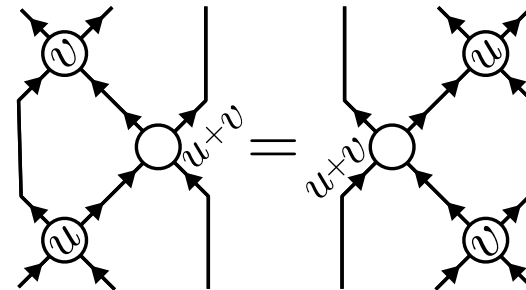
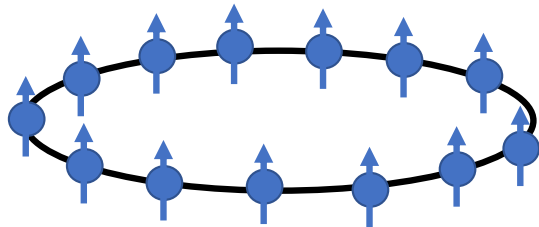


# Quantum Integrability

## Part 2: Algebraic Bethe Ansatz

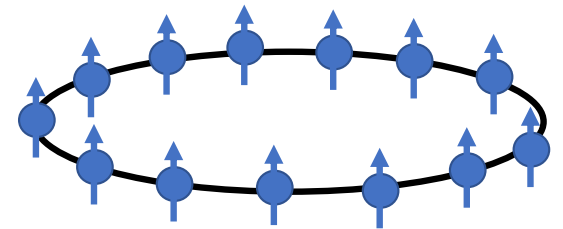
Following [VieiraCostelloCourse] (Lectures 1-3) and [arXiv:1804.07350]



# Spin-1/2 models of magnetism

$$H_{XYZ} = - \left( J_X \sum_{j=1}^L X_j X_{j+1} + J_Y \sum_{j=1}^L Y_j Y_{j+1} + J_Z \sum_{j=1}^L Z_j Z_{j+1} \right)$$

$$J_X = J_Y \Rightarrow H_{XXZ} \quad \text{Integrable family of Hamiltonians!}$$



L spins on a ring

$$X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$Y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

$$Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$Z|\uparrow\rangle = (+1)|\uparrow\rangle$$

$$X_j = I \otimes I \otimes \dots \otimes X \otimes \dots \otimes I$$

# Spin-1/2 models of magnetism

$$H_{XYZ} = - \left( J_X \sum_{j=1}^L X_j X_{j+1} + J_Y \sum_{j=1}^L Y_j Y_{j+1} + J_Z \sum_{j=1}^L Z_j Z_{j+1} \right)$$

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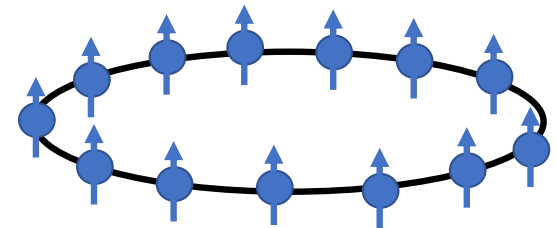
## Special case XXX-model (this lecture)

$$J_X = J_Y = J_Z \Rightarrow H_{XXX}$$

$$\sigma_j = (X_j, Y_j, Z_j)$$

$$\sigma_1 = \sigma_{L+1} \quad \text{PBC}$$

$$H_{XXX} = -J \sum_{j=1}^L \sigma_j \cdot \sigma_{j+1}$$



L-spins on a ring

$$X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$Y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

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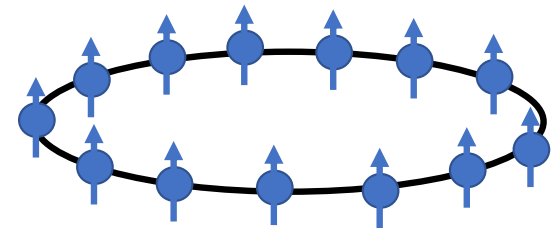
$$Z|\uparrow\rangle = (+1)|\uparrow\rangle$$

$$X_j = I \otimes I \otimes \dots \otimes X \otimes \dots \otimes I$$

# Spin-1/2 models of magnetism

$$H_{XYZ} = - \left( J_X \sum_{j=1}^L X_j X_{j+1} + J_Y \sum_{j=1}^L Y_j Y_{j+1} + J_Z \sum_{j=1}^L Z_j Z_{j+1} \right)$$

$J_X = J_Y \Rightarrow H_{XXZ}$  Integrable family of Hamiltonians!



L-spins on a ring

Special case XXX-model (this lecture)

$$J_X = J_Y = J_Z \Rightarrow H_{XXX}$$

$$H_{XXX} = -J \sum_{j=1}^L \sigma_j \cdot \sigma_{j+1}$$

$\sigma_j = (X_j, Y_j, Z_j)$   
 $\sigma_1 = \sigma_{L+1}$  PBC

Recall:

$$\text{SWAP}_{1,2} = \frac{1 + \sigma_1 \cdot \sigma_2}{2}$$

Rescale ground state energy to zero

$$H_{XXX} = -\frac{J}{2} \sum_{j=1}^L (\sigma_j \cdot \sigma_{j+1} - 1) = -J \sum_{j=1}^L (\text{SWAP}_{j,j+1} - 1)$$

$$H_{XXX} | \uparrow \rangle^{\otimes L} = 0$$

Ground state space is really symmetric subspace

## A clever way to write the XXX-Hamiltonian

$$H_{XXX} = -iJ \partial_u \log T(u) \Big|_{u=0} + JL$$

$$T(u) = \text{Tr}_0 \left( \prod_{j=1}^L u I_{0,j} + i \text{SWAP}_{0,j} \right)$$

Recall:

$$\partial_u \log T(u) \Big|_{u=0} = T(0)^{-1} (\partial_u T)(0)$$

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$$H_{XXX} = -iJ \partial_u \log T(u) \Big|_{u=0} + JL$$

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Transfer matrix

R-matrix

Recall:

$$\partial_u \log T(u) \Big|_{u=0} = T(0)^{-1} (\partial_u T)(0)$$

# A clever way to write the XXX-Hamiltonian

$$H_{XXX} = -iJ \partial_u \log T(u) \Big|_{u=0} + JL$$

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Transfer matrix R-matrix

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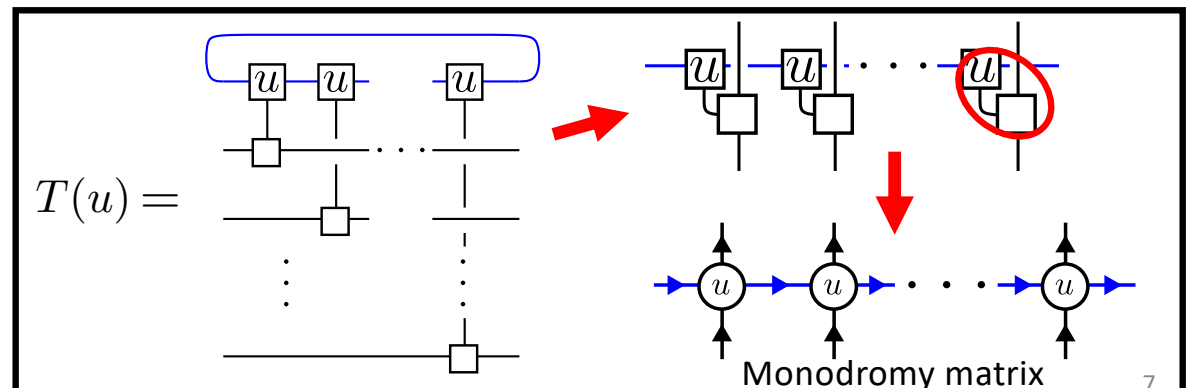
$$R_{0,j}(u) = \begin{array}{c} \square^u \\ | \\ \square \end{array} = u \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} + i \begin{array}{c} \diagup \\ | \\ \diagdown \end{array}$$

$$R_{0,j}(u) = \begin{array}{c} \uparrow \\ \circ^u \\ \uparrow \end{array} = u \begin{array}{c} | \\ \text{---} \\ | \end{array} + i \begin{array}{c} \diagdown \\ | \\ \diagup \end{array}$$

