physicians in New Mexico and the College of Pharmacy and College of Nursing are much the same in the current workforce in the state. In a response to a request by the Legislature approximately three years ago, we assumed responsibility for analyzing the health care workforce needs of the state. Dr. Roth discussed some of the work performed, in this regard, by Dr. Richard Larson and Dr. Arthur Kaufman, in collecting viable data on assessing the physician workforce in New Mexico. Discussion on physician shortage and residency program constraints with accrediting agencies regarding Family Medicine and Internal Medicine physicians. The preference is to expand Family Medicine that will address primary care. Discussion on national incentive programs to encourage physicians to practice in areas of the state where there is a need, loan forgiveness program, other incentives provided by communities, lack of support structure in rural areas, the importance of a physician in a rural area having a connection to the UNM HSC, stress of rural providers, etc. Dr. Roth continued that this then drives class size increases in an effort to address the workforce needs for New Mexico. Discussion was then held regarding the improvement of communication to the community, Governor's office, the Legislature, etc. Dr. Roth provided information on the BA/MD program and the requirement that two-thirds of those admitted to the program must come from rural New Mexico areas. UNM Health is the product that the HSC developed and is currently one of the options UNM employees can select for insurance for health care and Dr. Roth commented that plans are underway to expand the capabilities with Third Party Administrators.

Research Park Corporations

Mr. Scot Sauder presented an overview (with a more details contained in the handouts) information on the Research Park and Economic Development Act that included background on the law adopted in the early 1990s that enabled universities to create Research Parks and Research Park Corporations to assist in carrying out some of the business of the university in a much more classical way. In 1998 the Act was amended to include health care and health care facilities; in 2006 the Act was further amended to include economic develop as one of the purposes and that was the precursor to the development of Lobo Development Corporation. The Act authorizes a university to form one or more Research Park Corporations which are to be separate and apart from the state and separate from the university to promote, develop and administer research parks or technological innovations for scientific education and economic development opportunity. Mr. Sauder described that it allows for non-profit corporations, for profit corporations, and partnerships or limited liability companies. He discussed whether or not a university can share ownership with another entity in a research park corporation and still attain benefits, in the past the three research universities in New Mexico have formed a joint powers entity (regarding the bid for operating Los Alamos National Labs several years ago), permitted purposes, legal advantages, relationship to parent university, relationship to Board of Regents an the HSC Board of Directors, etc. Discussion included a list of some of the companies formed under this Act and examples are UNM Medical Group, Inc., UNM Sandoval Regional Medical Center, Inc., Lobo Development Corporation, Lobo Energy.

HSC Strategic Plan Overview

Dr. Richard Larson presented an overview of the HSC Strategic Plan that included information on how the strategic plan was developed for not only the Health Sciences Center but all entities within it (Health System and all academic units), and the HSC vision, mission and values. Vice Chair/Regent Fortner interjected on whether or not this should be discussed in closed session and asked for clarification. After discussion, it was decided to proceed in open session. Dr. Larson continued his presentation with information on the process on arriving at the overall strategic goals, how that is put together with all the various entities within the Health Sciences Center, substantial environmental challenges that impact all the HSC missions (*i.e.*, Research mission and changes in research), education needs to expand to address shortages and develop new programs that target to the new needs in health care, delivering the educational platform in a new way (*i.e.*, interprofessional education, distance learning), an academic health center has the same challenges as other health care providers regarding the Affordable Care Act but provides a unique role in its tertiary and specialty care, and academic health centers are different in most regards from other health care providers and other universities in many key ways. In the process, several consulting groups were employed as well as many internal processes where a wide variety of environmental assessments were conducted, where many changes and their significance were recognized during the process. This was the first time that an integrated analysis of the entire Health Sciences Center was studied as a whole. Dr. Larson reviewed the HSC strategic plan and he noted that Dr. Richards will be providing an overview of the Health System strategic plan. Dr. Roth interjected that the HSC had fully participated in the University's strategic planning process and that the goals presented today are all embedded within the University's Strategic Plan. Dr. Larson continued his presentation discussing definition, processes, the six strategic overall goals and their respective strategies that represent a five year objectives and measures of success, tactics/metrics, summary of goals and strategies, and highlight of each goal -- improve public health and health care throughout New Mexico, building the New Mexico workforce, translate our Research into Clinical or Educational practice, environment of excellence, and environment of diversity, integrity and transparency. Discussion was held on disease management.

