



BOARD OF REGENTS

AGENDA BOOK

**October 19, 2021
9:00 AM
Virtual Meeting**



Land Acknowledgement Statement

Founded in 1889, The University of New Mexico sits on the traditional homelands of the Pueblo of Sandia. The original peoples of New Mexico – Pueblo, Navajo, and Apache – since time immemorial, have deep connections to the land and have made significant contributions to the broader community statewide. We honor the land itself and those who remain stewards of this land throughout the generations and also acknowledge our committed relationship to Indigenous peoples. We gratefully recognize our history.



The Board of Regents of the University of New Mexico

October 19, 2021; 9:00 AM

Open Session and Executive Session

Virtual Meeting¹: <https://live.unm.edu/board-of-regents>

AGENDA

- I. Call to Order, *Regent President Douglas M. Brown* TAB
 - A. Confirmation of a Quorum
 - B. Land Acknowledgement Statement
 - C. Adoption of the Agenda

- II. Approval of the Minutes of the August 19 and September 14, 2021 Special Meetings ... 1

Public Comment² [*Limit 3 minutes per comment; additional written comments sent to regents@unm.edu are welcomed.*]

Comments from Regents

- III. President’s Administrative Report, *Garnett S. Stokes* 2

- IV. Annual UNM Foundation Update 3

Jeff Todd, President & CEO, UNM Foundation, Inc.; Ryan Mummert, Chair, UNM Foundation Board of Trustees

- V. Regents’ Committee Reports

Approval of Consent Docket

Action items on Regent’s Committee agendas may be moved to the Board of Regents’ consent docket; items on the consent docket received unanimous approval in committee; per Regents’ Policy 1.2, “*Any member of the Board of Regents shall have the right upon request to remove any item from the Board’s consent agenda and place the item on the Board’s regular agenda for discussion.*”

- A. [SSTAR]* Approval of Posthumous Degrees:.....C-1
 - 1. Brandon Ray – B.S. Biology
 - 2. Michael Sanchez – B.S. Computer Science with Minor in Psychology
- B. [SSTAR] Approval of Form D’s (New Graduate Programs)
 - 1. Graduate Certificate in Elementary Education with K-8 LicensureC-2
 - 2. Graduate Certificate in Indigenous Planning.....C-3
- C. [SSTAR] & [HSSC]* Approval of Endowed Professorships (Naming Items) and Approval of Appointments to Endowed Professorships and Chairs:C-4
 - 1. Creation of the, ‘Robert M. Faxon Jr. Endowed Professor in Neuro-Oncology’
 - 2. Appointment of Dr. Sara G.M. Piccirillo, PhD as the, ‘Robert M. Faxon Jr. Endowed Professor in Neuro-Oncology’
 - 3. Appointment of Dr. Anne Greene, MD as the holder of the, ‘Dr. Irene Uhrik Boone Endowed Chair in Pediatrics’
 - 4. Appointment of Dr. Zoneddy Ruiz Dayao, MD as the, ‘Maralyn S. Budke Endowed Professor in Cancer Care Delivery’
 - 5. Creation of the, ‘Dana C. Wood Professor in Cancer Immunotherapy’

6. Appointment of Dr. Eric C. Bartee, PhD as the, ‘Dana C. Wood Professor in Cancer Immunotherapy’
 7. Creation of the, ‘Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities’
 8. Appointment of Dr. Shiraz Mishra, MBBS, PhD as holder of the, ‘Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities’
 9. Creation of the, ‘Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities and Community Engagement’
 10. Appointment of Dr. Andrew Sussman, PhD as holder of the, ‘Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities and Community Engagement’
- D. [HSSC] Approval of Other Naming Items:.....C-5
1. Establish the, ‘Gorvetzian Professorship Quasi-Endowment’
 2. Establish the, ‘Peter A. Winograd Research Professorship Quasi-Endowment’
- E. [HSSC] Approval of UNM Hospital Items:C-6
1. Cerner Corporation – 7 Year Initial Term Agreement e-Consent Software System (\$2,275,648)
 2. Cerner Corporation – 5 Year Agreement Cloud-Based Dictation and Transcription Platform Software (\$5,000,000)
 3. Capital Project – UNMH Crisis Triage Center Design (\$2,900,000)
 4. Capital Project – Psychiatric Emergency Services Design (\$1,750,000)
 5. Capital Project – UH Main 2nd Floor Sterile Processing Department – Decontamination Renovation (\$1,290,000)
 6. Capital Project – 1600 University Eye Clinic Renovation and Addition (\$5,750,000)
 7. Capital Project – Operational Project – UNMH Main Section 16 Roof and Skylight Replacement (\$1,225,000)
- F. [HSSC] Approval of UNM Medical Group Election of Directors.....C-7
1. Michael Richards, MD, MPA, Interim Dearn, UNM School of Medicine
 2. Aimee Smidt, MD, Department of Dermatology, UNM School of Medicine
- G. [HSSC] Approval of the Appointment of Dr. Christopher Guest, MD to the SRMC Board of DirectorsC-8
- H. [F&F]* Approval of Contracts:.....C-9
1. NMPBS – SpectraRep Agreemen
 2. NEC Enterprise Voice System Maintenance Renewa
 3. Microsoft A5 Licensing for UNM Main and Branches
- I. [F&F] Approval of Project Construction:
1. Fire Safety Improvements: Fitz Hall & BRFC-10
 2. Los Alamos Library Renovation Re-Approval.....C-11
 3. Cancer Research Facility Roof ReplacementC-12
 4. Central & Girard Site ImprovementsC-13
 5. Clinical Translational Science Center Roof Replacement.....C-14
- J. [F&F] Approval of Lobo Development Corporation Board of Directors and Reappointment of Four Non-Positional Directors:.....C-15
1. Louis Abruzzo 3. Steven Ciepiela
 2. Maria Griego-Raby 4. Rick Siegel
- K. [F&F] Approval of Appointment and Reappointment of Board Nominated Members to Harwood Foundation Governing Board:.....C-16
1. Scott McAdams 2. Karl Halpert (reappointment)

[END CONSENT DOCKET]

* [SSTAR] Student Success, Teaching and Research; [F&F] Finance & Facilities; [HSSC] Health Sciences Center Committee

Ad Hoc Governance Committee -Regent Schwartz, Chair

Action Items

- A. Revisions to Regents Policy Manual [RPM] - Section 7.4 “Purchasing”, elimination of the language regarding Regent approval limit **(Attachment A)**..... 4
Teresa Costantinidis, SVP for Finance & Administration
- B. Revisions to RPM – “Maintenance of the Regents’ Policy Manual”, to allow the UNM Policy Officer to make minor changes to Regents’ Policies..... 5
(Attachment B) *Teresa Costantinidis, SVP for Finance & Administration*
- C. Revisions to RPM – Section 1.2 “Structure of the Board of Regents” and Section 1.8 “Regent Code of Conduct and Conflicts of Interest” 6
(Attachment C) *Loretta Martinez, General Counsel*
 - 1. Addressing Board of Regents Officer Titles
 - 2. Establishment of a Regents’ Standing Committee on Governance
 - 3. Student Regent Leadership Stipend
 - 4. Timing on Agenda Item Requests

Audit and Compliance Committee -Regent Brown, Chair

Information Item

- Summary Notes: October 14 Meeting..... 7

Student Success, Teaching and Research Committee -Regent Rael, Chair

Action Item

- A. Approval of MOA with Albuquerque Institute of Mathematics and Science (AIMS), *Loretta Martinez, Esq., General Counsel, Office of University Counsel*..... 8

Information Item

- B. Racial and Intersectional Micro Aggressions (RIMA) Survey at UNM - 2021 Report to the Regents, *Dr. Assata Zerai, VP for Equity & Inclusion* 9

Finance and Facilities Committee -Regent Begay, Chair

Action Item

- A. Approval of South Campus TIDD Master Development Agreement..... 10
Teresa Costantinidis, CEO, LDC; Tom Neale, Dir. Real Estate; Kelly Ward, Dir. Business Development, LDC; Jill Sweeney, Member, Sherman & Howard, LLC; Kim Murphy, Consultant, LDC; and Harry Relkin, Consultant, LDC

Information Items [for information only; no formal presentations]

- B. Quarterly Consolidated Financial Report – June 30, 2021 11
- C. UNM Main/Branches Categorization of Reserves Report FY2021 (UAP 7000)... 12
- D. UNM Foundation Fundraising and Investment Performance Report – June 30, 2021..... 13

Health Sciences Center Committee -Regent Schwartz, Chair

Action Items [See Consent Docket]

Information Item [for information only; no formal presentation]

- UNMHSC Categorization of Reserves Report FY2021 (per UAP 7000)..... 14
Ava Lovell, Sr. Exec. Officer for Finance & Admin., HSC

VI. Advisors' Comments [*limit 3 mins.*] 15

VII. Vote to close the meeting and proceed in EXECUTIVE SESSION (Roll Call Vote)

>**Break: a 10-minute break in the virtual meeting**<

- A. Discussions, and where appropriate determination, of matters subject to attorney-client privilege pertaining to threatened or pending litigation, as permitted by Section 10-15-1H(7), NMSA (1978)
- B. Discussions of bargaining strategy preliminary to collective bargaining and collective bargaining between a policymaking body and an employee bargaining unit, as permitted by Section 10-15-1H(5), NMSA (1978)
- C. Discussion of "limited personnel matters" as defined in and permitted by Section 10-15-1.H(2), NMSA (1978) - regarding: employee appeal

VIII. Vote to Open the meeting and certification that only those matters described in Agenda Item VII. were discussed in Closed Session, and if necessary, final action with regard to certain matters will be taken in Open Session.

IX. Adjourn

¹Under the current guidelines from the Governor, and in order to slow the spread of COVID-19, the UNM Regents will meet online on the Zoom platform. Additionally, following the NM Attorney General's guidelines for Open Meetings Act compliance, public access to view or listen to Regent meetings is provided via a livestream of the virtual meeting on this public website: <https://live.unm.edu/board-of-regents>

²Public comments will be heard during the meeting. Due to the nature of online meetings and the logistics of getting speakers connected, anyone wishing to provide public comment during the meeting *must register their intent to speak before noon on Monday, October 18, 2021*. In order to sign up for public comment, please email regents@unm.edu with the subject, "Request to provide public comment at 10/19 Regents' Meeting", and include the following information:

1. First and last name
2. Email address and telephone number
3. Affiliated organization & Professional Title (if applicable)
5. Topic you would like to address

Instructions on steps to follow in order to provide public comment will be emailed to registered speakers.

Please consider submitting comments in writing to regents@unm.edu and comments will be forwarded via email to the Regents for their attention and consideration.

The Power of Social Justice Work on Campus: Results from UNM's Racial and Intersectional Microaggressions (RIMA) Survey

Updates from the Division for Equity and Inclusion
Presentation to UNM Board of Regents

Assata Zerai, Ph.D.

Vice President for Equity and Inclusion Professor of Sociology

University of New Mexico

Fall 2021





*An active bystander, also known as an “upstander” is someone who takes steps to speak up or step in to stop a situation from escalating or to disrupt a problematic interaction; it means acknowledging individuals experiencing RIMAs and offering to serve as an ally and advocate.

Racial & Intersectional Microaggressions (RIMAs) + Definition and Effects

- Research has shown that racial and intersectional microaggressions (RIMAs), “brief and commonplace daily verbal, behavioral, or environmental indignities, whether intentional or unintentional, that communicate hostile, derogatory, or negative racial slights and insults toward people of color”, PWD, & Queer and Trans folks (Sue, et al., 2007) are a threat to inclusive climates in higher education.
- Though RIMAs can be subtle and may be dismissed by perpetrators (Harwood, et al 2010, 2012), their effects can affect Black, Indigenous, and People of Color (BIPOC, to include Latinx/Hispano, Asian American and Pacific Islander (AAPI), and multiracial individuals) emotionally and physically (Pierce 1969, 1970, 1974; Solorzano 1998; Sue, et al 2003; Sue 2010). Unfortunately, RIMAs create inequities in education, employment, health care, and housing. Implicit bias can contribute to RIMAs (NEA Center for Social Justice).

What does “intersectional” refer to in RIMA?

- In DEI, VP Zerai and colleagues are adding to the *racial* microaggressions literature (Sue et al 2007; Lewis 2019) by describing how such experiences are **intersectional**—i.e. shared by individuals who are Persons with Disabilities (PWD); and who are lesbian, gay, bisexual, transgender, queer/questioning, intersex, and asexual (LGBTQIA) and **amplified** when an individual **occupies a combination of marginalized social locations**.
- For more information on the concept of intersectionality, see Kimberlé Crenshaw’s “Demarginalizing the Intersection of Race and Sex” and her presentation to the National Association of Independent Schools:
<https://www.youtube.com/watch?v=ViDtnfQgFHc>

WHAT'S AT STAKE?

RIMAs and bias impact students' sense of belonging and reproduce structural inequality in higher education

- RIMAs and Implicit Bias against BIPOC, PWD, LGBTQIA, & women students:
- Limits access to research assistantships (Moss-Racusin, et al., 2012)
- Decreases quality of letters of recommendation (Madera, et al., 2018)
- Limits reviewers for papers and grant proposals (Hostra et al., 2020)
- Students told to drop classes or switch majors (Lewis, et al., 2019)
- Students may withdraw from interactions with faculty, Tas, & staff - miss out on key social capital (Nadal. et al., 2014; Lewis, et al., 2019)
- Students may leave the university (Lewis, J.A., et al., 2019; Williams 2019, 2020)

Overall Sample Selection and Response Rates

from UNM's RIMA Survey (administered Spring 2021)

Category	Number Flagged for Sample	Number flagged who responded	Response rate
BIPOC	4,480	532	11.9%
Persons with Disabilities	1,305	206	15.8%
LGBTQIA	246	67	27.2%

Note: students could select more than one category.

Overall Sample Response by Campus and Race/Ethnicity

Race/Ethnicity	ABQ/ Main %	HSC %	Gallup %	Los Alamos %	Taos %	Valencia %	All # by Race & Ethnicity
American Indian	7.5%	8.0%	61.5%	0.0%	0.0%	7.1%	74
AAPI*	4.6%	21.0%	3.8%	9.1%	9.1%	7.1%	61
Black or African American	6.9%	6.5%	3.8%	9.1%	0.0%	7.1%	54
Hispano/Latinx	33.1%	51.4%	19.2%	45.5%	72.7%	57.1%	299
Multiracial	3.1%	10.1%	11.5%	27.3%	18.2%	21.4%	44
White/Other	28.8%	2.9%	0.0%	9.1%	0.0%	0.0%	141
Total # per campus	473	138	26	11	11	14	673

Percentages total to 100% for each campus.

*AAPI includes Asian, Asian American and Native Hawai'ian.

RIMA

SURVEY

RACIAL AND INTERSECTIONAL MICROAGGRESSIONS

All Students - Level	Percent
Freshman	6.6%
Sophomore	13.2%
Junior	14.6%
Senior	24.3%
Master's	14.4%
Ph.D.	5.8%
Professional	10.3%
Not indicated	10.9%
Total (n = 673)	100%

ALL BIPOC Students		359	69.7%
	BIPOC only	238	46.2%
	BIPOC & LGBTQIA	52	10.1%
	BIPOC & PWD	32	6.2%
	All Three	37	7.2%
All Non-BIPOC LGBTQIA			
	LGBTQIA only	31	6.0%
	LGBTQIA & PWD	39	7.6%
All Persons with Disabilities (PWD)			
	PWD only	36	7.0%

Tribal Affiliations noted amongst Native American Students (n=72)

Acoma	Mexica
Apache	Miami of Indiana
Coahuiltecan	Ohkay Owingeh
Cherokee	Omaha Tribe of Nebraska
Chickasaw	Osage
Choctaw	Potawatomi
Cochiti Pueblo	Santa Clara Pueblo
Comanche	Seminole
Crow Tribe of Montana	Sioux
Diné-Navajo Nation	Southern Cheyenne
Genizaro (no tribe)	Tigua
Ho-Chunk Nation of Wisconsin	Tohono O'Odham (Pima)
Laguna Pueblo	Unknown due to colonization
Lenca	Ute
Lumbee	Winnebago Tribe of Nebraska
Mescalero	Zuni
Meso American	

All students, Q: "I have felt excluded on this campus because of my race" (n=405).

Race/Ethnicity	Never	Less than once a year	A few times a year	About once a month	A few times a month	Once a week or more	Total
Native American /Indigenous	57.8%	17.8%	11.1%	6.7%	3.3%	3.3%	100.0%
Asian/Asian American	57.0%	18.3%	12.8%	4.0%	4.0%	4.0%	100.0%
Black/African American	50.0%	12.0%	24.0%	0.0%	8.0%	6.0%	100.0%
Hispana/o/Latinx	62.9%	20.4%	7.2%	3.0%	4.2%	2.4%	100.0%
Middle East/ North Africa	50.0%	16.7%	0.0%	0.0%	16.7%	16.7%	100.0%
Native Hawai'ian	50.0%	16.7%	0.0%	0.0%	16.7%	16.7%	100.0%
Other	57.0%	18.3%	12.8%	4.0%	4.0%	4.0%	100.0%
White	68.1%	20.1%	5.6%	2.1%	3.5%	0.7%	100.0%
White and Hispana/o/Latinx	66.4%	20.7%	5.2%	2.6%	4.3%	0.9%	100.0%

A majority of individuals have never felt excluded at UNM because of their race, those who are least likely to feel excluded identify as White (68%), White *and* Hispano/Latino (66%), and Hispano/Latino (63%). Conversely, African American/Black, Middle Eastern/North African and Native Hawai'ian students were the most likely to have felt excluded because of their race; half of students in each of these three racial groups have felt excluded at UNM because of their race.

All students, Q: "I have had my contributions have been minimized in the classroom because of my race" (n=405).

Race/Ethnicity	Never	Less than once a year	A few times a year	About once a month	A few times a month	Once a week or more	Total
Non-White Hispanic/Latinx	62.6%	11.1%	9.1%	7.1%	5.1%	5.1%	100.0%
Native American/American Indian	71.9%	7.0%	10.5%	3.5%	3.5%	3.5%	100.0%
Asian/Asian American Pacific Islander	68.8%	10.4%	14.6%	2.1%	2.1%	2.1%	100.0%
Black	48.8%	9.8%	7.3%	12.2%	12.2%	9.8%	100.0%
White Non Hispanic	73.1%	23.1%	3.8%	0.0%	0.0%	0.0%	100.0%
Multiracial	70.0%	10.0%	10.0%	10.0%	0.0%	0.0%	100.0%
White Hispanic/Latinx	75.4%	13.2%	5.30%	1.8%	1.8%	2.6%	100.0%
Middle East/North Africa	50.0%	16.7%	0.0%	0.0%	16.0%	16.7%	100.0%
Total	67.2%	12.3%	8.1%	4.4%	4.0%	4.0%	100.0%

Please do not distribute. Sensitive data herein.

RIMA Racial and Ethnic Categories Analyzed (n = 405)

Race/Ethnicity	Percentage within total sample	Percentage within Hispanic/Latino Origin
Indigenous (AIAN)	11.0%	NA
Black/African American	8.9%	NA
Non-White Hispanic/Latinx	22.1%	7.1%
White and Hispanic/Latinx	23.0%	73.8%
White Non-Hispanic	17.1%	NA
Asian/Asian American Pacific Islander (AAPI)	9.1%	NA
Multiracial	6.5%	NA
Middle East/North Africa (MENA)	1.3%	NA
Unknown	1.0%	NA

Students' experiences with Microaggressions at an R1 Hispanic Serving Institution (HSI) by Race: Never (or less than once a year): "I have felt excluded on this campus because of my race."

Race/ethnicity (Black/MENA students-reference category)	B	S.E.	Wald	df	Sig.	Exp(B)
Hispanic/Latinx-White	1.869	0.407	21.141	1	0.000	6.484
Hispanic/Latinx Non-White	0.844	0.368	5.252	1	0.022	2.325
White Non-Hispanic	1.793	0.814	4.859	1	0.028	6.01
American Indian/Alaska Native	0.929	0.417	4.966	1	0.026	2.533
Asian/Asian American Pacific Islander	0.965	0.44	4.818	1	0.028	2.625
Multiracial	0.831	0.685	1.469	1	0.226	2.295
Constant	0.038	0.298	0.016	1	0.899	1.038
-2 Log Likelihood	422.984					
Probability > chi2	0.000					
Total sample size	405					

Dependent variable is recoded 1 = "never" or "less than once a year" and 0 = "a few times a year", or more for variable: "I have felt excluded on campus because of race"

Students' experiences with microaggressions at an R1- HSI by Race: Never (or < annually): "I have had my contributions minimized in the classroom because of my race."

Race/ethnicity (Black/MENA students-reference category)	B	S.E.	Wald	df	Sig.	Exp(B)
Hispanic-White	1.34	0.417	10.33	1	0.001	3.819
Latinx-NonWhite	0.435	0.384	1.283	1	0.257	1.545
White Non-Hispanic	2.206	1.092	4.078	1	0.043	9.079
American Indian/Alaska Native	0.76	0.45	2.857	1	0.091	2.138
Asian/Asian American Pacific Islander	0.765	0.472	2.624	1	0.105	2.15
Multiracial	0.55	0.754	0.531	1	0.466	1.732
Constant	0.577	0.312	3.416	1	0.065	1.78
-2 Log Likelihood	391.201					
Probability > chi2	0.003					
Total sample size	405					

Dependent variable is recoded 1 = "never" or "less than once a year" and 0 = "a few times a year", or more for variable: "I have felt contributions minimized because in classroom because of race"

Students were asked open ended questions about their experiences. Sets of questions were customized to address BIPOC, PWD and LGBTQIA concerns.

Describe a situation in which you felt uncomfortable, insulted, invalidated or disrespected by a comment that had:

- “Racial overtones”
- “Homophobic or Transphobic overtones;
- “Ableist overtones (ableism is discrimination and bias against Persons with Disabilities)”

Some were unwilling to answer; e.g., “I don't feel comfortable answering because of potential retaliation.”

Others indicated that they had largely positive experiences. For these, please see the SSTAR e-book for the fuller report.

RIMAs with focus on Racial themes



Students marginalizing their BIPOC peers

- Lack of bystander intervention on part of classmates: BIPOC student uncomfortable being put in the situation of confronting use of “n word” when they did not witness this
- Insensitivity: Colloquial use of “ghetto” and making fun of certain neighborhoods
- Classmates avoiding BIPOC students in other venues
- Stereotypes expressed against BIPOC students
 - Harassment of BIPOC students during group work
 - Assumptions that Native students do not pay tuition
 - Affirmative action assumptions

Concerns with faculty behavior, access to mentors, & campus policy

- Criminality and suspicions directed toward BIPOC students & concerns about campus security: Perception of disparate treatment due to racialized assumptions concerning criminality
- Students’ perceptions that faculty and administration practice color-evasive racialization (i.e. assuming they “do not see race” and thus dismissing experiences of BIPOC students), and communicate implicit and explicit messages that certain groups do not belong
 - Racially insensitive statements
 - Expressions of surprise concerning academic accomplishments of BIPOC students
 - Faculty dismissal of impact of racism on BIPOC students
 - Lack of Black mentors

**Anti-AAPI Bias
and AAAP
Stereotypes**
noted by students
describing
situations in which
they felt
uncomfortable,
insulted,
invalidated or
disrespected by a
comment that had
racial overtones

- “I have had a teacher make jokes [and spreading stereotypes] about Chinese/Asian people’s...[cuisine].”
- “I had been told by a peer that I 'don't look Asian'. It felt that they were invalidating my race and experience as an Asian person.”
- [Statements that,] “typically Asians are not education majors (I am one) and are usually STEM majors.”
- “As an Asian American that identifies as Vietnamese, I have been called [racial slur] and assumed to be Chinese.”
- “I do well on exams because I study, and when classmates hear about my score, they say things like ‘of course you got that grade, you’re Asian’”.
- “I often get comments about me being super smart or the 'tech support' because I'm Indian”
- “In March of 2020, somebody asked if I had COVID in a way that assumed I had COVID because I'm Asian.”



“NOTHING ABOUT US WITHOUT US” (James Charlton 1998): MICROAGGRESSIONS RELATED TO DISABILITY

Students who felt excluded on campus and/or invisible because of their disability

	Felt excluded on campus because of disability (n)	Felt excluded on campus because of disability (%)	Felt invisible because of disability (n)	Felt invisible because of disability (%)
Never	60	49.6	64	52.5
Less than once a year	11	9.1	12	9.8
A few times a year	25	20.7	19	15.6
About once a month	5	4.1	10	8.2
A few times a month	12	9.9	5	4.1
Once a week or more	8	6.6	12	9.8
Total	121	100.0	122	100.0

Half of our students who have utilized ARC have *never* felt excluded because of disability (50%). And unfortunately half have felt excluded, including 9% who have felt excluded less than once a year. A little over half of our students who have utilized ARC have *never* felt invisible because of disability (53%). However a sizable proportion have felt invisible (47%. Including 10% who have felt invisible less than once a year.

Students who are Persons with Disabilities (PWD) and Experiences with: Stereotypes, Isolation, Ableism, Not Taken Seriously, and Feeling Unwelcomed (n = 121)

	Subject of stereotypes in classroom because of disability	Feelings of isolation because of disability	Have experienced ableism on campus	Experienced not being taken seriously in classes because of disability	Feel unwelcomed on campus because of disability
Never	62.3%	42.4%	53.3%	57.6%	60.0%
Less than once a year	12.3%	9.3%	13.1%	11.9%	11.7%
A few times a year	9.0%	20.3%	15.6%	12.7%	10.0%
About once a month	5.7%	6.8%	9.8%	4.2%	5.8%
A few times a month	4.1%	5.9%	3.3%	7.6%	5.8%
Once a week or more	6.6%	15.3%	4.9%	5.9%	6.7%
Total	100.0%	100.0%	100.0%	100.0%	100.0%

Full ARC Sample Major Themes: “Disability is Diversity”

- Ableism on the part of faculty targeting students
 - Some students dropping courses others persisting despite ableism
 - Still others considering leaving UNM or their department
- Some students noting that departmental leaderships are slow to step in to help with accommodations and other issues
- **Lack of accommodations is a repeated theme**
 - Many faculty indicate that they don't believe student
 - Some dismiss students' requests for accommodations
 - Some refuse to accommodate
 - Some “forget” to accommodate students repeatedly
- **Impact of Lack of Accommodations on Education**
 - Humiliation
 - Lack of feeling that they belong
 - Considering dropping out
 - Noticing when apology has not been offered
 - Questioning ability to work in field post graduation
- **Concerns about lack of bystander and leadership interventions**
 - Concerns about lack of access to distance learning (pre-covid) also raised, and how distance learning enhanced PWD student outcomes
 - Concerns about faculty Outing PWDs and Intrusive Questioning from colleagues once disability is revealed
 - Harassment, Verbal Assaults, and Discrimination, Including transgressive interactions
 - Assumptions about lack of intelligence and lack of work ethic
 - Various limitations on student experience due to ableism
 - Interactions outside the classroom
 - With Advisement
 - At on-campus employment
 - With UNMPD
 - Lack of Physical Accessibility
 - Accessibility for Deaf Students
 - Positive Experiences

UNM Students' Experiences with Microaggressions related to Sexual Orientation, Gender Identity and Expression (SOGIE)



Please do not distribute. Sensitive data herein.

I have felt excluded on campus because of my sexual orientation, gender identity and/or gender expression (SOGIE)

	Frequency	Percent
Never	130	59.1
Less than once a year	38	17.3
A few times a year	23	10.5
About once a month	10	4.5
A few times a month	10	4.5
Once a week or more	9	4.1
Total	220	100.0

The majority of students completing the survey have *never* felt excluded on campus because of SOGIE (59%). This is great news for the LGBTQ-RC. Queer and Trans students in survey were identified largely from individuals who have participated in LGBTQ-RC programming. It is important to continue outreach efforts to the 24% who indicated they have felt excluded on campus a few times a year or more (in addition to the 17% who have felt excluded even less than once a year). These results also indicate the need for all faculty, staff and students to complete our Safe Zone workshops.

Queer and Trans (LGBTQIA) students experiencing support, negative/insulting comments, and/or feelings of isolation on basis of SOGIE (n = 220)

	Have felt support by university environment as a LGBTQIA student	I have experienced negative or insulting comments based on SOGIE	Feelings of isolation because of SOGIE
Never	31.7%	66.2%	55.0%
Less than once a year	9.6%	17.8%	15.6%
A few times a year	14.2%	6.4%	12.3%
About once a month	14.2%	5.0%	3.3%
A few times a month	11.5%	1.8%	6.6%
Once a week or more	18.8%	2.7%	7.1%
Total	100.0%	100.0%	100.0%

UNM students as targets of misgendering, transphobia, and dismissal of Sexual Orientation, Gender Identity and Expression (SOGIE) experiences



- “Transphobic undertones are just so rampant in my academic program. People are really well intentioned, but they just act like trans people don't exist ... and show notable discomfort (uncomfortable laughing, grimacing) when I bring up trans bodies and experiences...”
- “Being misgendered regularly despite having my pronouns worn or in my zoom name”
- “Once in a class, I said 'trans women are women' and the professor said 'not for everyone though’”

THE GREAT FIRE: A Special Issue, Edited by TA-NEHISI COATES

VANITY FAIR

SEPTEMBER 2020



**BREONNA
TAYLOR**

A BEAUTIFUL LIFE

Painting by
AMY SHERALD

Gender [and Race-Gender] RIMAs:

- “An attending (physician) mentioned 'not realizing I was smart' as feedback for a presentation I gave with new evidence suggestions for treatment. I spoke up just as much if not more through the week as my male counterpart.”
- “I ... had an advisor tell me that as a **Black woman**, I should think about doing nursing instead of medicine because of how hard it would be for me navigating those spaces.”

Please do not distribute. Sensitive data herein.