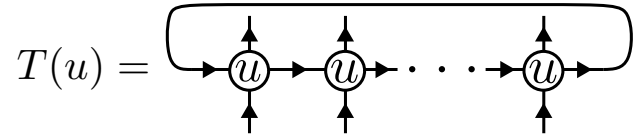
Quick note on diagrammatics:

$$BA|\psi\rangle = |\psi\rangle \begin{array}{c} \square \\ | \\ \square \end{array} \begin{array}{c} \square \\ | \\ \square \end{array}$$

$$\text{Tr}(A) = \begin{array}{c} \square \\ | \\ \square \end{array}$$



Proof



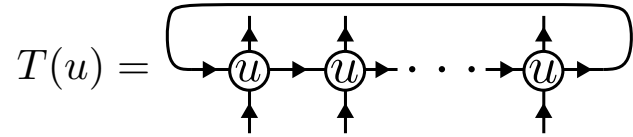
$$H_{XXX} = -iJ\partial_u \log T(u) \Big|_{u=0} + JL$$

$$T(u) = \text{Tr}_0 \left( \prod_{j=1}^L uI_{0,j} + i\text{SWAP}_{0,j} \right)$$

$$\text{site } u = u \begin{array}{c} | \\ \hline | \end{array} + i \begin{array}{c} \text{---} \text{---} \\ \text{---} \end{array}$$



Proof

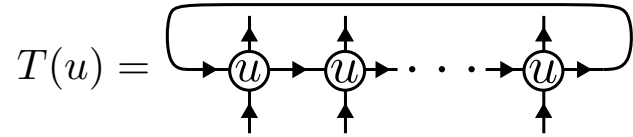


$$H_{XXX} = -iJ \partial_u \log T(u) \Big|_{u=0} + JL$$

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$$\textcircled{u} = u \begin{array}{c} | \\ \text{---} \\ | \end{array} + i \begin{array}{c} \text{---} \\ \text{---} \end{array}$$

Proof



$$T(0) = i^L \left[ \text{Diagram of } L \text{ sites with } u=0 \right]$$

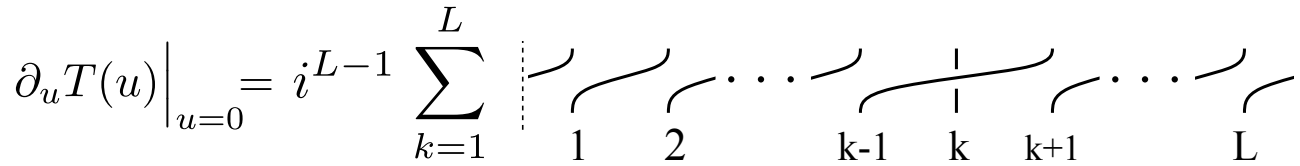
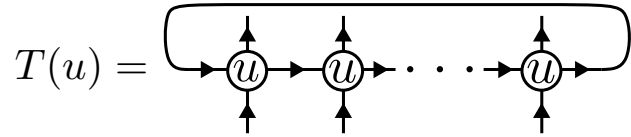
$$T(0)^{-1} = i^{-L} \left[ \text{Diagram of } L \text{ sites with } u=0 \right]$$

$$H_{XXX} = -iJ \partial_u \log T(u) \Big|_{u=0} + JL$$

$$T(u) = \text{Tr}_0 \left( \prod_{j=1}^L u I_{0,j} + i \text{SWAP}_{0,j} \right)$$

$$\text{Site } u = u \begin{array}{|c} \uparrow \\ \hline \\ \downarrow \end{array} + i \begin{array}{|c} \uparrow \\ \hline \nearrow \\ \downarrow \end{array}$$

Proof

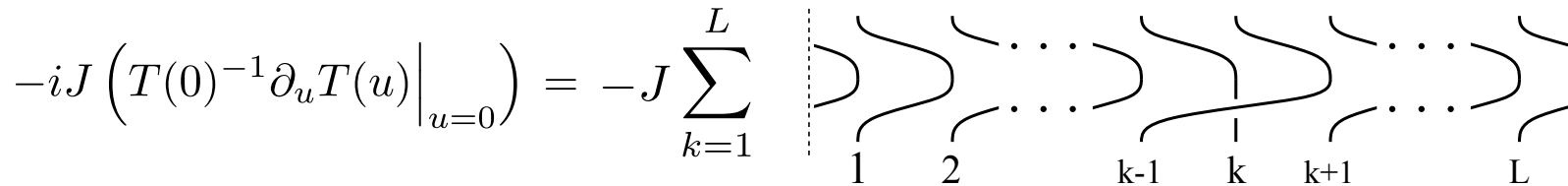
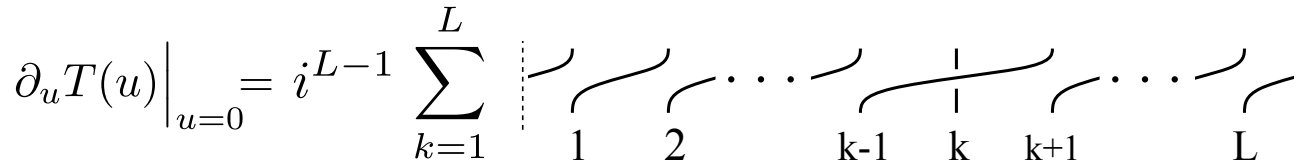
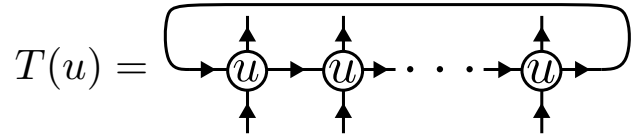


$$H_{XXX} = -iJ \partial_u \log T(u) \Big|_{u=0} + JL$$

$$T(u) = \text{Tr}_0 \left( \prod_{j=1}^L u I_{0,j} + i \text{SWAP}_{0,j} \right)$$

$$\text{Diagram of } u \text{ circle} = u \left[ \text{Diagram with vertical line} \right] + i \left[ \text{Diagram with upward-curving arc} \right]$$

Proof



$$H_{XXX} = -iJ \partial_u \log T(u) \Big|_{u=0} + JL$$

$$T(u) = \text{Tr}_0 \left( \prod_{j=1}^L u I_{0,j} + i \text{SWAP}_{0,j} \right)$$