Health System Strategic Plan Overview

Dr. Mike Richards presented on connecting the material on vision/mission/strategic goals to the development of the Clinical Enterprise, the process, recent drivers (value-based purchasing, continuum of care, etc.), and framework of the current Health System strategy and the nine top level performance metrics. He reviewed the vision and mission, need to address all elements of overall health, health equity, social determinants, etc. while simultaneously building and run a very complex clinical delivery system in a highly competitive environment. He continued regarding the academic health center, building clinical enterprise and our uniqueness of delivering highly complex care within the state as

opposed to others in the state who are also building a clinical delivery system, academic component (teaching hospital), unlike many other academic health centers we also occupy a unique role as a safety net facility, our legacy of Indian Health Services connection, etc. UNM Health System provides the state's only Level One Trauma Center, only comprehensive Cancer Center, only Pediatric Hospital, only center that can do time sensitive Neurological emergencies, etc. Dr. Richards discussed how these unique elements influence the way the clinical delivery system should be built – we have a state-wide mission involves all New Mexicans not just patients who are enrolled in our health plan, for example. We are the backup hospital for all other delivery systems. As such, we are part of all the patient population and a key component of everyone else's delivery system. He discussed social determinants of health care, challenges in being proactive to strengthen improvements to keep the state's citizens healthy, continuum of care, FFS versus Capitation, etc. Discussion was held on what will happen if Obama Care is repealed, capacity issues, valued-based purchasing, purpose of today's orientation, the history of the past discussions/presentations to the HSC Board of Directors and the UNM Regents for the past many years, concerns on why the request for a new hospital is not moving forward, how we are now in a serious crisis, and how a new hospital will be good for New Mexico, need for educating the public and appropriate communication to the Legislature, etc. Dr. Richards continued with the high level metrics on community engagement, patient experience, culture of excellence, operations, strategic growth and partnerships; guiding principles for measures of success, operational efficiency, positive clinical growth, quality and safety, University Hospital Consortium. Discussion was held on population health, greatest health needs.

Return to Open Session

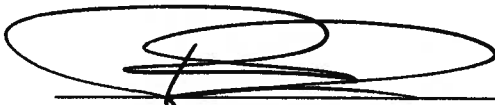
Following the executive session, a motion was made for the Board to reconvene in open session and to certify that only those matters described in agenda item 8 were discussed in executive session. The motion was seconded. The motion passed with a vote of 6-0-0 in favor.

Adjournment

A motion was made to adjourn the meeting. The motion was seconded. No discussion; with a vote of 6-0-0 in favor. Motion passed.

Minutes were prepared by Patrice Martin and finalized on August 28, 2015.

Approval of Minutes:



Regent Robert Doughty, III, Chair

9/4/15

Date

Agenda Item	Subject/Discussion	Action/Responsible Person
Voting Members Present:	Jerry McDowell, Michael Olguin, William Lang, Christine Glidden, Nick Estes, Raymond Loretto, Dr. Donna Sigl, Joseph Alarid	
Ex-Officio Members Present:	Stephen McKernan, Dr. Irene Agostini, Dr. Michael Richards, Dr. Aimee Smidt	
County Officials Present:	Mario Ruiz	
Call to Order	A quorum being established, the acting Chair, Mr. Jerry McDowell, called the meeting to order at 9:07AM.	
I. Adoption of Agenda	The acting Chair, Mr. Jerry McDowell, requested a motion to adopt the agenda, as submitted.	Dr. Donna Sigl made a motion to adopt the agenda. Mr. Michael Olguin seconded the motion. There being no objections, the motion carried.
II. Public Input	None	
III. Announcements	<p>Mr. Stephen McKernan introduced the new Student Regent member, Mr. Ryan Berryman.</p> <p>Mr. Stephen McKernan expressed appreciation to Mr. William Lang for his service on the board. Mr. Lang accepted graciously.</p> <p>Mr. Stephen McKernan also expressed appreciation to Mr. Michael Olguin for his service as Chair and acknowledged his dedication and commitment to the hospital.</p>	
IV. Approval of Minutes	<p>UNM Hospitals Board of Trustees Nominating Committee meeting minutes for July 30, 2015.</p> <p>UNM Hospitals Board of Trustees meeting minutes for July 31, 2015.</p>	<p>Dr. Donna Sigl made a motion to approve the minutes of the July 30, 2015, Board of Trustees Nominating Committee meeting. Dr. Raymond Loretto seconded the motion. There being no objections, the motion carried.</p> <p>Dr. Donna Sigl made a motion to approve the minutes of the July 31, 2015, Board of Trustees meeting. Dr. Raymond Loretto seconded the motion. There being no objections, the motion carried.</p>
V. Consent Agenda	Following review of the Consent Approval items, the acting Chair, Mr. Jerry	Following a brief discussion regarding the