UNM Students' Reports of Effects of their Experiences as Targets of RIMAs on their Quality of Life



All students, Q: How have you felt as a result of experiences being targeted by RIMAs? (n = 480)

As a result of RIMAs, how much of the time have you:	Never	Less than once a year	A few times a year	About once a month	A few times a month	Once a week or more	Total
Lost interest in your daily activities	43.1%	26.5%	10.0%	10.8%	7.3%	2.3%	100%
Lost interest in your coursework	39.3%	25.8%	11.4%	12.3%	8.5%	2.7%	100%
Felt lacking of energy and strength	38.6%	24.1%	9.5%	14.1%	8.5%	5.2%	100%
Felt less self-confident	32.9%	27.1%	11.9%	13.1%	10.0%	5.0%	100%
Had a bad conscience or feelings of guilt	50.2%	24.0%	8.8%	6.5%	6.9%	3.8%	100%
Felt that this was not the right institution for you	59.1%	18.9%	6.6%	7.5%	4.6%	3.3%	100%
Had difficulty in concentrating (e.g. when reading textbook or course materials)	37.7%	23.8%	11.0%	11.4%	9.9%	6.2%	100%
Felt very restless	43.4%	23.2%	10.8%	11.2%	7.5%	3.9%	100%
Felt subdued or slowed down	45.2%	24.7%	10.4%	9.8%	6.8%	3.1%	100%
Had trouble sleeping at night	44.0%	21.0%	10.0%	8.9%	7.7%	8.5%	100%
Suffered from reduced appetite	59.6%	18.2%	8.3%	5.6%	5.8%	2.5%	100%
Suffered from increased appetite	62.4%	17.8%	5.4%	8.7%	3.5%	2.1%	100%



- DEI is leading workshops to practice interrupting RIMAs
- See link to toolkits for interrupting RIMAs on the basis of survey results & the social sciences and higher education scholarship, <https://goto.unm.edu/usx02>

- “Upstander” workshops have shown positive results.
 - BIPOC students increase in positive racial identity, “meaning they got emotionally stronger” (Williams 2020; Williams et al., 2012).
 - All students: “decreased (offensive) behaviors, and ... reported a decrease in biased thoughts” (Williams 2020; Kanter et al., 2018).
- Focus of workshops is to build community.
- Faculty, students, & staff get the opportunity to practice interrupting RIMAs and demonstrate that each of us defines all of us.
- Note: an upstander acknowledges experiences of individuals experiencing RIMAs and is willing to serve as an ally and advocate.



This slide provides an excerpt from the toolkit for interrupting RIMAs.

For full toolkit, see <https://goto.unm.edu/usx02>

TOOL: INTERRUPTING RACIAL AND INTERSECTIONAL MICROAGGRESSIONS		
RIMA THEME AND EXAMPLE	THIRD PARTY INTERVENTION EXAMPLE	COMMUNICATION APPROACHES
Alien in One's Own Land		
To Latinos or AAPI folks from U.S.: "Where are you <i>really</i> from?"	"I'm just curious. What makes you ask that? I already told you that I am from Colorado."	INQUIRY: Ask the speaker to elaborate. This will give you more information about where they are coming from, and may also help the speaker to become aware of what they are saying.
Ascription of Innate Ability versus Hard Work:		
To an AAPI person, "Of course you got an 'A', you are Asian!"	"It sounds like you think I get As because I am AAPI and not because I studied. What makes you believe that?"	KEY PHRASES: "Say more about that." "Can you elaborate on your point?" "It sounds like you have a strong opinion about this. Tell me why." "What is it about this that concerns you the most?"
Color-Evasive Racism:		
"I don't see race."	"When you say that, it does not acknowledge my experiences, culture, and language."	PARAPHRASE & REFLECT: Reflecting on essence of what the speaker has said by briefly restating in your own words demonstrates your desire to understand them and reduces defensiveness. Reflect with speaker on both content and feelings as appropriate.
Myth of Meritocracy:		
"Everyone can succeed in this society, if they work hard enough."	"So, you feel that everyone can succeed if they work hard enough. Can you share examples?"	KEY PHRASES: "So, it sounds like you think..." "You're saying..." "You believe..."
Pathologizing Expression & Communication Styles:		
To Black student, "Why do you have to be so loud/animated? Just calm down."	"It appears you were uncomfortable when ___ said that. I'm thinking that there are many styles to express ourselves. Let's talk about how can we honor all styles of expression?"	REFRAME: Create a different way to look at a situation. KEY PHRASES: "What would happen if?" "Could there be another way to look at this....?" "Let's reframe this..." "How would you feel if this happened to your ___?"
Second-Class Citizen		
You notice that your female colleague is frequently interrupted during a committee meeting	"Responder addressing the group: ___ brings up a good point. I didn't get a chance to hear all of it. Can we ask ___ repeat it?"	
Assumptions about intelligence and academic success		
To a BIPOC woman: "I would've never guessed that you are a scientist." Or: "You read well!"	"I'm wondering what message this is sending her. Do you think you would have said this to a white male?"	INQUIRY & ACCURATE INFO: KEY PHASES: "What does a scientist look like?" "She has a Ph.D.; she should be able to read well!"
Sources: Harwood et al (2010); Zerai et al (2021); Adapted from: Kenney (2014); Kraybill (2008); LeBaron (2008); Peavey (2003); Sue (2010).		

More information about the RIMA survey and how to interrupt microaggressions

UNM RIMA Survey Website:

campusclimate.unm.edu/initiatives/rimasurvey.html

*Interrupting Microaggressions Toolkit:

<https://diverse.unm.edu/assets/docs/handouts-11062020.pdf>

*SOGIE Microaggressions: Overview

<https://diverse.unm.edu/resources/handouts.html>

*Presentation on RIMAs & Implicit Bias:

<https://diverse.unm.edu/assets/docs/essdeipresentation11062020.pdf>

*Dr. Sue on PBS News Hour

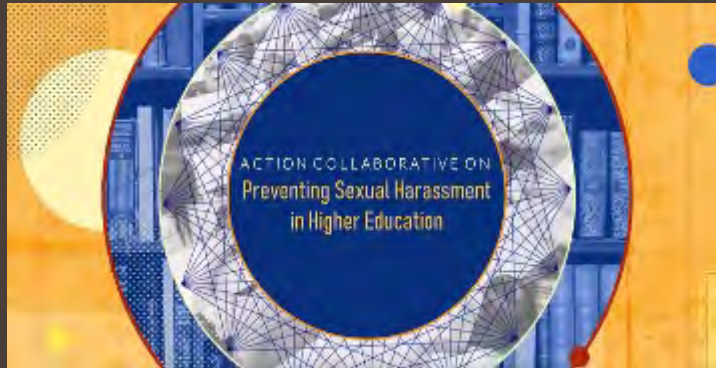
<https://www.pbs.org/newshour/show/how-unintentional-but-insidious-bias-can-be-the-most-harmful>



Resources from UNM DEI+

- Handouts:
 - RMAs: An Overview
 - Interrupting RMAs
 - SOGIE microaggressions: overview
 - Case development for Racial Bias in Medicine Small Group Session
 - Facilitator Guide
- DallaPiazza, M. et al 2018. Exploring Racism and Health: An Intensive Interactive: Session for Medical Students
 - https://www.mededportal.org/doi/10.15766/mep_2374-8265.10783
- LGBTQRC.UNM.EDU
- LGBTQRC.UNM.EDU Confidential Advocate
- Disability and leadership: Engendering visibility, acceptance, and support: https://www.heidrick.com/Knowledge-Center/Publication/Disability_and_leadership_Engendering_visibility_acceptance_and_support





Action Collaborative
and Wayfinder Project



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you!



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Men of Color
Initiative
(MOCI)

Division for Equity and Inclusion (DEI) Acronyms

- Black, Indigenous and People of Color (BIPOC), to Include Asian/API, Latinx, & Others
- Diversity, Equity, Accessibility, & Inclusion (DEAI)
- Ethnography of UNM (EUNM)
- Lesbian, Gay, Bisexual/Pansexual, Transgender, Queer/Questioning, Intersex, Asexual (LGBTQIA)
- Liaisons for Equity, Advocacy & Diversity (LEAD) Council of Associate Deans for Diversity (& related titles)
- Minority Serving Institution (MSI)
- National Center for Faculty Development and Diversity (NCFDD) Faculty Success Program (FSP)
- Office For Federal Contract Compliance Programs (OFCCP)
- UNM Office of Institutional Analytics (OIA)
- Person(s) with Disabilities/Disabled Persons (PWD)
- Queer and Trans People of Color (QTPOC)
- Racial and Intersectional Micro-aggression(s) [RIMA(s)], to include racial, class, sexual orientation, gender identity and expression, and ableism (discrimination and bias against Persons with Disabilities)
- Sexual Orientation, Gender Identity and Expression (SOGIE)
- Underrepresented Racial/Ethnic Minority (URM), to include Native American/American Indian, Black/African American, & Latinx/Chicanx/Hispano
- Vice President for Equity and Inclusion (VPEI)

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TOOL: INTERRUPTING RACIAL AND INTERSECTIONAL MICROAGGRESSIONS		
RIMA THEME AND EXAMPLE	THIRD-PARTY INTERVENTION EXAMPLE	COMMUNICATION APPROACHES
Alien in One's Own Land		
To Latinos or AAPI folks from U.S.: "Where are you <i>really</i> from?"	"I'm just curious. What makes you ask that? I already told you that I am from Colorado."	INQUIRY: Ask the speaker to elaborate. This will give you more information about where they are coming from, and may also help the speaker to become aware of what they are saying.
Ascription of Innate Ability versus Hard Work:		
To an AAPI person, "Of course you got an 'A', you are Asian!"	"It sounds like you think I get A's because I am AAPI, and not because I studied. What makes you believe that?"	KEY PHRASES: "Say more about that." "Can you elaborate on your point?" "It sounds like you have a strong opinion about this. Tell me why." "What is it about this that concerns you the most?"
Color-Evasive Racism:		
"I don't see race."	"When you say that, it does not acknowledge my experiences, culture, [if applicable:] and language]."	PARAPHRASE & REFLECT: Reflecting on essence of what the speaker has said by briefly restating in your own words demonstrates your desire to understand them and reduces defensiveness. Reflect with speaker on both content and feelings as appropriate.
Myth of Meritocracy:		
"Everyone can succeed in this society, if they work hard enough."	"So, you feel that everyone can succeed if they work hard enough. Can you share examples?"	KEY PHRASES: "So, it sounds like you think..." "You're saying..." "You believe..."
Pathologizing Expression & Communication Styles:		
To Black student, "Why do you have to be so loud/animated? Just calm down."	"It appears you were uncomfortable when ___ said that. I'm thinking that there are many styles to express ourselves. Let's talk about how we can honor all styles of expression."	REFRAME: Create a different way to look at a situation. KEY PHRASES: "What would happen if?" "Could there be another way to look at this....?"
Second-Class Citizen		
You notice that your female colleague is frequently interrupted during a meeting	"Responder addressing the group: ___ brings up a good point. I didn't get a chance to hear all of it. Can we ask ___ repeat it?"	"Let's reframe this..." "How would you feel if this happened to your _____?"
Assumptions about intelligence and academic success		
To a BIPOC woman: "I would've never guessed that you are a scientist." Or: "You read well!"	"I'm wondering what message this is sending her. Do you think you would have said this to a White male?"	INQUIRY & ACCURATE INFO: KEY PHASES: "What does a scientist look like?" "She has a Ph.D.; she should be able to read well!"
Sources: Harwood et al (2010); Zerai et al (2021); Adapted from: Kenney (2014); Kraybill (2008); LeBron (2008); Peavey (2003); Sue (2010); Annamma et al (2017).		

TOOL: INTERRUPTING RACIAL AND INTERSECTIONAL MICROAGGRESSIONS (Pg. 2)		
RIMA THEME AND EXAMPLE	THIRD-PARTY INTERVENTION EXAMPLE	COMMUNICATION APPROACHES
Myths about Meritocracy		STRATEGIC QUESTIONS:
In a hiring/admissions committee meeting: “We are not discounting people on basis of race or gender! There are no qualified BIPOC/women applicants.”	“How might we consider and mitigate the impact of implicit bias to ensure that we have a fair hiring process in regards to gender and race?”	Ask the speaker to elaborate. This will give you more information about where they are coming from, and may also help the speaker to become aware of what they are saying.
Unsolicited comments to Black student from a White student: “I think Affirmative Action is unfair”	“How does what you just said honor your colleague?”	KEY PHRASES: “Say more about that.” “Can you elaborate on your point?” “It sounds like you have a strong opinion about this. Tell me why.” “What is it about this that concerns you the most?”
Attending physician to BIPOC woman medical student in response to her presentation in which she proposed a new treatment: “I didn’t know you were smart!” (despite fact that she spoke up as much as male students during rounds that week)	“What impact do you think your statement has on this student and on dynamics among medical students? Have you considered taking the gender and science implicit associations test? How might you rephrase your feedback next time?”	
Representative for the Entire Race (or SOGIE or PWD)		REDIRECT:
U.S.-born AAPI student whose heritage is from Japan being expected to comment on Chinese culture	“June, we would love your opinion, as much as we would appreciate opinions from the rest of class; in fact, let us open up this question to the entire class and then take a look at informed perspectives from the literature.”	Shift focus to a different person KEY PHRASES: “Let’s shift the conversation…” Let’s open up this question to others…” “Let’s consult the academic literature on this one instead of relying on opinions.”
Second Class Citizen		STRATEGIC QUESTIONS:
Calling things “ghetto”; other examples include calling things “white trash”.	Asking questions to find language that does not marginalize on basis of race and class. Provides a learning opportunity on the power of words and the way we use them.	Asking questions to help speaker find language that does not marginalize on basis of race and class. Provides a learning opportunity on the power of words and the ways we use them.
“I have been called a [SOGIE expletive] by fellow students on several occasions. This typically happens in residence halls after hours”.	To affected student: “I am sorry to hear that, [name]. Would you like us to host a SafeZone (SOGIE awareness) workshop in your residence hall?” To individual committing RIMA: “That language is offensive and unacceptable”.	ACKNOWLEDGEMENT OF EXPERIENCE: Being an upstander means acknowledging experiences of individuals experiencing RIMAs and offering to serve as an ally and advocate. We must confront hate speech head on.

TOOL: INTERRUPTING RACIAL AND INTERSECTIONAL MICROAGGRESSIONS (Pg. 3)		
RIMA THEME AND EXAMPLE	FIRST or THIRD-PARTY INTERVENTION EXAMPLE	COMMUNICATION APPROACHES
Lack of Bystander Intervention		INQUIRY & ACCURATE INFO:
<i>On part of classmates:</i> BIPOC student uncomfortable being put in the situation of confronting use of “n word” when they did not witness this.	“Thank you for bringing this to my attention. It is a bit taxing for you to expect me to intercede when I wasn’t even there when it happened. You can file an ethics point grievance or tell our professor.”	It is not the job of BIPOC students to intercede when someone uses a racial epithet. There are university and legal processes to handle this and even federal procedures to investigate hate speech. KEY PHASES: “Let us help you find more appropriate parties to whom to report your concerns.”
<i>On part of faculty:</i> When BIPOC, WOC, women student(s) report academic bullying & harassment from other students during group work	“It is vital that we create an inclusive process for group work. Research shows that diverse groups can create the best outcomes. Your colleagues will assess your group work participation.”	INQUIRY & ACCURATE INFO: Share studies providing evidence of strength of diverse work groups. Model an effective group work process by discussing RIMA survey results and asking class to devise a rubric for inclusive group work.
<i>On part of university administration:</i> Faculty delays or dismissals of requests for academic accommodations from PWD	Send out regular reminders of policy regarding accommodation requests. Set up a procedure to check a sample of departments annually to assess success with providing accommodations.	ACCURATE INFO: Notification of policy and acting on policy (and ADA) violations. Enhancing compliance by assessing units. Remind faculty that all students deserve equitable access to education.
SOGIE-Evasive Trans/homophobia		ACCURATE INFO:
“Transphobic undertones are just so rampant in my academic program. People are really well intentioned, but they just act like trans people don't exist ... and show notable discomfort (uncomfortable laughing, grimacing) when I bring up trans bodies and experiences...”	“According to Youth Risk and Resilience Survey (YRRS) data for NM, in 2017 6.3% identified along the trans spectrum. This means that in a student body of 20,000 it is possible that over 1,000 students are transgender. In order to enhance inclusiveness, we need to do all that we can to make sure everyone feels they belong.”	A 2016 survey indicates that .6% of U.S. adults are transgender. However, it is severely underreported, as shown by recent YRRS data. The suicide rates for LGBQIA folks are 5 times higher than the national average. For trans folks, 41% attempt suicide at least once. Transphobia and acting to interrupt it is a matter of life and death.
“Being misgendered regularly despite having my pronouns worn or in my zoom name”	“Hey _____, I don't know if you're aware, but they actually use they/them pronouns. I wanted to let you know before you make a mistake. We would hate for [name] to have a negative experience.”	INQUIRY & ACCURATE INFO: Ask whether individual committing RIMA is aware of target’s preferred pronouns. Organize a SafeZone workshop; share Dr. Ben Barres’ story https://mitpress.mit.edu/books/autobiography-transgender-scientist
Sources: Harwood et al (2010); Zerai et al (2021); Adapted from: Kenney (2014); Kraybill (2008); LeBron (2008); Peavey (2003); Sue (2010); Annamma et al (2017; Williams Institute 2016).		

ACRONYMS: AAPI-Asian/Asian American and Pacific Islander; BIPOC-Black and Indigenous People of Color; LGBTQIA-Lesbian, Gay, Bisexual/Pansexual, Transgender, Queer/Questioning, Intersex, Asexual PWD-Persons with Disabilities; RIMA: Racial and Intersectional Microaggression; SOGIE-Sexual Orientation, Gender Identity or Expression; YRRS-Youth Risk and Resilience Survey

CONSIDERATIONS (from Harwood et al 2010):

- The communication approaches are most effective when used in combination with one another, e.g., using impact and preference statements, using inquiry and paraphrasing together, etc.
- Separate the person from the action or behavior. Instead of saying “you're racist”, try saying “that could be perceived as a racist remark”. Being called a racist puts someone on the defensive and can be considered “fighting words”.
Avoid starting questions with “why”; it puts people on the defensive. Instead try “how” or “what made you ...”.
- When addressing a microaggression, try to avoid using the pronoun “you” too often-it can leave people feeling defensive and blamed. Use “I” statements describing the impact on you instead or refer to the action indirectly, e.g., “when ___ was said ...” or “when ___ happened ...”.
- How you say it is as critical as what you say, e.g., tone of voice, body language, etc. The message has to be conveyed with respect for the other person, even if one is having a strong negative reaction to what's been said. So it is helpful to think about your intention when interrupting a microaggression, e.g., do you want that person to understand the impact of his/her action, or stop his/her behavior, or make the person feel guilty, etc. Your intention and the manner in which you execute your intention make a difference.
- Sometimes humor can defuse a tense situation.




University Controller
Financial Services Division, Main Campus
Phone: (505) 277-5111
FAX: (505) 277-7662

MEMORANDUM

DATE: September 22, 2021

TO: Teresa Costantinidis
Senior VP for Finance and Administration

FROM: Elizabeth Metzger, CPA 
University Controller

RE: Information Item for Board of Regents' Finance & Facilities Committee Meeting

The Quarterly Consolidated Financial Report for the month ended June 30, 2021 will be presented at the *October 12, 2021* Finance and Facilities meeting.



Monthly Financial Report

Consolidated Total Operations Current Funds

Fiscal Year to Date as of June 30, 2021

Contents

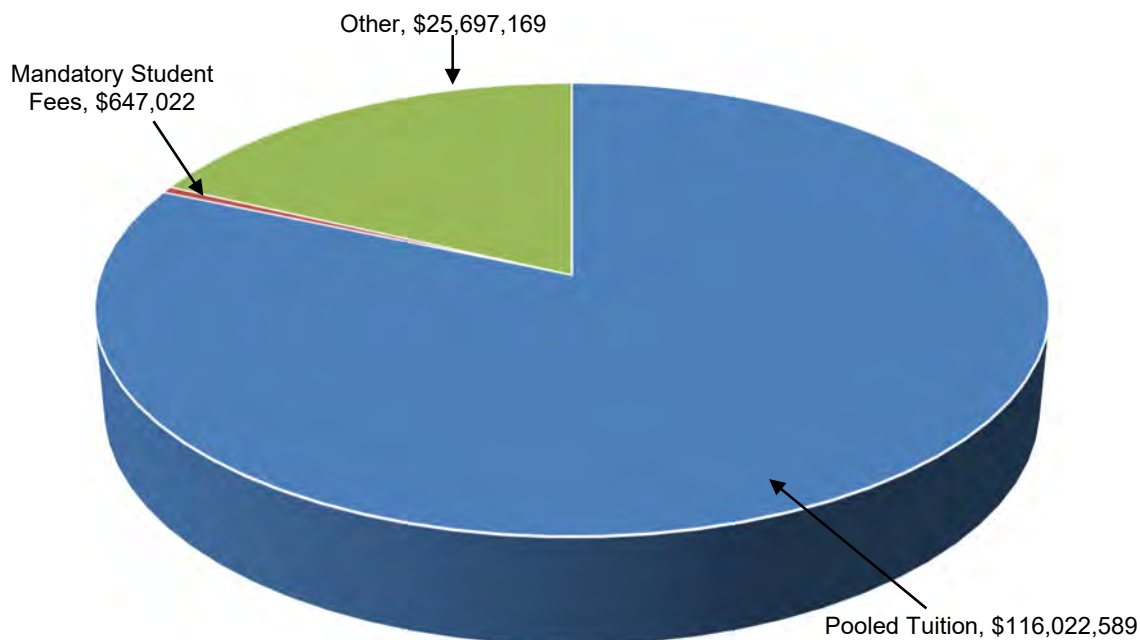
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Summary of Items in the Consolidated Financial Report As of June 30, 2021

- Instruction and General (Consolidated page 8, Main Campus page 14): Tuition and Fees - The graph below indicates the portion of Main Campuses I&G Tuition and Fee revenue that is pooled and allocated to the departments. The remainder of I&G Tuition and Fee revenue is distributed directly to the units, EX: tuition differential, course fees.

**Main Campus Tuition and Fees
as of June 30, 2021**



Other includes tuition differential, off-campus extension tuition, ASM Executive and Professional Education Center (EPEC) tuition, and various fee classifications (application, course, extended education, other student, testing binding, thesis, and reserves).

Budget	\$113,673,247
Difference	\$ 2,349,342

- HSC Clinical and Academic Operations (Consolidated page 10): Effective in FY20, HSC information was not presented as a separate report in the Consolidated Financial Report. HSC is presented in the Clinical Operations section that also includes UNM Hospitals, UNM Medical Group, and SRMC. (These actuals do not include intercompany eliminations.) Actuals for the current year and prior year through June are as follows:

Clinical Operations		FY21 – as of 06/30/21		FY20 – as of 06/30/20		Variance between FY2021 and FY2020
UNM HSC Academic Enterprise	\$	12,930,309	\$	20,324,619	\$	-7,394,310
UNM Hospitals Operations	\$	73,283,418	\$	24,311,799	\$	48,971,619
UNM Medical Group	\$	7,595,226	\$	-8,963,114	\$	16,558,340
SRMC	\$	3,425,553	\$	297,647	\$	3,127,906
Total	\$	97,234,506	\$	35,970,951	\$	61,263,555

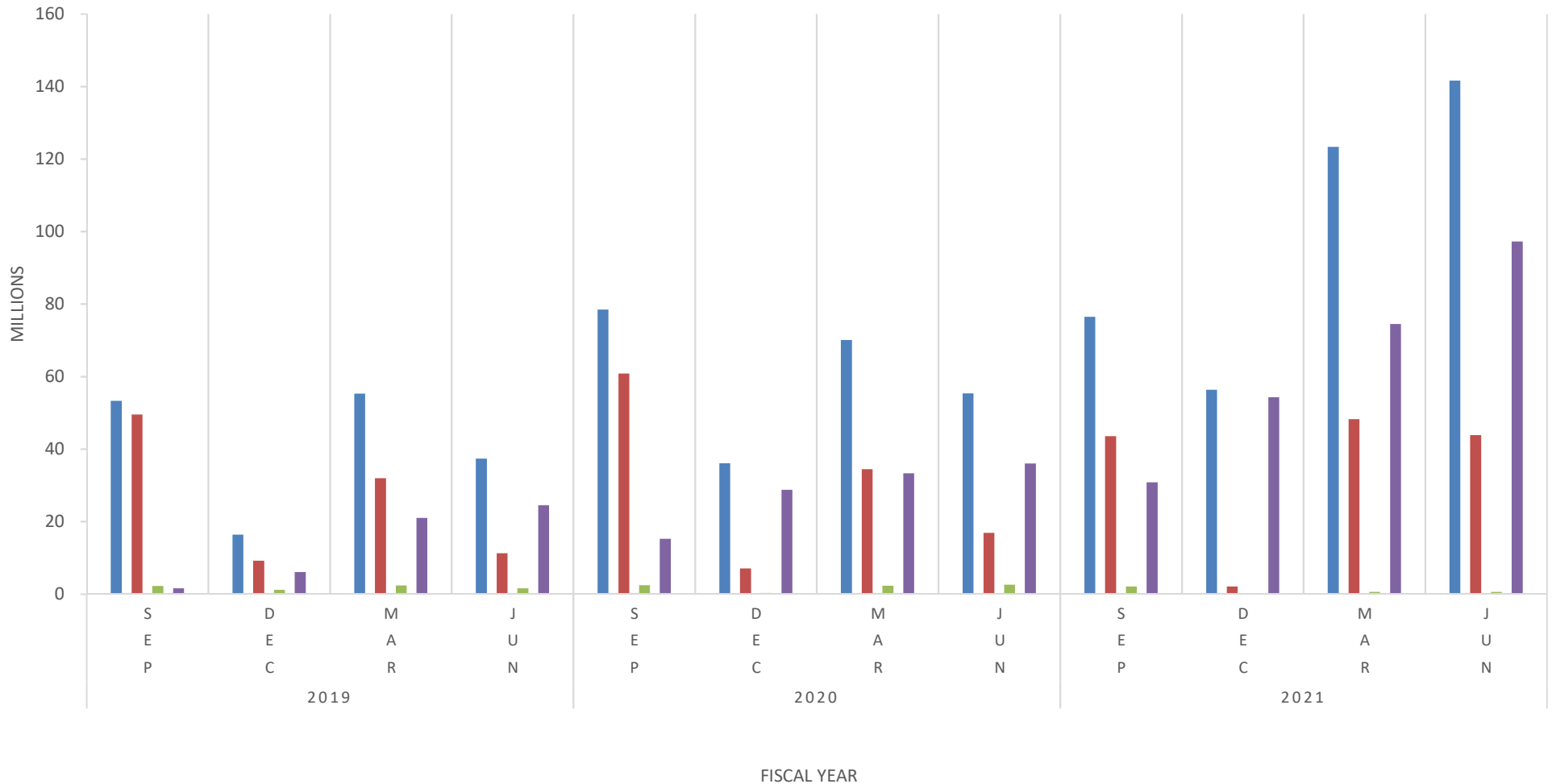
- COVID-19 CARE Funding Received in Fiscal Year 20-21 as of June 30, 2021:

Campus	Total
Main (HEERF II)	\$ 21,503,676
Main Student Awards (HEERF II)	9,667,030
Gallup (HEERF II)	406,266
Los Alamos (HEERF II)	87,040
Valencia (HEERF II)	263,633
Taos (HEERF II)	173,285
Main (HEERF III)	15,970,271
Clinical Operations	68,534,832
Non Operations	1,120,294
Total	\$ 117,726,327

HEERF – Higher Education Emergency Relief Funds

**CONSOLIDATED TOTAL OPERATIONS - 3 YEAR NET REVENUE
(NET REVENUE = TOTAL REVENUES LESS TOTAL EXPENSES FOR THE CONSOLIDATED AND EACH CAMPUS)
FISCAL YEAR TO DATE AS OF JUNE 30, 2021**

■ Consolidated (total on pg 10)
 ■ Main (total on pg 16)
 ■ Branches (total on pg 18)
 ■ HSC (total on pg 10)



Executive Budget Summary

University of New Mexico Consolidated Financial Report

Fiscal Year 2021 UNM Revised Budget

This report covers current fund operations for the University, including Main Campus, Branch Campuses, and Clinical Operations including the HSC Campus.

Instruction and General's operations projects a use of reserves of \$7.5M for the Fiscal Year 2021 UNM Revised Budget; \$2.9 use of reserves at the Main Campus and \$4.6M use of reserves at the Branch Campuses. This includes COVID-19 HEERF II and HEERF III Awards (Higher Education Emergency Relief Funds). HSC's revised budget for I&G is now included in the Clinical Operations budget.

Unrestricted Research operations projects a use of reserves of \$2.5M for the Fiscal Year 2021 UNM Revised Budget-all at the Main Campus. HSC's revised budget for research is now included in the Clinical Operations budget.

Unrestricted Public Service operations projects a use of reserves of \$658K for the Fiscal Year 2021 UNM Revised Budget; \$438K use of reserves is at the Main Campus and a \$220K use of reserves is at the Branch Campuses. HSC's revised budget for Unrestricted Public Service is now included in the Clinical Operations budget.

Student Aid operations projects a use of reserves of \$3.8M for the Fiscal Year 2021 UNM Revised Budget; \$3.8M use of reserves is at the Main Campus and a \$16K use of reserves is at the Branch Campuses. HSC's revised budget for Student Aid is now included in the Clinical Operations budget.

Student Activities are the operations of Student Government and Student organizations. The FY 2021 UNM Revised Budget projects a positive net margin of \$13K. HSC's revised budget for Student Activities is now included in the Clinical Operations budget.

Auxiliaries and Athletics

The FY 2021 UNM Revised Budget for Auxiliaries and Athletics projects a positive net margin of \$1.1M. This includes COVID-19 HEERF II HEERF III Awards (Higher Education Emergency Relief Funds).

Sponsored Programs operations are our contract and grant research and public service projects funded by outside agencies and companies. These operations will always record a zero net revenue over expense by the nature of their funding. The agency pays for a service or research project, and normally any unspent funds must be returned to the agency.

