

# Chapter 16. Molecular Symmetry

## I. Symmetry

### Elements

### Operations

axis

rotation about an axis

mirror plane

reflection thru a plane

inversion center

inversion thru a center

....

Five symmetry elements and corresponding operations:

i. Doing nothing, identity  $E$

ii. Rotation about an n-fold axis  $C_n$

A rotation of  $360^\circ/n$ .

$C_3$

$C_4$

$C_6$

Axis with the largest  $n$  is the principal axis.

$n$  symmetry operations:

$n = 3$

$C_3^1$

$C_3^2$

$C_3^3 = E$

$C_3^1 C_3^1 = C_3^2, \quad C_3^1 C_3^2 = E$

iii. Inversion through a center of symmetry  $i$

iv. Reflection through a mirror plane  $\sigma$

Vertical plane  $\sigma_v$ : parallel to principal axis.

Horizontal plane  $\sigma_h$ : perpendicular to principal axis

Dihedral plane  $\sigma_d$ : bisects two  $C_2$  axes perpendicular to the principal axis.

v. Improper rotation about an axis of improper rotation  $S_n$

Rotation + reflection

## Symmetry groups

Group: A collection of elements (symmetry operations) that satisfy the following conditions:

- a. There is always an identity element.
- b. Every element has an inverse.
- c. Any products of two elements are also elements of the group.
- d. Multiplication of elements is associative  $A(BC)=(AB)C$ .

Point group: at least a point unchanged. A molecule belongs to a point group.

Space group: point group + translational symmetries.

$$C_1 : E$$

$$C_i : E, i$$

$$C_s : E, \sigma$$

$$C_n : E, C_n$$

$$C_{nv} : E, C_n, n\sigma_v$$

$$C_{nh} : E, C_n, \sigma_h$$

$$D_n : E, C_n, nC_2 \text{ (2-fold axes perpendicular to } C_n)$$

$$D_{nh} : E, C_n, nC_2, \sigma_h$$

$$D_{nd} : E, C_n, nC_2, n\sigma_d$$

$$S_n : E, S_n.$$

$$C_i = S_2$$

Tetrahedral groups  $T$ ,  $T_h$  and  $T_d$

Octahedral groups  $O$ ,  $O_h$  and  $O_d$

Rotational group  $R_3$ .

Group can be determined by flow diagram.

## Consequences of symmetry

### i. Polarity

A polar molecule (with permanent electric dipole) belongs to one of the groups  $C_n$ ,  $C_{nv}$  and  $C_s$ .

CO belongs to  $C_{\infty v}$  and is polar.

$N_2$  belongs to  $D_{\infty h}$  and is non-polar.

### ii. Chirality

Chiral molecule: cannot be superimposed by its mirror image.

A chiral molecule must not have  $i$  or  $\sigma$ .

Chiral molecules can change the polarization of light.

### Usefulness:

- i. Classify molecules.
- ii. Save computational efforts.
- iii. Determine selection rules.

## II. Character table

### Representation and character table

Representation: mathematical elements representing symmetry operations.

Example: H<sub>2</sub>O ( $C_{2v}$  group, four elements)

$$E: \quad (x \ y \ z) \rightarrow (x \ y \ z)$$

$$C_2^1: \quad (x \ y \ z) \rightarrow (-x \ -y \ z)$$

$$\sigma_v: \quad (x \ y \ z) \rightarrow (x \ -y \ z)$$

$$\sigma_v': \quad (x \ y \ z) \rightarrow (-x \ y \ z)$$

$x \ y \ z$  as bases

In particular,

$$\sigma_v C_2^1(x \ y \ z) = \sigma_v(-x \ -y \ z) = (-x \ y \ z) = \sigma_v'(x \ y \ z)$$

Multiplication table

	1 <sup>st</sup> operation			
2 <sup>nd</sup> operation	$E,$	$C_2^1,$	$\sigma_v,$	$\sigma_v'$
$E$	$E,$	$C_2^1,$	$\sigma_v,$	$\sigma_v'$
$C_2^1$	$C_2^1,$	$E,$	$\sigma_v',$	$\sigma_v$
$\sigma_v$	$\sigma_v,$	$\sigma_v',$	$E,$	$C_2^1$
$\sigma_v'$	$\sigma_v',$	$\sigma_v,$	$C_2^1,$	$E$

Matrix rep:

$$E: \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

$$C_2^1: \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} -x \\ -y \\ z \end{pmatrix}$$

$$\sigma_v: \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} x \\ -y \\ z \end{pmatrix}$$

$$\sigma_v': \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} -x \\ y \\ z \end{pmatrix}$$

Verification:

$$\sigma_v C_2^1 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \sigma_v'$$

3-D rep  $\Gamma^{(3)}$  spanned by  $(x \ y \ z)$



Trace of matrix (sum of diagonal elements) is called character  $\chi$

	$E$	$C_2^1$	$\sigma_v$	$\sigma_v'$	
$\chi$	3	-1	1	1	$(\Gamma^{(3)})$

$\Gamma^{(3)}$  can be reduced to a direct sum of two matrix reps:

$$\Gamma^{(3)} = \Gamma^{(1)} + \Gamma^{(2)}$$

$\Gamma^{(1)}$  is spanned by  $z$  (irreducible representation, irrep).