Agenda Item	Subject/Discussion	Action/Responsible Person
	<p>McDowell, requested a motion to approve, as submitted.</p> <p>Following review of the Clinical Privileging descriptions, the acting Chair, Mr. Jerry McDowell, requested a motion to approve, as submitted.</p>	<p>consent items, Dr. Raymond Loretto made a motion to approve the Consent Approval items, as submitted. Dr. Donna Sigl seconded the motion. There being no objections, the motion passed unanimously.</p> <p>Mr. Joseph Alarid made a motion to approve the Clinical Privileging descriptions, as submitted. Dr. Donna Sigl seconded the motion. There being no objections, the motion passed unanimously.</p>
VI. Board Initiatives	<p>Mr. Steve McKernan noted the board had requested to revisit the Mission/Vision to be more concise and easier to remember. The recommendation was to have this reviewed in the September POCEC meeting to return with a recommendation to the board for further discussion.</p> <p>Mr. Steve McKernan presented an update on the UNMH Operating Plan.</p>	
VII. Administrative Reports	<p><u>CEO Report</u> – The CEO Report is in the packet.</p> <p><u>CMO Report</u> – The CMO report is in the packet.</p> <p><u>Chancellor’s Report</u> – Dr. Roth was not present to provide an update.</p>	
VIII. Updates	<p><u>Financial Report Card</u> – The financial dashboard for June and July were in the packet and presented by Ms. Ella Watt.</p>	
IX. Committee Reports	<p><u>Performance Oversight & Community Engagement Committee</u> The Performance Oversight & Community Engagement Committee met on August 21, 2015.</p> <p><u>Finance and Audit Committee</u> The Finance and Audit Committee met on August 26, 2015.</p>	
X. Other Business	None	

Agenda Item	Subject/Discussion	Action/Responsible Person
XI. Closed Session	At 10:57AM, the acting Chair, Mr. Jerry McDowell, requested a motion to close the open session of the meeting to the public.	Mr. Michael Olguin made a motion to move to closed session. Dr. Raymond Loretto seconded the motion. The motion passed unanimously.
XII. Certification	After discussion and determination where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA, the Board certified that no other items were discussed, nor were actions taken.	
XIII. Vote to Re-Open meeting	<p>At 11:53AM, the acting Chair, Mr. Jerry McDowell, requested a motion to be made to return the meeting to open session.</p> <p>The acting Chair, Mr. Jerry McDowell, requested a motion to be made that the Board accept the minutes of the meeting of those committees that were presented in Closed Session to acknowledge for the record that those minutes were, in fact, presented to, reviewed, and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the minutes of those committees meetings and to ratify the actions taken in closed session.</p>	<p>Mr. Michael Olguin made a motion to return to open session. Mr. Joseph Alarid seconded the motion. The motion passed unanimously.</p> <p>Mr. Michael Olguin made a motion to accept the minutes presented by the committees. Dr. Donna Sigl seconded the motion. The motion passed unanimously.</p> <p>Dr. Donna Sigl made a motion to ratify the actions taken. Mr. Michael Olguin seconded the motion. The motion passed unanimously.</p>
XIV. Adjournment	The next scheduled Board meeting will be September 25, 2015 @ 9:00AM. There being no further business, the acting Chair, Mr. Jerry McDowell, adjourned the meeting at 11:54AM.	

SRMC Board of Directors Meeting Minutes

August 26, 2015

8:00 a.m. - 11:00 a.m.