Clinical Operations now includes HSC (UNM HSC Academic Enterprises), UNM Hospital, UNM Medical Group, and SRMC. The FY 2021 UNM Revised Budget for Clinical Operations totals \$107,244,529 and is listed by unit below:

- UNM HSC Academic Enterprise as a budgeted net margin of \$7,362,449
- UNM Hospitals operations has a budgeted net margin of \$95,727,134
- UNM Medical Group operations has a budgeted net margin of \$4,153,735
- SRMC has a budgeted net margin of \$1,211

Statements of Revenues, Expenses and Changes in Net Position
For the twelve month ended June 30, 2021
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2021 Full Year Revised Budget	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Instruction and General						
Tuition and Fees Revenues***						
Main Campus	136,417,787	142,366,780	5,948,993	104%	136,432,459	5,934,321
Branch Campuses	6,132,947	5,781,324	(351,623)	94%	6,680,969	(899,645)
Total Tuition and Fees Revenues	142,550,734	148,148,104	5,597,370	104%	143,113,428	5,034,676
State/Local Appropriations	216,629,067	216,629,067	-	100%	226,411,961	(9,782,894)
COVID-19 HEERF I Award (Higher Education Emergency Relief Funds)	-	-	-	N/A	2,979,307	(2,979,307)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	3,025,340	2,105,273	(920,067)	70%	-	2,105,273
COVID-19 HEERF III Award (Higher Education Emergency Relief Funds)	740,000	5,082,395	4,342,395	687%	-	5,082,395
F & A Revenues	20,745,000	19,965,643	(779,357)	96%	20,895,023	(929,380)
Transfers	(60,239,899)	(58,351,074)	1,888,825	97%	(58,540,894)	189,820
Other Revenues	18,277,447	18,838,660	561,213	103%	17,802,424	1,036,236
Total Instruction and General Revenues	341,727,689	352,418,068	10,690,379	103%	352,661,249	(243,181)
Salaries	214,717,804	206,031,635	8,686,169	96%	213,807,870	7,776,235
Benefits	76,066,050	73,383,715	2,682,335	96%	74,378,009	994,294
Other Expenses	58,504,579	53,785,734	4,718,845	92%	60,016,254	6,230,520
Total Instruction and General Expenses	349,288,433	333,201,084	16,087,349	95%	348,202,133	15,001,049
Net Instruction and General Revenue (Expense)	(7,560,744)	19,216,984	26,777,728		4,459,116	14,757,868
Research						
State/Local Appropriations	1,805,950	1,805,950	-	100%	2,070,950	(265,000)
Transfers	15,327,212	15,404,657	77,445	101%	16,269,695	(865,038)
Other Revenues	565,229	4,265,860	3,700,631	755%	4,987,592	(721,732)
Total Research Revenues	17,698,391	21,476,467	3,778,076	121%	23,328,237	(1,851,770)
Salaries and Benefits	10,874,052	10,734,424	139,628	99%	10,416,075	(318,349)
Other Expenses	9,320,724	6,477,079	2,843,645	69%	7,466,715	989,636
Total Research Expenses	20,194,776	17,211,503	2,983,273	85%	17,882,790	671,287
Net Research Revenue (Expense)	(2,496,385)	4,264,964	6,761,349		5,445,447	(1,180,483)
Public Service						
State/Local Appropriations	5,253,250	5,253,250	-	100%	4,139,850	1,113,400
Sales and Services Revenues	4,427,564	7,198,507	2,770,943	163%	7,630,980	(432,473)
Gifts	10,464,902	12,965,695	2,500,793	124%	11,383,545	1,582,150
Transfers	(2,720,674)	(2,719,950)	724	100%	(1,677,984)	(1,041,966)
Other Revenues	5,902,724	4,072,944	(1,829,780)	69%	3,885,727	187,217
Total Public Service Revenues	23,327,766	26,770,446	3,442,680	115%	25,362,118	1,408,328
Salaries and Benefits	13,091,378	12,225,313	866,065	93%	11,858,472	(366,841)
Other Expenses	10,894,481	9,009,640	1,884,841	83%	10,807,844	1,798,204
Total Public Service Expenses	23,985,859	21,234,953	2,750,906	89%	22,666,316	1,431,363
Net Public Service Revenue (Expense)	(658,093)	5,535,493	6,193,586		2,695,802	2,839,691

Statements of Revenues, Expenses and Changes in Net Position
 For the twelve month ended June 30, 2021
 Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2021 Full Year Revised Budget	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Student Aid						
Gifts	6,419,730	7,785,201	1,365,471	121%	6,753,379	1,031,822
State Lottery Scholarship***	23,450,000	21,366,828	(2,083,172)	91%	23,254,053	(1,887,225)
Transfers	18,640,537	19,685,972	1,045,435	106%	18,771,538	914,434
Other Revenues	632,034	684,793	52,759	108%	945,070	(260,277)
Total Student Aid Revenues	49,142,301	49,522,794	380,493	101%	49,724,040	(201,246)
Salaries and Benefits	2,084,628	2,467,505	(382,877)	118%	2,045,358	(422,147)
Other Expenses	50,887,670	44,457,880	6,429,790	87%	40,630,057	(3,827,823)
Total Student Aid Expenses	52,972,298	46,925,385	6,046,913	89%	42,675,415	(4,249,970)
Net Student Aid Revenue (Expense)	(3,829,997)	2,597,409	6,427,406		7,048,625	(4,451,216)
Student Social & Cultural Programs						
Fee Revenues***	6,960,164	6,663,174	(296,990)	96%	7,367,830	(704,656)
Sales and Services Revenues	873,200	259,647	(613,553)	30%	692,791	(433,144)
Transfers	439,167	537,701	98,534	122%	669,872	(132,171)
Other Revenues	52,782	51,151	(1,631)	97%	70,795	(19,644)
Total Student Social & Cultural Programs Revenues	8,325,313	7,511,673	(813,640)	90%	8,801,288	(1,289,615)
Salaries and Benefits	5,668,392	4,180,893	1,487,499	74%	5,127,789	946,896
Other Expenses	2,669,851	2,479,306	190,545	93%	3,680,735	1,201,429
Total Student Social & Cultural Programs Expenses	8,338,243	6,660,199	1,678,044	80%	8,808,524	2,148,325
Net Student Social & Cultural Programs Revenue (Expense)	(12,930)	851,474	864,404		(7,236)	858,710
Auxiliaries and Athletics						
Branch Campuses Auxiliary Revenues	1,547,216	625,557	(921,659)	40%	1,333,209	(707,652)
Main Campus Auxiliaries Revenues	39,441,022	43,141,895	3,700,873	109%	42,149,744	992,151
Athletics Revenues	33,824,656	28,601,703	(5,222,953)	85%	28,471,436	130,267
Total Auxiliaries and Athletics Revenues	74,812,894	72,369,155	(2,443,739)	97%	71,954,389	414,766
Branch Campuses Auxiliary Expenses	1,857,216	906,668	950,548	49%	1,253,278	346,610
Main Campus Auxiliaries Expenses	40,026,539	33,966,272	6,060,267	85%	40,841,726	6,875,454
Athletics Expenses	31,841,383	25,569,732	6,271,651	80%	30,099,093	4,529,361
Total Auxiliaries and Athletics Expenses	73,725,138	60,442,672	13,282,466	82%	72,194,097	11,751,425
Net Auxiliaries and Athletics Revenue (Expense)	1,087,756	11,926,483	10,838,727		(239,708)	12,166,191

Statements of Revenues, Expenses and Changes in Net Position
For the twelve month ended June 30, 2021
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2021 Full Year Revised Budget	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	150,313,995	126,702,434	(23,611,561)	84%	135,521,785	(8,819,351)
COVID-19 HEERF I Award (Higher Education Emergency Relief Funds)	-	-	-	N/A	8,593,463	(8,593,463)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	9,633,256	9,667,030	33,774	100%	-	9,667,030
COVID-19 HEERF III Award (Higher Education Emergency Relief Funds)	20,000,000	-	(20,000,000)	0%	-	-
State and Local Grants and Contracts Revenues	19,220,230	18,135,203	(1,085,027)	94%	19,205,042	(1,069,839)
Non-Governmental Grants and Contracts Revenues	12,800,000	9,822,645	(2,977,355)	77%	10,169,325	(346,680)
Gifts	243,199	-	(243,199)	0%	-	-
Transfers	2,672,000	1,008,131	(1,663,869)	38%	1,263,200	(255,069)
Other Revenues	-	(694,358)	(694,358)	N/A	(438,813)	(255,545)
Total Sponsored Programs Revenues	214,882,680	164,641,085	(50,241,595)	77%	174,314,002	(9,672,917)
Salaries and Benefits						
Salaries and Benefits	74,703,529	60,989,556	13,713,973	82%	53,823,676	(7,165,880)
COVID-19 HEERF I Award (Higher Education Emergency Relief Funds)	-	-	-	N/A	8,593,463	8,593,463
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	9,633,256	9,667,030	(33,774)	100%	-	(9,667,030)
COVID-19 HEERF III Award (Higher Education Emergency Relief Funds)	20,000,000	-	20,000,000	0%	-	-
Other Expenses	110,545,895	93,984,499	16,561,396	85%	111,896,863	17,912,364
Total Sponsored Programs Expenses	214,882,680	164,641,085	50,241,595	77%	174,314,002	9,672,917
Net Sponsored Programs Revenue (Expense)	-	-	-		-	-
HSC Clinical and Academic Operations*						
State/Local Appropriations	104,763,173	104,554,528	(208,645)	100%	107,047,142	(2,492,614)
Capital Appropriations	-	-	-	N/A	33,588,918	(33,588,918)
UNM Medical Group Revenues	424,559,666	400,347,910	(24,211,756)	94%	446,460,532	(46,112,622)
UNM Hospitals Revenues	1,467,873,383	1,520,158,489	52,285,106	104%	1,278,266,753	241,891,736
SRMC Revenues	107,611,680	97,729,100	(9,882,580)	91%	89,541,144	8,187,956
Tuition and Fees Revenue	31,480,408	31,759,892	279,484	101%	30,312,860	1,447,032
F&A Revenues	28,500,000	31,122,723	2,622,723	109%	26,513,129	4,609,594
Mil Levy (Sandoval & Bernalillo Counties)	117,125,945	119,282,236	2,156,291	102%	115,084,816	4,197,420
Contract and Grant Revenues	270,738,217	253,494,143	(17,244,074)	94%	159,715,121	93,779,022
Transfers	4,503,819	3,570,906	(932,913)	79%	6,349,380	(2,778,474)
CARES ACT Funding	69,861,918	68,534,832	(1,327,086)	98%	24,954,338	43,580,494
Other Revenues	106,398,232	119,035,913	12,637,681	112%	115,360,512	3,675,401
Total Clinical Operations Revenues	2,733,416,441	2,749,590,672	16,174,231	101%	2,433,194,645	316,396,027
Salaries and Benefits						
Salaries and Benefits	1,253,217,800	1,247,428,452	5,789,348	100%	1,086,676,610	(160,751,842)
Contract and Grant Expenses	253,183,325	235,254,972	17,928,353	93%	143,252,418	(92,002,554)
Committed for Capital Projects	30,000,000	75,000,000	(45,000,000)	250%	73,588,918	(1,411,082)
Other Expenses	1,089,770,787	1,094,672,742	(4,901,955)	100%	1,093,705,748	(966,994)
Total Clinical Operations Expenses	2,626,171,912	2,652,356,166	(26,184,254)	101%	2,397,223,694	(255,132,472)
Net Clinical Operations Revenue (Expense)	107,244,529	97,234,506	(10,010,023)		35,970,951	61,263,555
Net Current Revenue (Expense)	93,774,136	141,627,313	47,853,177		55,372,997	86,254,316

* Does not include intercompany eliminations
** Prior year actuals may have been reclassified in order to be consistent with the 2021 presentation
*** Tuition/Fees, Lottery Scholarship, and Student Fees have a benchmark of 100%

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the twelve month ended June 30, 2021
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2021 Full Year Revised Budget	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Results of Athletics Operations:						
Athletics Revenues	16,781,141	16,463,398	(317,743)	98%	25,652,202	(9,188,804)
COVID-19 HEERF I Award (Higher Education Emergency Relief Funds)	-	-	-	N/A	2,046,871	(2,046,871)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	10,470,382	10,470,381	(1)	100%	-	10,470,381
COVID-19 HEERF III Award (Higher Education Emergency Relief Funds)	6,000,000	1,000,000	(5,000,000)	17%	-	1,000,000
Athletics Transfers	573,133	667,924	94,791	117%	772,363	(104,439)
Total Athletics Revenues	33,824,656	28,601,703	(5,222,953)	85%	28,471,436	130,267
Athletics Expenses						
Salaries and Benefits	14,074,880	12,901,281	1,173,599	92%	13,255,745	354,464
Grant-in-Aid	-	4,010,190	(4,010,190)	N/A	3,774,724	(235,466)
Other Expenses	17,766,503	8,658,261	9,108,242	49%	13,068,624	4,410,363
Total Athletics Expenses	31,841,383	25,569,732	6,271,651	80%	30,099,093	4,529,361
Total Net Athletics Revenue (Expense)	1,983,273	3,031,971	1,048,698		(1,627,657)	4,659,628
Results of Auxiliary Operations:						
VP for Institutional Support Services						
Bookstore Revenues	7,143,399	7,168,031	24,632	100%	8,770,154	(1,602,123)
Bookstore Transfers	(341,281)	(341,281)	-	100%	(90,988)	(250,293)
Total Bookstore Revenues	6,802,118	6,826,750	24,632	100%	8,679,166	(1,852,416)
Total Bookstore Expenses	6,195,796	8,360,108	(2,164,312)	135%	9,269,435	909,327
Net Bookstore Revenue (Expense)	606,322	(1,533,358)	(2,139,680)		(590,269)	(943,089)
University Club Revenues	28,000	24,662	(3,338)	88%	62,282	(37,620)
University Club Expenses	28,000	28,595	(595)	102%	67,327	38,732
Net Faculty & Staff Club Revenue (Expense)	-	(3,933)	(3,933)		(5,045)	1,112
Food Service/Dining Revenues	673,499	452,953	(220,546)	67%	1,469,041	(1,016,088)
Food Service/Dining Transfers	183,426	280,564	97,138	153%	(416,752)	697,316
Total Food Service/Dining Revenues	856,925	733,517	(123,408)	86%	1,052,289	(318,772)
Total Food Service/Dining Expenses	856,925	862,401	(5,476)	101%	1,032,279	169,878
Net Food Service/Dining Revenue (Expense)	-	(128,884)	(128,884)		20,010	(148,894)
Golf Courses Revenues	2,663,759	2,608,876	(54,883)	98%	2,324,607	284,269
Golf Courses Transfers	(39,252)	(39,252)	-	100%	(39,252)	-
Total Golf Courses Revenues	2,624,507	2,569,624	(54,883)	98%	2,285,355	284,269
Total Golf Courses Expenses	2,495,945	2,585,553	(89,608)	104%	2,415,608	(169,945)
Net Golf Courses Revenue (Expense)	128,562	(15,929)	(144,491)		(130,253)	114,324
Housing Revenues	10,967,399	4,798,866	(6,168,533)	44%	8,350,462	(3,551,596)
Housing Transfers	(1,497,001)	(1,469,672)	27,329	98%	(2,203,524)	733,852
Total Housing Revenues	9,470,398	3,329,194	(6,141,204)	35%	6,146,938	(2,817,744)
Total Housing Expense	8,594,038	6,360,843	2,233,195	74%	6,482,207	121,364
Net Housing Revenue (Expense)	876,360	(3,031,649)	(3,908,009)		(335,269)	(2,696,380)

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University of New Mexico - Main Campus Athletics & Auxiliary Operations

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Lobo Cash Revenues	69,000	53,992	(15,008)	78%	90,620	(36,628)
Lobo Cash Expenses	69,000	33,039	(35,961)	48%	86,838	53,799
Net Lobo Cash Revenue (Expense)	-	20,953	20,953		3,782	17,171
Other Revenues	1,956,000	2,127,000	171,000	109%	1,963,028	163,972
Other Transfers	(2,434,188)	(2,426,188)	8,000	100%	(2,164,500)	(261,688)
Total Other Revenues	(478,188)	(299,188)	179,000	63%	(201,472)	(97,716)
Total Other Expense	(405,459)	(9,785)	(395,674)	2%	6,852	16,637
Net Other Revenue (Expense)	(72,729)	(289,403)	(216,674)		(208,324)	(81,079)
Parking and Transportation Revenues	4,155,181	3,540,279	(614,902)	85%	6,119,487	(2,579,208)
Parking and Trans Transfers	(1,471,964)	(1,471,964)	-	100%	(1,672,509)	200,545
Total Parking and Trans Revenues	2,683,217	2,068,315	(614,902)	77%	4,446,978	(2,378,663)
Total Parking and Trans Expenses	2,302,270	5,065,265	(2,762,995)	220%	5,690,731	625,466
Net Parking and Trans Revenue (Expense)	380,947	(2,996,950)	(3,377,897)		(1,243,753)	(1,753,197)
Popejoy Events Revenues	2,111,791	47,465	(2,064,326)	2%	4,006,854	(3,959,389)
Popejoy Events Transfers	422,460	-	(422,460)	0%	617,000	(617,000)
Total Popejoy Events Revenues	2,534,251	47,465	(2,486,786)	2%	4,623,854	(4,576,389)
Total Popejoy Events Expenses	1,990,375	1,362,829	627,546	68%	5,196,929	3,834,100
Net Popejoy Events Revenue (Expense)	543,876	(1,315,364)	(1,859,240)		(573,075)	(742,289)
Taos & Lawrence Ranch Revenues	55,000	55,000	-	100%	55,000	-
Taos & Lawrence Ranch Expenses	55,000	61,005	(6,005)	111%	60,908	(97)
Net Taos & Lawrence Ranch Revenue (Expense)	-	(6,005)	(6,005)		(5,908)	(97)
Ticketing Services Revenues	3,848	(1,808)	(5,656)	-47%	297,885	(299,693)
Ticketing Services Transfers	-	-	-	N/A	38,255	(38,255)
Total Ticketing Services Revenues	3,848	(1,808)	(5,656)	-47%	336,140	(337,948)
Total Ticketing Services Expenses	(12,160)	296,054	(308,214)	-2435%	525,701	229,647
Net Ticketing Services Revenue (Expense)	16,008	(297,862)	(313,870)		(189,561)	(108,301)
COVID-19 HEERF I Award (Higher Education Emergency Relief Funds)	-	-	-	N/A	4,816,333	(4,816,333)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	10,058,473	9,858,246	(200,227)	98%	-	9,858,246
COVID-19 HEERF III Award (Higher Education Emergency Relief Funds)	7,550,000	9,887,876	2,337,876	131%	-	9,887,876
Total VP for Institutional Support Services Revenues	42,257,549	35,153,645	(7,103,904)	83%	32,393,483	2,760,162
Total VP for Institutional Support Services Expenses	22,169,730	25,005,907	(2,836,177)	113%	30,834,815	5,828,908
Net VP for Institutional Support Services Revenue (Expense)	20,087,819	10,147,738	(9,940,081)		1,558,668	8,589,070

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University of New Mexico - Main Campus Athletics & Auxiliary Operations

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VP for Student Affairs						
Student Health Center Revenues	7,364,865	6,205,322	(1,159,543)	84%	7,249,096	(1,043,774)
Student Health Center Expenses	7,364,865	7,019,719	345,146	95%	7,373,664	353,945
Net Student Health Center Revenue (Expense)	-	(814,397)	(814,397)		(124,568)	(689,829)
Student Union Revenues	2,065,033	1,706,864	(358,169)	83%	2,284,918	(578,054)
Student Union Expenses	2,270,032	1,804,983	465,049	80%	2,332,195	527,212
Net Student Union Revenue (Expense)	(204,999)	(98,119)	106,880		(47,277)	(50,842)
Total VP for Student Affairs Revenues	9,429,898	7,912,186	(1,517,712)	84%	9,534,014	(1,621,828)
Total VP for Student Affairs Expenses	9,634,897	8,824,702	810,195	92%	9,705,859	881,157
Net VP for Student Affairs Revenue (Expense)	(204,999)	(912,516)	(707,517)		(171,845)	(740,671)
Provost and Other Units						
Art Museum Revenues	300	-	(300)	0%	611	(611)
Art Museum Expenses	300	(162)	462	-54%	5,132	5,294
Net Art Museum Revenue (Expense)	-	162	162		(4,521)	4,683
CE Conference Ctr Revenues	140,000	12,068	(127,932)	9%	108,195	(96,127)
CE Conference Ctr Transfers	(54,379)	803	55,182	-1%	(26,629)	27,432
Total CE Conference Ctr Revenues	85,621	12,871	(72,750)	15%	81,566	(68,695)
Total CE Conference Ctr Expenses	73,782	43,614	30,168	59%	150,889	107,275
Net CE Conference Ctr Revenue (Expense)	11,839	(30,743)	(42,582)		(69,323)	38,580
Maxwell Museum Revenues	40,121	17,081	(23,040)	43%	26,350	(9,269)
Maxwell Museum Expenses	40,121	16,428	23,693	41%	23,295	6,867
Net Maxwell Museum Revenue (Expense)	-	653	653		3,055	(2,402)
Other Revenues	3,247	46,112	42,865	1420%	113,720	(67,608)
Other Expenses	9,709	75,783	(66,074)	781%	121,736	45,953
Net Other Revenue (Expense)	(6,462)	(29,671)	(23,209)		(8,016)	(21,655)
Total Provost and Other Units Revenues	129,289	76,064	(53,225)	59%	222,247	(146,183)
Total Provost and Other Units Expenses	123,912	135,663	(11,751)	109%	301,052	165,389
Net Provost and Other Units Revenue (Expense)	5,377	(59,599)	(64,976)		(78,805)	19,206
Auxiliary Totals						
Total Auxiliary Revenues	39,441,022	43,141,895	3,700,873	109%	42,149,744	992,151
Total Auxiliary Expenses	40,026,539	33,966,272	6,060,267	85%	40,841,726	6,875,454
Net Auxiliary Revenue (Expense)	(585,517)	9,175,623	9,761,140		1,308,018	7,867,605
Net Athletics Revenue (Expense)	1,983,273	3,031,971	1,048,698		(1,627,657)	4,659,628
Net Auxiliary and Athletics Revenue (Expense)	1,397,756	12,207,594	10,809,838		(319,639)	12,527,233
Net Branch Campuses Aux Revenue (Expense)	(310,000)	(281,111)	28,889		79,931	(361,042)
Net All Auxiliary and Athletics Revenue (Expense)	1,087,756	11,926,483	10,838,727		(239,708)	12,166,191

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Main Campus - Total Operations Current Funds

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Instruction and General						
Tuition and Fees Revenues***	136,417,787	142,366,780	5,948,993	104%	136,432,459	5,934,321
State/Local Appropriations	187,238,162	187,238,162	-	100%	196,138,500	(8,900,338)
COVID-19 HEERF I Award (Higher Education Emergency Relief Funds)	-	-	-	N/A	2,049,110	(2,049,110)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	2,095,116	1,175,049	(920,067)	56%	-	1,175,049
COVID-19 HEERF III Award (Higher Education Emergency Relief Funds)	740,000	5,082,395	4,342,395	687%	-	5,082,395
F & A Revenues	20,745,000	19,965,643	(779,357)	96%	20,895,023	(929,380)
Transfers	(55,818,545)	(53,939,720)	1,878,825	97%	(56,118,701)	2,178,981
Other Revenues	17,270,794	17,721,339	450,545	103%	16,609,283	1,112,056
Total Instruction and General Revenues	308,688,314	319,609,648	10,921,334	104%	316,005,674	3,603,974
Salaries	193,149,434	186,000,975	7,148,459	96%	192,886,810	6,885,835
Benefits	68,724,204	66,616,182	2,108,022	97%	67,482,762	866,580
Other Expenses	49,760,771	48,221,090	1,539,681	97%	53,455,252	5,234,162
Total Instruction and General Expenses	311,634,409	300,838,247	10,796,162	97%	313,824,824	12,986,577
Net Instruction and General Revenue (Expense)	(2,946,095)	18,771,401	21,717,496		2,180,850	16,590,551
Research						
State/Local Appropriations	1,805,950	1,805,950	-	100%	2,070,950	(265,000)
Transfers	15,327,212	15,404,657	77,445	101%	16,269,695	(865,038)
Other Revenues	565,229	4,265,860	3,700,631	755%	4,987,592	(721,732)
Total Research Revenues	17,698,391	21,476,467	3,778,076	121%	23,328,237	(1,851,770)
Salaries and Benefits	10,874,052	10,734,424	139,628	99%	10,416,075	(318,349)
Other Expenses	9,320,724	6,477,079	2,843,645	69%	7,466,715	989,636
Total Research Expenses	20,194,776	17,211,503	2,983,273	85%	17,882,790	671,287
Net Research Revenue (Expense)	(2,496,385)	4,264,964	6,761,349		5,445,447	(1,180,483)
Public Service						
State/Local Appropriations	5,253,250	5,253,250	-	100%	4,139,850	1,113,400
Sales and Services Revenues	4,186,264	6,947,981	2,761,717	166%	7,321,125	(373,144)
Gifts	10,268,544	12,611,744	2,343,200	123%	10,989,115	1,622,629
Transfers	(2,670,300)	(2,671,577)	(1,277)	100%	(1,681,984)	(989,593)
Other Revenues	5,740,247	3,950,530	(1,789,717)	69%	3,640,157	310,373
Total Public Service Revenues	22,778,005	26,091,928	3,313,923	115%	24,408,263	1,683,665
Salaries and Benefits	12,701,557	11,802,815	898,742	93%	11,357,826	(444,989)
Other Expenses	10,514,135	8,798,664	1,715,471	84%	10,476,698	1,678,034
Total Public Service Expenses	23,215,692	20,601,479	2,614,213	89%	21,834,524	1,233,045
Net Public Service Revenue (Expense)	(437,687)	5,490,449	5,928,136		2,573,739	2,916,710

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Main Campus - Total Operations Current Funds

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Student Aid						
Private Grants/Gifts	6,334,587	7,663,360	1,328,773	121%	6,634,557	1,028,803
State Lottery Scholarships***	23,450,000	21,366,828	(2,083,172)	91%	23,254,053	(1,887,225)
Transfers	18,236,851	19,248,933	1,012,082	106%	18,370,697	878,236
Other Revenues	615,034	672,068	57,034	109%	930,491	(258,423)
Total Student Aid Revenues	48,636,472	48,951,189	314,717	101%	49,189,798	(238,609)
Salaries and Benefits	2,084,628	2,467,505	(382,877)	118%	2,045,358	(422,147)
Other Expenses	50,365,469	44,152,813	6,212,656	88%	40,169,970	(3,982,843)
Total Student Aid Expenses	52,450,097	46,620,318	5,829,779	89%	42,215,328	(4,404,990)
Net Student Aid Revenue (Expense)	(3,813,625)	2,330,871	6,144,496		6,974,470	(4,643,599)
Student Social & Cultural Programs						
Fee Revenues***	6,751,794	6,487,544	(264,250)	96%	7,170,040	(682,496)
Sales and Services Revenues	870,360	257,092	(613,268)	30%	683,955	(426,863)
Transfers	479,167	577,701	98,534	121%	710,372	(132,671)
Other Revenues	48,922	51,151	2,229	105%	70,795	(19,644)
Total Student Social & Cultural Programs Revenues	8,150,243	7,373,488	(776,755)	90%	8,635,162	(1,261,674)
Salaries and Benefits	5,660,553	4,178,238	1,482,315	74%	5,124,806	946,568
Other Expenses	2,500,152	2,417,872	82,280	97%	3,515,758	1,097,886
Total Student Social & Cultural Programs Expenses	8,160,705	6,596,110	1,564,595	81%	8,640,564	2,044,454
Net Student Social & Cultural Programs Revenue (Expense)	(10,462)	777,378	787,840		(5,402)	782,780
Auxiliaries						
Auxiliaries Revenues	39,441,022	43,141,895	3,700,873	109%	42,149,744	992,151
Athletics Revenues	33,824,656	28,601,703	(5,222,953)	85%	28,471,436	130,267
Total Auxiliaries Revenues	73,265,678	71,743,598	(1,522,080)	98%	70,621,180	1,122,418
Auxiliaries Expenses	40,026,539	33,966,272	6,060,267	85%	40,841,726	6,875,454
Athletics Expenses	31,841,383	25,569,732	6,271,651	80%	30,099,093	4,529,361
Total Auxiliaries Expenses	71,867,922	59,536,004	12,331,918	83%	70,940,819	11,404,815
Net Auxiliaries and Athletics Revenue (Expense)	1,397,756	12,207,594	10,809,838		(319,639)	12,527,233

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Main Campus - Total Operations Current Funds

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Sponsored Programs						
Federal Grants and Contracts Revenues	141,794,888	120,227,167	21,567,721	85%	129,301,678	(9,074,511)
COVID-19 HEERF I Award (Higher Education Emergency Relief Funds)	-	-	-	N/A	8,593,463	(8,593,463)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	9,633,256	9,667,030	(33,774)	100%	-	9,667,030
COVID-19 HEERF III Award (Higher Education Emergency Relief Funds)	20,000,000	-	20,000,000	0%	-	-
State and Local Grants and Contracts Revenues	17,087,338	16,853,280	234,058	99%	17,438,353	(585,073)
Non-Governmental Grants and Contracts Revenues	12,600,000	9,367,647	3,232,353	74%	9,742,232	(374,585)
Gifts	-	-	-	N/A	-	-
Transfers	2,672,000	1,008,131	1,663,869	38%	1,263,200	(255,069)
Other Revenues	-	(694,358)	694,358	N/A	(438,813)	(255,545)
Total Sponsored Programs Revenues	203,787,482	156,428,897	47,358,585	77%	165,900,113	(9,471,216)
Salaries and Benefits	67,960,226	55,604,229	12,355,997	82%	48,519,601	(7,084,628)
COVID-19 HEERF I Award (Higher Education Emergency Relief Funds)	-	-	-	N/A	8,593,463	8,593,463
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	9,633,256	9,667,030	(33,774)	100%	-	(9,667,030)
COVID-19 HEERF III Award (Higher Education Emergency Relief Funds)	20,000,000	-	20,000,000	0%	-	-
Other Expenses	106,194,000	91,157,638	15,036,362	86%	108,787,049	17,629,411
Total Sponsored Programs Expenses	203,787,482	156,428,897	47,358,585	77%	165,900,113	9,471,216
Net Sponsored Programs Revenue (Expense)	-	-	-		-	-
Net Current Revenue (Expense)	(8,306,498)	43,842,657	52,149,155		16,849,465	26,993,192

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Branch Campuses - Total Operations Current Funds

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Instruction and General						
Tuition and Fees Revenues***	6,132,947	5,781,324	(351,623)	94%	6,680,969	(899,645)
State/Local Appropriations	29,390,905	29,390,905	-	100%	30,273,461	(882,556)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	930,224	930,224	-	100%	-	930,224
Transfers	(4,421,354)	(4,411,354)	10,000	100%	(2,422,193)	(1,989,161)
Other Revenues	1,006,653	1,117,321	110,668	111%	1,193,141	(75,820)
Total Instruction and General Revenues	33,039,375	32,808,420	(230,955)	99%	36,655,575	(3,847,155)
Salaries	21,568,370	20,030,660	1,537,710	93%	20,921,060	890,400
Benefits	7,341,846	6,767,533	574,313	92%	6,895,247	127,714
Other Expenses	8,743,808	5,564,644	3,179,164	64%	6,561,002	996,358
Total Instruction and General Expenses	37,654,024	32,362,837	5,291,187	86%	34,377,309	2,014,472
Net Instruction and General Revenue (Expense)	(4,614,649)	445,583	5,060,232		2,278,266	(1,832,683)
Public Service						
State/Local Appropriations	-	-	-	N/A	-	-
Sales and Services Revenues	241,300	250,526	9,226	104%	309,855	(59,329)
Gifts	196,358	353,951	157,593	180%	394,430	(40,479)
Transfers	(50,374)	(48,373)	2,001	96%	4,000	(52,373)
Other Revenues	162,477	122,414	(40,063)	75%	245,570	(123,156)
Total Public Service Revenues	549,761	678,518	128,757	123%	953,855	(275,337)
Salaries and Benefits	389,821	422,498	(32,677)	108%	500,646	78,148
Other Expenses	380,346	210,976	169,370	55%	331,146	120,170
Total Public Service Expenses	770,167	633,474	136,693	82%	831,792	198,318
Net Public Service Revenue (Expense)	(220,406)	45,044	265,450		122,063	(77,019)
Student Aid						
Private Grants/Gifts	85,143	121,841	36,698	143%	118,822	3,019
Transfers	403,686	437,039	33,353	108%	400,841	36,198
Other Revenues	17,000	12,725	(4,275)	75%	14,579	(1,854)
Total Student Aid Revenues	505,829	571,605	65,776	113%	534,242	37,363
Salaries and Benefits	-	-	-	N/A	-	-
Other Expenses	522,201	305,067	217,134	58%	460,087	155,020
Total Student Aid Expenses	522,201	305,067	217,134	58%	460,087	155,020
Net Student Aid Revenue (Expense)	(16,372)	266,538	282,910		74,155	192,383

