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Contribution to Software: \_\_\_\_\_

(Please photocopy and attach additional pages if five or more authors.)

**II. OWNERSHIP / SOURCE OF FUNDS (please answer ALL questions)**

- A. Was the development of the Software directly related to:
- a. author(s) University research duties?
  - b. research or other work performed utilizing significant University funds or facilities?
  - c. research sponsored by a federal government agency?
  - d. non-governmental sponsored research or other work?

B. Please list all sources of funding, including grants and fellowships, that author(s) received to support the development of the Software:

Sponsor: \_\_\_\_\_ P.I. \_\_\_\_\_  
Grant #: \_\_\_\_\_  
UNM Account #: \_\_\_\_\_  
Short Title: \_\_\_\_\_  
Research Period -- Start Date: \_\_\_\_\_ End Date: \_\_\_\_\_

Sponsor: \_\_\_\_\_ P.I. \_\_\_\_\_  
Grant #: \_\_\_\_\_  
UNM Account #: \_\_\_\_\_  
Short Title: \_\_\_\_\_  
Research Period -- Start Date: \_\_\_\_\_ End Date: \_\_\_\_\_

Sponsor: \_\_\_\_\_ P.I. \_\_\_\_\_  
Grant #: \_\_\_\_\_  
UNM Account #: \_\_\_\_\_  
Short Title: \_\_\_\_\_  
Research Period -- Start Date: \_\_\_\_\_ End Date: \_\_\_\_\_

C. Did you incorporate into Software materials from third party sources?  
(If yes, please identify on a separate sheet the material, the source, date received, and provide a copy of any agreements entered into for the use of said material.)

**III. SOFTWARE DETAILS:**

A. When was the attached version of the Software completed: \_\_\_\_\_  
How was this documented (e.g. lab notebook, computer disk, photograph, chart)?

B. Please attach a **detailed** description of the Software's function and what makes it innovative.

#### IV. PUBLICATIONS / DISCLOSURES

A. Has the Software been distributed, beta tested, or otherwise made available outside UNM (in the space provided below indicate who received the Software and when). If so, did the recipient(s) make any changes, modifications or adaptations (on a separate sheet attach the changes)?

1. \_\_\_\_\_ Date \_\_\_\_\_
2. \_\_\_\_\_ Date \_\_\_\_\_
3. \_\_\_\_\_ Date \_\_\_\_\_
4. \_\_\_\_\_ Date \_\_\_\_\_

## V. **MARKETING INFORMATION**

### A. Non-Confidential Market Summary

On a separate page write a short (maximum 1 page) description of the Software's function to assist STC in describing the Software to potential licensees. Highlight those functions you consider the most important and innovative. Include a brief background of the field and describe what makes your Software a superior product to existing software and indicate the programming language used as well as the list of operating systems on which the software may be used.

### B. List possible commercial applications in order of importance:

- 1.
- 2.
- 3.
- 4.

### C. Identify known competitive devices and software and their manufacturers:

- 1.
- 2.
- 3.
- 4.

### D. Identify any firms (including names of individuals and their phone numbers and email addresses if possible) whom you believe might be interested in licensing the Software:

1.     Company:  
       Contact:  
       Phone:                             Email:
  
2.     Company:  
       Contact:  
       Phone:                             Email:





## INVENTOR ASSIGNMENT TO UNM

ASSIGNMENT from \_\_\_\_\_ whose addresses are set forth on the signature page hereof (the "Inventors"), to the University of New Mexico, an educational institution of the State of New Mexico, whose address is c/o Patent Administration Office, Hokona Hall, Zuni Wing, Rm. 357, Albuquerque, New Mexico 87131 (the "University").