UNM Sandoval Regional Medical Center, 4th Floor Board Room

Teleconference 505-994-7187

Rio Rancho, New Mexico

1.0	CALL TO ORDER AND CONFIRMATION OF QUORUM	
	Dr. Roth called the meeting to order and a quorum was confirmed at 8:03 a.m.	Accept as information
2.0	VOTE TO APPROVE THE AGENDA	
	Dr. Roth presented the Agenda to the Board for review.	Upon a motion and a second, the Board voted to approve the agenda. Motion passed unanimously.
3.0	VOTE TO APPROVE THE MINUTES OF JULY 22, 2015	
	Dr. Roth presented the Minutes to the Board for approval.	Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously.
5.0	PUBLIC COMMENT	
	None	
6.0	PROGRAMMATIC UPDATES	
6.1	<p><u>Breast Program</u> Dr. Stephanie Fine provided a presentation on the Breast Program and stated that they have identified the following goals for implementing a successful program.</p> <ul style="list-style-type: none"> • Improving Community Standard <ul style="list-style-type: none"> ○ Fellowship-trained breast surgeon ○ Advanced imaging ○ Consistent clinic presence ○ Develop coordinator/navigator role ○ Community impact ○ Increased or utilization ○ Drive breast imaging services ○ Drive ancillary services (pt/ot) ○ Increase volume women's imaging services ○ Educational opportunity (residents, students) <p>Please refer to the PowerPoint for detailed information.</p>	We will follow up on the Breast and Surgical Services grant that is in question by Dr. Fine
7.0	REPORTS FROM SRMC COMMITTEES	
7.1	<p><u>Finance Committee</u> Mr. Mckernan provided an update of the August 20, 2015 meeting and presented the minutes of June 18, 2015 for acceptance.</p>	Upon a motion and a second, the Board voted to accept the minutes. Motion passed unanimously.

7.2	Mr. McKernan presented the FY15 Purchases over \$250K with a motion for approval.	Upon a motion and a second, the Board voted to approve the purchases. Motion passed unanimously.
8.0 FINANCIAL REPORT		
8.1	Ms. Fernandez reviewed the SRMC Financial Dashboard for month ended July 2015 and the financials for FY15 ending June 30th, 2015 and the FY16 one month ending July 31, 2015. Please refer to the August 26, 2015 financial presentation for detailed information.	Accept as information
9.0 CEO REPORT		
9.1	<p>Ms. Silva-Steele presented the CEO report and provided a verbal update on the following:</p> <p><u>Operational Summary</u></p> <ul style="list-style-type: none"> • Throughout the summer the census has been impacted by vacations and has dipped below target. A reminder that as of July 1st we have new fiscal year metrics. • Clinic volumes have been impacted for the same reasons. We have now hired a Primary Care provider so that should also assist us with hitting our targets and opening access. • Surgeries continue to increase and week by week they have also been impacted through the summer by vacations. <p><u>People</u></p> <ul style="list-style-type: none"> • Turnover for July was 2.86% slightly higher than prior month. This reflects 16 terms. Nursing turnover is improving. New goal for FY16 is that annualized turnover goal is to be less than 20%. We have several initiatives under way to address retention. We are currently conducting leadership rounds to seek input from staff on retention strategies. We have implemented Exit Interviews. We are also conducting 30-60-90 day check-ins on all new hires. Vacancy rate is also improving at 8% for July. • Employee Engagement Survey was conducted in July, with a 61% response rate. We are still waiting for our final report. We look forward to the feedback that should help us action plan to ensure top Employee Engagement for SRMC. • Referring Provider and Provider Satisfaction survey was sent out in July and is still pending. <p><u>Quality</u></p> <ul style="list-style-type: none"> • The Management and Executive Teams are continuing training on the concepts of LEAN management and developing an organizational work plan on advancing LEAN Management in the organization. The SRMC Values are now tied to LEAN Principles and Ideal Behaviors for the Organizational and Operational levels. This week, three areas in the organization have been identified as initial learning areas (model cells) and have been working with our LEAN coaches as we start to rollout our management philosophy throughout the organization. The 	Accept as information