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the twelve month ended June 30, 2021
Preliminary and Unaudited

Branch Campuses - Total Operations Current Funds

	FY 2021 Full Year Revised Budget	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Student Social & Cultural Programs						
Fee Revenues***	208,370	175,630	(32,740)	84%	197,790	(22,160)
Sales and Services Revenues	2,840	2,555	(285)	90%	8,836	(6,281)
Transfers	(40,000)	(40,000)	-	100%	(40,500)	500
Other Revenues	3,860	-	(3,860)	0%	-	-
Total Student Social & Cultural Programs Revenues	175,070	138,185	(36,885)	79%	166,126	(27,941)
Salaries and Benefits	7,839	2,655	5,184	34%	2,983	328
Other Expenses	169,699	61,434	108,265	36%	164,977	103,543
Total Student Social & Cultural Programs Expenses	177,538	64,089	113,449	36%	167,960	103,871
Net Student Social & Cultural Programs Revenue (Expense)	(2,468)	74,096	76,564		(1,834)	75,930
Auxiliaries						
Bookstore Revenues	1,411,406	707,547	(703,859)	50%	1,269,544	(561,997)
Housing and Food Service Revenues	120,009	19,614	(100,395)	16%	94,036	(74,422)
Transfers	(107,500)	(107,500)	-	100%	(40,000)	(67,500)
Other Auxiliaries Revenues	123,301	5,896	(117,405)	5%	9,629	(3,733)
Total Auxiliaries Revenues	1,547,216	625,557	(921,659)	40%	1,333,209	(707,652)
Bookstore Expenses	1,391,406	864,006	527,400	62%	1,124,540	260,534
Housing and Food Service Expenses	362,509	41,256	321,253	11%	106,338	65,082
Other Auxiliaries Expenses	103,301	1,406	101,895	1%	22,400	20,994
Total Auxiliaries Expenses	1,857,216	906,668	950,548	49%	1,253,278	346,610
Net Auxiliaries Revenue (Expense)	(310,000)	(281,111)	28,889		79,931	(361,042)
Sponsored Programs						
Federal Grants and Contracts Revenues	8,519,107	6,475,267	(2,043,840)	76%	6,220,107	255,160
State and Local Grants and Contracts Revenues	2,132,892	1,281,923	(850,969)	60%	1,766,689	(484,766)
Non-Governmental Grants and Contracts Revenues	200,000	454,998	254,998	227%	427,093	27,905
Gifts	243,199	-	(243,199)	0%	-	-
Transfers	-	-	-	N/A	-	-
Other Revenues	-	-	-	N/A	-	-
Total Sponsored Programs Revenues	11,095,198	8,212,188	(2,883,010)	74%	8,413,889	(201,701)
Salaries and Benefits	6,743,303	5,385,327	1,357,976	80%	5,304,075	(81,252)
Other Expenses	4,351,895	2,826,861	1,525,034	65%	3,109,814	282,953
Total Sponsored Programs Expenses	11,095,198	8,212,188	2,883,010	74%	8,413,889	201,701
Net Sponsored Programs Revenue (Expense)	-	-	-		-	-
Net Current Revenue (Expense)	(5,163,895)	550,150	5,714,045		2,552,581	(2,002,431)

Statements of Revenues, Expenses and Changes in Net Assets
For the twelve month period ended June 30, 2021
Preliminary and Unaudited

Detail of State/Local Appropriations
Consolidated - Total Operations Current Funds

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%
Instruction and General				
Instruction & General Appropriations	206,355,900	206,355,900	-	100%
State Special Project Appropriations	1,064,700	1,064,700	-	100%
Mill Levy	9,208,467	9,208,467	-	100%
Total Instruction and General Appropriations	216,629,067	216,629,067	-	100%
Research				
State Special Project Appropriations	1,805,950	1,805,950	-	100%
Total Research Appropriations	1,805,950	1,805,950	-	100%
Public Service				
State Special Project Appropriations	5,253,250	5,253,250	-	100%
Total Public Service Appropriations	5,253,250	5,253,250	-	100%
Clinical Operations				
Instruction & General Appropriations	62,261,040	62,261,040	-	100%
Research Appropriations	1,705,315	1,567,097	(138,218)	92%
Public Service Appropriations	245,600	245,600	-	100%
Student Aid Appropriations	188,000	188,000	-	100%
State Special Project Appropriations	36,399,002	36,373,983	(25,019)	100%
Tobacco Settlement Appropriations	840,200	840,199	(1)	100%
Cigarette Tax Revenues	3,124,016	3,078,609	(45,407)	99%
Total Clinical Operations Appropriations	104,763,173	104,554,528	(208,645)	100%
Total - All Appropriations	328,451,440	328,242,795	(208,645)	100%



UAP 7000 CATEGORIZATION OF RESERVES

MAIN AND BRANCH CAMPUSES

TUESDAY, OCT 12, 2021

PREPARED BY: OFFICE OF PLANNING, BUDGET, AND ANALYSIS (OPBA)

Reason for this Presentation

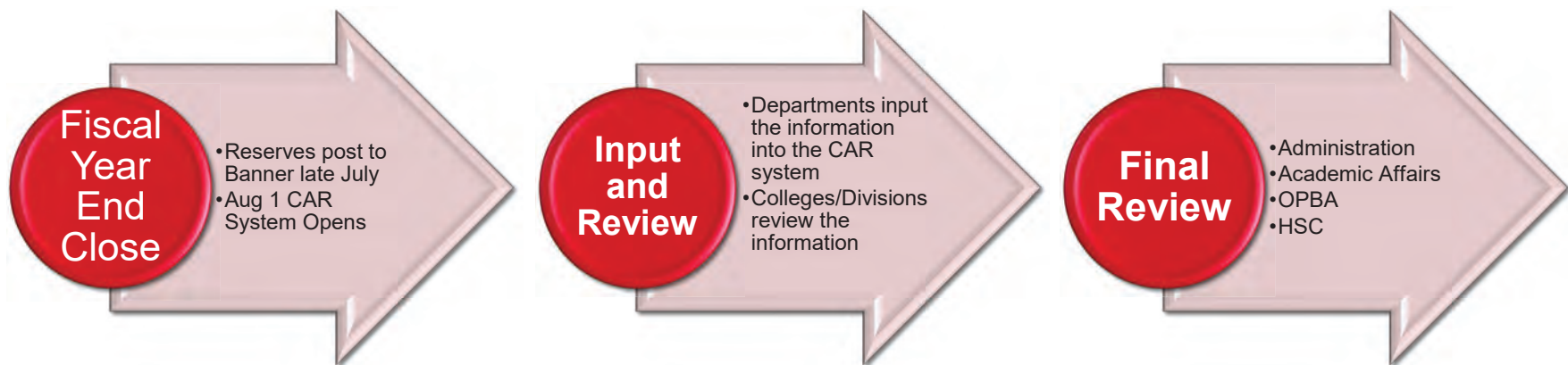
Regents' Policy 7.20 and University Administrative Policy (UAP) 7000 require the UNM budget offices to present a report to the Regents regarding University reserves each year.

The Importance of University Reserves

- University Reserves provide liquidity which is a foundational element of the University Bond Rating.
- The University uses reserves to mitigate unplanned budget reductions, adapt to changes and challenges such as enrollment reductions and to invest in mission critical initiatives.
- Reserves are non-recurring resources used as a bridge to avoid actions that damage our mission, such as un-planned layoffs, cuts in student or community services, or lapses in infrastructure maintenance.
- Cash reserves are necessary because research is cost reimbursable and universities do not have access to unsecured commercial lines of credit to bridge timing gaps between collecting revenues and operating expenditures.

How the University Plans for Multiyear Expenditures

Categorization of Reserves (CAR) Process

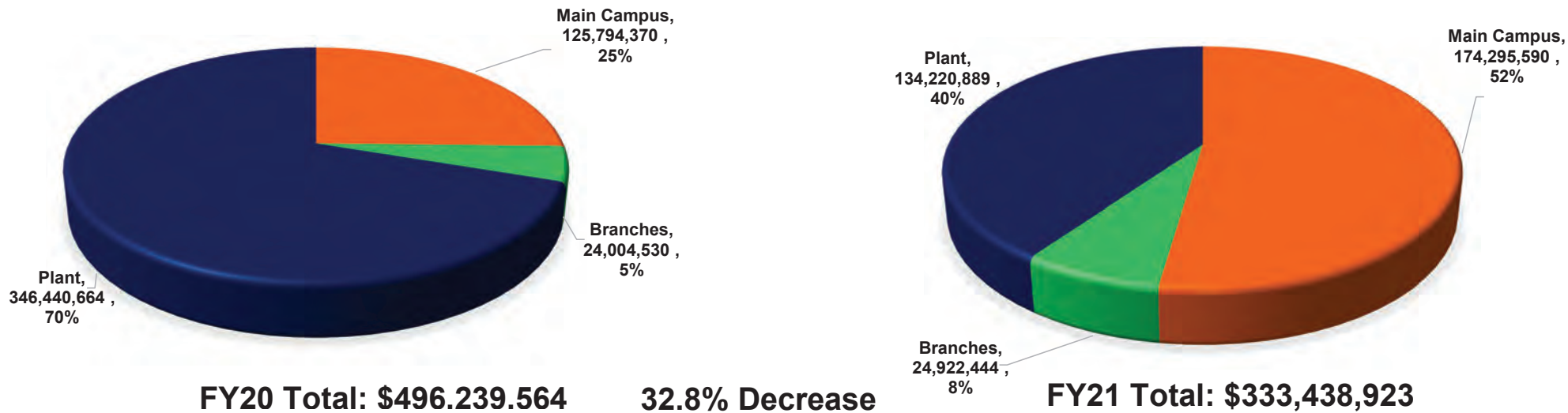


Reserve Categories

- **Committed:** this includes funds where a formal, legally binding obligation exists
 - Purchase orders
 - Signed offer letters
 - Documented cost share requirements
 - All endowed and non-endowed funds
- **Dedicated:** this includes funds with a clear, focused purpose and documented description that identifies the entity or individual initiating the dedication.
 - Reserves dedicated by deans for specific purpose
 - Purchases of equipment
 - Bridge funding
- **Discretionary:** this includes remaining reserves after accounting for all committed and dedicated funds.

FY21 Main and Branch Campuses Operations and Plant Fund Reserves

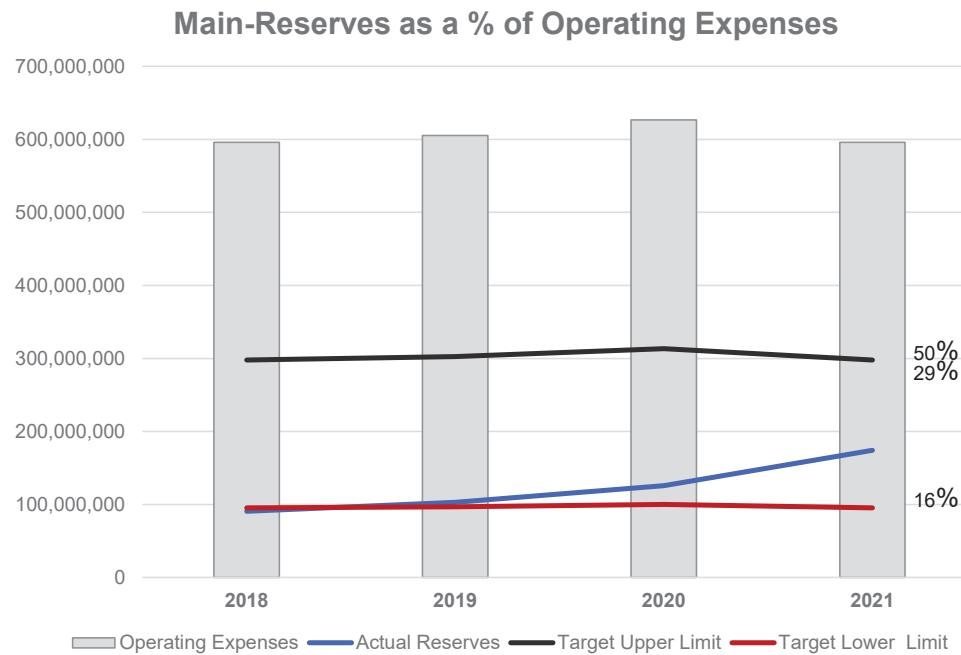
Overall, the \$162.8M decrease is due to the draw down of funds for the Hospital Tower of ~\$195M. Swings in Main Campus is primarily due to the infusion of one-time COVID Relief (HEERF2 and HEERF3) funding and reduction in spending due to limited operations.



Operating Reserves as a % of Expenditures

- NACUBO Recommended Reserve Target: Between 16% and 50% of Total Operating Expenditures.
- FY 21 Percentages:
 - Main Campus ~ 29%
 - Branches ~ 61%

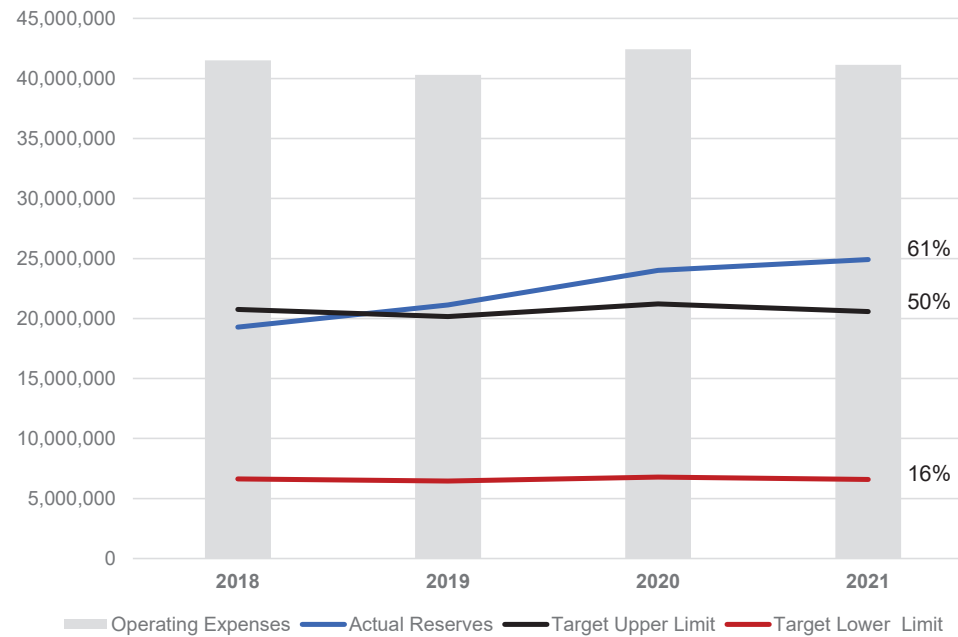
FY21 Main Campus Operations



Increase in reserves primarily due to the infusion of one-time federal COVID relief funding, pullback of department budgets due to an anticipated enrollment drop and a reduction in spending due to limited operations.

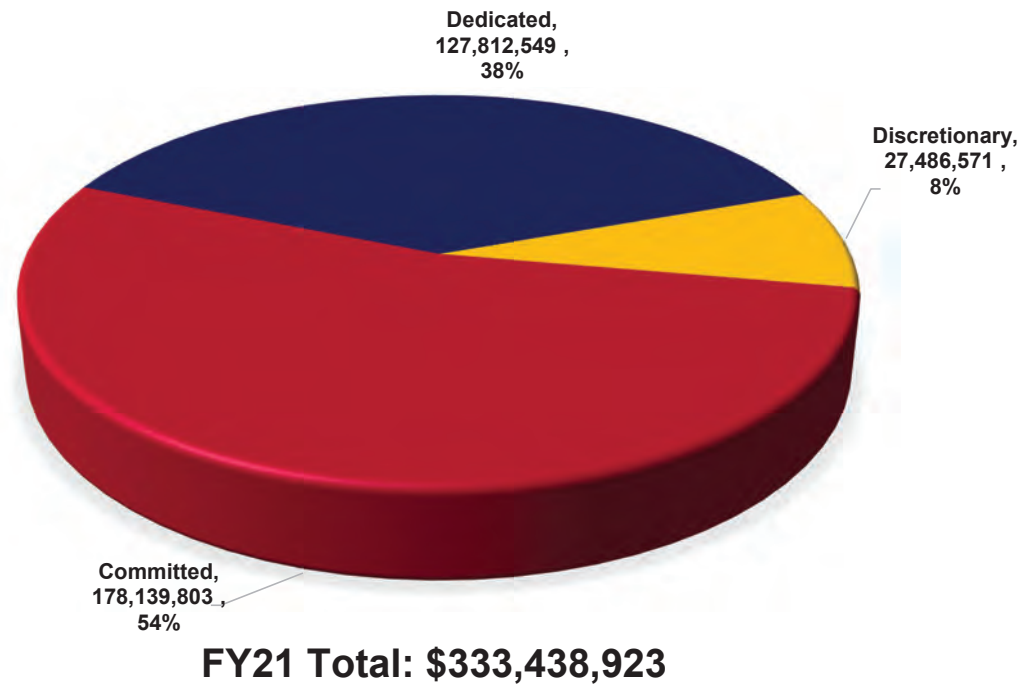
FY21 Branch Campus Operations

Branches-Reserves as a % of Operating Expenses



Increase in reserves due to the infusion of federal COVID relief funding and a reduction in spending due to limited operations.

FY 21 Main and Branch Campuses Operations and Plant Fund Reserves (Committed, Dedicated & Discretionary)



* Note that committed includes contractual obligations as well as reserves that have a restricted use

FY21 Ending Reserves

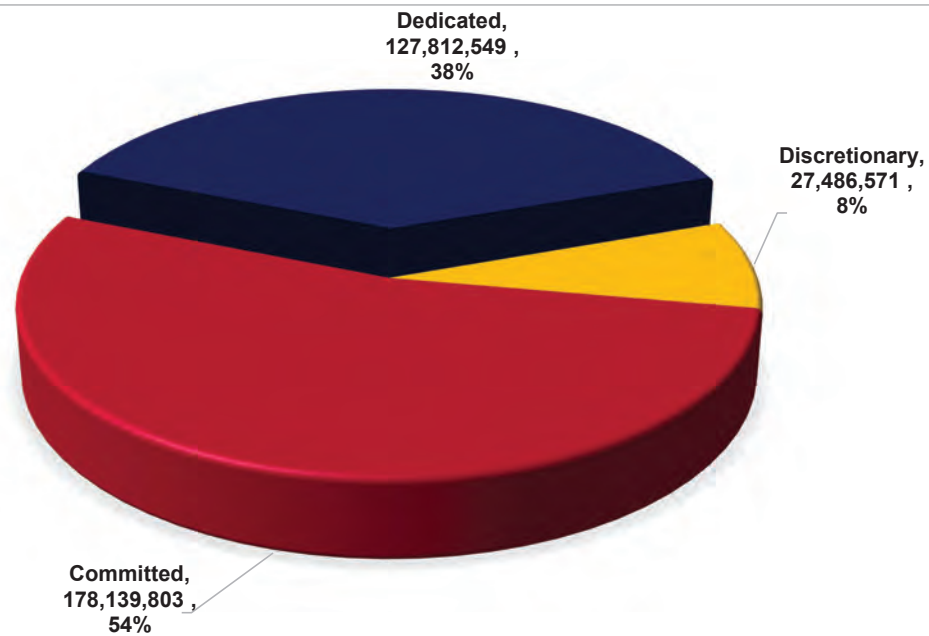
Summary of All Committed Reserves by Category Type

Committed Category	Main/Plant	Branches	Total
Capital Initiatives ¹	79,932,884	-	79,932,884
Campus Plant Funds/Capital Equipment	129,565	266,667	396,231
Donor Designated and Scholarships	45,385,935	751,338	46,137,272
Faculty Startup	602,280	-	602,280
HED 3% Reserve	9,827,961	-	9,827,961
Other ²	20,744,878	1,246,512	21,991,390
State Appropriations	1,271,804	977,282	2,249,086
Miscellaneous Fringe Benefit Reserves	8,426,114	-	8,426,114
Purchase Orders & Service Contracts	730,958	-	730,958
Cost Share & Research Awards	231,047	-	231,047
Faculty Contracts/ Chair & Dean Packages	128,858	-	128,858
Course Fees	2,883,981	-	2,883,981
Purchase Orders from Previous Year	3,709,826	891,913	4,601,739
Total	\$174,006,091	\$ 4,133,711	\$178,139,803

1. Plant Funds for all campuses (Main, HSC, Branches) are categorized together. Plant Funds is comprised of Major and Minor Capital projects and Appropriations which are dedicated to projects; capital start up costs; IT projects; Facility Investment Needs/Renewal and Replacement and Debt Service Reserves; HSC Cancer Center Projects; Neurosurgery expansion, College of Nursing Health Solutions building, SOM equipment purchases and capital initiatives cost share and remodels; Local Bonds issues by Branch Campuses, Valencia Workforce Training Center and infrastructure projects capital project appropriations for projects.

2. Other includes commitments such as: mandatory student fees, debt service, HEERF and one time University initiatives.

FY 21 Main and Branch Campuses and Plant Fund Dedicated Reserves



* Note that committed includes contractual obligations as well as reserves that have a restricted use

FY21 Total: \$333,438,923

FY21 Ending Reserves

Summary of All Dedicated Reserves by Category Type

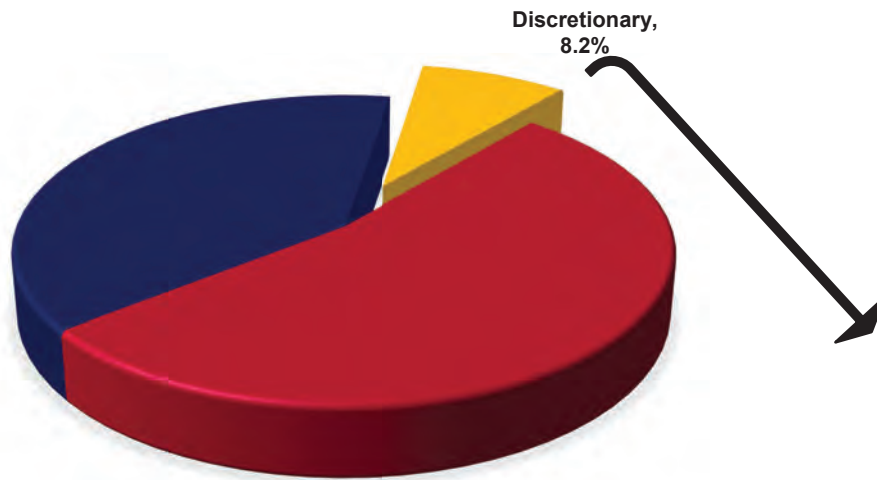
Dedicated Category	Main/Plant	Branches	Total
Campus Plant Funds/Capital Equipment ¹	56,317,400	3,784,770	60,102,170
Startup for Researchers, Chair Initiatives & General Research	32,159,432	-	32,159,432
Research Bridge Funding	1,897,639	-	1,897,639
Other ²	37,230,624	3,887,180	41,117,804
Faculty Initiatives	45,708	-	45,708
Professional Service Contracts	1,853,369	-	1,853,369
Deficit Reduction Plan ³	(9,361,599)	(1,974)	(9,363,573)
Total	\$120,142,572	\$ 7,669,977	\$ 127,812,549

1. Campus Plant Funds is comprised of infrastructure projects, renewal and replacement projects and equipment ADA projects, replacement for Auxiliary units like Housing, Parking, Food Service; HSC primarily dedicated to SOM Maintenance and Equipment, Cancer Center Capital initiatives, CON Equipment and supplies; Branches primarily for projects.

2. Other includes dedications such as: Part-time instruction, Undesignated Scholarships, Rescission and Department Initiatives.

3. Deficit Reduction Plan is comprised of departmental deficits that will be covered from future year operating budgets.

FY 21 Main, Branch Campus and Plant Fund Discretionary Reserves



Campus	Total
Main Campus Operations	12,060,852
Branch Operations	13,118,756
Plant Funds	2,306,963
Total Discretionary	27,486,571

Thank you!

Questions?

APPENDIX

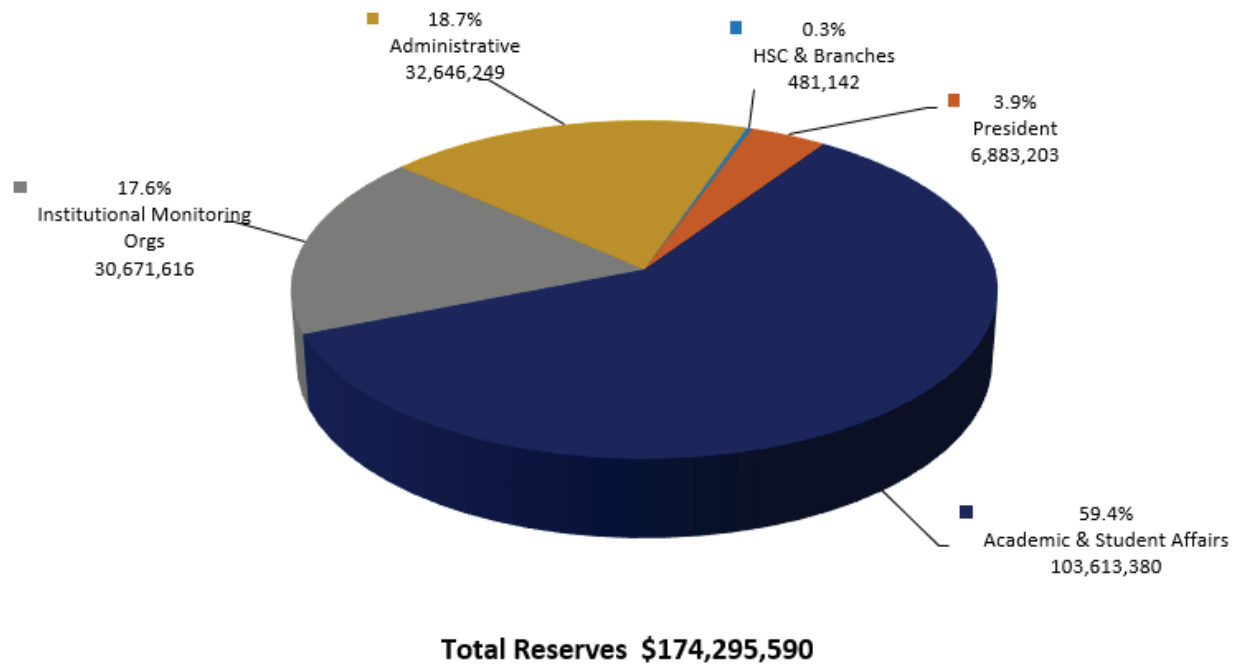
Summary of All Fiscal Year 2021 Ending Reserves for Main and Branches

	Reserves	Committed	Dedicated	Discretionary
Main Current Unrestricted	159,511,688	79,289,305	68,161,531	12,060,852
Plant Fund	134,220,889	79,932,884	51,981,041	2,306,963
Subtotal for Main Current Unrestricted and Plant Fund	293,732,577	159,222,189	120,142,572	14,367,815
Main HEERF	14,783,902	14,783,902	-	-
Total for Main Current Unrestricted, Plant Fund and HEERF	308,516,479	174,006,091	120,142,572	14,367,815
Branches Current Unrestricted	24,272,486	3,483,753	7,669,977	13,118,756
Branches HEERF	649,958	649,958	-	-
Total Branches Current Unrestricted and HEERF	24,922,444	4,133,711	7,669,977	13,118,756
Grand Total	\$333,438,923	\$178,139,803	\$127,812,549	\$ 27,486,571

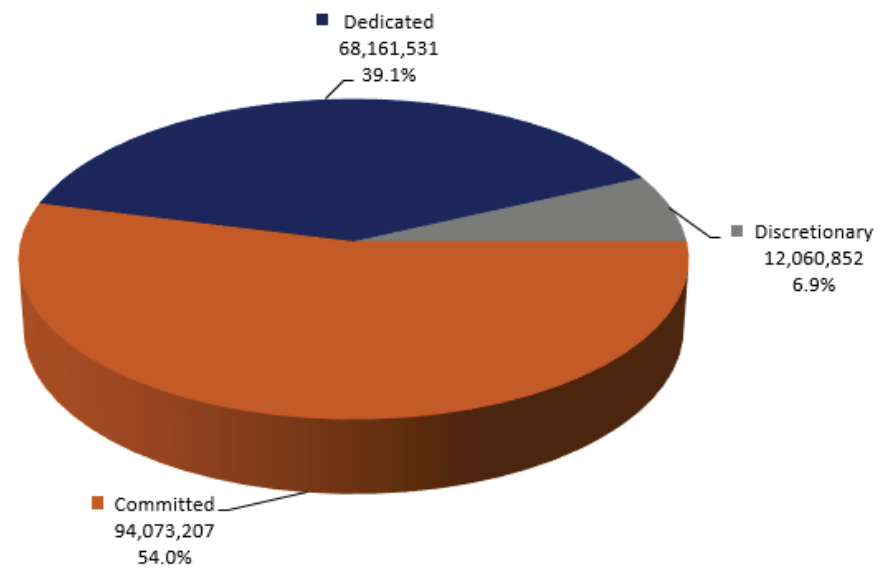
Summary of All Fiscal Year 2020 Ending Reserves for Main and Branches

	Reserves	Committed	Dedicated	Discretionary
Main Current Unrestricted	118,928,927	67,593,715	41,653,891	9,681,322
Plant Fund	346,440,664	290,843,460	54,188,276	1,408,927
Subtotal for Main Current Unrestricted and Plant Fund	465,369,591	358,437,175	95,842,167	11,090,249
Main CARES	6,865,443	6,865,443	-	-
Total for Main Current Unrestricted, Plant Fund and CARES	472,235,034	365,302,618	95,842,167	11,090,249
Branches Current Unrestricted	23,074,333	4,203,225	7,010,736	11,860,372
Branches CARES	930,197	930,197	-	-
Total Branches Current Unrestricted and CARES	24,004,530	5,133,422	7,010,736	11,860,372
Grand Total	\$496,239,564	\$370,436,039	\$102,852,903	\$ 22,950,620