Proof

$$T(u) = \text{Diagram of a chain of } L \text{ sites with } u \text{ on each site, connected by horizontal bonds. Each site has a vertical arrow pointing up and a vertical line passing through it. The chain is enclosed in a rounded rectangle with a horizontal line above it.$$

$$T(0) = i^L \text{ Diagram of } L \text{ sites with wavy bonds between them, enclosed in a rounded rectangle with a horizontal line above it. The diagram is split by a vertical dashed line.$$

$$T(0)^{-1} = i^{-L} \text{ Diagram of } L \text{ sites with wavy bonds between them, enclosed in a rounded rectangle with a horizontal line above it. The diagram is split by a vertical dashed line.$$

$$\partial_u T(u) \Big|_{u=0} = i^{L-1} \sum_{k=1}^L \text{ Diagram of } L \text{ sites with wavy bonds between them, enclosed in a rounded rectangle with a horizontal line above it. A vertical line is drawn through site } k \text{, and the diagram is split by a vertical dashed line.$$

$$-iJ \left( T(0)^{-1} \partial_u T(u) \Big|_{u=0} \right) = -J \sum_{k=1}^L \text{ Diagram of } L \text{ sites with wavy bonds between them, enclosed in a rounded rectangle with a horizontal line above it. A vertical line is drawn through site } k \text{, and the diagram is split by a vertical dashed line.$$

$$= -J \sum_{k=1}^L \text{SWAP}_{k-1,k} = H_{XXX} - JL \quad \square$$

$$H_{XXX} = -iJ \partial_u \log T(u) \Big|_{u=0} + JL$$

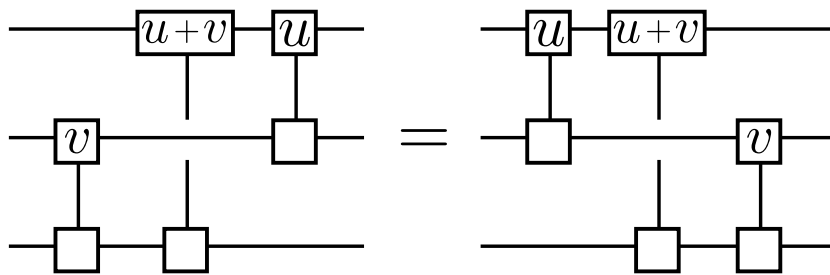
$$T(u) = \text{Tr}_0 \left( \prod_{j=1}^L u I_{0,j} + i \text{SWAP}_{0,j} \right)$$

$$\text{Diagram of a site with } u \text{ and a vertical arrow} = u \text{ Diagram of a site with a vertical line} + i \text{ Diagram of a site with a wavy bond}$$

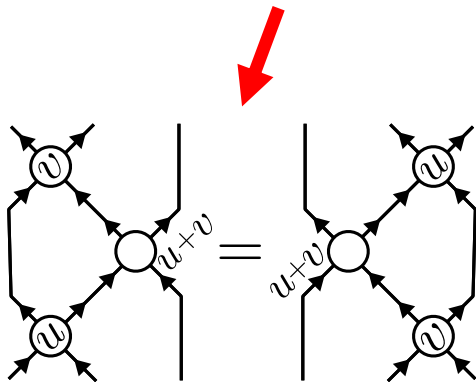
# Conserved quantities and Yang-Baxter

- R-matrix satisfies Yang-Baxter equation  $\Rightarrow$  Many conserved quantities

$$R_{1,2}(u)R_{1,3}(u+v)R_{2,3}(v) = R_{2,3}(v)R_{1,3}(u+v)R_{1,2}(u)$$



$$\Rightarrow T(v)T(u) = T(u)T(v) \quad \forall u, v \in \mathbb{C}$$



Since  $T$  is analytic in  $u$  (its just a polynomial)  
We really get that,

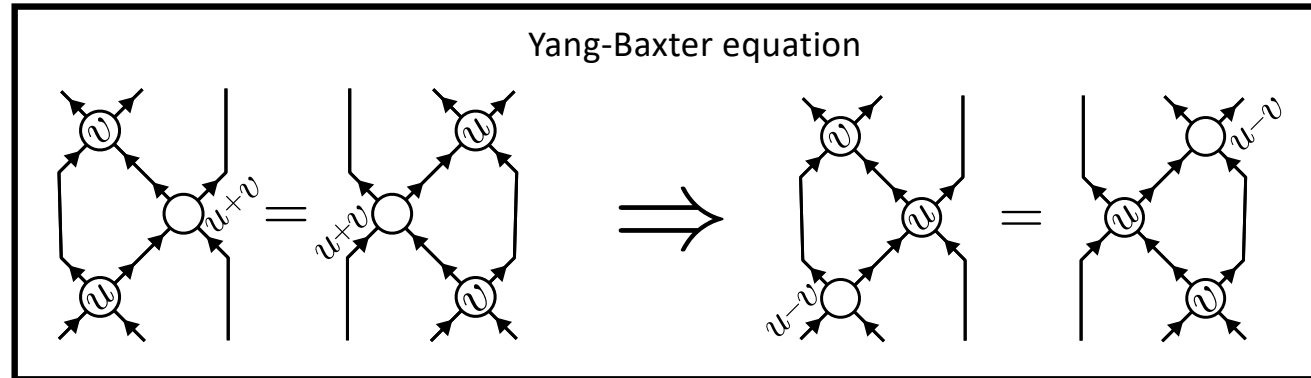
$$[\partial_u^n T(u), \partial_v^m T(v)] = 0 \quad \forall u, v \in \mathbb{C} \quad \forall n, m \in \mathbb{N}_0$$

Spectrum of  $T$ 's implies spectrum of  $H$ .

$$H_{XXX} \propto T(0)^{-1}(\partial_u T)(0) \Rightarrow [H_{XXX}, T(u)] = 0$$

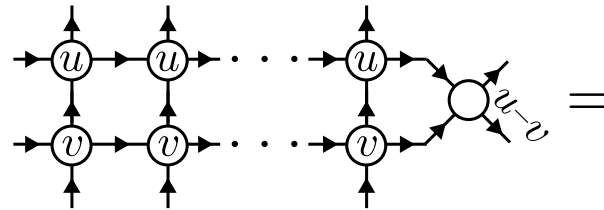
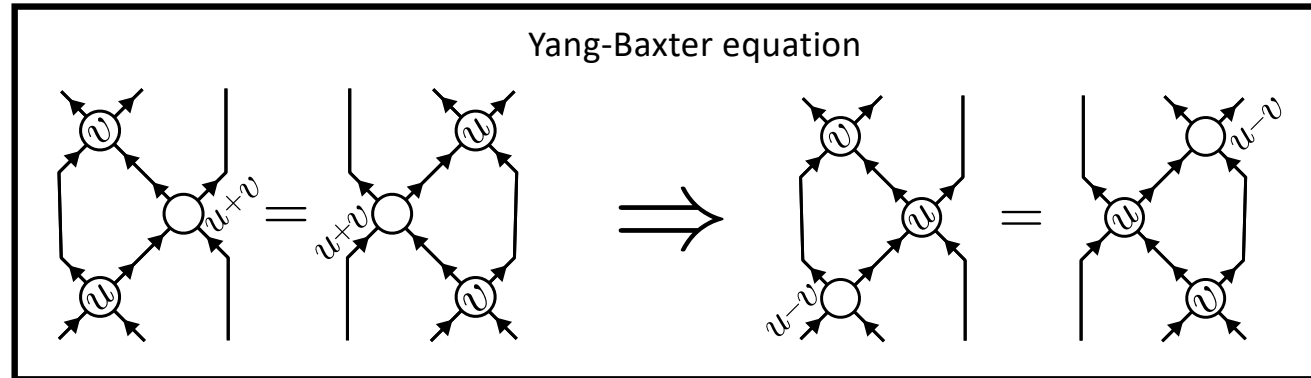
# Proof