	$E$	$C_2^1$	$\sigma_v$	$\sigma_v'$	
$\chi$	1	1	1	1	$(\Gamma^{(1)})$

$\Gamma^{(2)}$  can be further reduced to the direct sum of two 1D reps spanned by  $x$  and  $y$ .

Character table: list of characters of all its irreps.

	$E$	$C_2^1$	$\sigma_v$	$\sigma_v'$	basis
$A_1$	1	1	1	1	$z$
$A_2$	1	1	-1	-1	$xy$
$B_1$	1	-1	1	-1	$x$
$B_2$	1	-1	-1	1	$y$

The last column is the basis for different irreps.

$$xy \xrightarrow{E} xy, \quad xy \xrightarrow{C_2^1} xy, \quad xy \xrightarrow{\sigma_v} x(-y), \quad xy \xrightarrow{\sigma_v'} (-x)y$$

## Symmetry species (label of irrep)

- A: 1-D irreps with +1 under principal rotation.
- B: 1-D irreps with -1 .. .. .
- E: 2-D irreps.
- T: 3-D irreps.

Subscript determined by  $\chi$  for  $\sigma_v$  or perpendicular  $C_2$  axis.

Dimension of an irrep ( $d_j$ ): size of matrix of the irrep.

Order ( $h$ ): total number of operations.

Class: operations of the same kind.

## Properties of characters for irreps

- i. Characters are unique, independent of basis.
- ii. Characters of elements in the same class are identical.
- iii. Order of a group is related to dimensions of irreps by

$$\sum_j d_j^2 = h$$

- iv. Characters form a set of mutually orthogonal vectors (Grand Orthogonality Theorem).

$$\sum_O \chi_i(O) \chi_j(O) = h \delta_{ij}$$

where  $O$  denotes the symmetry operations.

$$A_1A_1: (1 \times 1 + 1 \times 1 + 1 \times 1 + 1 \times 1) = 4$$

$$A_1A_2: [1 \times 1 + 1 \times 1 + 1 \times (-1) + 1 \times (-1)] = 0$$

- v. Number of irreps = number of classes.
- vi. Any rep can be decomposed into irreps:

$$\chi(O) = \sum_i a_i \chi_i(O)$$

$$a_i = \frac{1}{h} \sum_O \chi(O) \chi_i(O)$$

- vii. To construct the basis for a particular irrep ( $i$ ), define projection operator:

$$\hat{P}_i = \frac{d_i}{h} \sum_O \chi_i(O) \hat{O},$$

where  $d_i$  is the dimensionality of the irrep.

Example: A matrix rep for  $C_{2v}$  group has the following characters:  $\Gamma=4, 2, 0, 2$ . Determine how many times each irrep is contained in it.

$$a_{A_1} = \frac{1}{4} [4 \times 1 + 2 \times 1 + 0 \times 1 + 2 \times 1] = 2$$

$$a_{A_2} = \frac{1}{4} [4 \times 1 + 2 \times 1 + 0 \times (-1) + 2 \times (-1)] = 1$$

$$a_{B_1} = \frac{1}{4} [4 \times 1 + 2 \times (-1) + 0 \times 1 + 2 \times (-1)] = 0$$

$$a_{B_2} = \frac{1}{4} [4 \times 1 + 2 \times (-1) + 0 \times (-1) + 2 \times 1] = 1$$

In other words:

$$\Gamma = 2A_1 + A_2 + B_2$$

### III. Applications

#### Classification of MOs (H<sub>2</sub>O):

$$a_1: \Psi = c_1(sH_a + sH_b) + c_2sO + c_3p_zO$$

because this MO is invariant under all symmetry operations in C<sub>2v</sub>. Thus, it is a base for the A<sub>1</sub> irrep.

Similarly,

$$b_2: \Psi = c_1(sH_a - sH_b) + c_2p_yO$$

Orbital degeneracy is determined by  $\chi$  under  $E$ .

#### Vanishing integrals and SALC:

Only AOs with the same symmetry species form MOs because otherwise the overlap integral is zero.

Consider an overlap integral

$$I = \int f_1 f_2 d\tau$$

$f_1 f_2$  must contain the total symmetric irrep  $A_1$  if  $I$  is non-zero.

Example: Judge whether  $I$  is zero if  $f_1 = p_x$  and  $f_2 = p_y$  for  $H_2O$ .

i. Find the irrep each function belongs to and write its characters.

$f_1:$	$B_1$	1	-1	1	-1
$f_2:$	$B_2$	1	-1	-1	1

ii. Multiply them together by column

$f_1 f_2:$		1	1	-1	-1
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iii. Find out if it contains  $A_1$ . If not,  $I = 0$ .

The characters belong to the  $A_2$  irrep. So  $I$  is zero.

A spectral transition is forbidden if the transition dipole is zero.