WHEREAS, the Inventors, while employed by the University, conceived and/or reduced to practice one or more inventions which are described both in the invention disclosure attached as an Exhibit hereto and in other materials associated with the disclosure, including materials prepared after the date of this Assignment. The disclosure is identified as:

<u>UNM Docket No.</u>	<u>Date Disclosed</u>	<u>Title</u>
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(both the disclosure and the associated materials are collectively referred to herein as the "Invention Disclosure");

WHEREAS, one or more patent application(s) either have been or may be filed in the future in the United States Patent and Trademark Office and other patent offices covering the invention or inventions described in the Invention Disclosure (collectively, the "Patent Application(s)"). Any such application(s) filed as of the date hereof are described below:

<u>Patent App. Serial No.</u>	<u>Country</u>	<u>Date of Filing</u>	<u>Title</u>
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and

WHEREAS, the University is legally entitled to obtain a formal assignment from the Inventors of their entire right, title, and interest in and to invention(s) and related technology created by Inventors during their employ by the University.

NOW, THEREFORE, the Inventors do hereby assign and transfer unto the University all their right, title, and interest in and to: (a) the invention(s) described in the Invention Disclosure and/or Patent Application(s); (b) any technical information, know-how, trade secret, process, procedure, composition, biological materials, device, method, formula, protocol, technique, software, design, tradename, trademark, copyright, copyrightable material, drawing or data which is related to the aforesaid invention(s) and/or that is included in the Invention Disclosure, whether or not covered by the Patent Application(s); (c) all rights of the Inventors in the Patent Application(s) including but not limited to all divisions, reissues, continuations, continuations-in-part, continuing patent applications, substitutions, renewals, extensions filed and all patent(s) issuing thereon in the United States and all foreign countries; and (d) all improvements to the invention(s) described in the Invention Disclosure and/or Patent Application(s) hereafter made or invented by any of the Inventors (all of the foregoing, (a), (b), (c), and (d) being collectively referred to hereafter as the "Invention"); and (e) the right to assert claims and bring lawsuits for any violation or infringement of any of the intellectual property rights assigned hereby, including all patents issuing on the Patent Applications.

THE INVENTORS FURTHER ACKNOWLEDGE AND AGREE AS FOLLOWS:

1. In accordance with the University's policies and practices, all net revenues from the Invention (all income received by the University, or its designee, from commercialization of the Invention, not including payments for research, development or reimbursement of certain costs), shall be divided as follows: forty percent (40%) equally to the Inventors (unless the Inventors have otherwise agreed in writing and so notified the University), forty percent (40%) to the Science & Technology Corporation @ UNM, and twenty percent (20%) to the University.
2. For the purpose of enabling the University, its officers, agents, employees, directors, attorneys, successors, assigns, and those in active concert and/or participating with it, to obtain, defend and enforce the United States and foreign intellectual property rights in the Invention it elects to pursue, the Inventors shall timely communicate all information, execute all documents, testify in all legal proceedings and take all such other actions necessary or desirable to accomplish such purpose.
3. The Inventors authorize the attorneys of record for the Patent Application(s) to insert in this Assignment where indicated above, or in an Addendum to be attached hereto, the filing date, country where filed, title and serial number of such patent applications filed hereafter as they become officially known.
4. The Inventors authorize and request all domestic and foreign patent office officials to issue all patents, when granted, to the University for the use and enjoyment of the University, its officers, agents, employees, directors, attorneys, successors, assigns and those in active concert and/or participating with them.
5. The Inventors represent and agree that no assignment, sale, agreement or encumbrance has been or will be made or entered into by them which would conflict with this assignment.
6. The undersigned Inventors acknowledge by their respective signatures hereto that they have read the foregoing, understand its content and have had the opportunity to consult legal counsel of their choosing concerning their rights and obligations hereunder prior to their signatures hereto.
7. If there shall only be one Inventor, all references herein to Inventors shall be deemed to refer to said Inventor. If there shall be more than one Inventor, this shall be deemed to be a joint assignment by all Inventors.

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Assignor: \_\_\_\_\_ Date \_\_\_\_\_  
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