Yang-Baxter  
 $\Rightarrow$   
Conserved quantities



# Proof

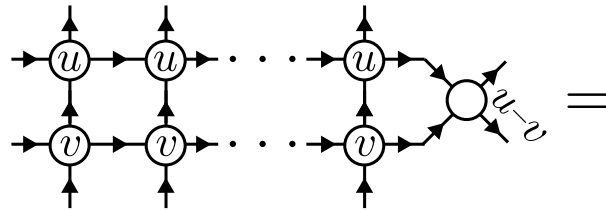
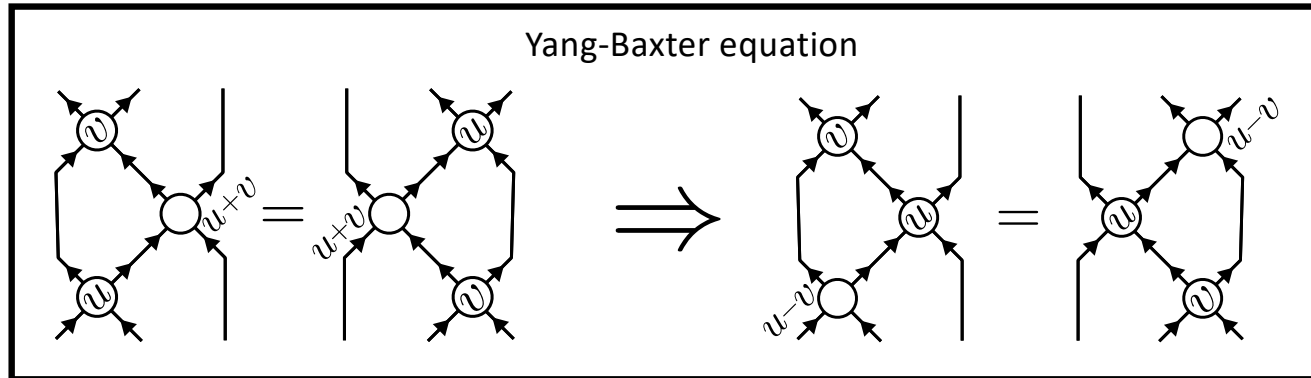
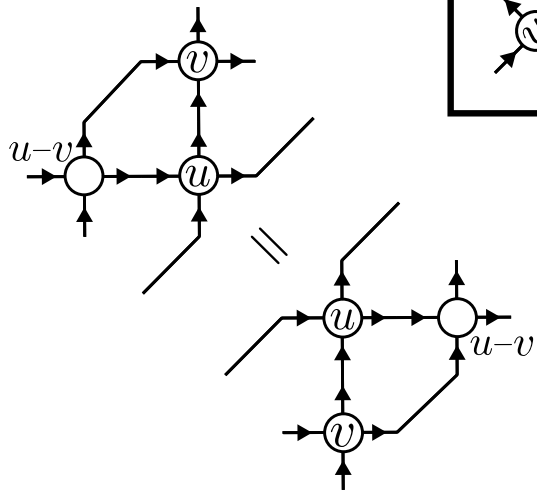
Yang-Baxter  
 $\Rightarrow$   
 Conserved quantities





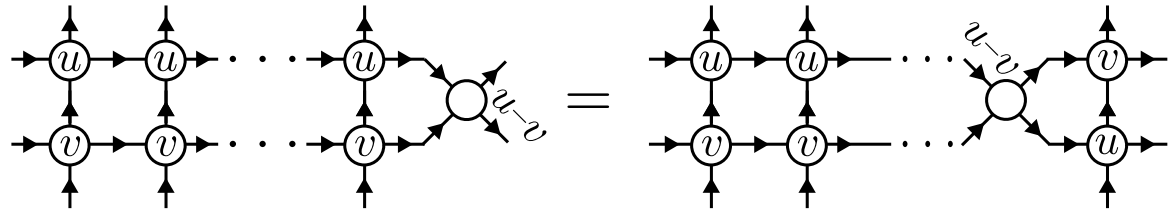
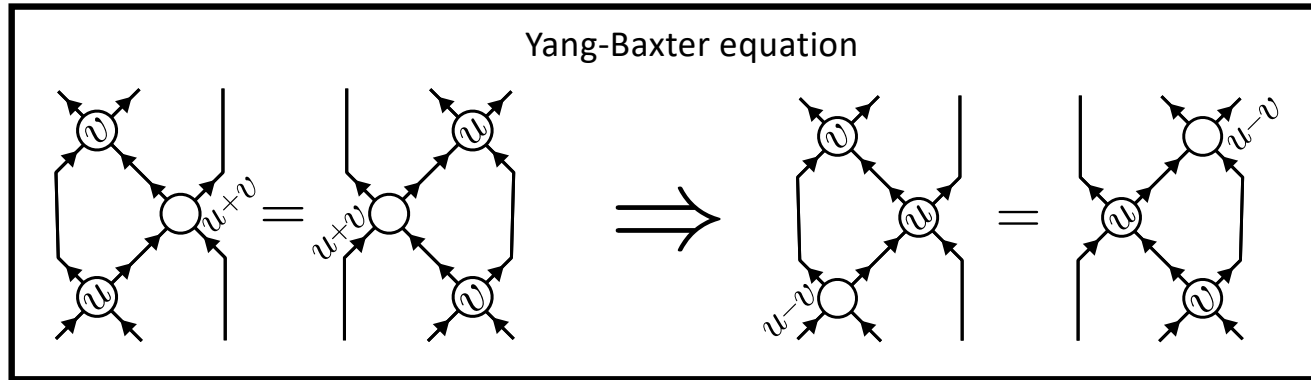
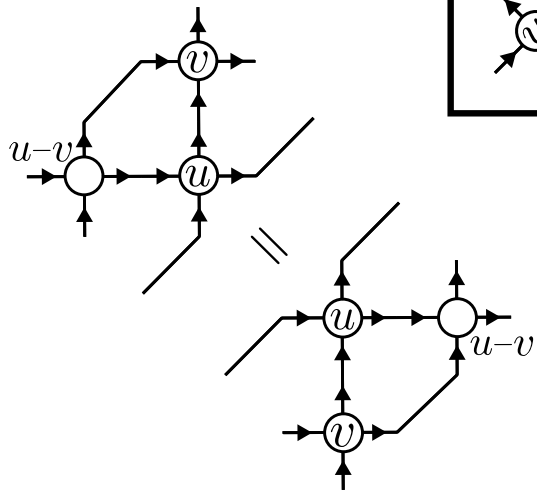
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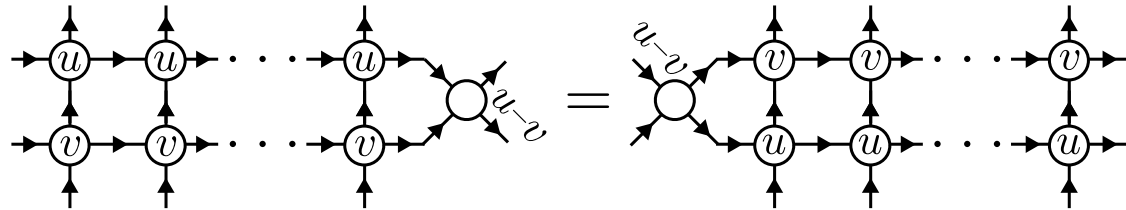
