ACTION ITEMS

1. Confirmation of a Quorum and Adoption of Agenda

2. Approval of Meeting Minutes from October 21, 2016

INFORMATION ITEMS

3. Main Campus Chief Compliance Officer Status Report (Libby Washburn, UNM Main Campus Chief Compliance Officer)

4. Advisors’ Comments

5. Follow-Up Items from September 5, 2016 Meeting

6. Status of Audit Recommendations (Chien-chih Yeh, Internal Audit Manager)
   Implemented
   Pending

7. Director of Internal Audit Status Report (Manu Patel, Internal Audit Director)

EXECUTIVE SESSION

8. Vote to close the meeting and to proceed in Executive Session as follows:
   a. Discussion of draft Internal Audit Reports, and discussion of information subject to attorney-client privilege pursuant to RPM 1.2;
   b. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
   c. Schedule of Audits in Process and Proposed FY17 Audit Work plan, pursuant to RPM 1.2;
   d. Vote to re-open the meeting.

9. Certification that only those matters described in Agenda item # 8 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session

10. Adjournment