ACTION ITEMS

1. Confirmation of a Quorum and Adoption of Agenda

2. Approval of Meeting Minutes from September 2, 2016

EXECUTIVE SESSION

3. Vote to close the meeting and to proceed in Executive Session as follows:
   a. Presentation of FY16 External Financial Audit pursuant to exceptions at Section 10-15-1H NMSA (1978) and Section 12-6-5 NMSA (1978) (KPMG, Moss Adams, and Liz Metzger, University Controller)
   b. Discussion of draft Internal Audit Reports, and discussions of information subject to attorney-client privilege pursuant RPM 1.2
   c. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
   d. Schedule of Audits in Process and Proposed FY17 Audit Work plan, pursuant to RPM 1.2
   e. Vote to re-open the meeting.

4. Certification that only those matters described in Agenda item # 3 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session

INFORMATION ITEMS

5. Main Campus Chief Compliance Officer Status Report (Libby Washburn, UNM Main Campus Chief Compliance Officer)

6. Advisors’ Comments

7. Follow-Up Items from September 5, 2016 Meetings

8. Status of Audit Recommendations (Chien-chih Yeh, Internal Audit Manager)
   Implemented
   Pending

9. Director of Internal Audit Status Report (Manu Patel, Internal Audit Director)

10. Adjournment