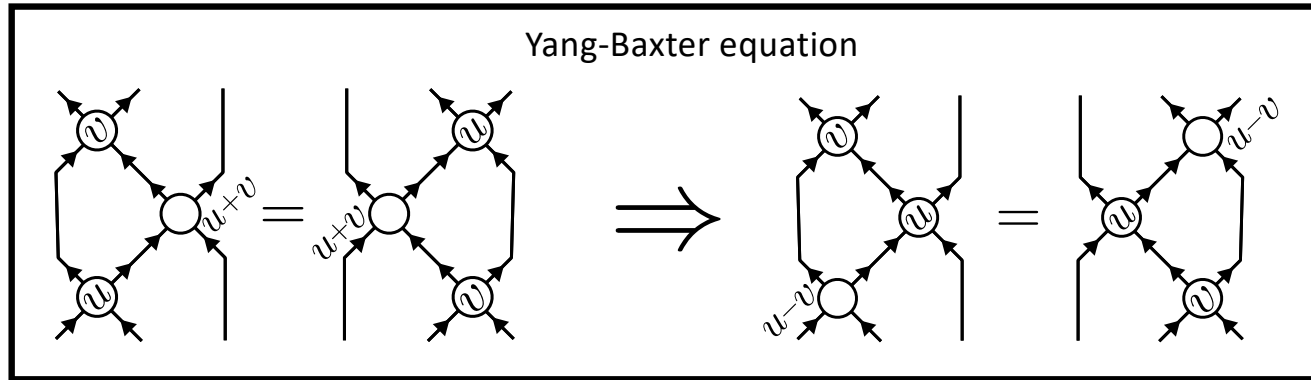
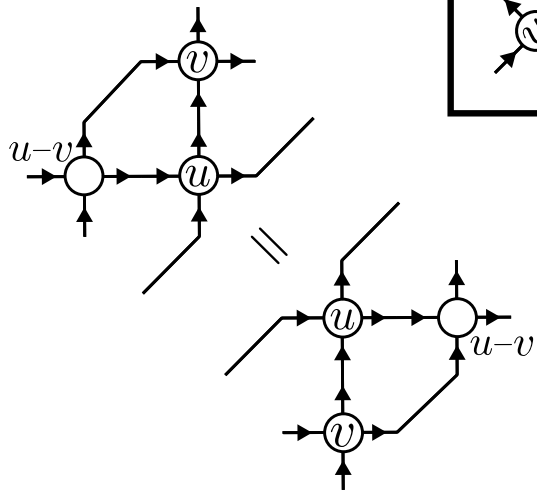
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 $\Rightarrow$   
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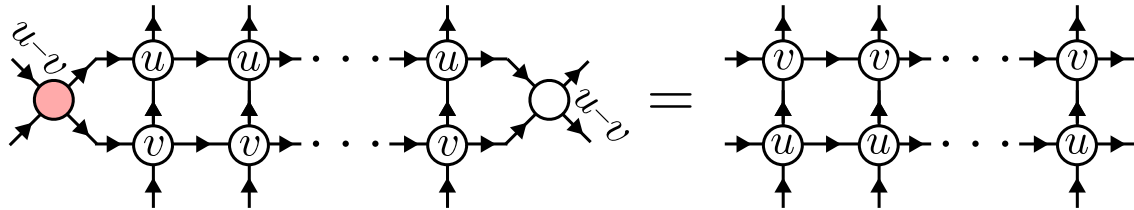
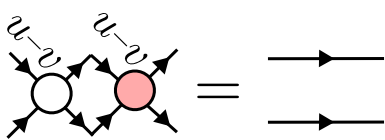
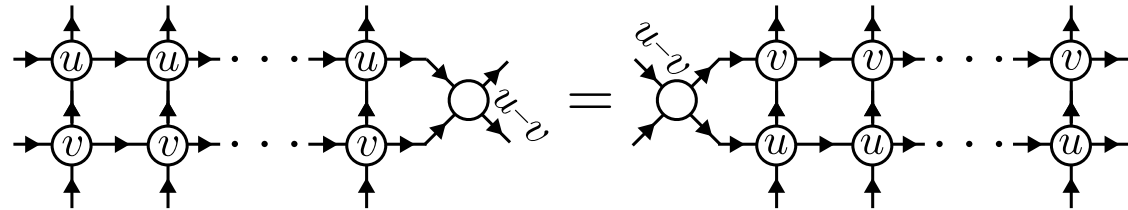
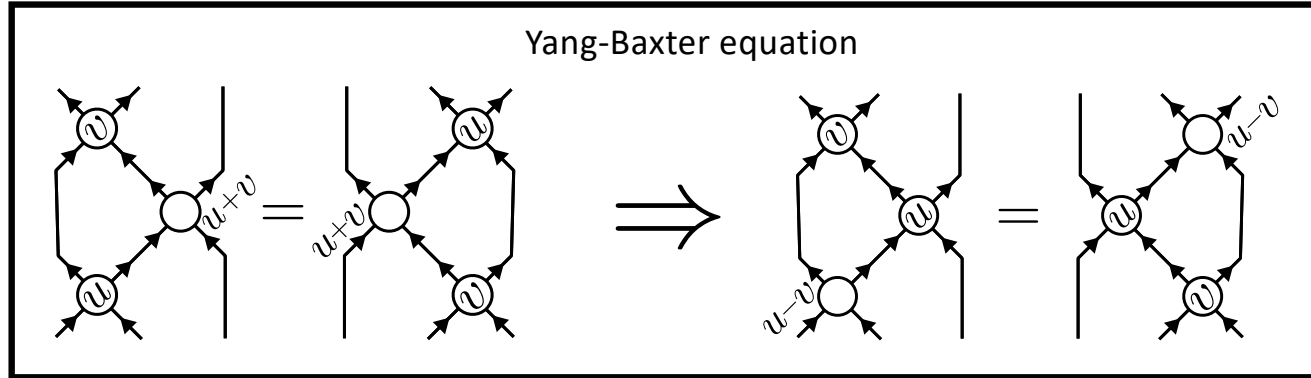
# Proof