UNM Main Campus Fiscal Year 2021 Current Unrestricted Ending Reserves by VP

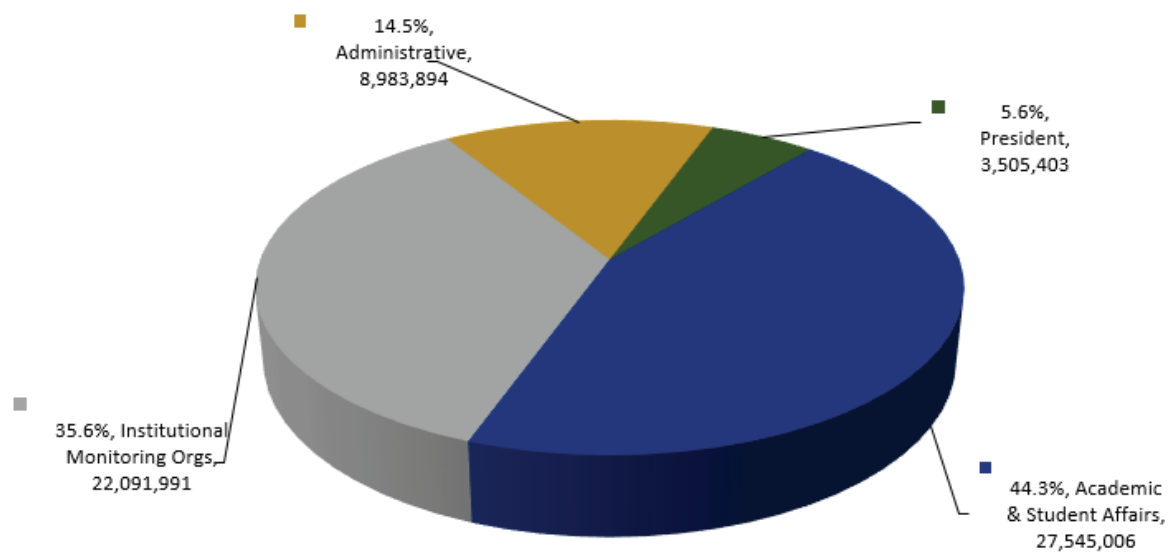


**UNM Main Campus
Fiscal Year 2021 Current Unrestricted Ending Reserves
(Committed, Dedicated, Discretionary)**



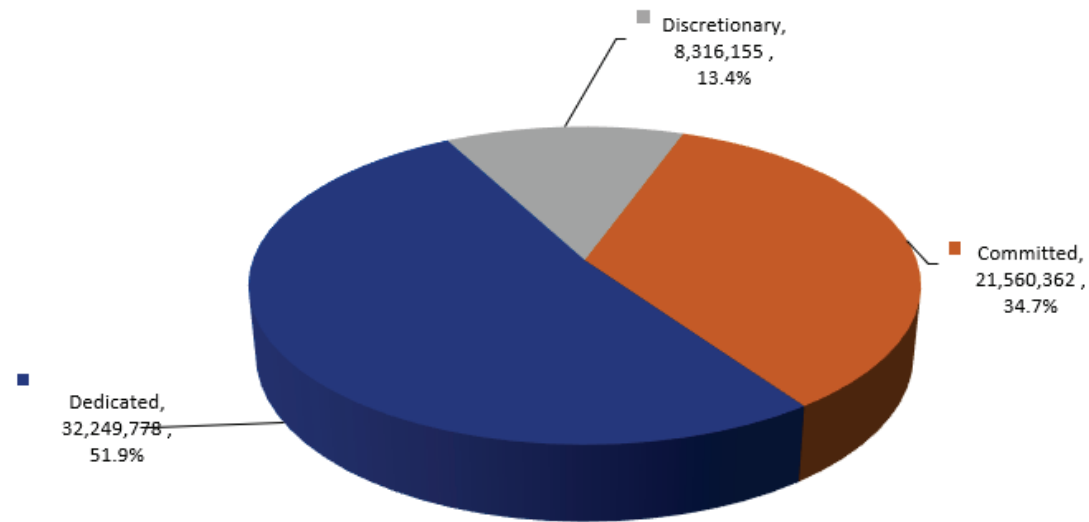
Total Reserves \$174,295,590

UNM Main Campus Fiscal Year 2021 Instruction & General Ending Reserves by VP



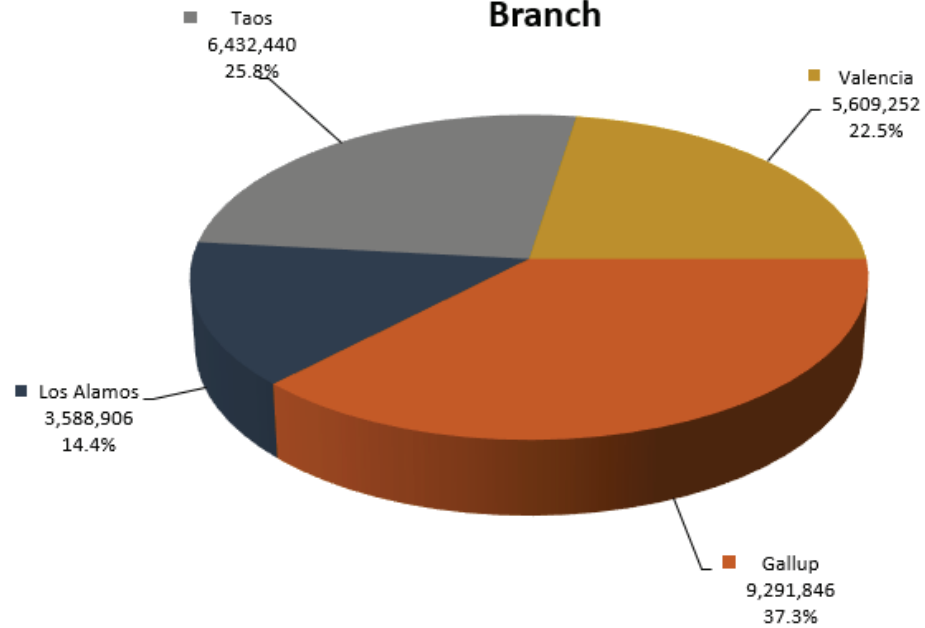
Total Reserves \$62,126,294

**UNM Main Campus
Fiscal Year 2021 Instruction & General Ending Reserves
(Committed, Dedicated, Discretionary)**



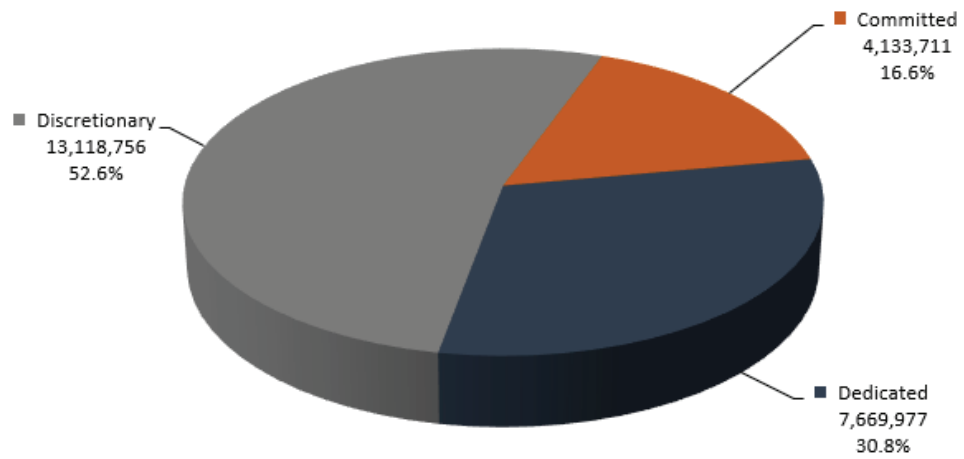
Total Reserves \$62,126,294

UNM Branches Fiscal Year 2021 Current Unrestricted Ending Reserves by Branch



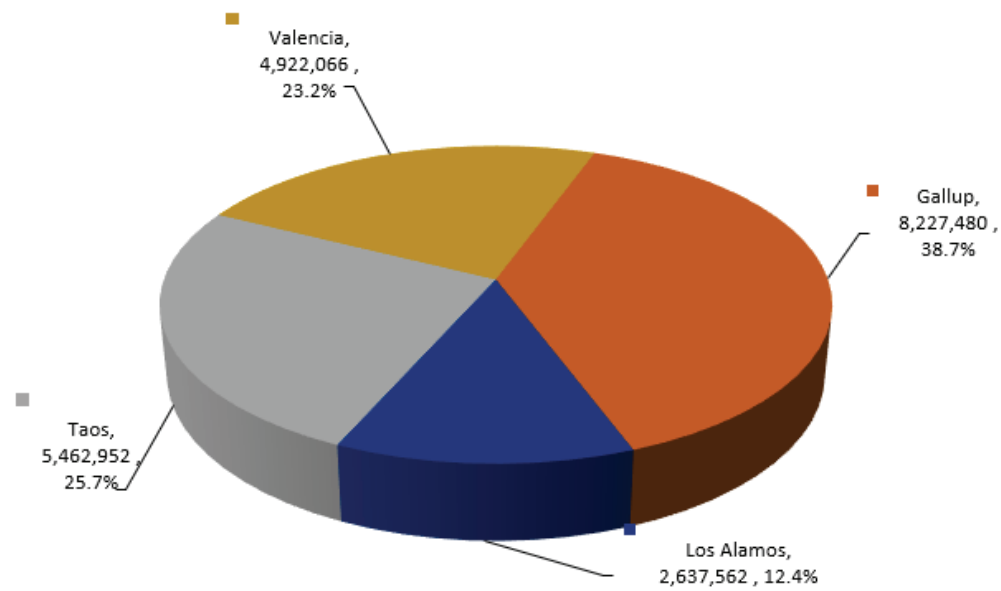
Total Reserves \$24,922,444

**UNM Branches
Fiscal Year 2021 Current Unrestricted Ending Reserves
(Committed, Dedicated, Discretionary)**



Total Reserves \$24,922,444

UNM Branches Fiscal Year 2021 Instruction & General Ending Reserves by Branch



Total Reserves \$21,250,059



Fiscal Year Ending - 2021

Attachment I-Summary of Current Unrestricted Reserves

	Reserve June 30 2020	Reserve June 30 2021	Change FY 2020-2021	% Change
Main Campus				
Instruction and General	43,383,441.65	62,126,294.39	18,742,852.74	43.20%
Student Social And Cultural	1,508,277.25	3,320,998.87	1,812,721.62	120.18%
Research	28,198,942.21	32,475,091.19	4,276,148.98	15.16%
Public Service	13,119,927.50	18,953,224.87	5,833,297.37	44.46%
Internal Services	10,437,950.09	16,014,914.80	5,576,964.71	53.43%
Student Financial Aid	24,233,894.50	26,564,788.98	2,330,894.48	9.62%
Auxiliary Enterprises	(325,848.80)	13,435,963.98	13,761,812.78	-4,223.37%
Athletics	(1,627,657.12)	1,404,313.22	3,031,970.34	-186.28%
Total Main Campus	118,928,927.28	174,295,590.30	55,366,663.02	46.55%
Branches				
Instruction and General	19,606,798.99	21,250,058.83	1,643,259.84	8.38%
Public Service	1,223,937.70	1,268,980.25	45,042.55	3.68%
Internal Services	34,475.60	38,061.31	3,585.71	10.40%
Student Financial Aid	670,040.22	936,577.64	266,537.42	39.78%
Auxiliary Enterprises	1,212,092.58	1,027,682.57	(184,410.01)	-15.21%
Student Social and Cultural	326,987.43	401,083.59	74,096.16	22.66%
Total Branches	23,074,332.52	24,922,444.19	1,848,111.67	8.01%



Fiscal Year Ending - 2021

Attachment II-Summary of Current Unrestricted Reserves by College/School/Division

College/School/Division	Instruction and General	Student Social and Cultural	Research	Public Service	Internal Services	Student Financial Aid	Auxiliary Enterprises	Athletics	FY 2021 Total Current Unrestricted	FY 2020 Total Current Unrestricted	Net Change
Presidents Office	1,847,972.63	0	0	16,512.14	0	39,914.60	0	0	1,904,399.37	1,007,387.35	897,012.02
Office of Equal Opportunity	267,945.78	0	0	0	0	0	0	0	267,945.78	52,808.99	215,136.79
Govt & Community Relations Office	5,404.32	0	0	281,149.67	0	0	0	0	286,553.99	292,522.63	(5,968.64)
University Counsel Office	314,790.72	0	12,761.83	0	0	0	0	0	327,552.55	195,808.96	131,743.59
LGBTQ	54,576.15	14,799.34	0	12,892.66	0	0	0	0	82,268.15	98,690.21	(16,422.06)
Univ Communication & Marketing	188,323.97	0	0	9,027.24	33,857.67	0	0	0	231,208.88	176,168.51	55,040.37
ENLACE	0	0	0	0	0	0	0	0	0	3,333.78	(3,333.78)
Compliance Office - Main Campus	18.60	0	0	0	0	0	0	0	18.60	101,407.60	(101,389.00)
VP Inst Advancement Alumni Relation	5,150.72	0	0	152,074.75	0	126,976.91	0	0	284,202.38	287,396.50	(3,194.12)
VP for Equity and Inclusion	373,998.91	18.97	623.59	2,599.94	0	0	0	0	377,241.41	170,210.15	207,031.26
Institute of Design & Innovation	61,730.03	0	1,485.72	0	0	0	0	0	63,215.75	63,774.65	(558.90)
Ombuds Services	0	0	0	0	107,023.32	0	0	0	107,023.32	46,688.54	60,334.78
Development Office	5,227.90	0	0	7,385.00	0	0	0	0	12,612.90	272,384.26	(259,771.36)
Internal Audit Department	203,387.82	0	0	0	0	0	0	0	203,387.82	204,223.41	(835.59)
Athletics	176,875.62	0	0	790,630.25	0	386,252.80	(22,500.25)	1,404,313.22	2,735,571.64	(515,885.72)	3,251,457.36
Subtotal President	3,505,403.17	14,818.31	14,871.14	1,272,271.65	140,880.99	553,144.31	(22,500.25)	1,404,313.22	6,883,202.54	2,456,919.82	4,426,282.72
Global Education Office	530,101.86	15,835.96	0	170,390.75	31,585.74	122,493.09	0	0	870,407.40	882,734.78	(12,327.38)
Harwood Foundation	20,301.15	0	0	897,141.46	0	173,952.68	39,837.95	0	1,131,233.24	924,481.42	206,751.82
OSE Optical Science and Engineering	116,768.21	0	0	1,450.00	0	0	0	0	118,218.21	90,022.06	28,196.15
Center for Teaching Excellence CTE	9.74	0	.62	0	0	45,532.83	0	0	45,543.19	52,262.25	(6,719.06)
NSMS Nano Science & Micro Systems	126,073.76	0	0	0	0	0	0	0	126,073.76	96,325.50	29,748.26
Parent Association - EM	2,378.03	0	0	47.07	0	91.20	0	0	2,516.30	3,399.38	(883.08)
Undergraduate Education Support	88,125.00	0	0	0	0	0	0	0	88,125.00	88,126.00	(1.00)
Institutional Research	47,511.64	0	0	0	0	0	0	0	47,511.64	58,888.03	(11,376.39)
GNSPI	122,901.51	0	0	0	0	0	0	0	122,901.51	70,000.00	52,901.51
KUNM	0	0	0	757,445.59	0	0	0	0	757,445.59	516,791.35	240,654.24
Univ Lbry CRS	0	0	322,074.11	0	0	175,757.96	0	0	497,832.07	418,278.54	79,553.53
Graduate Studies GS	548,147.20	2,713.37	121,528.28	7,519.95	0	264,943.83	0	0	944,852.63	725,452.15	219,400.48
CAPS	85,885.21	0	0	41,045.36	0	0	0	0	126,930.57	(53,479.24)	180,409.81
Latin American Iberian Institute	269,404.98	0	10,735.80	96,367.46	0	201,841.20	0	0	578,349.44	447,376.55	130,972.89
Provost Office Staff	3,252,957.67	0	234,058.22	(81,528.27)	62,094.92	214,037.65	0	0	3,681,620.19	2,006,029.58	1,675,590.61
CARS	0	0	0	100.00	83,213.52	0	0	0	83,313.52	75,568.96	7,744.56
Ofc of the University Secretary	111,731.97	0	56,485.83	0	0	0	0	0	168,217.80	117,245.72	50,972.08
UNM West and Branch Initiatives	22,949.63	0	0	0	0	0	0	0	22,949.63	22,949.63	.00
University College UC	(29,109.19)	48.27	4,809.48	16,800.46	0	0	0	0	(7,450.98)	75,567.71	(83,018.69)
VP Division of Enrollment Mgmt	206,860.12	0	0	216,431.70	0	9,037,458.65	0	0	9,460,750.47	8,516,529.03	944,221.44
College of Fine Arts CFA	1,500,554.61	180,807.31	76,424.02	1,453,316.68	41,324.66	1,320,446.16	3,556.12	0	4,576,429.56	4,098,957.12	477,472.44



Fiscal Year Ending - 2021

Attachment II-Summary of Current Unrestricted Reserves by College/School/Division

College/School/Division	Instruction and General	Student Social and Cultural	Research	Public Service	Internal Services	Student Financial Aid	Auxiliary Enterprises	Athletics	FY 2021 Total Current Unrestricted	FY 2020 Total Current Unrestricted	Net Change
College of Arts & Sciences A&S	1,214,292.61	0	8,919,674.40	3,779,844.52	585,820.99	4,480,066.98	(11,013.56)	0	18,968,685.94	20,720,649.48	(1,751,963.54)
Anderson Schools of Management ASM	3,048,393.15	0	188,735.41	654,025.60	0	1,828,288.96	0	0	5,719,443.12	3,024,405.52	2,695,037.60
College of Ed & Human Science COEHS	3,027,347.05	0	1,014,708.74	677,949.89	0	693,585.65	0	0	5,413,591.33	4,870,838.55	542,752.78
School of Engineering SOE	2,222,881.08	50.38	5,198,668.47	3,072,573.27	9,495.41	2,231,836.50	0	0	12,735,505.11	12,857,784.85	(122,279.74)
School of Law LAW	2,704,653.55	105,880.31	290,073.15	3,201,999.86	0	2,007,276.94	0	0	8,309,883.81	6,740,210.14	1,569,673.67
School of Architecture & Planning	473,922.23	0	217,007.30	598,395.84	0	1,138,956.46	0	0	2,428,281.83	1,923,395.60	504,886.23
College of Univ Lbrary & Learning Sci	628,012.38	0	20,132.37	2,072,732.51	0	404,572.29	0	0	3,125,449.55	2,182,511.34	942,938.21
Continuing Education Cont Ed	992,341.52	0	(1,915.91)	(13,430.42)	0	49,029.22	(42,582.92)	0	983,441.49	542,490.30	440,951.19
Extended University Ext Univ	2,514,818.17	0	7,891.47	0	14,312.63	0	0	0	2,537,022.27	2,495,344.91	41,677.36
Vice President for Research	108,677.08	11,014.40	15,073,637.28	508,998.35	204,548.26	294,351.49	0	0	16,201,226.86	11,977,478.11	4,223,748.75
Academic Affairs Monitoring	2,307,642.25	0	0	(6,488,074.34)	0	0	0	0	(4,180,432.09)	(5,582,776.40)	1,402,344.31
Honors College	63,225.08	0	5,591.77	20,145.58	0	115,884.25	0	0	204,846.68	293,487.68	(88,641.00)
Student Affairs	1,147,688.90	2,713,114.18	586,607.34	1,061,670.25	0	897,853.97	1,315,728.92	0	7,722,663.56	3,759,301.17	3,963,362.39
Subtotal Academic & Student Affairs	27,477,448.15	3,029,464.18	32,346,928.15	12,723,359.12	1,032,396.13	25,698,257.96	1,305,526.51	0	103,613,380.20	85,038,627.77	18,574,752.43
Police Department	96,103.55	0	0	0	0	0	0	0	96,103.55	77,590.95	18,512.60
Sr VP Finance & Admin	2,992,153.57	0	0	700.23	0	7,682.21	0	0	3,000,536.01	1,045,672.01	1,954,864.00
Environ Health & Safety	625,887.35	0	0	0	375.68	0	0	0	626,263.03	245,605.63	380,657.40
UNM Policy Office	146,731.57	0	0	0	0	0	0	0	146,731.57	102,839.43	43,892.14
Board of Regents	76,128.45	0	0	0	0	0	0	0	76,128.45	29,028.89	47,099.56
Controller	97,281.32	0	88,837.44	0	1,014,269.38	0	0	0	1,200,388.14	942,728.49	257,659.65
Associate VP for Facilities Mgmt	0	0	0	1,755.23	0	0	0	0	1,755.23	5,719.64	(3,964.41)
Human Resources HR	243,396.17	0	0	114.05	745,187.70	0	0	0	988,697.92	525,483.32	463,214.60
VP Institutional Support Services	4,260,991.78	68,477.35	20,644.53	4,603,340.02	3,421,010.72	234,575.10	12,152,937.72	0	24,761,977.22	9,559,289.48	15,202,687.74
Information Technologies	445,219.93	208,239.03	0	37,190.63	1,043,274.08	13,744.22	0	0	1,747,667.89	1,925,851.84	(178,183.95)
Subtotal Administration	8,983,893.69	276,716.38	109,481.97	4,643,100.16	6,224,117.56	256,001.53	12,152,937.72	0	32,646,249.01	14,459,809.68	18,186,439.33
EVP Admin Independent Offices	21,199,312.01	0	0	0	8,426,114.49	0	0	0	29,625,426.50	16,193,910.67	13,431,515.83
Controller Monitoring Orgs	892,679.05	0	0	153,510.90	0	0	0	0	1,046,189.95	486,071.53	560,118.42
Subtotal Institutional Monitoring Orgs	22,091,991.06	0	0	153,510.90	8,426,114.49	0	0	0	30,671,616.45	16,679,982.20	13,991,634.25
VP HSC Administration	67,558.32	0	0	146,617.62	0	0	0	0	214,175.94	106,721.64	107,454.30
School of Medicine	0	0	12.71	1,010.00	191,405.63	6,897.63	0	0	199,325.97	112,993.09	86,332.88
College of Nursing	0	0	0	0	0	21,726.85	0	0	21,726.85	44,365.80	(22,638.95)
College of Pharmacy	0	0	0	13,355.42	0	50.64	0	0	13,406.06	0	13,406.06
Branches	0	0	3,797.22	0	0	28,710.06	0	0	32,507.28	29,507.28	3,000.00
Subtotal Other HSC & Branches	67,558.32	0	3,809.93	160,983.04	191,405.63	57,385.18	0	0	481,142.10	293,587.81	187,554.29
Total Main Campus	62,126,294.39	3,320,998.87	32,475,091.19	18,953,224.87	16,014,914.80	26,564,788.98	13,435,963.98	1,404,313.22	174,295,590.30	118,928,927.28	55,366,663.02
Gallup Branch	8,227,479.78	179,091.86	0	427,851.25	(13,148.85)	361,807.92	108,763.67	0	9,291,845.63	8,702,203.38	589,642.25
Los Alamos Branch	2,637,561.89	25,631.77	0	139,573.59	0	146,492.35	639,646.64	0	3,588,906.24	2,763,644.06	825,262.18



Fiscal Year Ending - 2021

Attachment II-Summary of Current Unrestricted Reserves by College/School/Division

College/School/Division	Instruction and General	Student Social and Cultural	Research	Public Service	Internal Services	Student Financial Aid	Auxiliary Enterprises	Athletics	FY 2021 Total Current Unrestricted	FY 2020 Total Current Unrestricted	Net Change
Taos Branch	5,462,951.62	159,620.11	0	452,812.15	0	279,689.99	77,366.38	0	6,432,440.25	6,599,824.25	(167,384.00)
Valencia Branch	4,922,065.54	36,739.85	0	248,743.26	51,210.16	148,587.38	201,905.88	0	5,609,252.07	5,008,660.83	600,591.24
Total Branches	21,250,058.83	401,083.59	0	1,268,980.25	38,061.31	936,577.64	1,027,682.57	0	24,922,444.19	23,074,332.52	1,848,111.67
Grand Total Main and Branches	83,376,353.22	3,722,082.46	32,475,091.19	20,222,205.12	16,052,976.11	27,501,366.62	14,463,646.55	1,404,313.22	199,218,034.49	142,003,259.80	57,214,774.69



Fiscal Year Ending - 2021

Attachment III-Summary of Main Campus I and G Reserves

	Reserve June 30 2020	Reserve June 30 2021	Annual Savings	25% of Annual Savings	Explanations
President	2,065,861.44	3,505,403.17	1,439,541.73	359,885.43	Increases in reserves across President's Units were driven by the reduction in spending (travel, staff vacancy savings, event activities, and supplies) due to remote working and the pandemic. The President's initiative funds were delayed in spending due to the pandemic. These funds will be used for grand challenges, multi-year initiatives, and other strategic projects.
Academic & Student Affairs	22,561,309.60	27,545,006.47	4,983,696.87	1,245,924.22	The increase in I&G reserves were primarily due to an increase of reserves in the Provost Units \$2.2M and Monitoring account \$977K, Anderson School of Management (ASM) \$2.6M (driven by enrollment increases), Student Affairs \$738K and the School of Law \$991K, as well as minor (under \$500K) increases in balances in other schools/colleges/divisions; offset by a decrease in reserves in College of Arts and Sciences (A&S) (\$3.3M) due to the use of reserves to offset the FY21 budget reduction. Most increases across Academic Affairs were driven by the reduction in spending (travel, staff/faculty vacancy savings, event activities, and supplies) due to remote working and the pandemic.
Senior VP Finance & Administration	6,771,970.62	8,983,893.69	2,211,923.07	552,980.77	The majority of increase in the reserve is due to 2M of HEERF III funds that will be used in the FY22 towards paying off Past Due Balances of Students that were enrolled during the pandemic.
Undesignated and Other Monitoring Accounts*	11,984,299.99	22,091,991.06	10,107,691.07	2,526,922.77	Due to the anticipation of a significant drop in Student Credit Hours (SCH) due to the pandemic, the majority of the increase in the reserve is due to a pullback at the beginning of the year of Instruction and General (I&G) and mandatory student fee budgets from the units to offset a potential 10% SCH drop. However, the average SCH drop came in around 4.5%. In addition, approximately \$900K of the increase is HEERF 2 and HEERF 3 funding that has not been allocated to the unit indices.
Grand Total	43,383,441.65	62,126,294.39	18,742,852.74	4,685,713.19	

*FY 2021 Reserve Includes \$4,123,247.01, Mandatory Student Fees Reserve



Attachment IV - Summary of Main Campus I&G Undesignated Reserve
as of June 30, 2021

Actuals

FISCAL YEAR 2021		
Index 054006		
Banner Beginning Balance	13,361,993	
Pooled I&G Sweep	(2,413,430)	
Adjusted Beginning Balance		10,948,563
Pooled I&G Revenue and Expense (Attachment 1)	12,744,872	
Contingency Allocations (Attachment 2)	(6,624,754)	
Subtotal		6,120,118
Banner Ending Balance 054006		17,068,681
Index 054135		
Banner Beginning Balance	233,964	
Adjusted Beginning Balance		233,964
COVID testing support	(259,046)	
Perkins service cancellation reimbursement		25,082
1% ERB - 2R14 Split Payroll		(13,639)
Subtotal		(247,603)
Banner Ending Balance 054135		(13,639)
Total		17,055,042.03
Committed - FY22 HED Required Reserve for I&G at 3% (Attachment 3)		(9,827,961.27)
Committed - FY22 One-time requests		(195,000.00)
Committed - current year budget reduction 054135		13,639.00
Dedicated - set aside for tuition revenue shortfall		(5,000,000.00)
Dedicated - FY22 One-time requests		(2,045,719.76)
Subtotal		(17,055,042.03)
Discretionary Reserve after commitments		-



Fiscal Year Ending - 2021

Attachment V-Main and Branch Campus Summary of Current Unrestricted Deficits \$100,000.00 or More

College/School/Division	Reserve June 30 2020	Reserve June 30 2021	Change FY 2020-2021	Explanations
Academic Affairs Monitoring	(5,582,776.40)	(4,180,432.09)	1,402,344.31	The UNM Press accumulated defect moved to the Academic Affairs monitoring account in FY19, which amounted to (\$6.9M) deficit at the beginning of FY19. The ending reserve balances for the UNM Press was (\$6.2M) reducing the accumulated deficit. Provost initiative funding also resides in this monitoring account. Reserves increased less due to less spending within the Provost initiative and contingency accounts driven by less activities because of the pandemic.