	<p>True North Dashboard is evolving as are the huddles we are conducting daily and weekly.</p> <p><u>Growth</u></p> <ul style="list-style-type: none"> • Focus is on maintaining a steady patient census and supporting increasing Surgical Volumes. • Master Facility plan meetings have been scheduled every two weeks. Dr. Richards is the Board of Directors representative. <p><u>Community Engagement</u></p> <ul style="list-style-type: none"> • The Sandoval County Health Collaborative was presented this week at the Rio Rancho Regional Chamber of Commerce. SRMC and Presbyterian (Rust) CEOs co-chairing this initiative for the County. Triple goals: Education of Allied Health workforce, Creating Economic-based jobs, Improving Wellness and Health Literacy. <p><u>Mill Levy</u></p> <ul style="list-style-type: none"> • SRMC Mill Levy committee is meeting monthly. Donnie Leonard is the Board of Directors representative. • Perception polling was initiated. Goal is to reach 600 residents. Results should be completed this week. A full report will be presented to the Board. • We have started to develop our quarterly messages plan. Results of polling may require plan to be altered. • We are discussing the development of an Annual Community Report. • We are evaluating SRMC employee zip code data • Tribal Collaboration meeting scheduled for October 29th • Master Facility Planning will be an important impact to our vote as we move forward. • Discussed strategies to reach communities, businesses, churches, fairs, etc. <p><u>Art Council</u></p> <ul style="list-style-type: none"> • Group meeting monthly. Manu RainBird is the Board of Directors representative. • Goal is to showcase art in the organization which will enhance, beautify and add support healing. • Official Art Gallery opening was held August 13th, 4-6 PM. • Developing art submission guidelines and a deed of gift process. • Looking at all forms of art media. <p><u>Community Advisory Board</u></p> <ul style="list-style-type: none"> • Group meeting every other month. Charlotte Garcia is the Board of Directors representative. • Group is working on a Community Engagement and Partnership Self-Assessment <ul style="list-style-type: none"> ○ This tool was developed through the Diversity, Equity and Inclusion efforts at UNM Hospital. ○ It assists the organization in looking at national standards and requirements from several agencies in addressing health care disparities (Joint Commission 	
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	<p>(TJC), National Quality Forum (NQF), Culturally & Linguistically Appropriate Services (CLAS), National Committee for Quality Assurance (NCQA), and America’s Essential Hospitals (formerly National Association of Public Hospitals (NAPH).</p> <ul style="list-style-type: none"> • Group continues to evolve our self-assessment, identifying strengths and weaknesses. Have discussed the potential development of a Patient-Family Advisory Council. Also exploring ways to provide looped-information on Patient Televisions around patient education, health topics, and available hospital services. • Patient zip-code data being updated. • As part of Master Facility Planning will ensure that we are thinking of ways to create space(s) for Traditional practices. 	
10.0	CMO REPORT TO INCLUDE ACADEMIC UPDATE	
10.1	<p>Dr. Ogburn provided a verbal report on recent, new, and expanded services at SRMC. Please refer to handout for detailed information.</p> <p><u>New/Ongoing Activities</u></p> <ul style="list-style-type: none"> • Hospital Medicine <ul style="list-style-type: none"> – Three UNM providers leaving this summer – Recruitment challenging <ul style="list-style-type: none"> ○ First staff physician hired into Medical Group! – First staff physician hired into Medical Group! • ICD-10 preparation <ul style="list-style-type: none"> – Champions <ul style="list-style-type: none"> ○ Eleana Zamora ○ Julie Harrigan – Community provider in Hospital Medicine • Behavioral Health <ul style="list-style-type: none"> – Two new physicians starting this summer <ul style="list-style-type: none"> • Expand consult services • Consider eating disorders focus – Expansion of Outpatient services <ul style="list-style-type: none"> • Recruit a full time Psychologist • Primary care collaboration • Addiction services • Operating Room <ul style="list-style-type: none"> – Utilization increasing – most services at or above benchmark – All blocks assigned M-F – Discussion ongoing about outpatient Pediatric cases at SRMC • ENT <ul style="list-style-type: none"> – New UNM provider starting in September <p><u>UNM Access</u></p> <ul style="list-style-type: none"> • UNM Access (PALS – Teletracking) – Rollout complete system wide <ul style="list-style-type: none"> • Transfers – Goal is 2 per day 	Accept as information