Yang-Baxter  
 $\Rightarrow$   
 Conserved quantities



# Proof

Yang-Baxter  
 $\Rightarrow$   
 Conserved quantities

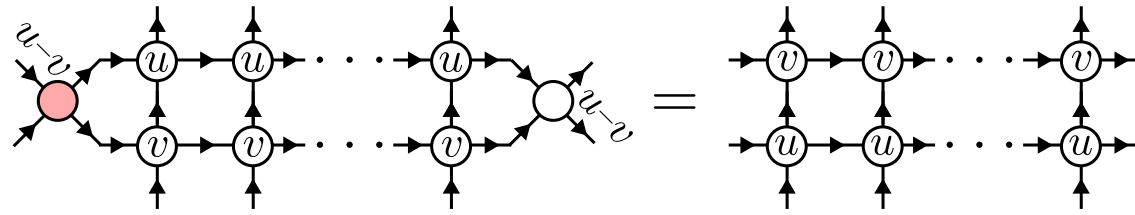


# Proof

Yang-Baxter

$\Rightarrow$

Conserved quantities

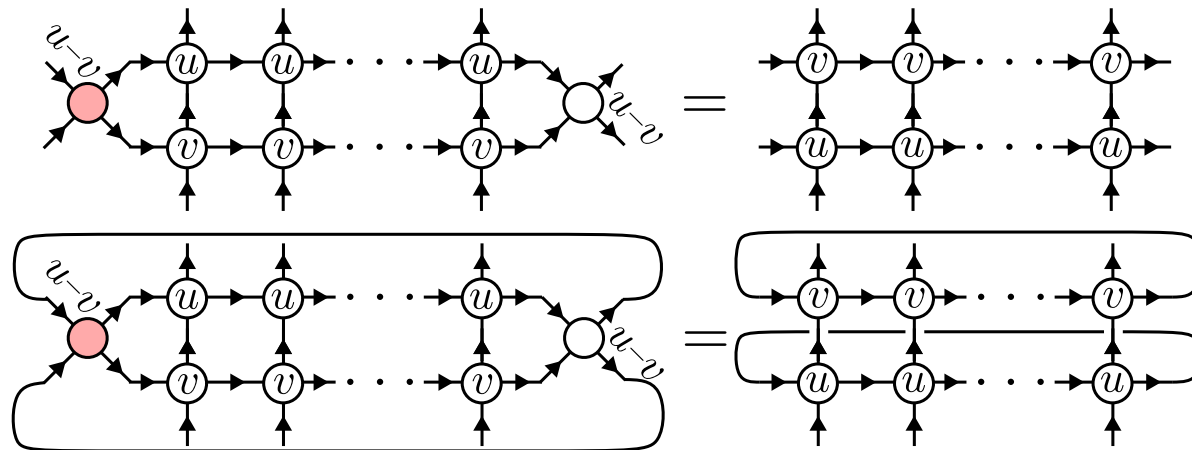


# Proof

Yang-Baxter

$\Rightarrow$

Conserved quantities

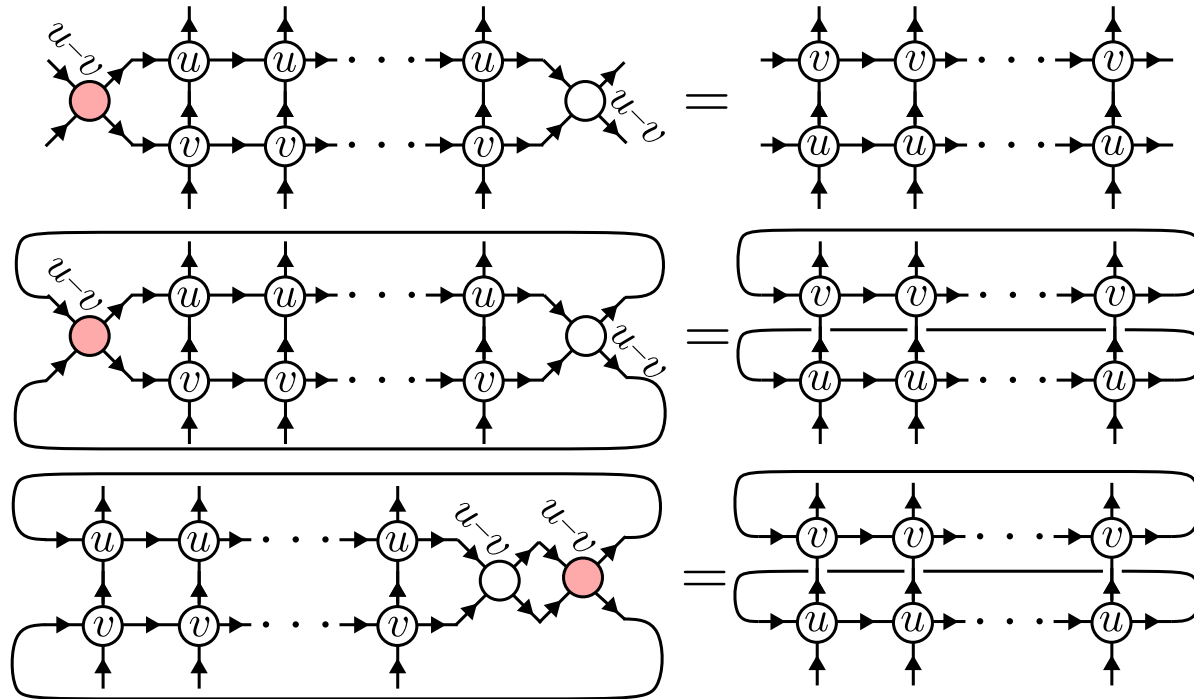
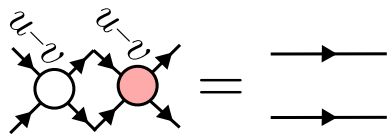


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$\Rightarrow$

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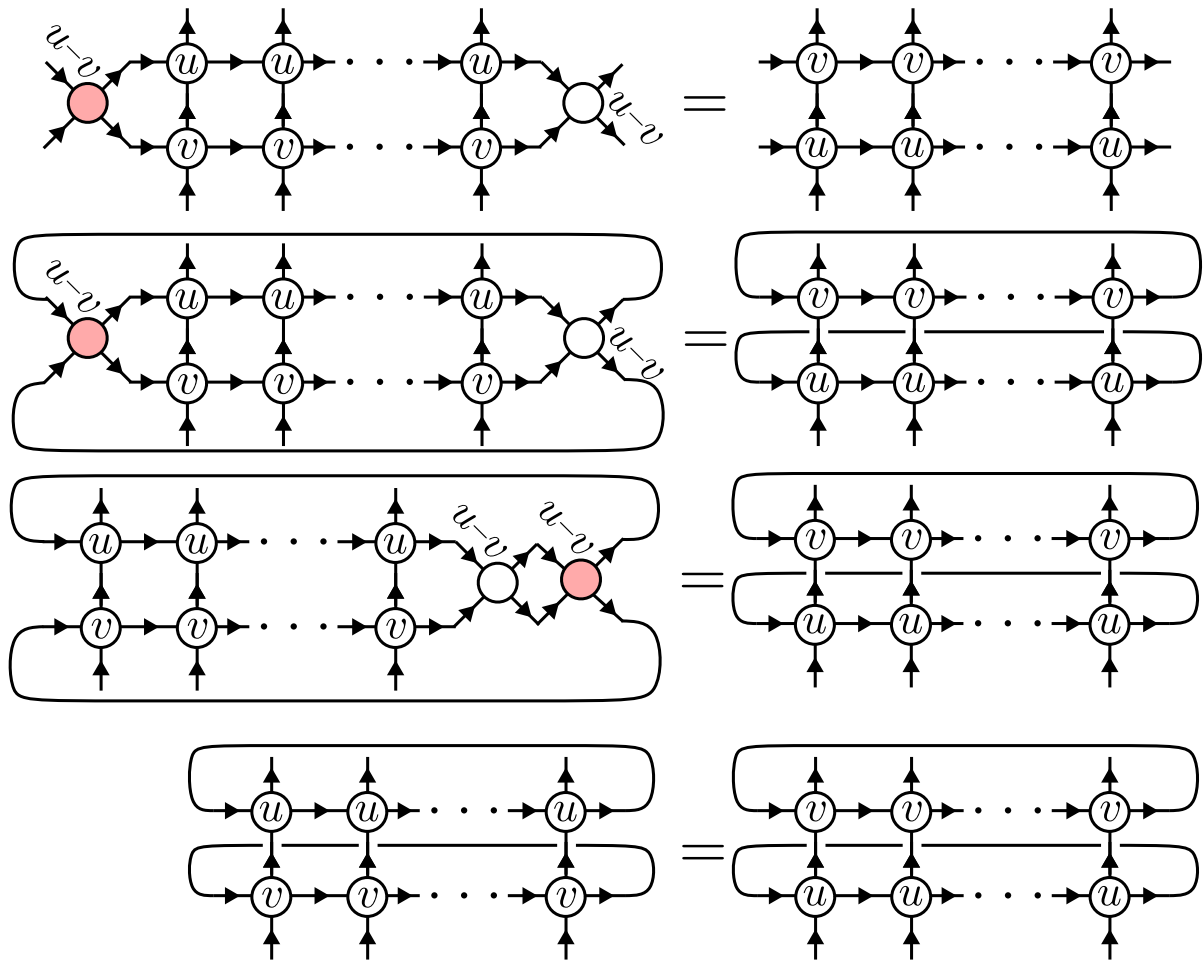
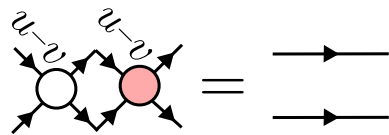