Example. Judge whether

$$I = \int f_1 f_2 f_3 d\tau$$

is zero if  $f_1 = p_x$ ,  $f_2 = p_y$  and  $f_3 = xy$  for  $H_2O$ .

The characters for the bases are

$f_1$ :	$B_1$	1	-1	1	-1
$f_2$ :	$B_2$	1	-1	-1	1
$f_3$ :	$A_2$	1	1	-1	-1

The product:

$f_1 f_2 f_3$ :		1	1	1	1
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So, the characters belong to the  $A_1$  irrep. So  $I$  may be non-zero (but could be very small).

### Symmetry-adapted linear combination

LCAO-MO with molecular symmetry is called symmetry-adapted linear combinations (SALC).

### Projection operator method:

The projection operator for a particular irrep ( $i$ ) is defined as follows:

$$\hat{P}_i = \frac{d_i}{h} \sum_O \chi_i(O) \hat{O}$$

For the  $A_1$  irrep in  $C_{2v}$  for  $H_2O$ , we have

$$\hat{P}_{A_1} = \frac{1}{4}(E + C_2^1 + \sigma_v + \sigma'_v)$$

and

$$\begin{aligned}\hat{P}_{A_1} sH_a &= \frac{1}{4}(E + C_2^1 + \sigma_v + \sigma'_v) sH_a \\ &= \frac{1}{4}(sH_a + sH_b + sH_b + sH_a) = \frac{1}{2}(sH_a + sH_b)\end{aligned}$$

$$\hat{P}_{A_1} sH_b = \frac{1}{2}(sH_a + sH_b)$$

$$\begin{aligned}\hat{P}_{A_1} sO &= \frac{1}{4}(E + C_2^1 + \sigma_v + \sigma'_v) sO \\ &= \frac{1}{4}(sO + sO + sO + sO) = sO\end{aligned}$$

$$\hat{P}_{A_1} p_x O = 0$$

$$\hat{P}_{A_1} p_y O = 0$$

$$\hat{P}_{A_1} p_z O = p_z O$$

So

$$a_1: \Psi = c_1(sH_a + sH_b) + c_2 sO + c_3 p_z O$$

For the B<sub>2</sub> irrep, we have

$$\hat{P}_{B_2} = \frac{1}{4}(E - C_2^1 - \sigma_v + \sigma'_v)$$

and

$$\begin{aligned}\hat{P}_{B_2} sH_a &= \frac{1}{4}(E - C_2^1 - \sigma_v + \sigma'_v) sH_a \\ &= \frac{1}{4}(sH_a - sH_b - sH_b + sH_a) = \frac{1}{2}(sH_a - sH_b)\end{aligned}$$

$$\hat{P}_{B_2} sO = 0$$

$$\hat{P}_{B_2} p_x O = 0$$

$$\hat{P}_{B_2} p_y O = p_y O$$

$$\hat{P}_{B_2} p_z O = 0$$

So

$$b_2: \Psi = c_1(sH_a - sH_b) + c_2 p_y O$$

Tabulation method:

- i. Tabulate results of all operation on AOs,
- ii. Multiply the characters to each column,
- iii. Add together all the results.

	sH <sub>a</sub>	sH <sub>b</sub>	sO	p <sub>x</sub> O	p <sub>y</sub> O	p <sub>z</sub> O
E	sH <sub>a</sub>	sH <sub>b</sub>	sO	p <sub>x</sub> O	p <sub>y</sub> O	p <sub>z</sub> O



$C_2^1$	$sH_b$	$sH_a$	$sO$	$-p_xO$	$-p_yO$	$p_zO$
$\sigma_v$	$sH_b$	$sH_a$	$sO$	$p_xO$	$-p_yO$	$p_zO$
$\sigma_v'$	$sH_a$	$sH_b$	$sO$	$-p_xO$	$p_yO$	$p_zO$

For  $A_1$  species, multiply (1 1 1 1) to the first column:

$$\Phi = (sH_a + sH_b + sH_b + sH_a)/4 = (sH_a + sH_b)/2$$

second column:

$$\Phi = (sH_b + sH_a + sH_a + sH_b)/4 = (sH_a + sH_b)/2$$

third column:

$$\Phi = sO$$

fourth and fifth columns:

$$\Phi = 0$$

and the sixth column:

$$\Phi = p_zO$$

So, the SALC-MO with  $A_1$  symmetry (the  $a_1$  orbital) is the sum of all the above:

$$a_1: \Psi = c_1(sH_a + sH_b) + c_2sO + c_3p_zO$$

For the  $B_2$  irrep, we have for 1<sup>st</sup> (and 2<sup>nd</sup>) column

$$\Phi = (sH_a - sH_b - sH_b + sH_a)/4 = (sH_a - sH_b)/2$$

3<sup>rd</sup> and 4<sup>th</sup> columns

$$\Phi = 0$$

5<sup>th</sup> column

$$\Phi = p_y \mathbf{O}$$

6<sup>th</sup> column

$$\Phi = 0$$

So

$$b_2: \Psi = c_1(sH_a - sH_b) + c_2 p_y \mathbf{O}$$