	<ul style="list-style-type: none"> ○ April – 66 total (2.2/day) ○ May – 28 to date ○ June – 50 (1.7/day) ○ July – 43 (1.4/day) ○ August to date - 41 (1.6/day) <p><u>Educational Activities</u></p> <ul style="list-style-type: none"> • Medical Students, Residents and Fellows <ul style="list-style-type: none"> • 2013 – 28 <ul style="list-style-type: none"> – Limited to GI, Urogyn, Orthopedics, Urogyn fellows • 2014 – 124 • 2015 through July – 92 (18 in July) • Other professional students and pre-professional <ul style="list-style-type: none"> • 2014 – 18/month (partial year data) • 2015 – 30/month through June (54 in July) • On the Horizon <ul style="list-style-type: none"> • Education Director <ul style="list-style-type: none"> – 3 applicants – interviews being scheduled – Duties <ul style="list-style-type: none"> • Oversee all educational activities • Chair Academic engagement committee • Lots of opportunities for Interprofessional education, service learning, developing pipeline for students in Sandoval County • Education Coordinator <ul style="list-style-type: none"> – Administer/coordinate all educational activities • Space <ul style="list-style-type: none"> – Planning meetings underway with UNM HSC education leadership 																																		
11.0	CLOSED SESSION																																		
	Motion was made to close the Open Session and convene in Closed Session; the motion was seconded and passed unanimously.	Accept as information																																	
12.0	RECONVENE IN OPEN SESSION																																		
	<p>Certification that those matters discussed in Closed Session and issuance of final action of such items.</p> <p><u>New Applicants</u></p> <table border="1"> <tr> <td>Bettoli-Vaughn, Elena</td> <td>Medicine/Psychology</td> <td>UNM</td> </tr> <tr> <td>Byrd, Kimberly PA</td> <td>Surgery/Cardiothoracic</td> <td>UNM</td> </tr> <tr> <td>Brown, Shelby CNP</td> <td>Surgery/Cardiothoracic</td> <td>UNM</td> </tr> <tr> <td>Campos, Jose PA</td> <td>Surgery/General Surgery</td> <td>UNM</td> </tr> <tr> <td>Costales, Patricia CNP</td> <td>Surgery/General Surgery</td> <td>ABQ HP</td> </tr> <tr> <td>Gasser, Charles MD</td> <td>Surgery/Otolaryngology</td> <td>NM Sinus Institute</td> </tr> <tr> <td>Gellert, Theodore PA</td> <td>Surgery/Cardiothoracic</td> <td>UNM</td> </tr> <tr> <td>Guliani, Sundeep MD</td> <td>Surgery/Vascular Surgery</td> <td>UNM</td> </tr> <tr> <td>Haanpaa, Courtney</td> <td>Hospital</td> <td>UNM</td> </tr> <tr> <td>Lew, Eric DPM</td> <td>Surgery/Podiatry</td> <td>UNM</td> </tr> <tr> <td>Marshall, Alison CNP</td> <td>Medicine/Family Medicine</td> <td>UNM</td> </tr> </table>	Bettoli-Vaughn, Elena	Medicine/Psychology	UNM	Byrd, Kimberly PA	Surgery/Cardiothoracic	UNM	Brown, Shelby CNP	Surgery/Cardiothoracic	UNM	Campos, Jose PA	Surgery/General Surgery	UNM	Costales, Patricia CNP	Surgery/General Surgery	ABQ HP	Gasser, Charles MD	Surgery/Otolaryngology	NM Sinus Institute	Gellert, Theodore PA	Surgery/Cardiothoracic	UNM	Guliani, Sundeep MD	Surgery/Vascular Surgery	UNM	Haanpaa, Courtney	Hospital	UNM	Lew, Eric DPM	Surgery/Podiatry	UNM	Marshall, Alison CNP	Medicine/Family Medicine	UNM	<p>Upon a motion and a second, the Board voted to approve those providers requesting Medical Staff Privileges Bettoli-Vaughn thru Newman and committee meeting minutes. Motion passed unanimously.</p>
