

Further Notes for CM course Fall 2007

V. M. Kenkre

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1 Anatomy of Fermi Golden Rule Derivation

One finds in typical texts the following derivation of the Fermi Golden Rule.

The Schroedinger equation allows one to write, if the Hamiltonian is $H = H_0 + V$ with H_0 having eigenstates labelled say by m , with energy $E_m = \langle m | H_0 | m \rangle$, the matrix elements $\langle m | V | n \rangle$ being V_{mn} ,

$$\frac{dc_m(t)}{dt} = -iE_m c_m(t) - i \sum_n V_{mn} c_n \quad (1)$$

The transformation $C_m(t) = e^{iE_m t} c_m(t)$ removes the diagonal term and allows one to write the solution, for the initial condition that state I is initially occupied, as

$$C_m(t) = -i \int_0^t e^{-i\omega_{mn}s} \sum_n V_{mn} C_n(s) ds \quad (2)$$

Here m represents any initially *unoccupied* state and ω_{mn} is the energy difference $E_m - E_n$.

So far the only assumptions made have been about the initial condition. We now assume that *the time and the interaction are small enough* so that the amplitude C_I of the initially occupied state remains close to 1 and all others remain close to 0. Then the summation collapses to a single term allowing one to write for the probability of occupation of the m th state $P_m(t) = C_m^*(t) C_m(t)$

$$P_m(t) = \left| -i \int_0^t e^{-i\omega_{mI}s} V_{mI} ds \right|^2 = |V_{mI}|^2 \frac{\sin^2(\omega_{mI}t/2)}{(\omega_{mI}/2)^2} \quad (3)$$

Notice that the probability of occupation of state m is oscillatory. If, however, one looks for *large enough times*, the square of the sinc function looks like a delta-function IN THE SPACE OF ω_{mn} !!

This leads one to say that if the space of the energies E_m is continuous, one can talk about the probability of occupation of the m th state to be *linearly increasing* in time so that

$$\frac{dP_m(t)}{dt} = [\text{const.}|V_{mI}|^2|\delta(E_m - E_I)]$$

So what are the assumptions we have made?

One of short times. Another of long times. another of weak interactions V . Yet another of short times which you might have missed. Notice that if you take the last equation too seriously, the constant rate will make $P_m(t)$ increase linearly beyond 1 and mess you up. The earlier short time assumption was so that you could collapse the sum over n . The new short time assumption is so that the probability should not exceed 1. Both are mixed with a weak V assumption but not in a clear way. The intermediate long time assumption was so that you could make the sync function behave the way you wanted.

This is a mess but a nice mess looking closely at which might teach us a lot. This we will now do, first by invoking one of the most clear thinkers of physics, Pauli, then taking him down a notch for muddled thinking, learning passingly about the van Hove λ^2 limit, and then more seriously about the projection techniques of Zwanzig and how we should modify them to understand the Fermi Golden Rule and generally transport theory.