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Yang-Baxter

$\Rightarrow$

Conserved quantities



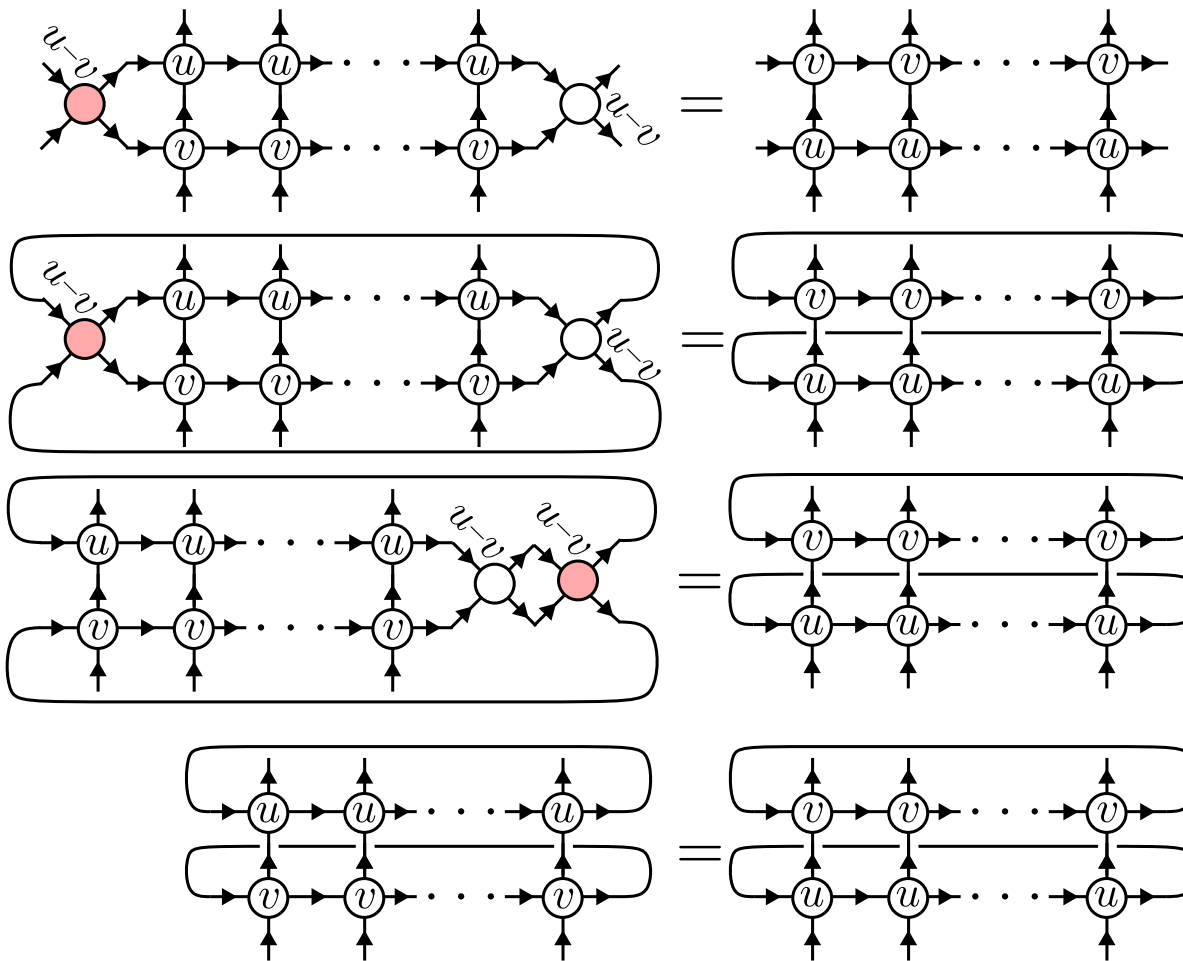
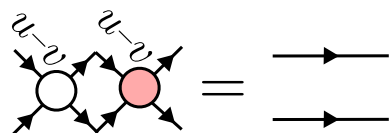


# Proof

Yang-Baxter

$\Rightarrow$

Conserved quantities

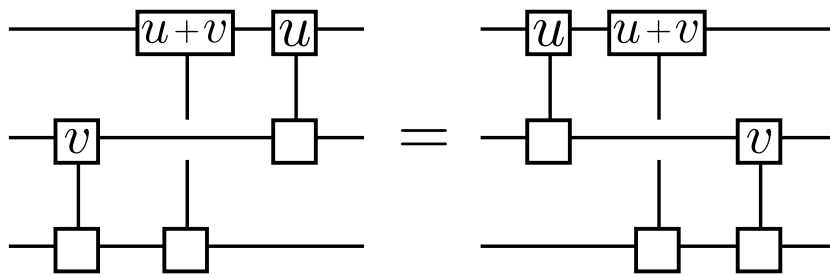


$$T(u)T(v) = T(v)T(u) \quad \forall u, v \in \mathbb{C} \quad \square$$

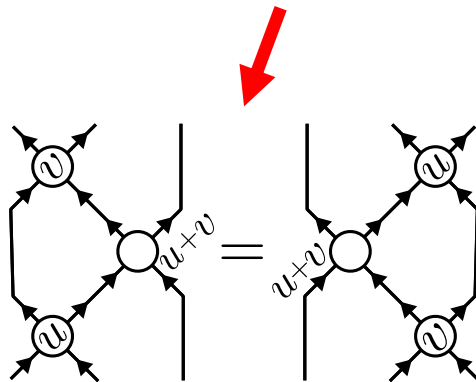
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$$\Rightarrow T(v)T(u) = T(u)T(v) \quad \forall u, v \in \mathbb{C}$$



Since  $T$  is analytic in  $u$  (its just a polynomial)  
We really get that,

$$[\partial_u^n T(u), \partial_v^m T(v)] = 0 \quad \forall u, v \in \mathbb{C} \quad \forall n, m \in \mathbb{N}_0$$

Spectrum of  $T$ 's implies spectrum of  $H$ .

$$H_{XXX} \propto T(0)^{-1}(\partial_u T)(0) \Rightarrow [H_{XXX}, T(u)] = 0$$

# The Bethe Ansatz

Basic idea: Use monodromy matrices to construct spectrum of the transfer operator.

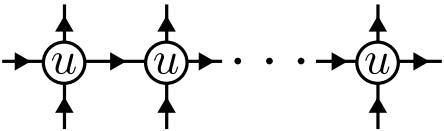
Monodromy matrix is a  $2 \times 2$  matrix of  $2^L \times 2^L$  matrices

$$\begin{array}{c} \uparrow \\ \circlearrowleft u \\ \uparrow \end{array} \rightarrow \begin{array}{c} \uparrow \\ \circlearrowleft u \\ \uparrow \end{array} \rightarrow \dots \rightarrow \begin{array}{c} \uparrow \\ \circlearrowleft u \\ \uparrow \end{array} \rightarrow = \begin{pmatrix} A(u) & B(u) \\ C(u) & D(u) \end{pmatrix} \quad A(u), B(u), C(u), D(u) : \mathbb{C}^{2^L} \rightarrow \mathbb{C}^{2^L}$$

# The Bethe Ansatz

Basic idea: Use monodromy matrices to construct spectrum of the transfer operator.

Monodromy matrix is a 2x2 matrix of  $2^L \times 2^L$  matrices



$$= \begin{pmatrix} A(u) & B(u) \\ C(u) & D(u) \end{pmatrix} \quad A(u), B(u), C(u), D(u) : \mathbb{C}^{2^L} \rightarrow \mathbb{C}^{2^L}$$

$$T(u) = \text{Tr} \begin{pmatrix} A(u) & B(u) \\ C(u) & D(u) \end{pmatrix} = A(u) + D(u)$$

Transfer matrix: Gives us the Hamiltonian via

$$H_{XXX} = -iJ\partial_u \log T(u) \Big|_{u=0} + JL$$

$$|p_1, \dots, p_N\rangle \propto \prod_{j=1}^N B(u_j) |\uparrow\rangle^{\otimes L}$$


The Bethe ansatz: Eigenstates of  $H_{XXX}$  understood as  $N$  excitations with momenta  $p_1, \dots, p_N$ .