Fiscal Year Ending - 2021

Attachment VI-Summary of I and G Reserves as a Percentage of Budget by College/School/Division

College/School/Division	Instruction and General Budget	Instruction and General Reserve	Reserve as a % of Budget
Presidents Office	2,918,522.00	1,847,972.63	63.32%
Office of Equal Opportunity	1,246,166.00	267,945.78	21.50%
Govt & Community Relations Office	401,202.00	5,404.32	1.35%
University Counsel Office	1,591,734.00	314,790.72	19.78%
LGBTQ	94,632.00	54,576.15	57.67%
Univ Communication & Marketing	2,742,131.00	188,323.97	6.87%
ENLACE	.00	.00	.00%
Compliance Office - Main Campus	26,551.00	18.60	.07%
VP Inst Advancement Alumni Relation	714,203.00	5,150.72	.72%
VP for Equity and Inclusion	972,479.00	373,998.91	38.46%
Institute of Design & Innovation	768,781.00	61,730.03	8.03%
Ombuds Services	1,262.00	.00	.00%
Development Office	294,795.00	5,227.90	1.77%
Internal Audit Department	1,062,979.00	203,387.82	19.13%
Athletics	1,248,441.00	176,875.62	14.17%
Subtotal President	14,083,878.00	3,505,403.17	24.89%
Global Education Office	1,859,392.00	530,101.86	28.51%
Harwood Foundation	768,328.00	20,301.15	2.64%
OSE Optical Science and Engineering	153,768.00	116,768.21	75.94%
Center for Teaching Excellence CTE	.00	9.74	.00%
NSMS Nano Science & Micro Systems	112,721.00	126,073.76	111.85%
Parent Association - EM	.00	2,378.03	.00%
Undergraduate Education Support	2,899.00	88,125.00	3,039.84%
Institutional Research	452,134.00	47,511.64	10.51%
GNSPI	204,902.00	122,901.51	59.98%
Graduate Studies GS	3,275,700.00	548,147.20	16.73%
CAPS	2,920,510.00	85,885.21	2.94%
Latin American Iberian Institute	1,033,972.00	269,404.98	26.06%
Provost Office Staff	5,540,494.00	3,252,957.67	58.71%
Ofc of the University Secretary	629,587.00	111,731.97	17.75%
UNM West and Branch Initiatives	.00	22,949.63	.00%
University College UC	1,653,391.00	(29,109.19)	-1.76%
VP Division of Enrollment Mgmt	8,099,834.00	206,860.12	2.55%
College of Fine Arts CFA	16,982,044.00	1,500,554.61	8.84%
College of Arts & Sciences A&S	81,539,829.00	1,214,292.61	1.49%
Anderson Schools of Management ASM	16,562,450.00	3,048,393.15	18.41%
College of Ed & Human Science COEHS	17,831,269.00	3,027,347.05	16.98%
School of Engineering SOE	22,546,711.00	2,222,881.08	9.86%



Fiscal Year Ending - 2021

Attachment VI-Summary of I and G Reserves as a Percentage of Budget by College/School/Division

College/School/Division	Instruction and General Budget	Instruction and General Reserve	Reserve as a % of Budget
School of Law LAW	11,200,261.00	2,704,653.55	24.15%
School of Architecture & Planning	5,618,059.00	473,922.23	8.44%
College of Univ Lbry & Learning Sci	15,561,511.00	628,012.38	4.04%
Continuing Education Cont Ed	2,071,908.00	992,341.52	47.90%
Extended University Ext Univ	3,700,702.00	2,514,818.17	67.96%
Vice President for Research	317,475.00	108,677.08	34.23%
Academic Affairs Monitoring	1,129,888.00	2,307,642.25	204.24%
Honors College	1,888,427.00	63,225.08	3.35%
Student Affairs	9,265,914.00	1,147,688.90	12.39%
Subtotal Academic & Student Affairs	232,924,080.00	27,477,448.15	11.80%
Police Department	4,923,219.00	96,103.55	1.95%
Sr VP Finance & Admin	1,568,237.00	2,992,153.57	190.80%
Environ Health & Safety	4,739,646.00	625,887.35	13.21%
UNM Policy Office	151,787.00	146,731.57	96.67%
Board of Regents	160,045.00	76,128.45	47.57%
Controller	14,169,625.00	97,281.32	.69%
Human Resources HR	3,003,792.00	243,396.17	8.10%
VP Institutional Support Services	31,194,166.00	4,260,991.78	13.66%
Information Technologies	10,338,669.00	445,219.93	4.31%
Subtotal Administration	70,249,186.00	8,983,893.69	12.79%
Institutional Monitoring Orgs	(5,288,493.00)	22,091,991.06	-417.74%
Subtotal Institutional Monitoring Orgs	(5,288,493.00)	22,091,991.06	-417.74%
VP HSC Administration	55,300.00	67,558.32	122.17%
Subtotal Other HSC and Branches	55,300.00	67,558.32	122.17%
Total Main Campus	312,023,951.00	62,126,294.39	19.91%
Gallup Branch	14,700,472.00	8,227,479.78	55.97%
Los Alamos Branch	4,278,751.00	2,637,561.89	61.64%
Taos Branch	8,269,696.00	5,462,951.62	66.06%
Valencia Branch	10,425,105.00	4,922,065.54	47.21%
Total Branches	37,674,024.00	21,250,058.83	56.41%
Grand Total Main and Branches	349,697,975.00	83,376,353.22	23.84%



Fiscal Year Ending - 2021

Attachment VII-Summary of Current Unrestricted Reserves as a Percentage of Budget by College/School/Division

College/School/Division	Current Unrestricted Budget	Current Unrestricted Reserve	Reserve as a % of Budget
Presidents Office	2,931,784.00	1,904,399.37	64.96%
Office of Equal Opportunity	1,246,166.00	267,945.78	21.50%
Govt & Community Relations Office	875,872.00	286,553.99	32.72%
University Counsel Office	1,741,734.00	327,552.55	18.81%
LGBTQ	183,632.00	82,268.15	44.80%
Univ Communication & Marketing	2,778,522.00	231,208.88	8.32%
ENLACE	.00	.00	.00%
Compliance Office - Main Campus	26,551.00	18.60	.07%
VP Inst Advancement Alumni Relation	778,008.00	284,202.38	36.53%
VP for Equity and Inclusion	973,740.00	377,241.41	38.74%
Institute of Design & Innovation	768,781.00	63,215.75	8.22%
Ombuds Services	268,452.00	107,023.32	39.87%
Development Office	352,179.00	12,612.90	3.58%
Internal Audit Department	1,062,979.00	203,387.82	19.13%
Athletics	34,425,694.00	2,735,571.64	7.95%
Subtotal President	48,414,094.00	6,883,202.54	14.22%
Global Education Office	2,735,119.00	870,407.40	31.82%
Harwood Foundation	1,280,154.00	1,131,233.24	88.37%
OSE Optical Science and Engineering	153,768.00	118,218.21	76.88%
Center for Teaching Excellence CTE	.00	45,543.19	.00%
NSMS Nano Science & Micro Systems	112,721.00	126,073.76	111.85%
Parent Association - EM	.00	2,516.30	.00%
Undergraduate Education Support	2,899.00	88,125.00	3,039.84%
Institutional Research	452,134.00	47,511.64	10.51%
GNSPI	204,902.00	122,901.51	59.98%
KUNM	1,620,598.00	757,445.59	46.74%
Univ Libry CRS	639,350.00	497,832.07	77.87%
Graduate Studies GS	4,098,937.00	944,852.63	23.05%
CAPS	2,920,510.00	126,930.57	4.35%
Latin American Iberian Institute	1,128,672.00	578,349.44	51.24%
Provost Office Staff	6,085,365.00	3,681,620.19	60.50%
CARS	509,077.00	83,313.52	16.37%
Ofc of the University Secretary	576,426.00	168,217.80	29.18%
UNM West and Branch Initiatives	.00	22,949.63	.00%
University College UC	1,728,540.00	(7,450.98)	-.43%
VP Division of Enrollment Mgmt	57,825,950.00	9,460,750.47	16.36%
College of Fine Arts CFA	19,100,996.00	4,576,429.56	23.96%
College of Arts & Sciences A&S	94,749,070.00	18,968,685.94	20.02%



Fiscal Year Ending - 2021

Attachment VII-Summary of Current Unrestricted Reserves as a Percentage of Budget by College/School/Division

College/School/Division	Current Unrestricted Budget	Current Unrestricted Reserve	Reserve as a % of Budget
Anderson Schools of Management ASM	18,833,456.00	5,719,443.12	30.37%
College of Ed & Human Science COEHS	19,560,737.00	5,413,591.33	27.68%
School of Engineering SOE	29,313,897.00	12,735,505.11	43.45%
School of Law LAW	16,191,540.00	8,309,883.81	51.32%
School of Architecture & Planning	6,208,996.00	2,428,281.83	39.11%
College of Univ Lbrary & Learning Sci	17,966,887.00	3,125,449.55	17.40%
Continuing Education Cont Ed	2,271,290.00	983,441.49	43.30%
Extended University Ext Univ	3,700,702.00	2,537,022.27	68.56%
Vice President for Research	19,482,709.00	16,201,226.86	83.16%
Academic Affairs Monitoring	1,129,888.00	(4,180,432.09)	-369.99%
Honors College	1,982,914.00	204,846.68	10.33%
Student Affairs	27,488,029.00	7,722,663.56	28.09%
Subtotal Academic & Student Affairs	360,056,233.00	103,613,380.20	28.78%
Police Department	4,923,219.00	96,103.55	1.95%
Sr VP Finance & Admin	1,568,237.00	3,000,536.01	191.33%
Environ Health & Safety	8,359,646.00	626,263.03	7.49%
UNM Policy Office	151,787.00	146,731.57	96.67%
Board of Regents	160,045.00	76,128.45	47.57%
Controller	19,191,997.00	1,200,388.14	6.25%
Associate VP for Facilities Mgmt	.00	1,755.23	.00%
Human Resources HR	6,589,526.00	988,697.92	15.00%
VP Institutional Support Services	101,417,130.00	24,761,977.22	24.42%
Information Technologies	43,485,554.00	1,747,667.89	4.02%
Subtotal Administration	185,847,141.00	32,646,249.01	17.57%
Institutional Monitoring Orgs	192,124.00	30,671,616.45	15,964.49%
Subtotal Institutional Monitoring Orgs	192,124.00	30,671,616.45	15,964.49%
VP HSC Administration	167,909.00	214,175.94	127.55%
School of Medicine	1,100,158.00	199,325.97	18.12%
College of Nursing	15,268.00	21,726.85	142.30%
College of Pharmacy	39,800.00	13,406.06	33.68%
Branches	3,896.00	32,507.28	834.38%
Subtotal Other HSC and Branches	1,327,031.00	481,142.10	36.26%
Total Main Campus	595,836,623.00	174,295,590.30	29.25%
Gallup Branch	15,900,465.00	9,291,845.63	58.44%
Los Alamos Branch	4,749,356.00	3,588,906.24	75.57%
Taos Branch	8,874,313.00	6,432,440.25	72.48%
Valencia Branch	11,618,432.00	5,609,252.07	48.28%
Total Branches	41,142,566.00	24,922,444.19	60.58%
Grand Total Main and Branches	636,979,189.00	199,218,034.49	31.28%



Fiscal Year Ending - 2021

Attachment VIII-Summary of Categorization of I and G and Non-I and G Reserves by College/School/Division

College/School/Division	Instruction and General				Non-Instruction and General			
	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY
Presidents Office	1,847,972.63	135,888.39	1,686,369.35	25,714.89	56,426.74	42,603.67	.00	13,823.07
Office of Equal Opportunity	267,945.78	11,964.37	250,000.00	5,981.41	.00	.00	.00	.00
Govt & Community Relations Office	5,404.32	.00	.00	5,404.32	281,149.67	54,898.61	226,251.06	.00
University Counsel Office	314,790.72	28,107.02	271,986.91	14,696.79	12,761.83	534.01	12,227.82	.00
LGBTQ	54,576.15	.00	54,576.15	.00	27,692.00	9,379.61	14,799.34	3,513.05
Univ Communication & Marketing	188,323.97	105,105.16	83,218.81	.00	42,884.91	16,170.00	26,714.91	.00
ENLACE	.00	.01	(.01)	.00	.00	.00	.00	.00
Compliance Office - Main Campus	18.60	.00	.00	18.60	0	0	0	0
VP Inst Advancement Alumni Relation	5,150.72	48.98	5,101.74	.00	279,051.66	211,069.61	67,982.05	.00
VP for Equity and Inclusion	373,998.91	.00	372,369.81	1,629.10	3,242.50	2,599.94	623.59	18.97
Institute of Design & Innovation	61,730.03	55,000.00	6,730.03	.00	1,485.72	.00	1,485.72	.00
Ombuds Services	.00	.00	.00	.00	107,023.32	.00	107,023.32	.00
VP Advancement Administration	.00	.00	.00	.00	0	0	0	0
Development Office	5,227.90	5,227.90	.00	.00	7,385.00	7,385.00	.00	.00
Internal Audit Department	203,387.82	71,101.70	62,000.00	70,286.12	0	0	0	0
Athletics	176,875.62	.00	176,875.62	.00	2,558,696.02	1,576,835.17	980,756.80	1,104.05
Subtotal President	3,505,403.17	412,443.53	2,969,228.41	123,731.23	3,377,799.37	1,921,475.62	1,437,864.61	18,459.14
Global Education Office	530,101.86	116,809.22	413,292.26	.38	340,305.54	201,419.16	138,886.38	.00
Harwood Foundation	20,301.15	19,238.95	.00	1,062.20	1,110,932.09	1,030,700.39	80,035.38	196.32
OSE Optical Science and Engineering	116,768.21	1,035.00	114,410.16	1,323.05	1,450.00	1,450.00	.00	.00
Center for Teaching Excellence CTE	9.74	.00	.00	9.74	45,533.45	45,532.83	.62	.00
NSMS Nano Science & Micro Systems	126,073.76	(3,262.57)	121,973.70	7,362.63	0	0	0	0
Parent Association - EM	2,378.03	.00	.00	2,378.03	138.27	138.27	.00	.00
Undergraduate Education Support	88,125.00	.00	.00	88,125.00	0	0	0	0
Institutional Research	47,511.64	77.96	47,000.00	433.68	0	0	0	0
GNSPI	122,901.51	.00	110,641.22	12,260.29	0	0	0	0
KUNM	0	0	0	0	757,445.59	544,753.07	212,692.52	.00
Univ Libry CRS	0	0	0	0	497,832.07	235,126.15	262,705.92	.00
Graduate Studies GS	548,147.20	69,793.45	476,462.13	1,891.62	396,705.43	272,022.00	124,228.28	455.15
CAPS	85,885.21	35,053.96	50,831.25	.00	41,045.36	41,045.36	.00	.00
Latin American Iberian Institute	269,404.98	71,510.00	197,894.98	.00	308,944.46	298,208.66	10,735.80	.00



Fiscal Year Ending - 2021

Attachment VIII-Summary of Categorization of I and G and Non-I and G Reserves by College/School/Division

College/School/Division	Instruction and General				Non-Instruction and General			
	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY
Provost Office Staff	3,252,957.67	610,776.80	2,088,984.41	553,196.46	428,662.52	81,294.53	342,254.18	5,113.81
CARS	0	0	0	0	83,313.52	197.63	82,861.00	254.89
Ofc of the University Secretary	111,731.97	150.00	110,758.17	823.80	56,485.83	.00	56,485.83	.00
UNM West and Branch Initiatives	22,949.63	.00	.00	22,949.63	0	0	0	0
University College UC	(29,109.19)	.00	(30,282.26)	1,173.07	21,658.21	16,800.46	4,809.48	48.27
VP Division of Enrollment Mgmt	206,860.12	138,383.41	.00	68,476.71	9,253,890.35	9,238,489.76	1,194.85	14,205.74
College of Fine Arts CFA	1,500,554.61	796,371.97	60,214.57	643,968.07	3,075,874.95	2,255,345.06	258,479.55	562,050.34
College of Arts & Sciences A&S	1,214,292.61	772,466.58	(1,225,665.87)	1,667,491.90	17,754,393.33	7,346,739.52	9,335,832.77	1,071,821.04
Anderson Schools of Management ASM	3,048,393.15	465,142.93	2,409,109.64	174,140.58	2,671,049.97	2,475,163.63	168,006.34	27,880.00
College of Ed & Human Science COEHS	3,027,347.05	391,194.18	1,474,775.45	1,161,377.42	2,386,244.28	1,319,113.35	1,018,116.01	49,014.92
School of Engineering SOE	2,222,881.08	675,682.30	1,089,079.74	458,119.04	10,512,624.03	5,157,580.10	5,147,604.30	207,439.63
School of Law LAW	2,704,653.55	540,023.08	2,164,630.47	.00	5,605,230.26	5,241,061.74	357,766.10	6,402.42
School of Architecture & Planning	473,922.23	166,415.22	42,455.88	265,051.13	1,954,359.60	1,714,738.55	216,687.70	22,933.35
College of Univ Lbrary & Learning Sci	628,012.38	180,555.55	442,352.95	5,103.88	2,497,437.17	1,854,542.61	428,578.68	214,315.88
Continuing Education Cont Ed	992,341.52	375,677.00	284,575.02	332,089.50	(8,900.03)	112,074.25	(122,639.28)	1,665.00
Extended University Ext Univ	2,514,818.17	477,557.91	1,137,400.49	899,859.77	22,204.10	.00	7,891.47	14,312.63
Vice President for Research	108,677.08	.00	90,937.09	17,739.99	16,092,549.78	1,412,270.10	14,503,519.91	176,759.77
Academic Affairs Monitoring	2,307,642.25	514,201.40	708,287.10	1,085,153.75	(6,488,074.34)	.00	(6,488,074.34)	.00
Honors College	63,225.08	12,854.28	10,832.18	39,538.62	141,621.60	138,029.71	3,591.89	.00
Student Affairs	1,147,688.90	381,280.84	507,648.81	258,759.25	6,574,974.66	3,717,005.79	1,937,842.77	920,126.10
Subtotal Academic & Student Affairs	27,477,448.15	6,808,989.42	12,898,599.54	7,769,859.19	76,135,932.05	44,750,842.68	28,090,094.11	3,294,995.26
Police Department	96,103.55	10,414.19	85,689.36	.00	.00	.00	.00	.00
Sr VP Finance & Admin	2,992,153.57	2,033,790.80	904,071.08	54,291.69	8,382.44	7,682.21	.00	700.23
Environ Health & Safety	625,887.35	74,286.44	499,314.64	52,286.27	375.68	.00	.00	375.68
UNM Policy Office	146,731.57	.00	69,000.00	77,731.57	0	0	0	0
Board of Regents	76,128.45	.00	35,000.00	41,128.45	0	0	0	0
Information Technology Services	.00	.00	.00	.00	.00	.00	.00	.00
Controller	97,281.32	29,961.04	.00	67,320.28	1,103,106.82	1,025,689.38	77,417.44	.00
Associate VP for Facilities Mgmt	.00	.00	.00	.00	1,755.23	1,755.23	.00	.00
Human Resources HR	243,396.17	68,589.91	45,000.00	129,806.26	745,301.75	410,721.17	95,010.00	239,570.58
VP Institutional Support Services	4,260,991.78	216,850.82	4,044,140.96	.00	20,500,985.44	14,766,782.75	5,697,117.65	37,085.04



Fiscal Year Ending - 2021

Attachment VIII-Summary of Categorization of I and G and Non-I and G Reserves by College/School/Division

College/School/Division	Instruction and General				Non-Instruction and General			
	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY
Information Technologies	445,219.93	399,803.00	45,416.93	.00	1,302,447.96	1,129,862.17	172,585.79	.00
Subtotal Administration	8,983,893.69	2,833,696.20	5,727,632.97	422,564.52	23,662,355.32	17,342,492.91	6,042,130.88	277,731.53
EVP Admin Independent Offices	21,199,312.01	10,544,995.25	10,654,316.76	.00	8,426,114.49	8,426,114.49	.00	.00
Controller Monitoring Orgs	892,679.05	892,679.05	.00	.00	153,510.90	.00	.00	153,510.90
Subtotal Institutional Monitoring Orgs	22,091,991.06	11,437,674.30	10,654,316.76	.00	8,579,625.39	8,426,114.49	.00	153,510.90
VP HSC Administration	67,558.32	67,558.32	.00	.00	146,617.62	168.98	146,448.64	.00
School of Medicine	0	0	0	0	199,325.97	7,907.63	191,418.15	.19
College of Nursing	0	0	0	0	21,726.85	21,726.85	.00	.00
College of Pharmacy	0	0	0	0	13,406.06	13,406.06	.00	.00
Branches	0	0	0	0	32,507.28	28,710.06	3,797.22	.00
Subtotal Other HSC & Branches	67,558.32	67,558.32	.00	.00	413,583.78	71,919.58	341,664.01	.19
Total Main Campus	62,126,294.39	21,560,361.77	32,249,777.68	8,316,154.94	112,169,295.91	72,512,845.28	35,911,753.61	3,744,697.02
Gallup Branch	8,227,479.78	1,798,333.20	2,016,632.51	4,412,514.07	1,064,365.85	342,296.13	(10,804.21)	732,873.93
Los Alamos Branch	2,637,561.89	571,819.05	1,274,913.88	790,828.96	951,344.35	279,088.61	672,455.88	(200.14)
Taos Branch	5,462,951.62	427,815.13	648,485.00	4,386,651.49	969,488.63	74,866.44	144,834.00	749,788.19
Valencia Branch	4,922,065.54	301,675.33	2,796,640.00	1,823,750.21	687,186.53	337,817.60	126,819.87	222,549.06
Total Branches	21,250,058.83	3,099,642.71	6,736,671.39	11,413,744.73	3,672,385.36	1,034,068.78	933,305.54	1,705,011.04
Grand Total Main and Branches	83,376,353.22	24,660,004.48	38,986,449.07	19,729,899.67	115,841,681.27	73,546,914.06	36,845,059.15	5,449,708.06



Fiscal Year Ending - 2021

Attachment IX-Summary of Categorization of Current Unrestricted Reserves by College/School/Division

College/School/Division	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY
Presidents Office	1,904,399.37	178,492.06	1,686,369.35	39,537.96
Office of Equal Opportunity	267,945.78	11,964.37	250,000.00	5,981.41
Govt & Community Relations Office	286,553.99	54,898.61	226,251.06	5,404.32
University Counsel Office	327,552.55	28,641.03	284,214.73	14,696.79
LGBTQ	82,268.15	9,379.61	69,375.49	3,513.05
Univ Communication & Marketing	231,208.88	121,275.16	109,933.72	.00
ENLACE	.00	.01	(.01)	.00
Compliance Office - Main Campus	18.60	.00	.00	18.60
VP Inst Advancement Alumni Relation	284,202.38	211,118.59	73,083.79	.00
VP for Equity and Inclusion	377,241.41	2,599.94	372,993.40	1,648.07
Institute of Design & Innovation	63,215.75	55,000.00	8,215.75	.00
Ombuds Services	107,023.32	.00	107,023.32	.00
VP Advancement Administration	.00	.00	.00	.00
Development Office	12,612.90	12,612.90	.00	.00
Internal Audit Department	203,387.82	71,101.70	62,000.00	70,286.12
Athletics	2,735,571.64	1,576,835.17	1,157,632.42	1,104.05
Subtotal President	6,883,202.54	2,333,919.15	4,407,093.02	142,190.37
Global Education Office	870,407.40	318,228.38	552,178.64	.38
Harwood Foundation	1,131,233.24	1,049,939.34	80,035.38	1,258.52
OSE Optical Science and Engineering	118,218.21	2,485.00	114,410.16	1,323.05
Center for Teaching Excellence CTE	45,543.19	45,532.83	.62	9.74
NSMS Nano Science & Micro Systems	126,073.76	(3,262.57)	121,973.70	7,362.63
Parent Association - EM	2,516.30	138.27	.00	2,378.03
Undergraduate Education Support	88,125.00	.00	.00	88,125.00
Institutional Research	47,511.64	77.96	47,000.00	433.68
GNSPI	122,901.51	.00	110,641.22	12,260.29
KUNM	757,445.59	544,753.07	212,692.52	.00
Univ Lbry CRS	497,832.07	235,126.15	262,705.92	.00
Graduate Studies GS	944,852.63	341,815.45	600,690.41	2,346.77
CAPS	126,930.57	76,099.32	50,831.25	.00
Latin American Iberian Institute	578,349.44	369,718.66	208,630.78	.00
Provost Office Staff	3,681,620.19	692,071.33	2,431,238.59	558,310.27
CARS	83,313.52	197.63	82,861.00	254.89
Ofc of the University Secretary	168,217.80	150.00	167,244.00	823.80
UNM West and Branch Initiatives	22,949.63	.00	.00	22,949.63
University College UC	(7,450.98)	16,800.46	(25,472.78)	1,221.34
VP Division of Enrollment Mgmt	9,460,750.47	9,376,873.17	1,194.85	82,682.45
College of Fine Arts CFA	4,576,429.56	3,051,717.03	318,694.12	1,206,018.41
College of Arts & Sciences A&S	18,968,685.94	8,119,206.10	8,110,166.90	2,739,312.94
Anderson Schools of Management ASM	5,719,443.12	2,940,306.56	2,577,115.98	202,020.58



Fiscal Year Ending - 2021

Attachment IX-Summary of Categorization of Current Unrestricted Reserves by College/School/Division

College/School/Division	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY
College of Ed & Human Science COEHS	5,413,591.33	1,710,307.53	2,492,891.46	1,210,392.34
School of Engineering SOE	12,735,505.11	5,833,262.40	6,236,684.04	665,558.67
School of Law LAW	8,309,883.81	5,781,084.82	2,522,396.57	6,402.42
School of Architecture & Planning	2,428,281.83	1,881,153.77	259,143.58	287,984.48
College of Univ Lbry & Learning Sci	3,125,449.55	2,035,098.16	870,931.63	219,419.76
Continuing Education Cont Ed	983,441.49	487,751.25	161,935.74	333,754.50
Extended University Ext Univ	2,537,022.27	477,557.91	1,145,291.96	914,172.40
Vice President for Research	16,201,226.86	1,412,270.10	14,594,457.00	194,499.76
Academic Affairs Monitoring	(4,180,432.09)	514,201.40	(5,779,787.24)	1,085,153.75
Honors College	204,846.68	150,883.99	14,424.07	39,538.62
Student Affairs	7,722,663.56	4,098,286.63	2,445,491.58	1,178,885.35
Subtotal Academic & Student Affairs	103,613,380.20	51,559,832.10	40,988,693.65	11,064,854.45
Police Department	96,103.55	10,414.19	85,689.36	.00
Sr VP Finance & Admin	3,000,536.01	2,041,473.01	904,071.08	54,991.92
Environ Health & Safety	626,263.03	74,286.44	499,314.64	52,661.95
UNM Policy Office	146,731.57	.00	69,000.00	77,731.57
Board of Regents	76,128.45	.00	35,000.00	41,128.45
Information Technology Services	.00	.00	.00	.00
Controller	1,200,388.14	1,055,650.42	77,417.44	67,320.28
Associate VP for Facilities Mgmt	1,755.23	1,755.23	.00	.00
Human Resources HR	988,697.92	479,311.08	140,010.00	369,376.84
VP Institutional Support Services	24,761,977.22	14,983,633.57	9,741,258.61	37,085.04
Information Technologies	1,747,667.89	1,529,665.17	218,002.72	.00
Subtotal Administration	32,646,249.01	20,176,189.11	11,769,763.85	700,296.05
EVP Admin Independent Offices	29,625,426.50	18,971,109.74	10,654,316.76	.00
Controller Monitoring Orgs	1,046,189.95	892,679.05	.00	153,510.90
Subtotal Institutional Monitoring Orgs	30,671,616.45	19,863,788.79	10,654,316.76	153,510.90
VP HSC Administration	214,175.94	67,727.30	146,448.64	.00
School of Medicine	199,325.97	7,907.63	191,418.15	.19
College of Nursing	21,726.85	21,726.85	.00	.00
College of Pharmacy	13,406.06	13,406.06	.00	.00
Branches	32,507.28	28,710.06	3,797.22	.00
Subtotal Other HSC & Branches	481,142.10	139,477.90	341,664.01	.19
Total Main Campus	174,295,590.30	94,073,207.05	68,161,531.29	12,060,851.96
Gallup Branch	9,291,845.63	2,140,629.33	2,005,828.30	5,145,388.00
Los Alamos Branch	3,588,906.24	850,907.66	1,947,369.76	790,628.82
Taos Branch	6,432,440.25	502,681.57	793,319.00	5,136,439.68
Valencia Branch	5,609,252.07	639,492.93	2,923,459.87	2,046,299.27
Total Branches	24,922,444.19	4,133,711.49	7,669,976.93	13,118,755.77
Grand Total Main and Branches	199,218,034.49	98,206,918.54	75,831,508.22	25,179,607.73

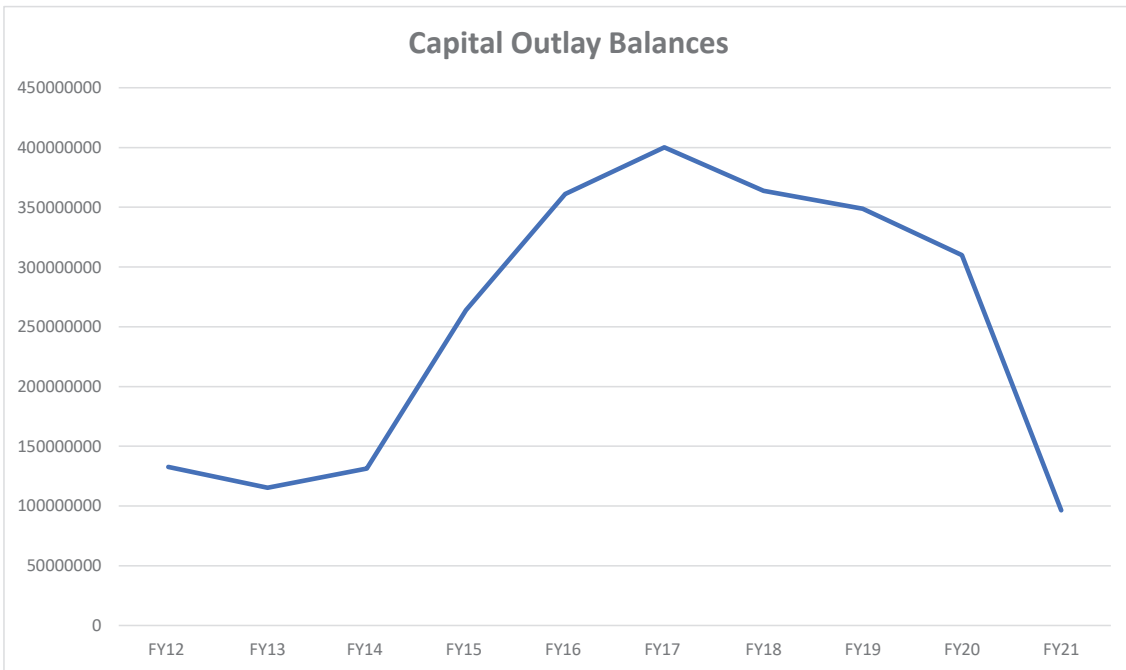


**Attachment X - Summary of Plant Fund Reserves
June 30, 2021**

Main Campus	Reserve June 30, 2020	Reserve June 30, 2021	Change FY 2020 to FY 2021	Committed	Dedicated	Discretionary
Facility Investment Need(FIN)/BR&R	12,242,967	14,379,832	2,136,864	6,438,578	7,941,253	0
Retirement of Indebtedness	25,419,688	23,445,836	(1,973,851)	23,445,836	0	0
Capital Outlay ⁽¹⁾	308,778,009	96,395,221 ⁽²⁾	(212,382,788)	50,048,470	44,039,788	2,306,963
Total	346,440,664	134,220,889	(212,219,775)	79,932,884	51,981,041	2,306,963

(1)

Please see graph below which shows a ten year history of capital outlay balances. Although there are fluctuations, the peaks are usually attributable to new University Bonds being sold. For FY15 through FY18 the increases in those years was primarily attributable to a build up of balances for the new hospital tower.