Bettoli-Vaughn, Elena	Medicine/Psychology	UNM																																	
Byrd, Kimberly PA	Surgery/Cardiothoracic	UNM																																	
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Meiklejohn, Duncan	Surgery/Otolaryngology	UNM	
Newman, Adam PA-C	Surgery/Otolaryngology	UNM	
Reappointments			
Alba, Frances MD	Surgery/Urology	UNM	Upon a motion and a second, the Board voted to approve reappointments for Alba thru Zamora. Motion passed unanimously.
Appenzeller, Peter MD	Hospital Based/Pathology	UNM	
Arizaga, Maria MD	Medicine/Psychiatry	UNM	
Babb, Amy MD	Hospital Based/Anesthesiology	UNM	
Cheema, Tahseen MD	Surgery/Orthopedics	UNM	
Clark, Douglas MD	Hospital Based/Pathology	UNM	
Fair, Joanna MD	Hospital Based/Radiology	UNM	
Ford, Hilary MD	Hospital Based/Anesthesiology	UNM	
Gehlert, Rick MD	Surgery/Orthopedics	UNM	
Gibb, James MD	Medicine/Nephrology	UNM	
Hanson, Joshua MD	Hospital Based/Pathology	UNM	
Henry, Kevin MD	Medicine/Family Medicine	UNM	
Ingall, Glynnis MD	Hospital Based/Pathology	UNM	
Kobos, Sharon DPM	Surgery/Podiatry	Foot/Ankle of NM	
Pack, Jeffrey MD	Hospital Based/Anesthesiology	UNM	
Ram, Harish MD	Hospital Based/Anesthesiology	UNM	
Reyna, Jose MD	Surgery/Orthopedics	NM Orthopedics	
Robertson, Joshua MD	Hospital Based/Radiology	UNM	
Rodgers, Sophia CNP	Medicine/Hospitalists	UNM	
Romo, Paul MD	Medicine/Psychiatry	UNM	
Rosenbaum, Lizabeth MD	Hospital Based/Pathology	UNM	
Rusk, Barbara AA-C	Hospital Based/Anesthesiology	UNM	
Sibbitt, Wilmer MD	Medicine/Rheumatology	UNM	
Sowar, Kristina MD	Medicine/Psychiatry	UNM	
Wilks, Matthew MD	Hospital Based/Emergency	UNM	
Wrege, Steven DPM	Surgery/Podiatry	Foot/Ankle of NM	
Yen, Tony MD	Hospital Based/Anesthesiology	UNM	
Zamora, Eleana MD	Medicine/Infectious Disease	UNM	
Resignations & Application Withdraws			
Curtis, Andrew MD	Hospital Based/Anesthesiology	UNM	Resigned
Horowitz, Bruce MD	Medicine/Nephrology	UNM	Resigned
Shunick, Jennifer CRNA	Hospital Based/Anesthesiology	UNM	Resigned
<p>Dr. Roth, Chairman of the Board, stated for the record that only the categories listed in Item XI of the Open Agenda were discussed and/or determined in Closed Session and asked for a Motion to be made that the Board ratify the actions taken in Closed Session, which includes, but is not limited to, the Medical Staff Privileges and Committee Meeting Minutes, as presented.</p>			
Accept as information			

13.0 ADJOURNMENT/NEXT MEETING		
	<p>Motion was made to adjourn the meeting, second; unanimously approved. Meeting adjourned at 11:03 a.m.</p> <p>The next meeting will be held on October 28, 2015 at 8:00-11:43 a.m. at UNM SRMC, Board Room or Teleconference at 505-994-7187.</p>	
MEMBERS/GUESTS IN ATTENDANCE		
<p>Board Members Present: Dr. Paul Roth Mr. Steve McKernan Dr. Michael Richards Mr. Donnie Leonard Mr. Jerry Geist Dr. Brad Cushnyr Ms. Joanna Boothe Ms. Charlotte Garcia Mr. Manu RainBird</p>	<p>Staff Members Present: Ms. Jamie Silva-Steele Dr. Tony Ogburn Ms. Pam Demarest Ms. Darlene Fernandez Ms. Correen Bales Ms. Ramona Moseley</p>	<p>Guests Present: Ms. Ariadna Vasquez Ms. Melissa Romine Dr. Stephanie Fine</p>
Minutes Recorded By: Ms. Geraldine Vallejos		