Technique: Y-B eqn. implies an algebra (commutators!)

$$T(u)|u_1, \dots, u_N\rangle = (A(u) + D(u)) \prod_{j=1}^N B(u_j) |\uparrow\rangle^{\otimes L}$$

$$= \tau(u, u_1, \dots, u_n) \prod_{j=1}^N B(u_j) |\uparrow\rangle^{\otimes L} + |\text{stuff}\rangle$$

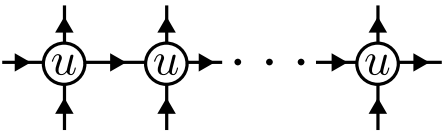
Fix the  $u$ 's such that this is zero



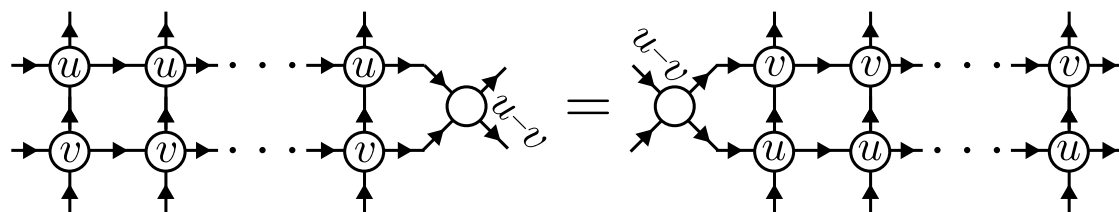
# Zamolodchikov-Faddeev Algebra

- Yang-Baxter equation implies algebra on monodromy matrices

Monodromy matrix is a  $2 \times 2$  matrix of  $2^L \times 2^L$  matrices



$$= \begin{pmatrix} A(u) & B(u) \\ C(u) & D(u) \end{pmatrix} \quad A(u), B(u), C(u), D(u) : \mathbb{C}^{2^L} \rightarrow \mathbb{C}^{2^L}$$



$$\begin{pmatrix} u-v+i & 0 & 0 & 0 \\ 0 & i & u-v & 0 \\ 0 & u-v & i & 0 \\ 0 & 0 & 0 & u-v+i \end{pmatrix} \begin{pmatrix} A(u) & B(u) \\ C(u) & D(u) \end{pmatrix} \otimes \begin{pmatrix} A(v) & B(v) \\ C(v) & D(v) \end{pmatrix} = \begin{pmatrix} A(v) & B(v) \\ C(v) & D(v) \end{pmatrix} \otimes \begin{pmatrix} A(u) & B(u) \\ C(u) & D(u) \end{pmatrix} \begin{pmatrix} u-v+i & 0 & 0 & 0 \\ 0 & i & u-v & 0 \\ 0 & u-v & i & 0 \\ 0 & 0 & 0 & u-v+i \end{pmatrix}$$

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$$\begin{array}{c} \uparrow \\ \circlearrowleft u \\ \uparrow \end{array} \rightarrow \begin{array}{c} \uparrow \\ \circlearrowleft u \\ \uparrow \end{array} \rightarrow \dots \rightarrow \begin{array}{c} \uparrow \\ \circlearrowleft u \\ \uparrow \end{array} \rightarrow = \begin{pmatrix} A(u) & B(u) \\ C(u) & D(u) \end{pmatrix} \quad A(u), B(u), C(u), D(u) : \mathbb{C}^{2^L} \rightarrow \mathbb{C}^{2^L}$$

$$A(v)B(u) = \left(1 + \frac{i}{u-v}\right) B(u)A(v) - \frac{i}{u-v} B(v)A(u)$$

$$D(v)B(u) = \left(1 - \frac{i}{u-v}\right) B(u)D(v) + \frac{i}{u-v} B(v)D(u)$$

$$[B(u), B(v)] = 0$$

# Exact eigenstates and energies

## Z-F algebra

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## Approach to the problem

$$\begin{aligned} T(u)|u_1, \dots, u_N\rangle &= (A(u) + D(u)) \prod_{j=1}^N B(u_j) |\uparrow\rangle^{\otimes L} \\ &= \tau(u, u_1, \dots, u_n) \prod_{j=1}^N B(u_j) |\uparrow\rangle^{\otimes L} + |\text{stuff}\rangle \end{aligned}$$

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## Result of taking N commutators

$$A(u) \prod_{j=1}^N B(u_j) |\uparrow\rangle^{\otimes L} = \left( \prod_{j=1}^N 1 + \frac{i}{u_j - u} \right) \left( \prod_{j=1}^N B(u_j) \right) A(u) |\uparrow\rangle^{\otimes L} + \sum_{j=1}^N \frac{-i}{u_j - u} \left( \prod_{k \neq j} 1 + \frac{i}{u_k - u_j} \right) B(u) \left( \prod_{k \neq j} B(u_k) \right) A(u_j) |\uparrow\rangle^{\otimes L}$$

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## Fact

$$A(u) |\uparrow\rangle^{\otimes L} = (u + i)^L |\uparrow\rangle^{\otimes L}$$

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$$\left( \frac{u_j + i}{u_j} \right)^L \prod_{k \neq j} \left( \frac{u_k - u_j + i}{u_k - u_j - i} \right) = 1$$

# Exact eigenstates and energies

Eigenvalues!

$$T(u)|u_1, \dots, u_N\rangle = \left[ (u+i)^L \prod_{j=1}^N \left( \frac{u_j - u + i}{u_j - u} \right) + u^L \prod_{j=1}^N \left( \frac{u_j - u - i}{u_j - u} \right) \right] |u_1, \dots, u_N\rangle$$

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It is convenient to redefine

$$u'_j = u_j + i/2$$

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Energies!

$$E_{XXX}(u'_1, \dots, u'_N) = -iJ(\partial_u \log \tau)(0) + JL = \sum_{j=1}^N \frac{J}{u_j'^2 + 1/4}$$

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Yet another redefinition

$$\frac{u'_j + i/2}{u'_j - i/2} = e^{ip_j} \Rightarrow u'_j = \frac{1}{2} \cot(p_j/2)$$

Energies!

$$E_{XXX}(u'_1, \dots, u'_N) = -iJ(\partial_u \log \tau)(0) + JL = \sum_{j=1}^N \frac{J}{u_j'^2 + 1/4}$$

# Exact eigenstates and energies

Energies:

$$E_{XXX}(p_1, \dots, p_N) = 2J \sum_{j=1}^N (1 - \cos(p_j))$$

Allowed momenta:

$$e^{ip_j L} \prod_{k \neq j} \underbrace{\frac{\cot(p_j/2) - \cot(p_k/2) + 2i}{\cot(p_j/2) - \cot(p_k/2) - 2i}}_{S(p_j, p_k) \text{ S-matrix}} = 1$$



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S-matrix

What are these particles?

N=1:  $B(p_1) |\uparrow\rangle^{\otimes L} \propto \frac{1}{\sqrt{L}} \sum_{j=1}^L e^{-ip_1 j} \sigma_j^- |\uparrow\rangle^{\otimes L}$

$$e^{ip_1 L} = 1 \Rightarrow p_1 = \frac{2\pi n}{L}$$

One spin flip propagating with momentum  $p_1$ .  
Called a "magnon".

# Exact eigenstates and energies

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N=2:

$$B(p_1)B(p_2) |\uparrow\rangle^{\otimes L} \propto \sum_{j < k} \left( e^{-i(p_1 j + p_2 k)} + S(p_1, p_2) e^{-i(p_2 j + p_1 k)} \right) \sigma_j^- \sigma_k^- |\uparrow\rangle^{\otimes L}$$

$$e^{ip_1 L} S(p_1, p_2) = e^{ip_2 L} S(p_2, p_1) = 1$$

Two spin flips propagating with momentum  $p_1$  and  $p_2$ .  
Relative phase is indicative of a scattering event.

# Other models

- XXZ model

$$R(u-v) = \frac{1}{\sinh(u-v)} \begin{pmatrix} \sinh(u-v+\eta) & 0 & 0 & 0 \\ 0 & \sinh(u-v) & \sinh(\eta) & 0 \\ 0 & \sinh(\eta) & \sinh(u-v) & 0 \\ 0 & 0 & 0 & \sinh(u-v+\eta) \end{pmatrix}$$

$$H_{XXZ} = 2 \sinh(\eta) \partial_u \log T(u) \Big|_{u=\eta/2} - N\Delta = \sum_{j=1}^L X_j X_{j+1} + Y_j Y_{j+1} + \Delta Z_j Z_{j+1}$$

$$\Delta = \cosh(\eta) \quad \text{Only works for } \Delta \geq 1$$

- Lieb-Linger model (nonlinear Schrodinger eqn.) [arXiv:1804.07350]
- Inhomogenous XXX model [arXiv:1804.07350]

Thanks for listening!