(2)

Spend down of balance attributable to:

- During FY21 \$196.0M that was reserved for the new Hospital was moved to the project
- During FY21 \$12.3M reserved for the new Orthopedic Center for excellence was spent
- For FY21 balances attributable to the 2016 and 2017 UNM Bond issuance were spent down for completion of the Johnson Center Renovation; PAIS and Natural History Science Center. (AKA Bio Annex)
- During FY21 reserves spent on Valencia Westside Facility project
- Lastly it is important to note that major capital projects typically span multiple fiscal years. They build up balances during the initial phases of the projects and then spend those balances down as the project moves through construction and completes.



Attachment XI - Summary of Plant Funds by College/School/Division

FYE 2021 - Capital Outlay

College/School/Division	FY 20 YE Reserve	FY 21 YE Reserve	Change	Committed	Dedicated	Discretionary
President's Office	110,010.25	110,010.25	0.00	0.00	110,010.25	0.00
University Counsel Office	436,202.41	303,077.41	(133,125.00)	0.00	150,000.00	153,077.41
Office of Equal Opportunity	4,352.33	4,352.33	0.00	0.00	4,000.00	352.33
University Communication & Marketing	0.00	0.00	0.00	0.00	0.00	0.00
Compliance Office	0.00	0.00	0.00	0.00	0.00	0.00
Alumni Relations	0.00	0.00	0.00	0.00	0.00	0.00
Dispute Resolution	0.00	0.00	0.00	0.00	0.00	0.00
Internal Audit Department	0.00	0.00	0.00	0.00	0.00	0.00
President Subtotal	550,564.99	417,439.99	(133,125.00)	0.00	264,010.25	153,429.74
RWJ Center for Health Policy	2,476.66	0.00	(2,476.66)	0.00	0.00	0.00
International Programs Studies	0.00	1,793.86	1,793.86	0.00	1,793.86	0.00
Harwood Foundation	0.00	0.00	0.00	0.00	0.00	0.00
Optical Science and Engineering	0.00	0.00	0.00	0.00	0.00	0.00
UC Advisement Center	0.00	0.00	0.00	0.00	0.00	0.00
Office of Support for Effective Teaching	0.00	0.00	0.00	0.00	0.00	0.00
Nano Science & Micro Systems	0.00	0.00	0.00	0.00	0.00	0.00
Parent Association	0.00	0.00	0.00	0.00	0.00	0.00
Undergraduate Education Support	0.00	0.00	0.00	0.00	0.00	0.00
Institutional Research	176.81	0.00	(176.81)	0.00	0.00	0.00
KUNM	0.00	176.81	176.81	0.00	0.00	176.81
University Press	0.00	0.00	0.00	0.00	0.00	0.00
Graduate Studies	0.00	0.00	0.00	0.00	0.00	0.00
CAPS	0.00	0.00	0.00	0.00	0.00	0.00
Latin American Iberian Institute	9,515.60	0.00	(9,515.60)	0.00	0.00	0.00
Provost Office Staff	0.00	7,841.89	7,841.89	0.00	7,841.89	0.00
Spanish Colonial Res Center	0.00	0.00	0.00	0.00	0.00	0.00
CARS	0.00	0.00	0.00	0.00	0.00	0.00
Office of the University Secretary	0.00	0.00	0.00	0.00	0.00	0.00
University College	0.00	0.00	0.00	0.00	0.00	0.00
School of Public Administration	0.00	0.00	0.00	0.00	0.00	0.00
VP for Equity & Inclusion	(26,225.06)	0.00	26,225.06	0.00	0.00	0.00
VP Division of Enrollment Mgmt	22,697.52	(28,758.77)	(51,456.29)	0.00	0.00	(28,758.77)
College of Fine Arts	935,808.83	18,776.07	(917,032.76)	0.00	5,446.30	13,329.77



Attachment XI - Summary of Plant Funds by College/School/Division

FYE 2021 - Capital Outlay

College/School/Division	FY 20 YE Reserve	FY 21 YE Reserve	Change	Committed	Dedicated	Discretionary
College of Arts Sciences	9,861.10	1,048,430.60	1,038,569.50	363,545.29	359,656.73	325,228.58
Anderson Schools of Management	398,351.83	9,861.10	(388,490.73)	9,861.10	0.00	0.00
College of Education COE	706,388.38	336,327.77	(370,060.61)	0.00	303,000.00	33,327.77
School of Engineering	115,508.87	814,852.72	699,343.85	480,394.10	231,485.52	102,973.10
School of Law	61,534.04	108,724.72	47,190.68	0.00	108,724.72	0.00
School of Architecture Planning	1,351,201.87	107,228.36	(1,243,973.51)	50,000.00	0.00	57,228.36
University Libraries	0.00	1,822,793.52	1,822,793.52	29,013.00	1,793,780.30	0.22
Continuing Education	237,673.20	0.00	(237,673.20)	0.00	0.00	0.00
Extended University	330,018.03	237,673.20	(92,344.83)	237,673.20	0.00	0.00
VP Research & Economic Development	0.00	647,860.40	647,860.40	0.00	70,700.00	577,160.40
Provost Monitoring	0.00	0.00	0.00	0.00	0.00	0.00
Honors College	0.00	0.00	0.00	0.00	0.00	0.00
UNM West	0.00	0.00	0.00	0.00	0.00	0.00
Academic Affairs Subtotal	4,154,987.68	5,133,582.25	978,594.57	1,170,486.69	2,882,429.32	1,080,666.24
VP Student Affairs Administration	0.00	0.00	0.00	0.00	0.00	0.00
VP Student Affairs Independent Depts.	523,813.30	438,377.77	(85,435.53)	0.00	438,377.77	0.00
Associate VP Student Services	73,927.58	7,666.35	(66,261.23)	0.00	7,012.03	654.32
Associate VP Student Life	316,861.76	408,982.36	92,120.60	0.00	408,982.36	0.00
Student Affairs Subtotal	914,602.64	855,026.48	(59,576.16)	0.00	854,372.16	654.32
EVP of Administration Office	26,221.64	9,434.60	(16,787.04)	9,434.60	0.00	0.00
Board of Regents	0.00	0.00	0.00	0.00	0.00	0.00
Undesignated I&G Balance and Other Monitoring	0.00	0.00	0.00	0.00	0.00	0.00
Police Department	118,192.09	99,877.32	(18,314.77)	0.00	99,877.32	0.00
Environ Health and Safety	285,232.60	164,759.35	(120,473.25)	0.00	121,200.00	43,559.35
UNM Policy Office	0.00	0.00	0.00	0.00	0.00	0.00
Intercollegiate Athletics	2,038,364.80	486,091.74	(1,552,273.06)	486,091.74	0.00	0.00
Financial Services	859,342.11	995,634.97	136,292.86	534,810.71	460,824.26	0.00
Associate VP for Facilities Mgmt	83,252.02	68.11	(83,183.91)	0.00	0.00	68.11
Human Resources	164,292.05	164,292.05	0.00	0.00	160,000.00	4,292.05
VP Institutional Support Services	34,139,095.88	26,757,634.93	(7,381,460.95)	19,052,343.26	7,556,866.67	148,425.00
Government & Community Relations	0.00	0.00	0.00	0.00	0.00	0.00
Information Technologies	1,921,050.55	1,535,570.23	(385,480.32)	1,025,380.54	410,000.00	100,189.69
Controller Monitoring Orgs	20,215,315.37	8,781,291.73	(11,434,023.64)	743,771.78	8,037,519.95	0.00



Attachment XI - Summary of Plant Funds by College/School/Division

FYE 2021 - Capital Outlay

College/School/Division	FY 20 YE Reserve	FY 21 YE Reserve	Change	Committed	Dedicated	Discretionary
Administration Subtotal	59,850,359.11	38,994,655.03	(20,855,704.08)	21,851,832.63	16,846,288.20	296,534.20
Advancement	0.00	0.00	0.00	0.00	0.00	0.00
HSC Administration	200,651,710.95	12,319,670.94	(188,332,040.01)	7,996,657.84	4,323,013.10	0.00
School of Medicine	19,218,057.10	18,585,115.25	(632,941.85)	7,136,636.53	11,448,478.72	0.00
College of Nursing	3,969,890.73	5,440,263.93	1,470,373.20	2,794,444.00	2,645,819.93	0.00
College of Pharmacy	995,618.86	984,497.38	(11,121.48)	57,777.76	926,719.62	0.00
HSC Subtotal	224,835,277.64	37,329,547.50	(187,505,730.14)	17,985,516.13	19,344,031.37	0.00
Gallup Branch	570,559.60	974,239.65	403,680.05	907,249.10	66,990.55	0.00
Los Alamos Branch	1,441,684.87	1,711,531.20	269,846.33	0.00	1,711,531.20	0.00
Taos Branch	3,422,658.50	4,920,833.64	1,498,175.14	2,154,148.00	1,991,007.00	775,678.64
Valencia County Branch	13,037,313.85	6,058,365.42	(6,978,948.43)	5,979,237.23	79,128.19	0.00
Branch Subtotal	18,472,216.82	13,664,969.91	(4,807,246.91)	9,040,634.33	3,848,656.94	775,678.64
Total Plant Funds	308,778,008.88	96,395,221.16	(212,382,787.72)	50,048,469.78	44,039,788.24	2,306,963.14

Fundraising Performance Report

FY 20/21, June 30, 2021

Gift Commitments (Fiscal Year)	This Quarter	FY 20/21	GOAL	FY 19/20
Main Campus				
- Cash/Cash Equivalents	\$ 5,096,668	\$ 11,460,402	N/A	\$ 12,630,499
- In-Kind	\$ 7,878	\$ 973,521	N/A	821,996
- Pledges	\$ 928,200	\$ 3,006,950	N/A	978,500
- Testamentary	\$ 1,945,000	\$ 12,286,643	N/A	16,275,747
Sub-Total	\$ 7,977,746	\$ 27,727,516	\$ 21,500,000	\$ 30,706,742
HSC				
- Cash/Cash Equivalents	\$ 2,839,334	\$ 23,642,942	N/A	\$ 28,251,638
- In-Kind	\$ 133,219	\$ 509,929	N/A	484,600
- Pledges	\$ 28,700	\$ 211,263	N/A	561,938
- Testamentary	\$ 2,204,000	\$ 5,687,014	N/A	7,358,516
Sub-Total	\$ 5,205,253	\$ 30,051,148	\$ 24,627,500	\$ 36,656,692
Athletics				
- Cash/Cash Equivalents	\$ 920,013	\$ 3,444,149	N/A	\$ 2,474,627
- In-Kind	\$ 1,000	\$ 428,733	N/A	1,406,141
- Pledges	\$ -	\$ -	N/A	-
- Testamentary	\$ 25,000	\$ 25,000	N/A	917,597
Sub-Total	\$ 946,013	\$ 3,897,882	\$ 4,000,000	\$ 4,798,365
Other Campus Units *				
- Cash/Cash Equivalents	\$ 4,106,456	\$ 16,000,458	N/A	\$ 15,413,983
- In-Kind	\$ 99,500	\$ 442,977	N/A	668,885
- Pledges	\$ -	\$ 445,000	N/A	25,000
- Testamentary	\$ 70,000	\$ 6,810,540	N/A	6,334,721
Sub-Total	\$ 4,275,956	\$ 23,698,975	\$ 29,872,500	\$ 22,442,589
Total	\$ 18,404,968	\$ 85,375,521	\$ 80,000,000	\$ 94,604,388

* Other campus units include KNME, KUNM, UNM Branch Campuses, President's Office, Provost's Office, Enrollment Services, Student Affairs and numerous other units not classified as main campus, HSC or athletics.

Gift Destinations	This Quarter	FY 20/21	FY 19/20	FY 18/19
UNM Foundation	\$ 12,655,291	\$ 57,597,074	\$ 63,793,148	\$ 61,049,649
Reported Gifts *	\$ 5,749,677	\$ 27,778,447	\$ 30,811,240	\$ 40,128,593
Total	\$ 18,404,968	\$ 85,375,521	\$ 94,604,388	\$ 101,178,242

* Reported Gifts = gifts made directly to KNME, KUNM, Lobo Club, and OVPR, but reported by UNM Foundation per MOA.

Fundraising Performance Report

FY 20/21, June 30, 2021

Gift Commitments (Fiscal Year)	FY 20/21	FY 19/20	FY 18/19
Gifts for UNM's Current Use			
Cash/Cash Equivalents	\$ 38,908,534	\$ 48,953,571	\$ 41,082,747
In Kind	\$ 2,355,160	\$ 3,381,622	\$ 11,294,356
Total Gifts for UNM's Current Use	\$ 41,263,694	\$ 52,335,193	\$ 52,377,103
Gifts for UNM's Future			
Cash/Cash Equivalents to the Endowment	\$ 15,639,417	\$ 9,817,176	\$ 9,100,408
Pledges	\$ 3,663,213	\$ 1,565,438	\$ 2,537,169
Testamentary Gifts	\$ 24,809,197	\$ 30,886,581	\$ 37,163,560
Total Gifts for UNM's Future	\$ 44,111,827	\$ 42,269,195	\$ 48,801,137
Total Gift Commitments	\$ 85,375,521	\$ 94,604,388	\$ 101,178,240

Pledges and Testamentary Gifts due	FY 20/21	FY 19/20	FY 18/19
Beginning Balance Pledges Receivable	\$ 8,730,412	\$ 19,822,964	22,466,967
Add: New Pledges	\$ 3,663,213	\$ 1,565,438	2,524,668
Less: Pledge Payments	\$ (4,951,719)	\$ (3,489,332)	(4,762,001)
Less: Pledges Cancelled/Modified/Written Off	\$ (723,000)	\$ (9,168,658)	(406,670)
Ending Balance Pledges Receivable	\$ 6,718,906	\$ 8,730,412	\$ 19,822,964
Testamentary Pledges Due	\$ 231,917,313	\$ 218,292,411	189,543,072
Total Pledges and Testamentary Gifts Due	\$ 238,636,219	\$ 227,022,823	\$ 209,366,036

Performance Measures	This Quarter	FY 20/21	FY 19/20	FY 18/19
Gift Commitment Income	\$ 18,404,968	\$ 85,375,521	\$ 94,604,388	\$ 101,178,240
# of Gifts	6,297	26,344	27,285	27,719
# of Donors	2,887	8,826	8,914	9,482

Efficiency Measures	FY 20/21	FY 19/20	FY 18/19	FY 17/18
Cost per Dollar Raised *	\$0.13	\$0.13	\$0.13	\$0.13

* Compares UNM Foundation budget expenditures to gift commitments.

Consolidated Investment Fund - Investment Performance

FY 20/21, June 30, 2021

Investment Performance Results	Market Value	1-Year	3-Year	5-Year	10-Year
FY 20/21, June 30, 2021	\$ 580,297,462	31.3%	11.0%	10.6%	8.0%
Custom Benchmark *		29.9%	11.1%	10.8%	7.9%
FY 19/20 (June 30, 2020)	\$ 444,630,810	-0.2%	4.0%	4.5%	6.9%
Custom Benchmark *		0.5%	4.7%	5.0%	6.9%
NACUBO/Commonfund **		N/A	N/A	N/A	N/A

* Custom Benchmark is a blended benchmark consisting of indices for all asset classes.

** NACUBO/Commonfund Endowment Study median return (\$251 million to \$500 million)

Consolidated Investment Fund - Asset Allocation

FY 20/21, June 30, 2021

Investment Class	Current Allocation	Target Allocation	Investment Policy Ranges
Domestic Equity	27.5%	37.0%	10% - 50%
International Equity	20.3%	25.0%	10% - 40%
Fixed Income/Cash	14.4%	10.5%	10% - 50%
Illiquid Real Assets	3.1%	3.5%	0% - 15%
Marketable Alternatives	16.5%	8.0%	5% - 20%
Private Investments	18.2%	16.0%	0% - 20%

Consolidated Investment Fund - Spending Distribution

FY 20/21, June 30, 2021

	FY 20/21 Approved Distribution Rate	FY 21/22 Approved Distribution Rate
CIF Spending Distribution		
Endowment Spending Distribution	4.50%	4.50%



Regents' Endowment

In June 1983, the University of New Mexico Board of Regents established the Regents Endowment with \$622,315. An additional \$20,971,886 was added to the endowment from the sale of University land in fiscal years:

1985/86: \$1,332,640	1990/91: \$3,500,000
1987/88: \$1,400,000	2006/07: \$8,045,923 (Mesa Del Sol)
1988/89: \$1,723,724	2020/21: \$3,041,445
1989/90: \$1,928,154	

The Regents Endowment is a “quasi endowment” which is co-invested along with other University and UNM Foundation endowments in the Consolidated Investment Fund. The endowment corpus and any annual spending distributions from the endowment to the University may be used at its discretion.

The Board of Regents initially designated the monies for recruitment and retention of outstanding faculty, student merit-based scholarship programs and to develop University owned real estate. In 2005, the Board of Regents approved Regents' Policy 7.19 that expanded the use of monies. The University has historically designated the monies to scholarships, professorships, lectureships, fellowships, study abroad programs, minority faculty hiring, the President's Advancement Fund, and tuition assistance programs.

Market Value (at 06/31/2021): **\$32,281,671**

CIF Investment Performance: 1-Year	31.3%
3-Year	11.0%
5-Year	10.6%
10-Year	8.0%

Spending Distributions: 2016/17	\$959,775
(5-year History) 2017/18	\$964,646
2018/19	\$939,572
2019/20	\$917,053
2020/21	\$947,085

Withdrawals from Corpus: 2005/06	\$2,000,000	ASM Student Investment Fund
(Approved by Regents) 2005/06	\$1,888,233	Purchase Properties
2008/09	\$880,525	Regents Scholarship
2012/13	\$1,100,000	Baseball Field
2014/15	\$761,918	Innovate ABQ
2016/17	\$1,000,000	UNM Branding Campaign
2017/18	\$814,207	UNM Athletics Funding
2018/19	\$798,039	UNM Athletics Funding
2019/20	\$350,000	Enrollment Management Initiatives
2019/20	\$487,500	Grand Challenges
2020/21	<u>\$162,500</u>	Grand Challenges
Total	\$10,242,922	



Winrock Land Sale Endowment

In November 2001, the University of New Mexico Board of Regents established the Winrock Land Sale Endowment with \$25 million in proceeds from the sale of the Winrock Shopping Center property.

The Winrock Land Sale Endowment is a “quasi endowment” which is co-invested along with other University and UNM Foundation endowments in the Consolidated Investment Fund. The endowment corpus and any annual spending distributions from the endowment to the University may be used at the Regents’ discretion.

The University has historically designated the monies to national merit scholarships, need-based financial aid, faculty retention, graduate fellowships and travel grants, capital improvements. To date, over \$20 million has been distributed from the endowment for these programs.

Market Value (at 06/30/2021): **\$34,370,729**

CIF Investment Performance:	1-Year	31.3%
	3-Year	11.0%
	5-Year	10.6%
	10-Year	8.0%

Spending Distributions:	2016/17	\$1,019,950
(5-year History)	2017/18	\$1,024,422
	2018/19	\$1,027,828
	2019/20	\$1,034,960
	2020/21	\$1,108,186



Hugh B. and Helen K. Woodward Endowment

In 1982, the University of New Mexico Board of Regents established the Hugh B. and Helen K. Woodward Endowment to receive and invest distributions from the Woodward Trust.

The University receives 45% of the annual net income from the Woodward Trust administered by the Sandia Foundation, a Hugh and Helen Woodward Charity, in accordance with the will of Hugh B. Woodward. UNM's share of these annual distributions (approximately \$1.5 million annually) from the Sandia Foundation are deposited in the Hugh B. and Helen K. Woodward Endowment.

The Hugh B. and Helen K. Woodward Endowment is co-invested along with other University and UNM Foundation endowments in the Consolidated Investment Fund

The Last Will and Testament of Mr. Woodward stated:

It is my hope and wish, but I do not require, that a substantial portion of the annual net income shall be used by the Regents of the University of New Mexico: (1) to financially assist deserving students to begin and complete their college education, (2) to establish and maintain scholastic awards, grants scholarships and prizes to be conferred upon individual students, including students in the School of Law and the School of Medicine, when established, in recognition of achievement and usefulness to said university and to its student body, and (3) to supplement regular salaries of the Dean of the School of Law and any deserving full professor of said school and the Dean of the School of Medicine and any deserving full professor of said school devoting full time to his professional employment. No more than Six Thousand Dollars (\$6,000.00) of the moneys passing to the Regents of the University of New Mexico annually shall be utilized to supplement the salaries as provided in subpart (3) of this paragraph.

Market Value (at 06/30/2021): **\$68,458,514**

CIF Investment Performance: 1-Year	31.3%
3-Year	11.0%
5-Year	10.6%
10-Year	8.0%

Spending Distributions:	2016/17	\$2,053,266
(5-year History)	2017/18	\$2,146,914
	2018/19	\$2,236,177
	2019/20	\$2,330,920
	2020/21	\$2,562,137

The FY2020/21 endowment distribution was used for Regents' merit scholarships, presidential scholarships, UNM scholars and national merit scholarships.



UNIVERSITY OF NEW MEXICO

BOARD OF REGENTS

OCTOBER 2021

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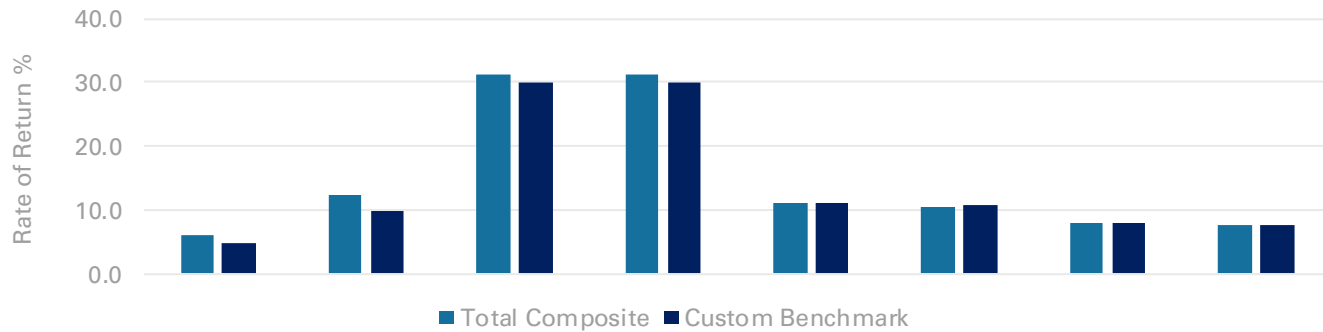
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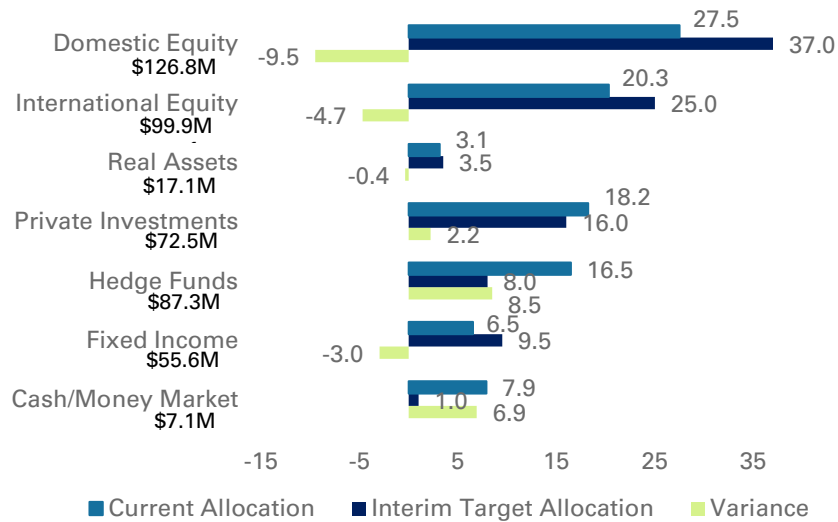


PERFORMANCE SUMMARY

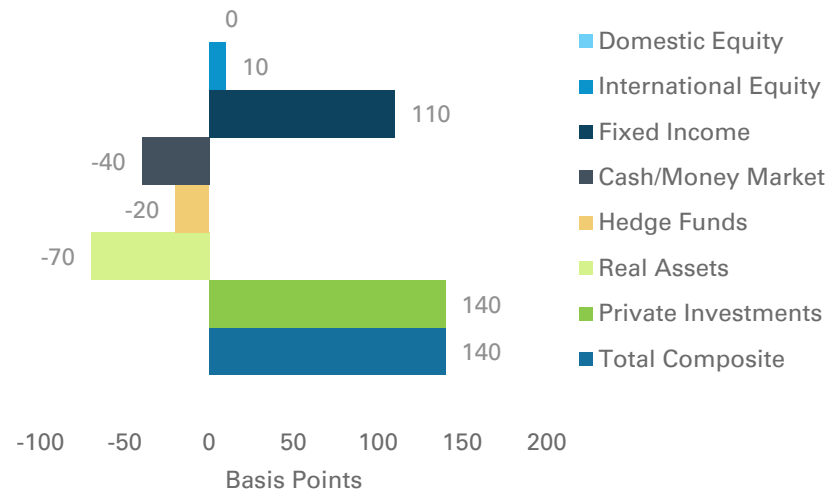
	Market Value (\$)	3 Mo (%)	Calendar YTD (%)	Fiscal YTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	10 Yrs (%)	Inception (%)	Inception Date
Total Composite	578,992,478	6.2	12.4	31.3	31.3	11.0	10.6	8.0	7.6	7/1/1989
<i>Custom Benchmark</i>		<i>5.0</i>	<i>9.9</i>	<i>29.9</i>	<i>29.9</i>	<i>11.1</i>	<i>10.8</i>	<i>7.9</i>	<i>7.7</i>	<i>7/1/1989</i>



Asset Allocation



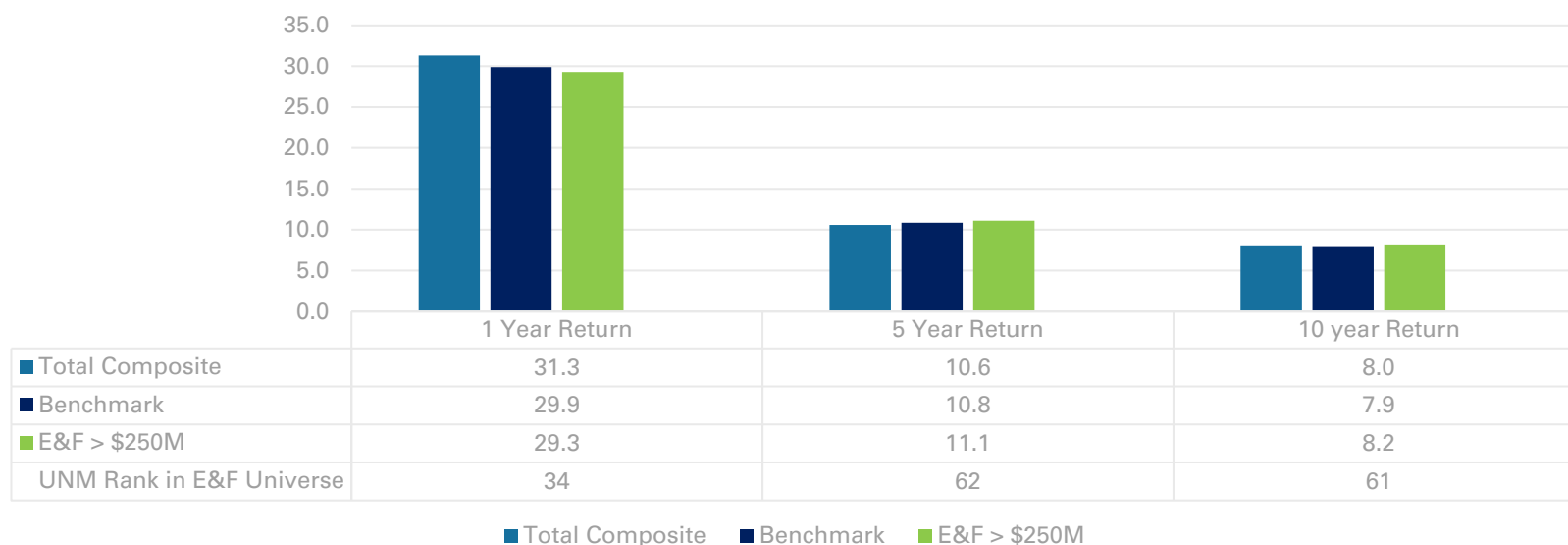
Attribution Analysis – 1 Yr ending June 30, 2021



Data as of 6/30/2021

EXECUTIVE SUMMARY

- UNM CIF's performance was very strong, **gaining 31.3%** over the last fiscal year and bringing the CIF portfolio value to **\$579 million**. The CIF outperformed the custom benchmark by 1.4%. The portfolio ranked in the first quartile of its E&F peers during the quarter and in the 34th percentile for the most recent 1-Yr period. Longer term, the CIF ranked in the third quartile of its E&F peer performance.

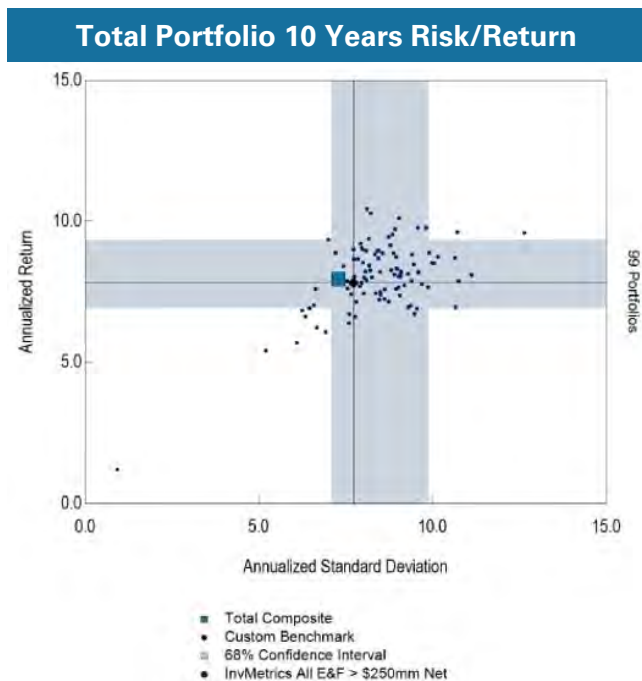


- Private markets led the rally, returning 10.3% during Q2 and 49.1% over the 1-Year period, outperforming the Private Investments Custom Benchmark by 9.9% and 6.0%, respectively. Longer term, both public and private equity have been the primary drivers of return in the portfolio.



Data as of 6/30/2021 Note: Universe used in comparison is the InvMetrics All E&F>\$250m Net

RISK/RETURN ANALYSIS



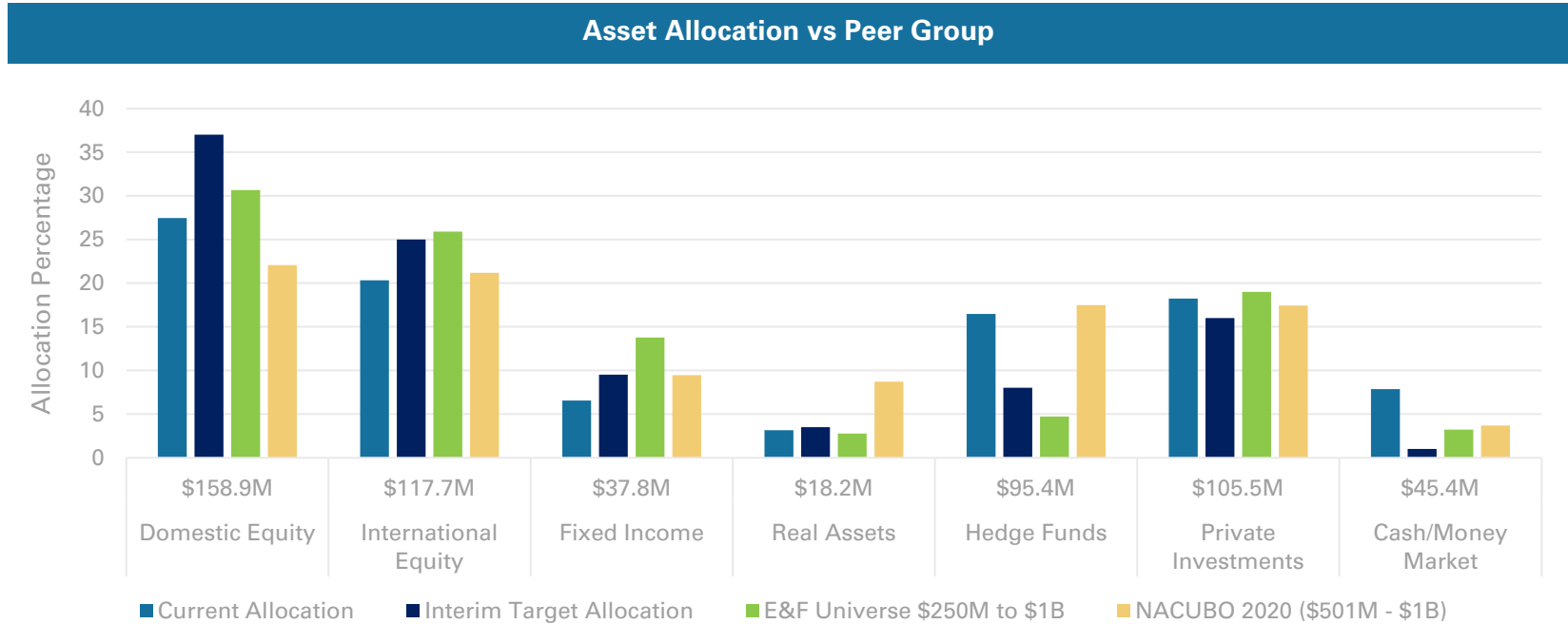
10 Years Return	Annualized Return	Annualized Excess BM Return	Sharpe Ratio (Rank)
Domestic Equity	14.34%	-0.32%	0.98
International Equity	6.11%	0.66%	0.38
Fixed Income	3.22%	0.27%	0.69
Hedge Fund	6.09%	2.23%	1.39
Real Assets	-0.45%	-7.34%	-0.11
Private Investments	13.09%	0.58%	1.47
Total Composite	7.96%	0.09%	1.01 (20)
Benchmark	7.87%	0.00%	0.94 (39)

- Total Portfolio achieved higher return with lower risk than its peers, resulting in better risk adjusted return than its benchmark and the first quartile ranking in its E&F universe based on Sharpe Ratio.
- Equity investments, both public and private, drove returns over the last 10 years, as these markets have experienced robust results following the Great Financial Crisis.
- While hedge funds performed well versus their benchmark, this active investment style did not keep up with the strong equity market.
- Real Assets were the weakest performer in the portfolio. Long-term results were negative and largely underperformed benchmark.



Data as of 6/30/2021

ASSET ALLOCATION



- Asset Classes have specific purpose in the portfolio. Please see following page for further information
- UNM Foundation has had a higher level of hedge fund investments at the expense of more traditional exposures by the peer group. The target approved in FY 21 reduces the targeted allocation to hedge funds
- Private investments exposure is on target and in line with peers
- Cash levels are above target due to the transactions being undertaken at the around the last fiscal year end



Portfolio and benchmark data as of 6/30/2021. E&F \$250M to \$1B represent 74 Endowments & Foundations reporting to Investment Metrics with greater than \$250M in reported assets and are as of 6/30/2021. NACUBO 2020 are from the 2020 NACUBO-TIAA Study of Endowments

ASSET CLASS OBJECTIVES

Asset Class	Portfolio Role	Interim Target Allocation	Long-Term Target Allocation
Return Seeking			
US and Non-US Public Equity	<ul style="list-style-type: none"> Passive implementation – low-cost option Capital appreciation Capture global growth 	62%	60%
Private Investments	<ul style="list-style-type: none"> Skill-based active management Higher return expectations than public equity Includes growth-oriented investments 	13%	17%
Opportunistic Fixed Income	<ul style="list-style-type: none"> Higher return expectations than investment grade bonds Adds diversification to portfolio 	3%	3%
Diversifying Assets			
Real Assets (Private Real Estate and Energy)	<ul style="list-style-type: none"> Inflation hedge Income production potential Adds diversification 	3.5%	5%
Hedge Funds	<ul style="list-style-type: none"> Skill-based active management Low correlation to traditional stocks and bonds Targeted exposure or absolute return-based strategies 	8%	5%
Capital Preservation			
Core Fixed Income & Cash	<ul style="list-style-type: none"> Downside protection Risk reduction 	10.5%	10%



YEAR IN REVIEW

Meeting Date	Activity	Status
November 2020	<ul style="list-style-type: none"> • Portfolio and Market Review • Total Enterprise Management Analysis (TEM Survey) • Initial Portfolio Review • Private Equity Recommendation (GreenSpring X) 	<p>Completed</p> <p>Completed</p> <p>Completed</p> <p>Completed</p>
February 2021	<ul style="list-style-type: none"> • Performance and Portfolio Review • Asset Allocation Recommendations • Private Equity Recommendation (Bond Capital II) • Real Estate Recommendation (Bain Capital Real Estate II) • TEM Analysis Update • Donor Directed Investment Options 	<p>Completed</p> <p>Completed</p> <p>Completed</p> <p>Completed</p> <p>Completed</p> <p>Completed</p>
May 2021	<ul style="list-style-type: none"> • Performance and Portfolio Review • Private Markets Pacing Plan • Asset Class Reviews – Equities, Hedge Funds, Fixed Income • ESG Ratings Introduction • New Target Asset Allocation Benchmarks • Public Securities Recommendations (JOHCM EAFE, Axiom Intl Small Cap, Arrowstreet Emerging Markets, SoMa Partners) • Implementation Plan and Rebalancing 	<p>Completed</p> <p>Completed</p> <p>Completed</p> <p>Completed</p> <p>Completed</p> <p>Completed (SoMa to be funded later)</p> <p>Ongoing</p>
August 2021	<ul style="list-style-type: none"> • Performance and Portfolio Review • Portfolio Implementation/Updates • Year in Review / 2022 Workplan Review 	



WORK PLAN

Meeting Date	Activity	Status
November 2021	<ul style="list-style-type: none"> • Performance and Portfolio Review • Private Markets Pacing Plan Update • Private Equity Recommendation (tentative) • Private Credit Recommendation (tentative) • Hedge Fund Recommendation (tentative) • Public Securities Recommendations (Emerging Markets Small Cap – tentative depending on registration) 	
February 2022	<ul style="list-style-type: none"> • Performance and Portfolio Review • Investment Policy Statement Update • ESG Policy Subcommittee • Asset Allocation Review • Asset Class Review – Private Markets 	
May 2022	<ul style="list-style-type: none"> • Performance and Portfolio Review • Custodian Review • Asset Class Review – Hedge Funds 	
August 2022	<ul style="list-style-type: none"> • Performance and Portfolio Review • Year in Review • Asset Class Review – Equity 	
November 2022	<ul style="list-style-type: none"> • Performance and Portfolio Review • Asset class review – Fixed Income 	



NEPC DISCLOSURES

Past performance is no guarantee of future results.

All investments carry some level of risk. Diversification and other asset allocation techniques do not ensure profit or protect against losses.

Some of the information presented herein has been obtained from external sources NEPC believes to be reliable. While NEPC has exercised reasonable professional care in preparing this content, we cannot guarantee the accuracy of all source information contained within.

The opinions presented herein represent the good faith views of NEPC as of the publication date and are subject to change at any time.

This presentation contains summary information regarding the investment management approaches described herein but is not a complete description of the investment objectives, portfolio management and research that supports these approaches. This analysis does not constitute a recommendation to implement any of the aforementioned approaches.





THE UNIVERSITY OF
NEW MEXICO®

Health Sciences

UAP 7000 Categorization of Reserves

Tuesday, Oct 19, 2021



Fiscal Year Ending - 2021

Attachment II- Summary of Reserves by College/School/Division

	Reserve June 30 2020	Reserve June 30 2021	Net Change
College/School/Division			
VP HSC Administration	26,391,647.17	31,595,371.28	5,203,724.11
HS Library and Informatics Center	498,212.00	154,085.88	(344,126.12)
School of Medicine	57,235,919.15	63,836,368.67	6,600,449.52
College of Nursing	7,431,167.01	7,642,672.92	211,505.91
College of Pharmacy	5,481,199.74	6,144,115.36	662,915.62
College of Population Health	1,206,889.55	1,639,098.95	432,209.40
HSC VP Research	2,430,136.49	2,604,021.61	173,885.12
UNM HSC West Campus	3,876.17	13,639.60	9,763.43
Total HSC Academic Operations	100,679,047.28	113,629,374.27	12,950,326.99
Total Campus Operations	100,679,047.28	113,629,374.27	12,950,326.99
Plant Funds	28,699,311.00	37,329,548.00	8,630,237.00
UNMHs Capital Initiatives	196,283,315.00	0.00	(196,283,315.00)
UNMHs Operations	449,068,155.00	773,083,536.00	324,015,381.00
Grand Total	774,729,828.28	924,042,458.27	149,312,629.99



Fiscal Year Ending - 2021
Attachment I- Summary of Reserves

	Reserve June 30 2020	Reserve June 30 2021	Change FY 2020-2021	% Change
The University of New Mexico				
HSC Academic Operations	100,679,047.28	113,629,374.00	12,950,326.72	12.86%
Plant Funds	28,699,311.03	37,329,547.80	8,630,236.77	30.07%
UNMHs Capital Initiatives	196,283,315.00	0.00	(196,283,315.00)	-100.00%
UNMHs Operations	449,068,155.00	773,083,536.00	324,015,381.00	72.15%
Grand Total	774,729,828.31	924,042,457.80	149,312,629.49	19.27%



Fiscal Year Ending - 2021

Attachment V- HSC Academic Summary of Deficits \$100,000 or More

College/School/Division	Reserve June 30 2019	Reserve June 30 2020	Reserve June 30 2021	Change FY 2020-2021
Dermatology	(570,365)	(601,203)	(359,911)	241,292

Dermatology has a deficit elimination plan in place.



Fiscal Year Ending - 2021
Attachment VII- Summary of Reserves as a Percentage of Budget by
College/School/Division

College/School/Division	FY 2021 Budget	FY 2021 Ending Reserve	Reserve as a % of Budget
VP HSC Administration	85,475,562.00	31,595,371.28	36.96%
HS Library and Informatics Center	3,192,081.00	154,085.88	4.83%
School of Medicine	495,397,890.00	63,836,368.67	12.89%
College of Nursing	13,698,408.00	7,642,672.92	55.79%
College of Pharmacy	11,238,710.00	6,144,115.36	54.67%
College of Population Health	4,741,842.00	1,639,098.95	34.57%
HSC VP Research	7,720,627.00	2,604,021.61	33.73%
HSC West Campus	673,427.00	13,639.60	2.03%
Total HSC Academic Operations	622,138,547.00	113,629,374.27	18.26%
Total Campus Operations	622,138,547.00	113,629,374.27	18.26%
Plant Funds	0.00	37,329,548.00	
UNMHs Capital Initiatives	0.00	0.00	
UNMHs Operations	0.00	773,083,536.00	
Grand Total	622,138,547.00	924,042,458.27	



Fiscal Year Ending - 2021

Attachment IX-Summary of Categorization of Reserves by College/School/Division by Committed, Dedicated and Discretionary

College/School/Division	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY
VP HSC Administration	31,595,371.28	26,056,672.96	5,392,987.67	145,710.65
HS Library and Informatics Center	154,085.88	28,369.04	104,009.00	21,707.84
School of Medicine	63,836,368.65	37,106,347.51	24,226,035.70	2,503,985.44
College of Nursing	7,642,672.92	4,862,673.28	2,779,999.64	0.00
College of Pharmacy	6,144,115.36	1,422,732.42	4,651,119.26	70,263.68
College of Population Health	1,639,098.95	441,662.87	987,096.27	210,339.81
HSC VP Research	2,604,021.61	1,338,477.91	1,145,612.68	119,931.02
UNM HSC West Campus	13,639.60	0.00	13,639.60	0.00
Total HSC Academic Operations	113,629,374.25	71,256,935.99	39,300,499.82	3,071,938.44
Total Campus Operations	113,629,374.25	71,256,935.99	39,300,499.82	3,071,938.44



Fiscal Year Ending - 2021

Attachment IX-Summary of Categorization of Reserves by College/School/Division by Committed, Dedicated and Discretionary

College/School/Division	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY
Plant Funds	37,329,547.80	17,985,516.13	19,344,031.67	0.00
UNMHs Capital Initiatives	0.00	0.00	0.00	0.00
UNMHs Operations	773,083,536.00	773,083,536.00	0.00	0.00
Grand Total	924,042,458.05	862,325,988.12	58,644,531.49	3,071,938.44



**Attachment XI--Summary of Plant Funds by College/School/Division
FYE 2021**

College/School/Division	Capital Outlay					
	FY 20 YE Reserve	FY 21 YE Reserve	Change	Committed	Dedicated	Discretionary
Advancement	0.00	0.00	0.00	0.00	0.00	0.00
HSC Administration	200,799,059.36	12,319,670.94	(188,479,388.42)	7,996,657.84	4,323,013.10	0.00
School of Medicine	19,218,057.10	18,585,115.25	(632,941.85)	7,136,636.53	11,448,478.72	0.00
College of Nursing	3,969,890.73	5,440,263.93	1,470,373.20	2,794,444.00	2,645,819.93	0.00
College of Pharmacy	995,618.86	984,497.38	(11,121.48)	57,777.76	926,719.62	0.00
HSC Subtotal	224,982,626.05	37,329,547.50	(187,653,078.55)	17,985,516.13	19,344,031.37	0.00
Gallup Branch						0.00
Los Alamos Branch						0.00
Taos Branch						0.00
Valencia County Branch						0.00
Branch Subtotal	0.00	0.00	0.00	0.00	0.00	0.00
Total Plant Funds	224,982,626.05	37,329,547.50	(187,653,078.55)	17,985,516.13	19,344,031.37	0.00

Cash Balance \neq Reserves

Adj. Unrestricted Net Assets = Reserves



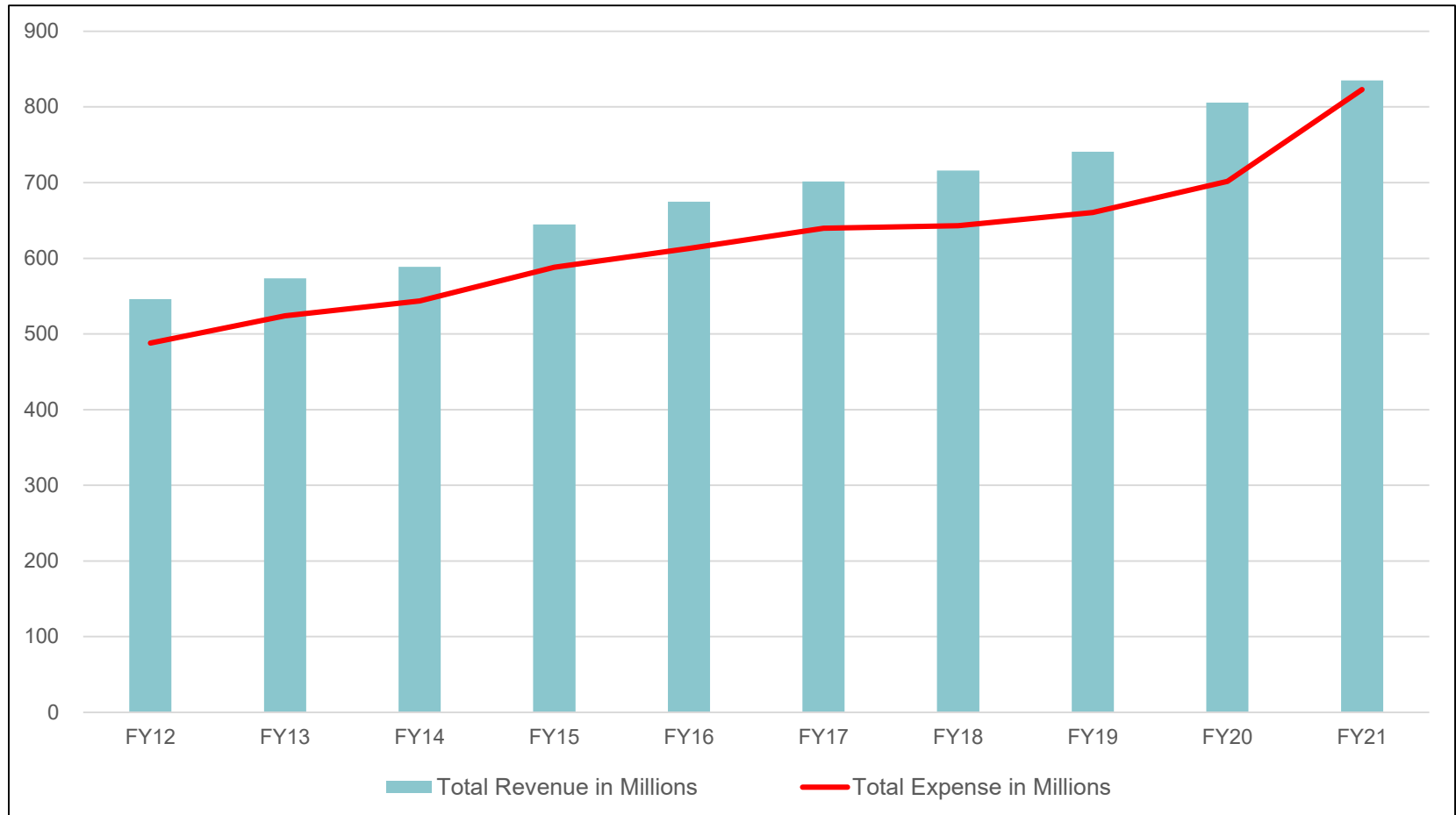
The Importance of University Reserves

- *University Reserves provide liquidity which is a foundational element of the University Bond Rating.*
- *The University uses reserves to mitigate unplanned budget reductions, adapt to changes and challenges such as health care reform, a pandemic or enrollment reductions, and to invest in mission critical initiatives.*
- *Reserves are non-recurring resources used as a bridge to avoid actions that damage our mission, such as un-planned layoffs, cuts in student or community services, or lapses in infrastructure maintenance.*
- *Reserves are a necessary element of working capital to sustain very large research and clinical care operations that are essentially cost reimbursable. New Mexico universities do not have access to unsecured commercial lines of credit to bridge timing gaps between collecting revenues and operating expenditures.*

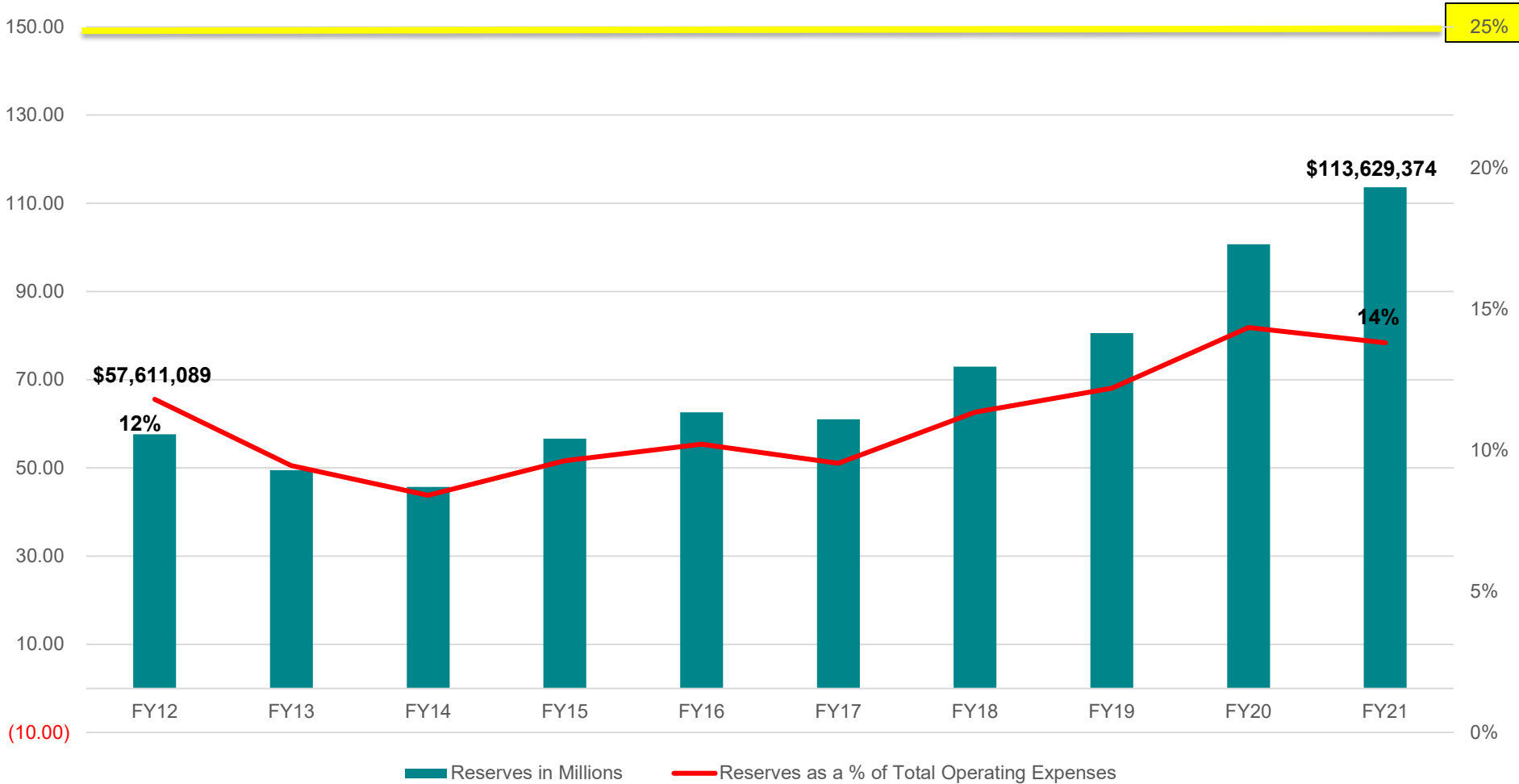
Operating Reserve Minimums

- *Target level: 25%-50% percent of the university's self-supporting (non-state) projected annual revenues, including unit operating reserves*
 - *Per NACUBO this is estimated to sustain 3 – 6 months of operations*
 - *NACUBO recommends at least 40% reserves for a completely self-supporting enterprise such as UNMH and its clinical operations*
- *Measured using the primary reserve ratio (PRR) at the end of each fiscal year*
 - *PRR calculation: Reserves / Total Operating Expenses*
 - *HSC Academic 14%*
 - *Health System 41%*
 - *Total 32%*

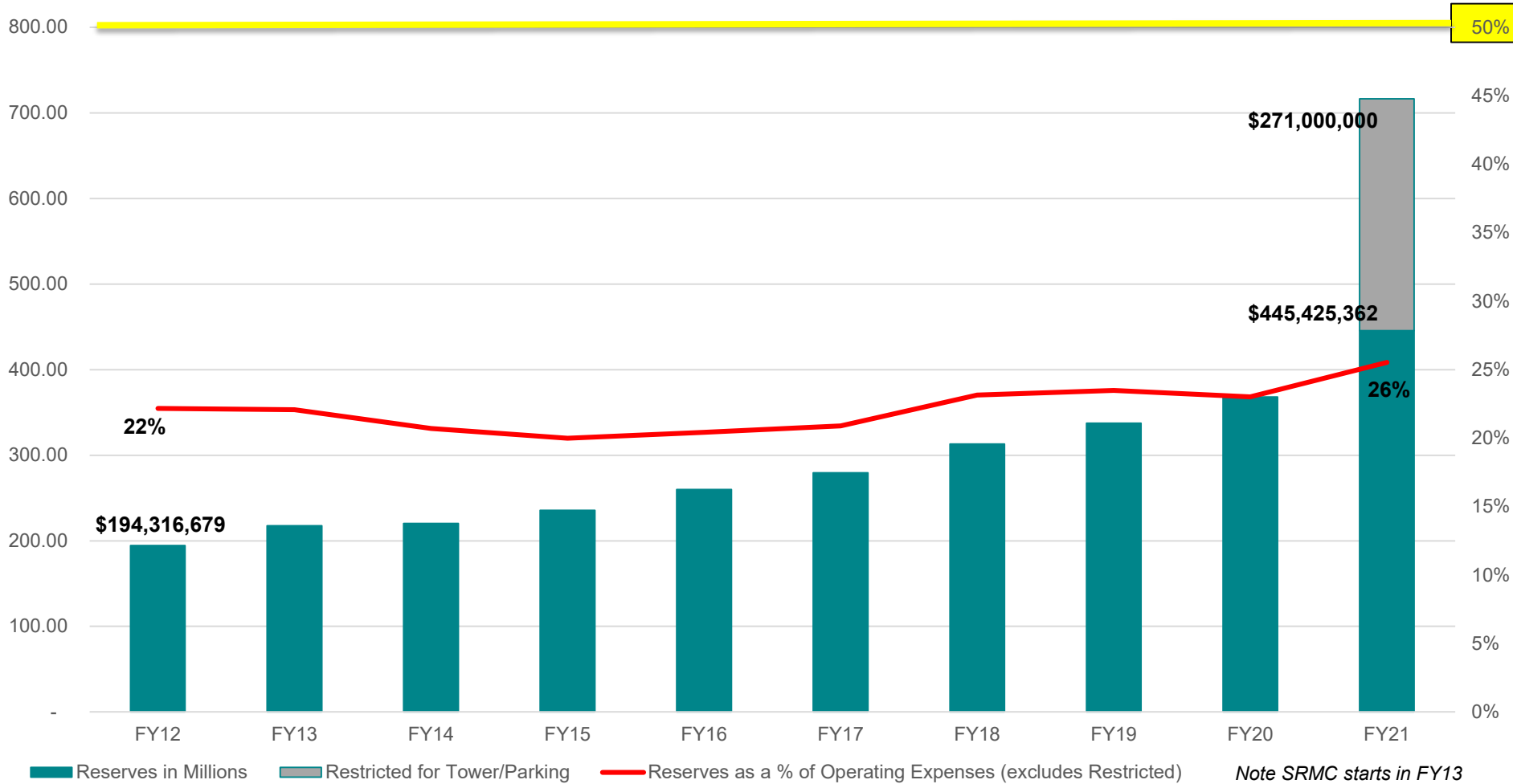
HSC Academic Revenue and Expense Trends



HSC Academic Ending Operating Reserves & Reserves as a % of Operating Expenses



Health Systems Ending Operating Reserves & Reserves as a % of Operating Expenses




Reserve Categories

- **Committed:** *this includes funds where a formal, legally binding obligation exists*
 - Purchase orders*
 - Signed offer letters*
 - Documented cost share requirements*
 - All endowed and non-endowed funds*
- **Dedicated:** *this includes funds with a clear, focused purpose and documented description that identifies the entity or individual initiating the dedication.*
 - Reserves dedicated by deans for specific purpose*
 - Purchases of equipment*
 - Bridge funding*
- **Discretionary:** *this includes remaining reserves after accounting for all committee and dedicated funds.*

FY21 HSC Academic and Health Systems Ending Reserves

Summary of All Committed Reserves by Type

 UNM OFFICE OF PLANNING, BUDGET & ANALYSIS		
Summary of Current Unrestricted Committed Reserves by Type HSC and Health Systems Current Unrestricted Reserves Categorization FY21 Ending Balance/FY22 Beginning Balance		
Committed Category	Health System	HSC Academic
Hospital Operations ¹	393,292,061	
Hospital Capital Restricted/Appropriation	271,000,000	
UNMMG Operations	73,075,740	
SRMC Operations ¹	35,715,735	
Donor Restricted and Scholarships		37,998,082
HSC Capital Initiatives ²		17,985,516
Capital Equipment and HSC Initiatives		11,486,637
Faculty Startup/Contracts/Chair & Dean Packages		8,008,361
Purchase Orders & Service Contracts		4,203,433
State Appropriations		8,276,907
Miscellaneous Fringe Benefit Reserves		1,283,516
Total Commitments	\$ 773,083,536	\$ 89,242,452
1. UNM Hospital Reserves (which are significantly less than the total reserves of similar hospitals with comparable licensed number of beds) are committed under the HUD Mortgage contract and the Bernalillo County lease agreement.		
2. Plant Funds is comprised of infrastructure projects, renewal and replacement projects and equipment replacement.		

FY21 HSC Academic Ending Reserves

Summary of All Dedicated Reserves by Type

Dedicated Category	HSC Academic
Research Bridge Funding, Startup and Faculty Development	31,483,381
HSC Capital Initiatives ¹	19,344,032
Other	2,748,982
Capital Equipment	2,379,618
Professional Service Contracts	2,615,334
Chancellor Initiatives	73,185
Total Dedications	\$ 58,644,531
Total Discretionary	\$ 3,071,938
Total Academic Dedications & Discretionary	\$ 61,716,470

1. Plant Funds is comprised of infrastructure projects, renewal and replacement projects, equipment replacement.

Thank you!

Questions?



Regents' Advisors

Faculty Senate President

Finnie Coleman

Staff Council President

Scott Sanchez

ASUNM President

Gregory Romero

GPSA President

David Saavedra

Alumni Association Board President

Mike Silva

UNM Foundation Board Chair

Ryan Mummert

Retiree Association President

Tim Backes